# BT5110: Tutorial 3 — Functional Dependencies

#### Pratik Karmakar

School of Computing, National University of Singapore

AY25/26 S1





Example Relation, 
$$R = \{A, B, C\}$$
 and  $\Sigma = \{\{A\} \rightarrow \{B\}, \{B\} \rightarrow \{C\}\}$ 

The column in red decides the color of the row: The rows having same values in the red column, have same color.

Α	В	С
a1	b1	c1
a1	b1	c1
a2	b2	c2
a2	b2	c2
a3	b3	c2
a3	b3	c2
a4	b1	c1
a4	b1	c1
а5	b2	c2

Example Relation, 
$$R = \{A, B, C\}$$
 and  $\Sigma = \{\{A\} \rightarrow \{B\}, \{B\} \rightarrow \{C\}\}$ 

The column in red decides the color of the row: The rows having same values in the red column, have same color.

Α	В	С
a1	b1	c1
a1	b1	c1
a2	b2	c2
a2	b2	c2
a3	b3	c2
a3	b3	c2
a4	b1	c1
a4	b1	c1
a5	b2	c2
{ <mark>A} −</mark>	→ { <i>A</i> ,	B, C

Example Relation, 
$$R = \{A, B, C\}$$
 and  $\Sigma = \{\{A\} \rightarrow \{B\}, \{B\} \rightarrow \{C\}\}$ 

The column in red decides the color of the row: The rows having same values in the red column, have same color.

Α	В	<u> </u>
a1	b1	c1
a1	b1	c1
a2	b2	c2
a2	b2	c2
a3	b3	c2
a3	b3	c2
a4	b1	c1
a4	b1	c1
a5	b2	c2
{ <mark>A} −</mark>	→ { <i>A</i> ,	<i>B</i> , <i>C</i> }

Α	В	С
a1	b1	c1
a1	b1	c1
a2	b2	c2
a2	b2	c2
a3	b3	c2
a3	b3	c2
a4	b1	c1
a4	b1	c1
a5	b2	c2

Example Relation, 
$$R = \{A, B, C\}$$
 and  $\Sigma = \{\{A\} \rightarrow \{B\}, \{B\} \rightarrow \{C\}\}$ 

The column in red decides the color of the row: The rows having same values in the red column, have same color.

Α	В	С
a1	b1	c1
a1	b1	c1
a2	b2	c2
a2	b2	c2
a3	b3	c2
a3	b3	c2
a4	b1	c1
a4	b1	c1
a5	b2	c2
{ <mark>A} −</mark>	→ { <i>A</i> ,	<i>B</i> , <i>C</i> }

Α	В	С
a1	b1	c1
a1	b1	c1
a2	b2	c2
a2	b2	c2
а3	b3	c2
a3	b3	c2
a4	b1	c1
a4	b1	c1
a5	b2	c2
{ <b>B</b> }	$\rightarrow \{E$	3, <i>C</i> }

Example Relation, 
$$R = \{A, B, C\}$$
 and  $\Sigma = \{\{A\} \rightarrow \{B\}, \{B\} \rightarrow \{C\}\}$ 

The column in red decides the color of the row: The rows having same values in the red column, have same color.

Α	В	С
a1	b1	c1
a1	b1	c1
a2	b2	c2
a2	b2	c2
a3	b3	c2
a3	b3	c2
a4	b1	c1
a4	b1	c1
a5	b2	c2
$\overline{\{A\}} \rightarrow \{A,B,C\}$		

Α	В	С
a1	b1	c1
a1	b1	c1
a2	b2	c2
a2	b2	c2
a3	b3	c2
a3	b3	c2
a4	b1	c1
a4	b1	c1
a5	b2	c2
{ <b>B</b> }	$\rightarrow \{E$	3, <i>C</i> }

Α	В	С
a1	b1	c1
a1	b1	c1
a2	b2	c2
a2	b2	c2
a3	b3	c2
a3	b3	c2
a4	b1	c1
a4	b1	c1
a5	b2	c2

Example Relation, 
$$R = \{A, B, C\}$$
 and  $\Sigma = \{\{A\} \rightarrow \{B\}, \{B\} \rightarrow \{C\}\}$ 

The column in red decides the color of the row: The rows having same values in the red column, have same color.

Task: Check which other columns are following "same value if same color" for each deciding (red) column. The columns for which this holds, are implied by the red column.

Α	В	С
a1	b1	c1
a1	b1	c1
a2	b2	c2
a2	b2	c2
a3	b3	c2
a3	b3	c2
a4	b1	c1
a4	b1	c1
a5	b2	c2
$\overline{\{A\}} \rightarrow \{A,B,C\}$		

Α	В	С
a1	b1	c1
a1	b1	c1
a2	b2	c2
a2	b2	c2
a3	b3	c2
a3	b3	c2
a4	b1	c1
a4	b1	c1
a5	b2	c2
{ <b>B</b> }	$\rightarrow \{E$	3, <i>C</i> }

Α	В	С
a1	b1	c1
a1	b1	c1
a2	b2	c2
a2	b2	c2
a3	b3	c2
a3	b3	c2
a4	b1	c1
a4	b1	c1
a5	b2	c2
{C}	$ ightarrow \{$	<b>C</b> }

4 D > 4 D > 4 E > 4 E > E = 900

# Rules for Functional Dependencies (Armstrong's Axioms)

W, X, Y, Z mentioned here are sets of attributes.

#### Sound & complete inference system

- **Reflexivity**: If  $Y \subseteq X$ , then  $X \to Y$ .
- Augmentation: If  $X \to Y$ , then  $XZ \to YZ$  for any Z.
- Transitivity: If  $X \to Y$  and  $Y \to Z$ , then  $X \to Z$ .

#### Common derived rules (from the three above)

- Union / Additivity: If  $X \to Y$  and  $X \to Z$ , then  $X \to Y \cup Z$ .
- Decomposition / Projectivity: If  $X \to Y \cup Z$ , then  $X \to Y$  and  $X \to Z$ .
- Pseudotransitivity: If  $X \to Y$  and  $W \cup Y \to Z$ , then  $W \cup X \to Z$ .
- Composition: If  $X \to Y$  and  $Z \to W$ , then  $X \cup Z \to Y \cup W$ .
- Self-determination:  $X \to X$ .

#### How we use them here

- LHS reduction: test if  $X \setminus \{A\} \to Y$  holds via closure using the axioms.
- Redundancy removal: test if an FD X → Y is implied by the rest (i.e., Y ⊆ X<sup>+</sup> computed from the others).



4 / 10

### Question 1.a.

Your company, Apasaja Private Limited, is commissioned by *Toko Kopi Luwak* to design the relational schema for coffee beans, drinks, and cafes. We are given

$$R = \{A, B, C, D, E, F, G, H\},\$$

$$\Sigma = \{\{A\} \to \{C, E\}, \{A, B\} \to \{D\}, \{F\} \to \{H\}, \{C, E\} \to \{A\}, \{B, C, E\} \to \{D\}, \{A, B, F\} \to \{D, G\}, \{B, C, E, F\} \to \{G\}\}.$$

### Question 1.a.

Your company, Apasaja Private Limited, is commissioned by *Toko Kopi Luwak* to design the relational schema for coffee beans, drinks, and cafes. We are given

$$R = \{A, B, C, D, E, F, G, H\},\$$

$$\Sigma = \{ \{A\} \to \{C, E\}, \{A, B\} \to \{D\}, \{F\} \to \{H\}, \{C, E\} \to \{A\}, \{B, C, E\} \to \{D\}, \{A, B, F\} \to \{D, G\}, \{B, C, E, F\} \to \{G\} \}.$$

#### Attribute mapping

Symbol	Meaning
A	name (bean brand)
В	dname (drink name)
C	cultivar
D	price

### Question 1.a.

Your company, Apasaja Private Limited, is commissioned by *Toko Kopi Luwak* to design the relational schema for coffee beans, drinks, and cafes. We are given

$$R = \{A, B, C, D, E, F, G, H\},\$$

$$\Sigma = \{\{A\} \to \{C, E\}, \{A, B\} \to \{D\}, \{F\} \to \{H\}, \{C, E\} \to \{A\}, \{B, C, E\} \to \{D\}, \{A, B, F\} \to \{D, G\}, \{B, C, E, F\} \to \{G\}\}.$$

#### Attribute mapping

Symbol	Meaning
A	name (bean brand)
В	dname (drink name)
C	cultivar
D	price

Attribute mapping		
Symbol	Meaning	
E	region	
F	bname (branch)	
G	qty (quantity sold)	
Н	address	

Notes: A bean is identified by name or by (cultivar, region); a drink name is unique per bean; branches sell drinks, with address and quantity recorded.



## Question 1.b. Candidate keys via closures

$$\Sigma = \{ \{A\} \to \{C, E\}, \{A, B\} \to \{D\}, \{F\} \to \{H\}, \{C, E\} \to \{A\}, \{B, C, E\} \to \{D\}, \{A, B, F\} \to \{D, G\}, \{B, C, E, F\} \to \{G\} \}.$$

**Observation:** Since B and F never appear on the RHS of any FD, every key must contain B and F. We enumerate closures starting from supersets of  $\{B, F\}$ .



5 / 10

## Question 1.b. Candidate keys via closures

```
\Sigma = \{ \{A\} \to \{C, E\}, \{A, B\} \to \{D\}, \{F\} \to \{H\}, \{C, E\} \to \{A\}, \{B, C, E\} \to \{D\}, \{A, B, F\} \to \{D, G\}, \{B, C, E, F\} \to \{G\} \}.
```

**Observation:** Since B and F never appear on the RHS of any FD, every key must contain B and F. We enumerate closures starting from supersets of  $\{B, F\}$ .

```
Size 2 \{B, F\}^+ = \{B, F, H\} (not a key)

Size 3 (supersets of \{B, F\})

\{A, B, F\}^+ = \{A, B, C, D, E, F, G, H\}

\{B, C, F\}^+ = \{B, C, F, H\}

\{B, D, F\}^+ = \{B, D, F, H\}

\{B, E, F\}^+ = \{B, E, F, H\}

\{B, F, G\}^+ = \{B, F, G, H\}

\{B, F, H\}^+ = \{B, F, H\}
```

## Question 1.b. Candidate keys via closures

$$\Sigma = \{ \{A\} \to \{C, E\}, \{A, B\} \to \{D\}, \{F\} \to \{H\}, \{C, E\} \to \{A\}, \{B, C, E\} \to \{D\}, \{A, B, F\} \to \{D, G\}, \{B, C, E, F\} \to \{G\} \}.$$

**Observation:** Since B and F never appear on the RHS of any FD, every key must contain B and F. We enumerate closures starting from supersets of  $\{B, F\}$ .

```
Size 4 (not containing \{A, B, F\})
Size 2
                                           \{B, C, D, F\}^+ = \{B, C, D, F, H\}
\{B, F\}^+ = \{B, F, H\} (not a key)
                                           \{B, C, E, F\}^+ =
                                           \{A, B, C, D, E, F, G, H\}
Size 3 (supersets of \{B, F\})
                                           \{B, C, F, G\}^+ = \{B, C, F, G, H\}
\{A, B, F\}^+ =
                                           \{B, C, F, H\}^+ = \{B, C, F, H\}
{A, B, C, D, E, F, G, H}
                                           \{B, D, E, F\}^+ = \{B, D, E, F, H\}
\{B, C, F\}^+ = \{B, C, F, H\}
                                           \{B, D, F, G\}^+ = \{B, D, F, G, H\}
\{B, D, F\}^+ = \{B, D, F, H\}
                                           \{B, D, F, H\}^+ = \{B, D, F, H\}
\{B, E, F\}^+ = \{B, E, F, H\}
                                           \{B, E, F, G\}^+ = \{B, E, F, G, H\}
\{B, F, G\}^+ = \{B, F, G, H\}
                                           \{B, E, F, H\}^+ = \{B, E, F, H\}
\{B, F, H\}^+ = \{B, F, H\}
                                           \{B, F, G, H\}^+ = \{B, F, G, H\}
```

## Question 1.b. Candidate keys via closures

$$\Sigma = \{ \{A\} \to \{C, E\}, \{A, B\} \to \{D\}, \{F\} \to \{H\}, \{C, E\} \to \{A\}, \{B, C, E\} \to \{D\}, \{A, B, F\} \to \{D, G\}, \{B, C, E, F\} \to \{G\} \}.$$

**Observation:** Since B and F never appear on the RHS of any FD, every key must contain B and F. We enumerate closures starting from supersets of  $\{B, F\}$ .

Size 2 { 
$$\{B,F\}^+ = \{B,F,H\} \ (\text{not a key})\}$$
 Size 4 (not containing  $\{A,B,F\}\}$   $\{B,C,D,F\}^+ = \{B,C,D,F,H\}$   $\{B,C,D,F\}^+ = \{B,C,D,F,H\}$   $\{B,C,E,F\}^+ = \{A,B,C,D,E,F,G,H\}$   $\{B,C,F\}^+ = \{B,C,F,H\}$   $\{B,C,F,H\}^+ = \{B,C,F,H\}$   $\{B,D,F\}^+ = \{B,D,F,H\}$   $\{B,D,F,G\}^+ = \{B,D,F,H\}$   $\{B,D,F,G\}^+ = \{B,D,F,H\}$   $\{B,B,F,G\}^+ = \{B,F,G,H\}$   $\{B,F,G\}^+ = \{B,F,G,H\}$   $\{B,F,G\}^+ = \{B,F,G,H\}$   $\{B,F,G\}^+ = \{B,F,G,H\}$   $\{B,F,G,H\}^+ = \{B,F,G,H\}$   $\{B,F,G,H\}^+ = \{B,F,G,H\}$ 

**Larger supersets** (that are not supersets of keys above)
All such closures remain proper subsets of *R*.

Tedious by hand?
I made your life a bit easier: Here



6 / 10

## Question 1.c. Prime attributes

Keys are  $\{A, B, F\}$  and  $\{B, C, E, F\}$ . Prime attributes are those that appear in at least one key.

Prime attributes = 
$$\{A, B, F\} \cup \{B, C, E, F\} = \{A, B, C, E, F\}$$
.

### Start from $\Sigma$ : $\{A\} \rightarrow \{C, E\},$ $\{A,B\} \rightarrow \{D\},$ $\{F\} \rightarrow \{H\},$ $\{C,E\} \rightarrow \{A\},$ $\{B,C,E\} \rightarrow \{D\},$ $\{A,B,F\} \rightarrow \{D,G\},$ $\{B,C,E,F\} \rightarrow \{G\}.$

7 / 10

## Question 2.a. Minimal cover of R with $\Sigma$

Start from  $\Sigma$ :  $\{A\} \rightarrow \{C, E\}$ ,  $\{A,B\} \rightarrow \{D\}$ ,  $\{F\} \rightarrow \{H\}$ ,  $\{C,E\} \rightarrow \{A\}$ ,  $\{B,C,E\} \rightarrow \{D\}$ ,  $\{A,B,F\} \rightarrow \{D,G\}$ ,  $\{B,C,E,F\} \rightarrow \{G\}$ .

Step 1: Split RHS  $\{A\} \rightarrow \{C\},\ \{A\} \rightarrow \{E\},\ \{A,B\} \rightarrow \{D\},\ \{F\} \rightarrow \{H\},\ \{C,E\} \rightarrow \{A\},\ \{B,C,E\} \rightarrow \{D\},\ \{A,B,F\} \rightarrow \{D\},\ \{A,B,F\} \rightarrow \{G\},\ \{B,C,E,F\} \rightarrow \{G\}.$ 

Start from Σ:  $\{A\} \rightarrow \{C, E\}, \\ \{A,B\} \rightarrow \{D\}, \\ \{F\} \rightarrow \{H\}, \\ \{C,E\} \rightarrow \{A\}, \\ \{B,C,E\} \rightarrow \{D\}, \\ \{A,B,F\} \rightarrow \{D,G\}, \\ \{B,C,E,F\} \rightarrow \{G\}.$ 

Step 1: Split RHS  $\{A\} \rightarrow \{C\},\$   $\{A\} \rightarrow \{E\},\$   $\{A,B\} \rightarrow \{D\},\$   $\{F\} \rightarrow \{H\},\$   $\{C,E\} \rightarrow \{A\},\$   $\{B,C,E\} \rightarrow \{D\},\$   $\{A,B,F\} \rightarrow \{D\},\$   $\{A,B,F\} \rightarrow \{G\},\$   $\{B,C,E,F\} \rightarrow \{G\}.$ 

Step 2: Reduce LHS where possible  $\{A\} \rightarrow \{C\}$ ,  $\{A\} \rightarrow \{E\}$ ,  $\{A,B\} \rightarrow \{D\}$ ,  $\{F\} \rightarrow \{H\}$ ,  $\{C,E\} \rightarrow \{A\}$ ,  $\{B,C,E\} \rightarrow \{D\}$ , because  $\{A,B\} \rightarrow \{D\}$   $\{A,B,F\} \rightarrow \{G\}$ ,  $\{B,C,E,F\} \rightarrow \{G\}$ .



# Step 3: Remove redundancies

BT5110: Tutorial 3 — Functional Dependencies

#### Minimal Cover

$${A} \rightarrow {C},$$
  
 ${A} \rightarrow {E},$   
 ${F} \rightarrow {H},$   
 ${C,E} \rightarrow {A},$   
 ${B,C,E} \rightarrow {D},$   
 ${B,C,E,F} \rightarrow {G}.$ 



## Step 3: Remove redundancies

$$\begin{cases} A \} \rightarrow \{C\}, \\ \{A\} \rightarrow \{E\}, \\ \\ A B \} \rightarrow \{D\}, \\ \{B, C, E\} \rightarrow \{D\}, \\ \{B, C, E\} \rightarrow \{A\}, \\ \{B, C, E\} \rightarrow \{A\}, \\ \{B, C, E\} \rightarrow \{C\}, \\ \{B, C, E, F\} \rightarrow \{G\}, \\ \{B, C, E, F\} \rightarrow \{G\}. \end{cases}$$

#### Minimal Cover

(Alternative minimal covers exist, e.g., replace 
$$\{B,C,E\} \to \{D\}$$
 by  $\{A,B\} \to \{D\}$  and  $\{B,C,E,F\} \to \{G\}$  by  $\{A,B,F\} \to \{G\}$ .)



# Question 2.b. Canonical cover (merged by LHS)

One canonical cover (aka Compact Minimal Cover) (grouping by identical LHS) is:  $\{A\} \rightarrow \{C, E\},\$   $\{F\} \rightarrow \{H\},\$   $\{C,E\} \rightarrow \{A\},\$   $\{B,C,E\} \rightarrow \{D\},\$ 

 $\{B,C,E,F\} \rightarrow \{G\}$ 

## Question 2.b. Canonical cover (merged by LHS)

One canonical cover (aka Compact Minimal Cover) (grouping by identical LHS) is:

$${A} \rightarrow {C, E},$$
  
 ${F} \rightarrow {H},$   
 ${C,E} \rightarrow {A},$   
 ${B,C,E} \rightarrow {D},$   
 ${B,C,E,F} \rightarrow {G}$ 

Other canonical covers are possible depending on which equivalent minimal cover you merge.

Questions?

Drop a mail at: pratik.karmakar@u.nus.edu