

## HomeWork 1

Ques 1. Decide whether you think the following statement is true or false. If it is true, give a short explanation. If it is false, give a counterexample.

True or false? In every instance of the stable matching problem, there is a stable matching containing a pair  $(m, w)$  such that  $m$  is ranked first on the preference list of  $w$  and  $w$  is ranked first on the preference list of  $m$ .

Answer. I think the above statement is False.

- Consider the preference list,

<u><math>m_1</math></u>	<u><math>m_2</math></u>	<u><math>m_3</math></u>	<u><math>w_1</math></u>	<u><math>w_2</math></u>	<u><math>w_3</math></u>
$w_1$	$w_1$	$w_2$	$m_3$	$m_2$	$m_1$
$w_2$	$w_2$	$w_1$	$m_2$	$m_1$	$m_2$
$w_3$	$w_3$	$w_3$	$m_1$	$m_3$	$m_3$

- when men propose,

<u><math>w_1</math></u>	<u><math>w_2</math></u>	<u><math>w_3</math></u>
$m_3$	$m_2$	$m_1$
$m_2$	$m_1$	$m_3$

Matchings -  $(m_1, w_3)$ ,  $(m_2, w_2)$ ,  $(m_3, w_1)$

- here, none of the man is matched to the woman who is ranked first on his preference list.

- When women propose

$$\frac{m_1}{w_3}$$

$$\frac{m_2}{w_2}$$

$$\frac{m_3}{w_1}$$

Matchings are  $(m_1, w_3)$ ,  $(m_2, w_2)$   
and  $(m_3, w_1)$ .

Again, none of the men are matched to the woman first on their rank list.

- Hence the statement is False.

Ques 2. Decide whether you think the following statement is true or false. If it is true, give a short explanation. If it is false, give a counterexample.

True or False? Consider an instance of the Stable Matching Problem in which there exists a man  $m$  and a woman  $w$  such that  $m$  is ranked first on the preference list of  $w$  and  $w$  is ranked first on the preference list of  $m$ . Then in every stable matching  $S$  for this instance, the pair  $(m, w)$  belongs to  $S$ .

Ans. I think the above statement is True.

Proof by contradiction

- Consider,  $m$  ranks first in preference list of  $w$ . But, in a stable matching  $S$ , the returned pair is  $(m', w)$ .
- This is possible when
  - $w$  was previously engaged to  $m$  but broke her engagement to get engaged to  $m'$ .  $\rightarrow$  Contradiction  
( $\because m$  ranks first in ~~the~~ preference list of  $w$ , she will not break her engaged for  $m'$ ).
  - $m$  never proposed to  $w$ .  
 $\rightarrow$  Contradiction  
( $\because w$  is ranked first in the preference list of  $m$ , and men first propose to the woman ranked first on their list).
- Hence,  $(m', w)$  is an instability.
- Our assumption that the matching returned is  $(m', w)$  is wrong.
- So, if a man ranks a woman first and a woman ranks a man ~~first~~ first in her preference list, then the pair  $(m, w)$  belong to  $S$ .



Ques 3. Determine whether the following statement is true or false. If it is true, give an example. If it is false, give a short explanation.

For some  $n \geq 2$ , there exists a set of preferences for  $n$  men and  $n$  women such that in the stable matching returned by the G-S algorithm, every woman is matched with their most preferred man, even though that man does not prefer that woman the most.

Ans. I think the above statement is "True".

Consider the preference list,

<u><math>m_1</math></u>	<u><math>m_2</math></u>	<u><math>m_3</math></u>	<u><math>w_1</math></u>	<u><math>w_2</math></u>	<u><math>w_3</math></u>
$w_1$	$w_1$	$w_2$	$m_3$ -	$m_2$ -	$m_1$ -
$w_2$	$w_2$ -	$w_1$ -	$m_2$	$m_1$	$m_2$
$w_3$ -	$w_3$	$w_3$	$m_1$	$m_3$	$m_3$

When men propose,

<u><math>w_1</math></u>	<u><math>w_2</math></u>	<u><math>w_3</math></u>
<del><math>m_1</math></del>	<del><math>m_3</math></del>	<del><math>m_2</math></del>
$m_3$	$m_1$	$m_2$

the matchings returned are -

$(m_1, w_3)$ ,  $(m_2, w_2)$ ,  
 $(m_3, w_1)$

In the above preference list, all women are matched to their most preferred man, even though none of the men are matched to their most preferred woman.

Ques 4. Four students  $a, b, c$  and  $d$ , are rooming in a dormitory. Each student ranks the others in strict order of preference. A roommate matching is defined as a partition of the students into two groups of two roommates each. A roommate matching is stable if no two students who are not roommates prefer each other over their roommates.

Does a stable roommate matching always exist? If yes, give a proof. Otherwise give an example of roommate preferences where no stable roommate matching exists.

Ans. • Consider 4 roommates  $a, b, c, d$ .

• Consider the preference list

$\frac{a}{b}$	$\frac{b}{c}$	$\frac{c}{a}$	$\frac{d}{a}$
$\frac{c}{d}$	$\frac{a}{d}$	$\frac{b}{d}$	$\frac{b}{c}$

- ~~roomate~~ roommate matching on the above preference list will have pairs

(i)  $(a, b)$   
 $(c, d)$

(ii)  $(a, c)$   
 $(b, d)$

(iii)  $(a, d)$   
 $(b, c)$

(i) In this pairing  $(a, b), (c, d)$ ,  
in the preference list  $b$  prefers  $c$  over  $a$ .  
and  $c$  prefers  $b$  over  $d$ .  
↳ Instability.

(ii) In this pairing  $(a, c), (b, d)$ ,  
in the preference list  $a$  prefers  $b$   
over  $c$  and  $b$  prefers  $a$  over  $d$ .

(iii) In this pairing  $(a, d), (b, c)$ ,  
in the preference list,  $a$  prefers  $c$   
over  $d$  and  $c$  prefers  $a$  over  $b$ .

- Hence, in all ~~these~~ three possible pairings none is a stable match in this preference list.

- Hence, no stable roommate matching exists for this preference list.



Ques 5. Gale and Shapley published their paper on the stable Matching Problem in 1962; but a version of their algorithm had already been in use for ten years by the National Resident Matching Problem, for the problem of assigning medical residents to hospitals.

Basically, the situation was the following. There were  $m$  hospitals, each with a certain number of available positions for hiring residents. There were  $n$  medical students graduating in a given year, each interested in joining one of the hospitals. Each hospital had a ranking of the students in order of preference, and each student had a ranking of the hospitals in order of preference. We will assume that there were more students graduating than there were slots available in the  $m$  hospitals.

The interest, naturally, was in finding a way of assigning each student to at most one hospital, in such a way that all available positions in all hospitals were filled. (Since we are assuming a surplus of students, there would be some students who do not get assigned to any hospital.)

We say that an assignment of students to hospitals is stable if ~~neither~~ neither of the following situations arises.

- First type of instability: There are students  $s$  and  $s'$ , and a hospital  $h$ , so that
  - $s$  is assigned to  $h$ , and
  - $s'$  is assigned to no hospital, and
  - $h$  prefers  $s'$  to  $s$ .
- Second type of instability: There are students  $s$  and  $s'$ , and hospitals  $h$  and  $h'$ , so that
  - $s$  is assigned to  $h$ , and
  - $s'$  is assigned to  $h'$ , and
  - $h$  prefers  $s'$  to  $s$ , and
  - $s'$  prefers  $h$  to  $h'$ .

So we basically have the stable Matching Problem, except that (i) hospitals generally want more than one resident, and (ii) there is a surplus of medical students.

Show that there is always a stable assignment of students to hospitals, and give an algorithm to find one.



Ans.

Step 1:

Input : Preference list of students  
Preference list of hospitals

Output : Matching of students to hospitals

Step 2: Algorithm

Initially all  $s \in S$  and  $h \in H$  are free  
while there is a open position in a hospital

Choose such hospital  $h$

Let  $s$  be the highest-ranked student  
in  $h$ 's preference list to whom  $h$   
has not offered

If  $s$  is free then

$(s, h)$  is a ~~pos~~ matching and  $s$   
accepts the offer by  $h$ .  
free-position- $h$  --

Else  $s$  has offer from  $h'$

If  $s$  prefers  $h'$  to  $h$  then

the position of  $h$  remains empty

Else  $s$  prefers  $h$  to  $h'$

$(s, h)$  becomes a matching

the position by  $h'$  becomes free

free-position- $h'$  ++

free-position- $h$  --

end if

end if

end while

Return the set of engaged pairs

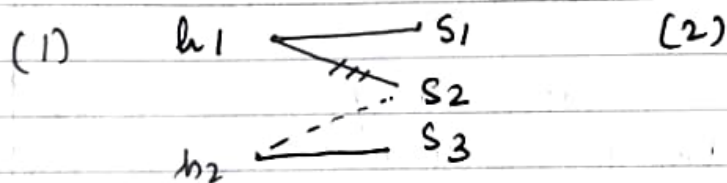
### Step 3: Proof of correctness

(1)	<u><math>h_1</math></u>	<u><math>h_2</math></u> (1)	<u><math>S_1</math></u>	<u><math>S_2</math></u>	<u><math>S_3</math></u>
	$S_3$	$S_3$	$h_1$	$h_1$	$h_2$
	$S_1$	$S_2$	$h_2$	$h_2$	$h_1$
	$S_2$	$S_1$			

→  $\begin{matrix} S_1 & S_2 & S_3 \\ h_1 & h_2 & h_1 h_2 \end{matrix}$

Proof by contradiction:

Consider all hospitals are assigned students (residents) and there exists an instability.



i.e.,  $(h_2, S_2)$

- First instability → Consider,  $h_2$  prefers  $S_2$  and  $S_2$  is not paired up.

Now, did  $h_2$  offer a position to  $S_2$ ?

If  $S_2$  is free it means,  $h_2$  never offered the position to  $S_2$  as all its positions are full. Since, it offers the position to highest preferred student,  $h_2$  prefers  $S_3$  over  $S_2$ .

Contradiction

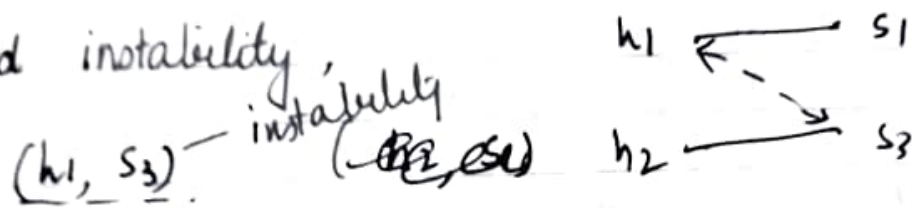
Also, it is possible that  $h_2$  offered the position to  $S_2$  but  $S_2$  did not accept, it is possible when  $S_2$  has already accepted offers from its

highest preferred hospital.

It means  $s_2$  cannot be free.  
↳ Contradiction!

there, first  $(h_2, s_2)$  is a instability and  
~~can't~~ was never returned by the algorithm.

• Second instability,



Consider  $(h_1, s_1)$ ,  $(h_2, s_3)$  returned  
by algorithm and assume that  
 $h_1$  prefers  $s_3$  over  $s_1$  and  $s_3$  prefers  
 $h_1$  over  $h_2$ .

Now, did  $h_1$  offer a position to  $s_3$ ?  
In that case, two situations are possible,

(i)  $s_3$  rejected proposal by  $h_1$  since  $s_3$  has  
offer from ~~to~~ some hospital  $h'$  whom  $s_3$   
prefers over  $h_1$ . If  $s_3$  is matched by to  
 $h_2$  after end of algorithm it means  
 $s_3$  prefers  $h_2$  over  $h'$  or  $h' = h_2$ .  
Hence, it does not prefer  $h_1$  over  $h_2$ .  
↳ contradiction.

(ii)  $s_3$  was matched to  $h_1$  and accepted  
proposal by ~~at~~ another hospital who it  
prefers over  $h_1$  and refuses the ~~pro~~ offer  
by  $h_1$ . If  $(h_2, s_3)$  is returned by the



algorithm, it means  $s_3$  prefers  $h_2$  over the hospital it had offer from before. Here, ~~see~~  $s_3$  prefers  $h_2$  over  $h_1$ .  
↪ Contradiction

Hence, there is a stable ~~matching~~ assignment of students to hospitals always.

Ques 6. For this problem, we will explore the issue of truthfulness in the Stable Matching Problem and specifically in the Gale-Shapley algorithm. The basic question is: Can a man or a woman end up better off by lying about his or her preferences? More concretely, we suppose each participant has a true preference order. Now ~~to~~ Consider a woman  $w$ , suppose  $w$  prefers man  $m$  to  $m'$ , but both  $m$  and  $m'$  are low on her list of preferences. Can it be the case that by switching the order of  $m$  and  $m'$  on her list of preferences (i.e., by falsely claiming that she prefers  $m'$  to  $m$ ) and running the algorithm with this false preference list, we will end up with a man  $m''$  that she truly

prefers to both  $m$  and  $m'$ ? (We can ask the same question for men, but will focus on the case of women for purpose of this question.)

Resolve this question by doing one of the following two things:

- Give a proof that, for any set of preference lists, switching the order of a pair on the list cannot improve a woman's partner in the Gale-Shapley algorithm; or
- Give an example of a set of preference lists for which there is a switch that would improve the partner of a woman who switched preferences.

Ans. • Consider the preference list,

<u><math>m</math></u>	<u><math>m'</math></u>	<u><math>m''</math></u>
$w_1$	$w_1$	$w_3$
$w_3$	$w_3$	$w_1$
$w_2$	$w_2$	$w_2$

<u><math>w_1</math></u>	<u><math>w_2</math></u>	<u><math>w_3</math></u>
$m''$	$m$	$m$
$m$	$m'$	$m''$
$m'$	$m''$	$m'$

- If we run Gale-Shapley algorithm the matchings we get are  $(m, w_1)$   $(m', w_2)$  and  $(m'', w_3)$

<u>w<sub>1</sub></u>	<u>w<sub>2</sub></u>	<u>w<sub>3</sub></u>
m	m'	m''

- Consider, ~~we~~ that woman w<sub>1</sub> lies and switches the order of m' and m, both of which are ranked lower in her preference list than her true preference m''.

- new preference list of w<sub>1</sub> → 

<u>w<sub>1</sub></u>
m''
m'
m

- Now if we run Gale-Shapley algorithm on,

<u>m</u>	<u>m'</u>	<u>m''</u>	<u>w<sub>1</sub></u>	<u>w<sub>2</sub></u>	<u>w<sub>3</sub></u>
w <sub>1</sub>	w <sub>1</sub>	w <sub>3</sub>	m''	m	m
w <sub>3</sub>	w <sub>3</sub>	w <sub>1</sub>	m'	m'	m''
w <sub>2</sub>	w <sub>2</sub>	w <sub>2</sub>	m	m''	m'

<u>w<sub>1</sub></u>	<u>w<sub>2</sub></u>	<u>w<sub>3</sub></u>
m''	m'	m''
m'		m

Now the matchings returned are  $(m, w_3)$ ,  $(m', w_2)$  and  $(m'', w_1)$ .



- Here,  $w_1$  was matched to her most preferred partner  $m_1$  by lying.
- Hence, lying i.e. switching preferences, improved the partner of woman  $w_1$ .

Quest. Determine whether the following statement is true or false. If it is true, give a short explanation. If it is false, give a counterexample.

For all  $n \geq 2$ , there exists a set of preferences for  $n$  men and  $n$  women such that in the stable matching returned by the G-S algorithm, every man is matched with his most preferred woman.

Ans. I think the above statement is "True".

It is possible ~~not~~ that every man is matched with his most preferred woman if all men prefer different woman first.

- Consider the preference list,

<u>m<sub>1</sub></u>	<u>m<sub>2</sub></u>	<u>m<sub>3</sub></u>	<u>w<sub>1</sub></u>	w
m <sub>1</sub>	m <sub>2</sub>	m <sub>3</sub>		
m <sub>3</sub>	m <sub>1</sub>	m <sub>1</sub>		
m <sub>2</sub>	m <sub>3</sub>	m <sub>2</sub>		

<u>m<sub>1</sub></u>	<u>m<sub>2</sub></u>	<u>m<sub>3</sub></u>	<u>w<sub>1</sub></u>	<u>w<sub>2</sub></u>	<u>w<sub>3</sub></u>
w <sub>1</sub>	w <sub>2</sub>	w <sub>3</sub>	m <sub>1</sub>	m <sub>2</sub>	m <sub>3</sub>
w <sub>3</sub>	w <sub>1</sub>	w <sub>1</sub>	m <sub>2</sub>	m <sub>3</sub>	m <sub>2</sub>
w <sub>2</sub>	w <sub>3</sub>	w <sub>2</sub>	m <sub>3</sub>	m <sub>1</sub>	m <sub>1</sub>

- If we run G-S algorithm,

<u>w<sub>1</sub></u>	<u>w<sub>2</sub></u>	<u>w<sub>3</sub></u>
m <sub>1</sub>	m <sub>2</sub>	m <sub>3</sub>

the matched pairs are  $(m_1, w_1)$ ,  $(m_2, w_2)$ ,  $(m_3, w_3)$ .

- Hence, all men are matched to their most preferred woman.

→

Ques 8. Consider a stable marriage problem where the set of men is given by  $M = m_1, m_2, \dots, m_N$  and the set of women is  $W = w_1, w_2, \dots, w_N$ . Consider their preference lists to have the following properties:

$\forall w_i \in W ; w_i \text{ prefers } m_i \text{ over } m_j \forall j > i$

$\forall m_i \in M : m_i \text{ prefers } w_i \text{ over } w_j \forall j > i$

Prove that a unique stable matching exists for this problem. Note: the  $\forall$  symbol means "for all".

Ans. • Input :

Set of Men,  $M = m_1, m_2, \dots, m_N$

Set of women,  $W = w_1, w_2, \dots, w_N$ .

Preference list of women :

$\forall w_i \in W ; w_i \text{ prefers } m_i \text{ over } m_j \forall j > i$

<u>w<sub>1</sub></u>	<u>w<sub>2</sub></u>	<u>w<sub>3</sub></u>	<u>w<sub>4</sub></u>
m <sub>1</sub>	m <sub>2</sub>	m <sub>3</sub>	m <sub>4</sub>
m <sub>2</sub>	m <sub>3</sub>	m <sub>4</sub>	
m <sub>3</sub>	m <sub>4</sub>		
m <sub>4</sub>			



Preference list of men,

<u><math>m_1</math></u>	<u><math>m_2</math></u>	<u><math>m_3</math></u>	<u><math>m_4</math></u>
$w_1$	$w_2$	$w_3$	$w_4$
$w_2$	$w_3$	$w_4$	
$w_3$	$w_4$		
$w_4$			

Output : <sup>Stable</sup> Matching of  $n$  men and  $n$  women

Algorithm: Consider we run stable matching,  
proof

Men propose	<u><math>w_1</math></u>	<u><math>w_2</math></u>	<u><math>w_3</math></u>	<u><math>w_4</math></u>
	$m_1$	$m_2$	$m_3$	$m_4$

Since men propose to women highest in their list i.e., women with same index as theirs, so all men are paired up with different women.

Proof by contradiction,

consider a pair where  $j < i$ ,  
( $m_3, w_2$ ) is a

i.e. man  $m_3$  ~~pre~~ is paired with woman  $w_2$ .

Now, did  $m_3$  propose to  $w_2$ ? and get rejected?  
It is possible when,

1)  $w_2$  is already engaged to a man she prefers more over  $m_3$ .

2) she was engaged to  $m_3$  and broke her engagement to get engaged to a man she prefers over  $m_3$ .

In both cases, she prefers  $m_2$  over  $m_3$  &

Both the cases are not possible if  $m_3$  ranks higher in  $w_2$  the preference list of  $w_2$ .

Hence,  $(m_3, w_2)$  is instability.

- If there are  $n$  men and  $n$  women,

$$M = m_1, m_2, \dots, m_n$$

$$W = w_1, w_2, \dots, w_n$$

then  $(m_n, w_n)$  will be matched.

Since ~~it~~ men will be matched with women