



AVL TREE DATA STRUCTURE

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OVERVIEW

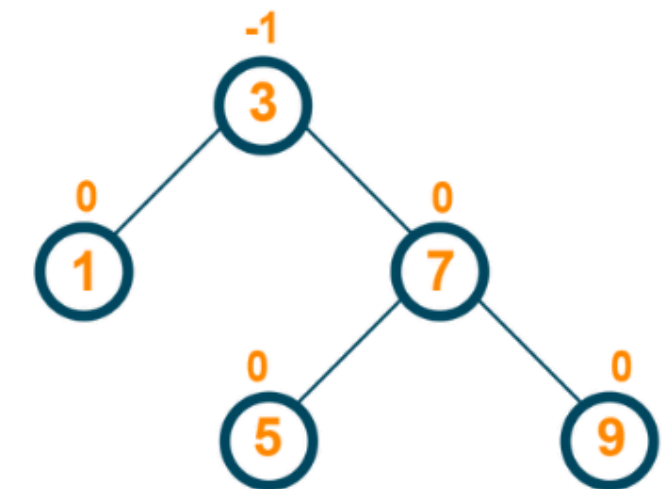
- Introduction
 - Problems
 - Solution to problem
 - Insertion & Deletion Operation
 - Rotations
 - Time complexity
-
-

INTRODUCTION

- AVL Tree is a self-balancing binary search tree where the difference between the heights of the left and right subtrees of any node is at most one.
- Every node in an AVL Tree has a balance factor of -1, 0, or +1.
- To maintain the height balance of a BST to ensure efficient searching, insertion, and deletion.
- Used in database indexing, file systems, and memory management.



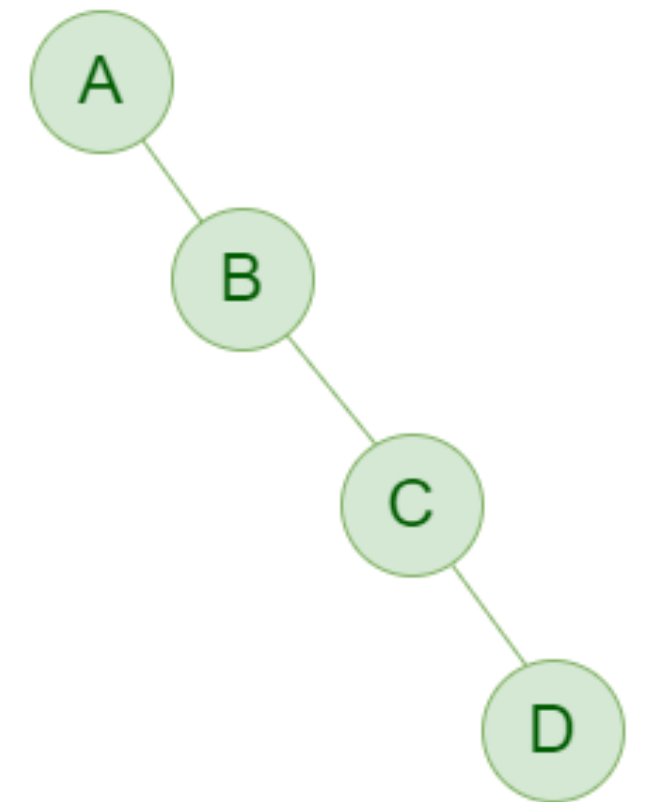
AVL TREE



PROBLEMS OF BINARY SEARCH TREES (BST)

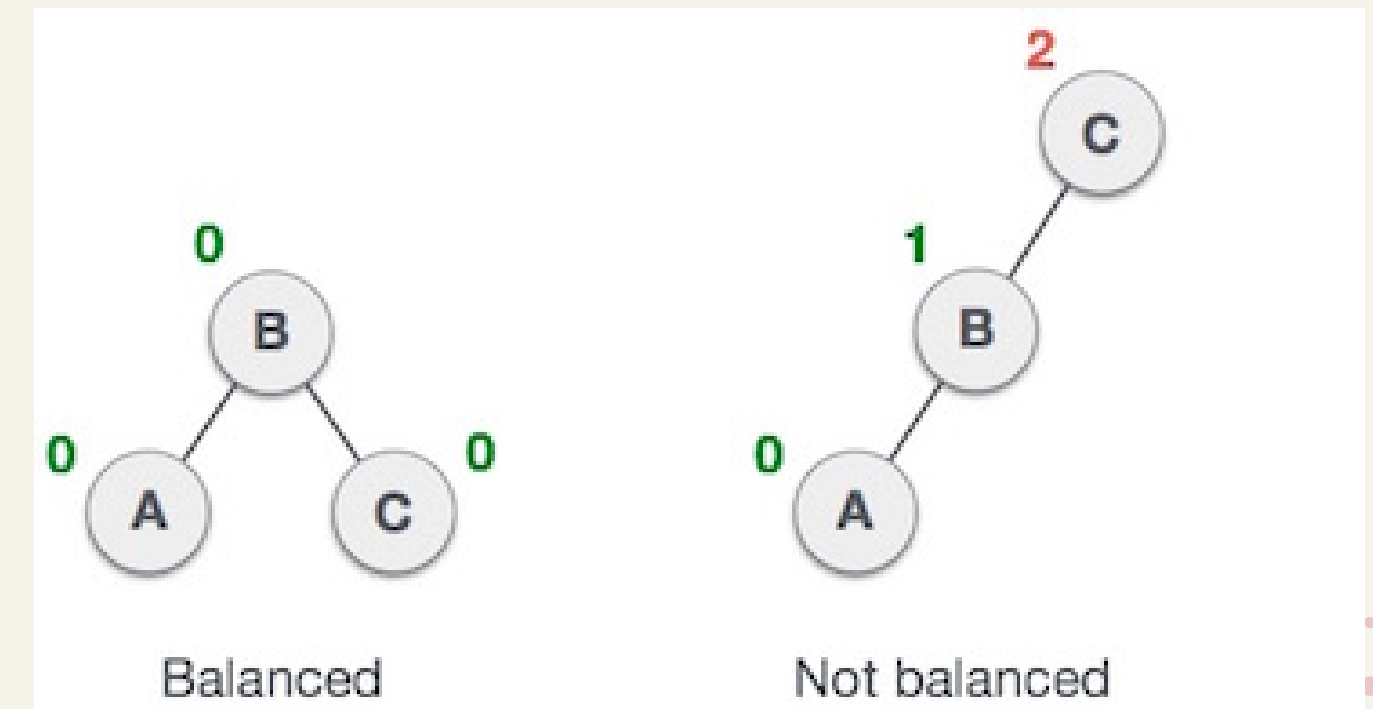
- **Unbalanced Growth:**
- In an unbalanced BST, nodes may not be evenly distributed across levels.
- Example: inserting sorted data results in a skewed tree (degenerates into a linked list).
- **Increased Search Time:**
- Depth of the tree can increase to $O(n)$ in worst-case scenarios.
- Searching, inserting, and deleting operations become inefficient when the tree is unbalanced.

Right-Skewed Binary Tree



HOW AVL TREES SOLVE BST PROBLEMS

- **Automatic Balancing:**
- After each insertion or deletion, AVL trees adjust themselves to maintain balance.
- Ensures that the tree's height is always $O(\log n)$.
- **Efficient Search, Insertion, and Deletion:**
- Operations take $O(\log n)$ time due to balanced height.
- Improves efficiency over unbalanced BSTs.



INSERTION/DELETION:

- Perform a standard BST insertion/Deletion
- Calculate the balancing factor of each node
- Perform rotations (if unbalanced):

Balancing Factor

$BF(N) = \text{Height of left subtree} - \text{Height of right subtree}$

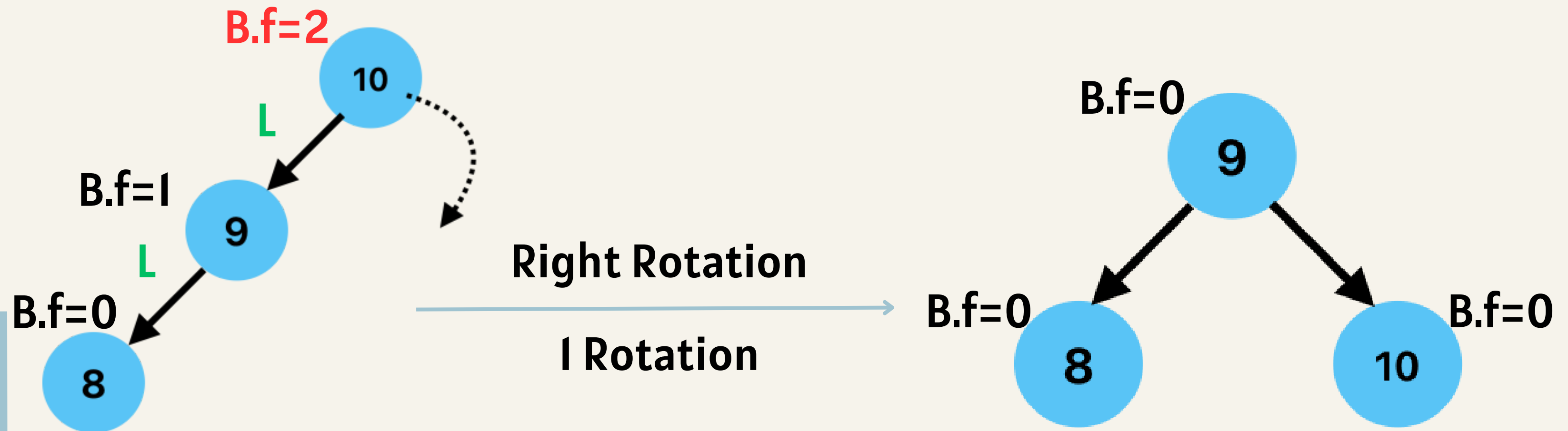
Rotation

Left-left (LL)
Right-right (RR)
Left-right (LR)
Right-left (RL)

ROTATION

Left-Left Case (LL)

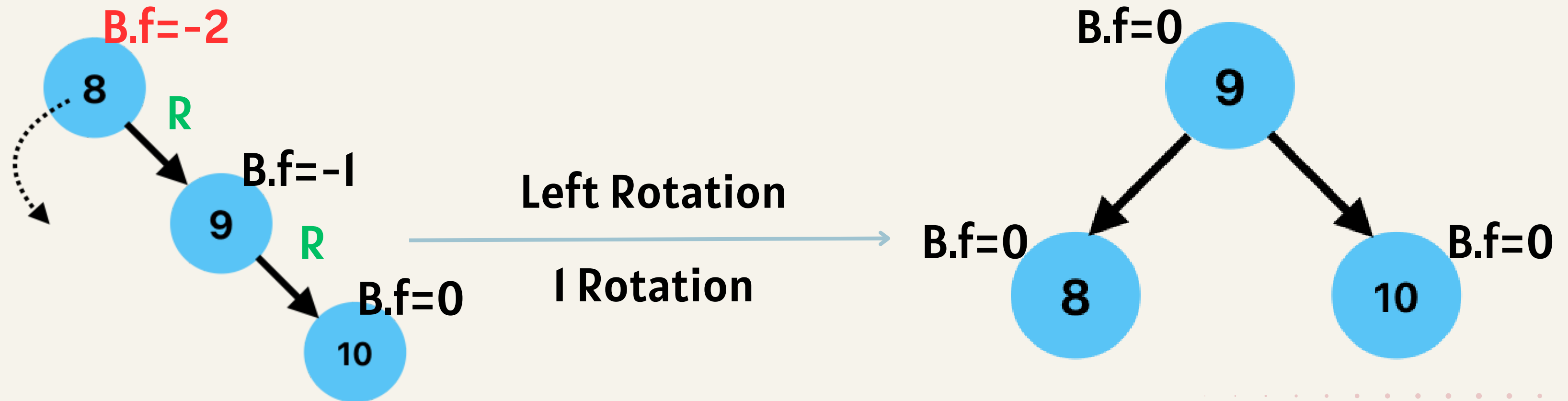
Array: [10,9,8]



ROTATION

Right- Right Case(RR)

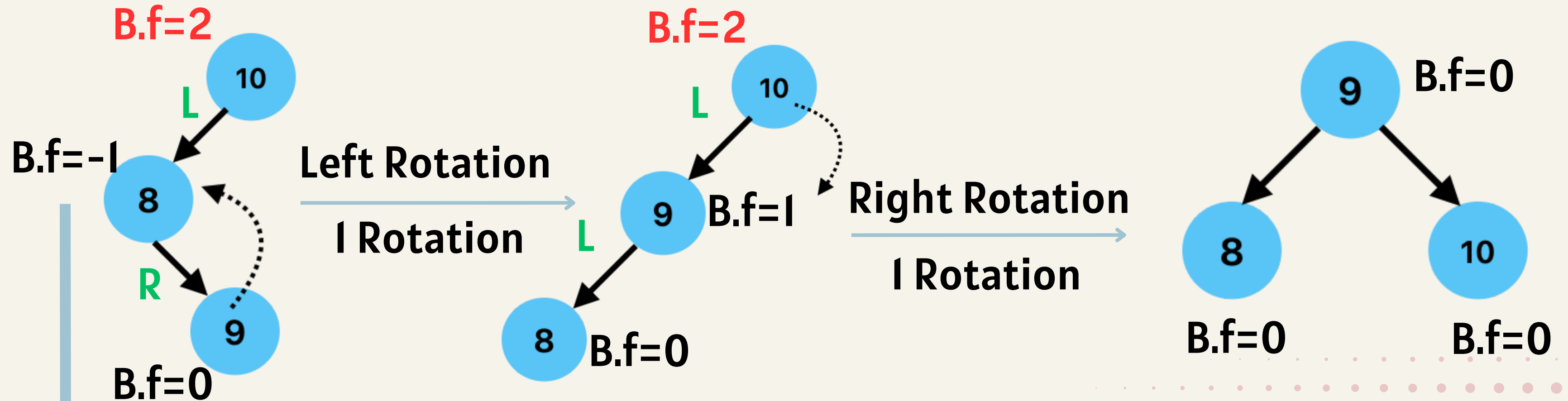
Array: [8,9,10]



ROTATION

Left-right case(LR)

Array: [10,8,9]

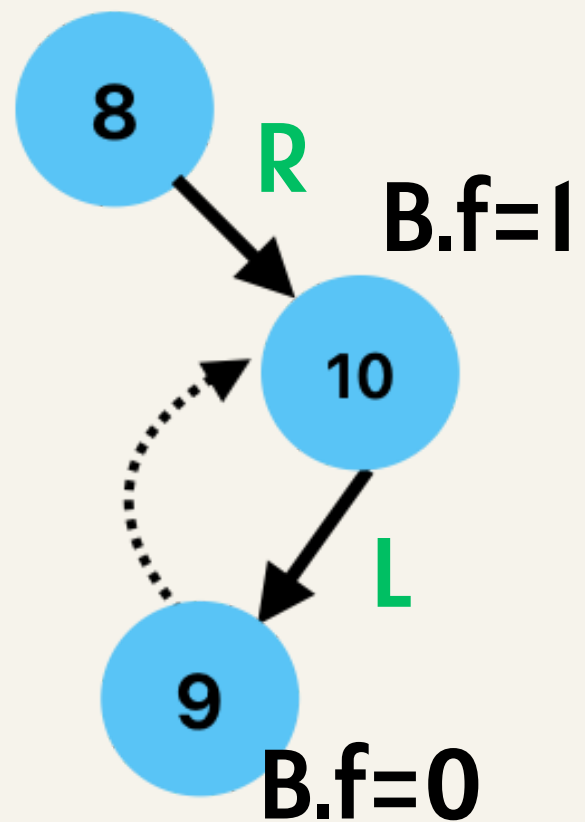


ROTATION

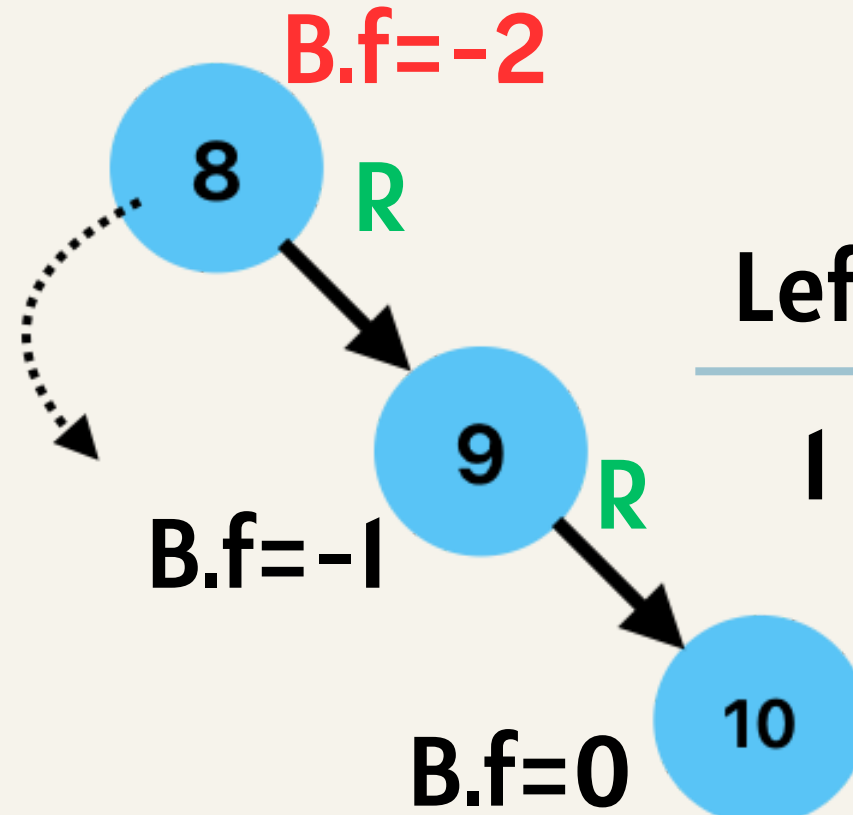
Right-left case(RL)

Array: [8,10,9]

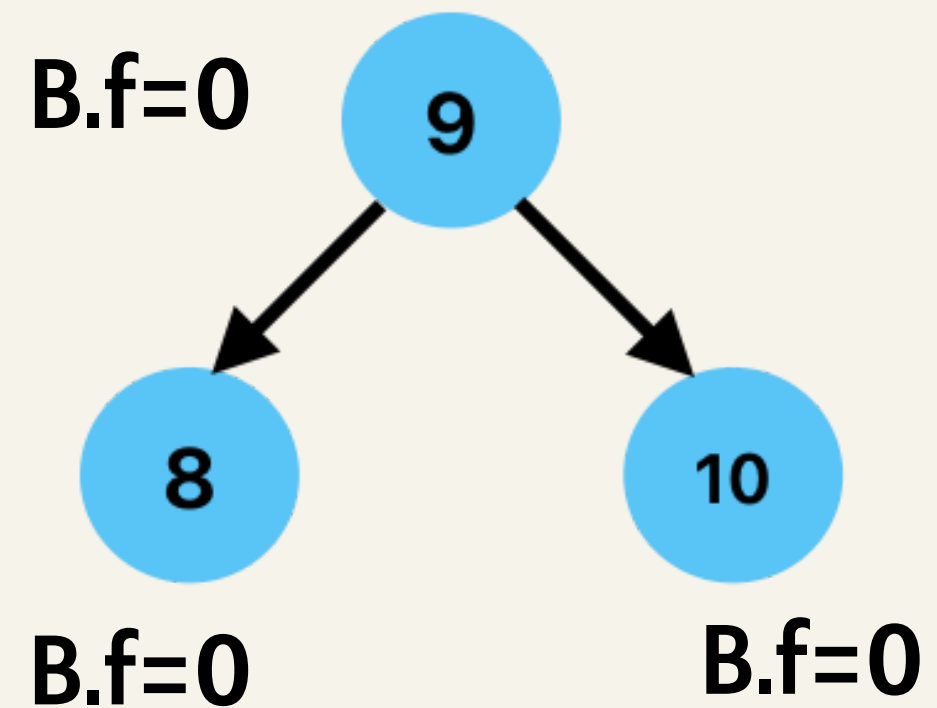
B.f=-2



Right Rotation
↓
1 Rotation



Left Rotation
↓
1 Rotation



CODE FOR RIGHT ROTATIONS

11

```
static Node rightRotate(Node y) {
    Node x = y.left;
    Node T2 = x.right;

    // Perform rotation
    x.right = y;
    y.left = T2;

    // Update heights
    y.height = Math.max(height(y.left),
                        height(y.right)) + 1;
    x.height = Math.max(height(x.left),
                        height(x.right)) + 1;

    // Return new root
    return x;
}
```

```
static int height(Node N) {
    if (N == null)
        return 0;
    return N.height;
}
```

CODE FOR LEFT ROTATION

12

```
static Node leftRotate(Node x) {  
    Node y = x.right;  
    Node T2 = y.left;  
  
    // Perform rotation  
    y.left = x;  
    x.right = T2;  
  
    // Update heights  
    x.height = Math.max(height(x.left),  
                        height(x.right)) + 1;  
    y.height = Math.max(height(y.left),  
                        height(y.right)) + 1;  
  
    // Return new root  
    return y;  
}
```

CHECK BALANCING CODE

13

```
// Get Balance factor of node N
static int getBalance(Node N) {
    if (N == null)
        return 0;
    return height(N.left) - height(N.right);
}
```

```
// Left Left Case
if (balance > 1 && key < node.left.key)
    return rightRotate(node);

// Right Right Case
if (balance < -1 && key > node.right.key)
    return leftRotate(node);

// Left Right Case
if (balance > 1 && key > node.left.key) {
    node.left = leftRotate(node.left);
    return rightRotate(node);
}

// Right Left Case
if (balance < -1 && key < node.right.key) {
    node.right = rightRotate(node.right);
    return leftRotate(node);
}
```

INSERTION CODE

14

```
static Node insert(Node node, int key) {  
    // 1. Perform the normal BST insertion  
    if (node == null)  
        return new Node(key);  
  
    if (key < node.key)  
        node.left = insert(node.left, key);  
    else if (key > node.key)  
        node.right = insert(node.right, key);  
    else // Duplicate keys not allowed  
        return node;  
  
    // 2. Update height of this ancestor node  
    node.height = Math.max(height(node.left),  
                           height(node.right)) + 1;  
}
```

```
// 3. Get the balance factor of this node  
// to check whether this node became  
// unbalanced  
int balance = getBalance(node);  
  
// If this node becomes unbalanced, then  
// there are 4 cases  
  
// Left Left Case  
if (balance > 1 && key < node.left.key)  
    return rightRotate(node);  
  
// Right Right Case  
if (balance < -1 && key > node.right.key)  
    return leftRotate(node);  
  
// Left Right Case  
if (balance > 1 && key > node.left.key) {  
    node.left = leftRotate(node.left);  
    return rightRotate(node);  
}  
  
// Right Left Case  
if (balance < -1 && key < node.right.key) {  
    node.right = rightRotate(node.right);  
    return leftRotate(node);  
}  
  
return node;  
}
```


DELETION CODE

15

```
static Node deleteNode(Node root, int key) {
    // STEP 1: PERFORM STANDARD BST DELETE
    if (root == null)
        return root;

    // If the key to be deleted is smaller
    // than the root's key, then it lies in
    // left subtree
    if (key < root.key)
        root.left = deleteNode(root.left, key);

    // If the key to be deleted is greater
    // than the root's key, then it lies in
    // right subtree
    else if (key > root.key)
        root.right = deleteNode(root.right, key);

    // if key is same as root's key, then
    // this is the node to be deleted
    else {
        // node with only one child or no child
        if ((root.left == null) ||
            (root.right == null)) {
            Node temp = root.left != null ?
                root.left : root.right;

            // No child case
            if (temp == null) {
                temp = root;
                root = null;
            }
            else // One child case
                root = temp; // Copy the contents of
                            // the non-empty child
        }
        else {
            // node with two children: Get the
            // inorder successor (smallest in
            // the right subtree)
            Node temp = minValueNode(root.right);

            // Copy the inorder successor's
            // data to this node
            root.key = temp.key;

            // Delete the inorder successor
            root.right = deleteNode(root.right, temp.key);
        }
    }

    // If the tree had only one node then return
    if (root == null)
        return root;

    // STEP 2: UPDATE HEIGHT OF THE CURRENT NODE
    root.height = Math.max(height(root.left),
                            height(root.right)) + 1;
}
```

```
    } else {
        // node with two children: Get the
        // inorder successor (smallest in
        // the right subtree)
        Node temp = minValueNode(root.right);

        // Copy the inorder successor's
        // data to this node
        root.key = temp.key;

        // Delete the inorder successor
        root.right = deleteNode(root.right, temp.key);
    }
}

// If the tree had only one node then return
if (root == null)
    return root;

// STEP 2: UPDATE HEIGHT OF THE CURRENT NODE
root.height = Math.max(height(root.left),
                        height(root.right)) + 1;
```

```
    // 3. Get the balance factor of this node
    // to check whether this node became
    // unbalanced
    int balance = getBalance(node);

    // If this node becomes unbalanced, then
    // there are 4 cases

    // Left Left Case
    if (balance > 1 && key < node.left.key)
        return rightRotate(node);

    // Right Right Case
    if (balance < -1 && key > node.right.key)
        return leftRotate(node);

    // Left Right Case
    if (balance > 1 && key > node.left.key) {
        node.left = leftRotate(node.left);
        return rightRotate(node);
    }

    // Right Left Case
    if (balance < -1 && key < node.right.key) {
        node.right = rightRotate(node.right);
        return leftRotate(node);
    }

    return node;
}
```

TIME COMPLEXITY

16

Step 1: BST Insertion

In a balanced AVL tree with n nodes, the height h is bounded by:

$$h \leq c \log(n)$$

where c is a constant (approximately 1.44).

The time complexity of BST insertion/deletion is $O(h)$, so:

$$T(n) = O(\log n)$$

Step 2: Rebalancing

1. Updating balance factors

2. Performing rotations (if needed)

$$T(n) = O(\log n)$$

Total Time Complexity

$$T(n) = T_{\text{insert/delete}}(n) + T_{\text{rebalance}}(n)$$

$$T(n) = O(\log n) + O(\log n)$$

$$T(n) = O(\log n)$$