

# $K_I^E$ calculations according to Rice's theory

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## Abstract

Here we calculate the critical stress intensity factor value according to Rice's crack-tip plasticity theory (i.e.  $K_{IE}$ ) for different single crystal crack systems.

**Keywords** Critical stress intensity factor, crack-tip plasticity, dislocation, stacking fault, slip plane and slip direction.

## 1 Introduction

Crack-tip plasticity is characterized by nucleation of dislocations and twins at preexisting crack-tips.

## 2 Rice's brittle fracture

Rice's theory [1] suggests that the critical stress intensity factor  $K_{IE}$  at which a dislocation is nucleated at crack-tip eventually moving on to an accessible slip plane under mode I loading and plane strain conditions is given by [2, 3]

$$K_{IE} = \sqrt{\frac{G_{IE}}{B}}, \quad (1)$$

with  $G_{IE} = 8 \frac{1+(1-\nu_{yx}) \tan^2 \phi}{(1+\cos \theta) \sin^2 \theta} \gamma_{us}$  where  $\gamma_{us}$  is the unstable stacking fault energy. The angles  $\theta$  and  $\phi$  are shown in Figure 1 [2].

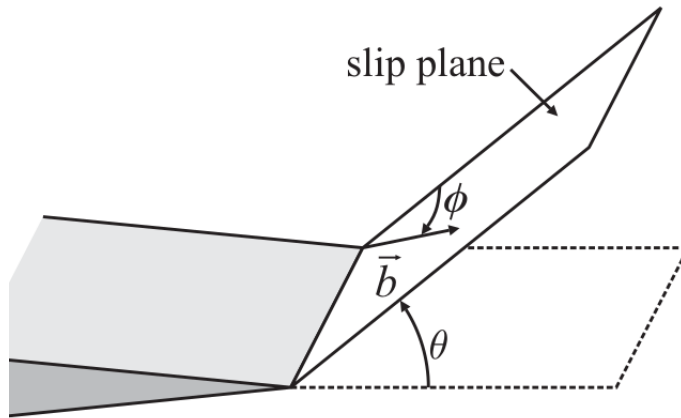


Figure 1: Crack plane, slip system with slip direction  $\vec{b}$  and angles  $\theta$  and  $\phi$ .

## 2.1 Measurement of angles $\theta$ and $\phi$ and calculation of $K_I^E$

### 2.1.1 Procedure to find angles $\theta$ and $\phi$

- Input appropriate vectors for crack-plane ( $\vec{CP}$ ) and crack-front ( $\vec{CF}$ ) e.g. (indices are multiplied by a, a and c)  $\vec{CP} = [0^*a, 0^*a, 4^*c] = [u, v, w]$  and  $\vec{CF} = [1^*a, 0^*a, 0^*c] = [m, n, o]$  where a and c are the magnitudes of lattice vectors (Now orthogonal basis vectors are  $[a, 0, 0]$ ,  $[0, a, 0]$  and  $[0, 0, c]$ ) instead of  $[a, 0, 0]$ ,  $[0, a, 0]$  and  $[0, 0, a]$ ). Then crack propagation direction  $\vec{Cr} = \vec{CP} \times \vec{CF}$ . Also input appropriate vectors for slip planes ( $\vec{SP}$ ) and directions ( $\vec{b} = [b_1, b_2, b_3]$ ).

$$\theta = \frac{\pi}{2} - \cos^{-1} \left\{ \frac{\vec{CP} \cdot \vec{SP}}{|\vec{CP}| |\vec{SP}|} \right\} \quad (2)$$

- Let the burger vector,  $\vec{b}$  make an angle  $\phi$  with the vector  $[p, q, r]$  (Unknown at this point).  $\theta$  and  $\phi$  must also satisfy the following conditions.

$$(i) \text{ With } |[p, q, r]| = \sqrt{p^2 + q^2 + r^2} = \sqrt{|[u, v, w]|} \sin \theta = (\sqrt{u^2 + v^2 + w^2}) \sin \theta,$$

$$[p, q, r] \cdot \vec{CP} = |[p, q, r]| |[u, v, w]| \cos(\frac{\pi}{2} - \theta) = |[p, q, r]| |[u, v, w]| \sin(\theta) \text{ i.e.}$$

$$pu + qv + rw = (u^2 + v^2 + w^2) \sin \theta \quad (3)$$

- (ii)  $\vec{SP} = [k, l, m]$  is perpendicular to  $[p, q, r]$ . Therefore,  $[p, q, r] \times [k, l, m] = 0$  i.e.

$$pk + ql + rm = 0 \quad (4)$$

- (iii) Cross product  $[p, q, r] \times \vec{CF} = [p, q, r] \times [m, n, o] = 0$  i.e.

$$pm + qn + ro = 0 \quad (5)$$

- The following equation can be used to solve the system of linear equations formed by equations (3)-(5).

$$\begin{bmatrix} k & l & m \\ u & v & w \\ m & n & o \end{bmatrix} \begin{bmatrix} p \\ q \\ r \end{bmatrix} = \begin{bmatrix} 0 \\ (u^2 + v^2 + w^2) \sin \theta \\ 0 \end{bmatrix} \quad (6)$$

- By solving equation (6), we get  $[p, q, r]$ .

Since  $[p, q, r] \cdot \vec{b} = [p, q, r] \cdot [b_1, b_2, b_3] = \sqrt{p^2 + q^2 + r^2} \sqrt{b_1^2 + b_2^2 + b_3^2} \cos \phi$ , we have,

$$\phi = \cos^{-1} \left\{ \frac{pb_1 + qb_2 + rb_3}{\sqrt{p^2 + q^2 + r^2} \sqrt{b_1^2 + b_2^2 + b_3^2}} \right\} \quad (7)$$

### 2.1.2 $K_I^E$ calculation

Insert the  $\theta$ ,  $\phi$ ,  $\gamma_{us}$  and  $\nu_{yx}$  (we will choose crack system such  $\phi = 0$  which in turn leaves  $\nu_{yx}$  obsolete) in equation (1), to calculate  $K_I^E$ . Therefore, we need to calculate only  $\theta$ .

## 3 Results and discussion

In the following,  $K_I^E$  for dislocation slip on (330)[001] (stacking fault energy curve in Figure 9 of manuscript in preparation.  $\gamma_{us,(330)} = 2.44 \text{ Jm}^{-2} < \gamma_{us,(004)} = 3.5 \text{ Jm}^{-2}$ )(010)[001] crack system

For  $\sigma$ -phase with 0% Re,  $a=9.538 \text{ \AA}$  and  $c=5.070 \text{ \AA}$  are chosen.

Structure 9 of  $\sigma$ -phase:

$$\text{The elastic constants' matrix is } C = \begin{pmatrix} 533 & 246 & 205 & 0.0 & 0.0 & 0.0 \\ 246 & 533 & 205 & 0.0 & 0.0 & 0.0 \\ 205 & 205 & 562 & 0.0 & 0.0 & 0.0 \\ 0.0 & 0.0 & 0.0 & 105 & 0.0 & 0.0 \\ 0.0 & 0.0 & 0.0 & 0.0 & 105 & 0.0 \\ 0.0 & 0.0 & 0.0 & 0.0 & 0.0 & 122 \end{pmatrix}$$

## 4 How to use the code

Enter crack plane and crack front on the lines-11 and 16 respectively in the following 'Kie\_code.py'. Then enter slip planes and directions on lines 24 and 25 respectively. To calculate  $K_I^E$ ,  $\gamma_{us}$  should be given on line 118 and rotated elastic constants on line 128.

```
1 from __future__ import division
2 import numpy as np
3 import io
4 import scipy
5 from array import *
6 import os
7 import math
8
9
10 #crack_prop=np.array([0,-1,0]) #x-axis
11 perp_crack_plane=np.array([1,1,2]) #y-axis Enter the vectors such that [1*a,1*a,2*
    c] i.e indices are multiplied by a,a and c.
12 u=perp_crack_plane[0]
13 v=perp_crack_plane[1]
14 w=perp_crack_plane[2]
15
16 crack_front=np.array([1,1,-1]) #z-axis Enter the vectors such that indices are
    multiplied by a,a and c.
17 m=crack_front[0]
18 n=crack_front[1]
19 o=crack_front[2]
20
21 #Find crack propagation plane
22 crack_prop=np.cross(perp_crack_plane,crack_front)
23
24 Slip_planes=np.array([[1,-1,0],[-1,1,0]]) # Enter the vectors such that indices
    are multiplied by a,a and c.
25 Slip_dirs=np.array([[1,1,1],[1,1,1]]) # Enter the vectors such that indices
    are multiplied by a,a and c.
26
27
28 a=Slip_planes[:,0] #x-component of slip plane vectors
29 b=Slip_planes[:,1] #y-component of slip plane vectors
30 c=Slip_planes[:,2] #z-component of slip plane vectors
31
32
33 #burger vectors components
34 b1=Slip_dirs[:,0] #x-component of burger vectors
35 b2=Slip_dirs[:,1] #y-component of burger vectors
36 b3=Slip_dirs[:,2] #z-component of burger vectors
37
38
39 theta_angles=np.zeros(len(Slip_dirs))
40 theta_angles_radian=np.zeros(len(Slip_dirs))
41 phi_angles=np.zeros(len(Slip_dirs))
42
43 result_text=[]*len(Slip_dirs)
44
45 for i in range(0,len(Slip_dirs),1):
46     dot_prod=0.0
47     mag_prop=0.0
48     mag_slip_plane=0.0
49
50     dot_prod=np.dot(crack_prop,Slip_planes[i,:]) # Dot product of
        the two vectors
51     mag_prop=np.linalg.norm(crack_prop) # Magnitude of the
        vector
52     mag_slip_plane=np.linalg.norm(Slip_planes[i,:]) # Magnitude of the
        vector
53
54 # The following block uses equation (2) in report for calculation of Theta angle.
55 cos_theta1=(dot_prod)/(mag_prop*mag_slip_plane) # Finding angle
        theta
56 cos_theta1=np.round(cos_theta1,3)
57 theta1=(180*np.arccos(cos_theta1))/math.pi # Converting to
        degrees
58 theta=90-theta1
```

```

59     theta_angles[i]=theta
60
61
62 #converting into radians
63     theta1=((math.pi)/180)*theta1
64     theta=((math.pi)/180)*theta
65     theta_angles_radian[i]=theta
66
67
68 ### Now for phi angle using equations (3), (4), (5) and (6)
69     A=np.zeros(3)
70     A=np.array([[a[i],b[i],c[i]], [u,v,w], [m,n,o]]) # 3X3 matrix from equation (6)
71         in report
72
73     C=np.zeros(3)
74     C=np.array([0,((u*u)+(v*v)+(w*w)*math.sin(theta)),0]) # 3X1 matrix (vector) on
75         the RHS of '=' in equation (6) of report
76
77     if (theta!=0.0):
78         X=np.zeros(3)
79         X=np.linalg.solve(A, C) # Solving for [p,q,r] in equation (6) in report
80
81
82 # Following block uses equation (2) in report
83     cos_phi=((X[0]*b1[i])+(X[1]*b2[i])+(X[2]*b3[i]))/((np.sqrt((X[0]*X[0])+(X[1]*X
84         [1])+(X[2]*X[2])))*(np.sqrt((b1[i]*b1[i])+(b1[i]*b1[i])+(b1[i]*b1[i]))))
85     cos_phi=np.round(cos_phi,3)
86     phi=(180*np.arccos(cos_phi))/math.pi
87     phi_angles[i]=phi
88
89 else:
90     phi_angles[i]=0.0
91
92 # print ('Slip plane: ('+str(Slip_planes[i,0])+str(Slip_planes[i,1])+str(
93     Slip_planes[i,2])+')\t'+ 'Slip direction(burger vector): [' + str(Slip_dirs[i,0])
94     +str(Slip_dirs[i,1])+str(Slip_dirs[i,2])+']\t\t angle theta: '+str(
95     theta_angles[i])+'\t angle phi: '+str(phi_angles[i])+'\n')
96
97 ##### KIE calculation using equation (1) in report
98 #####
99
100 K_emit_110=[]*int(len(Slip_dirs)*1)
101 K_emit_110_test=[]*int(len(Slip_dirs)*1)
102
103 print ('theta_angles=',theta_angles)
104 print ('phi_angles=',phi_angles)
105
106 j=0
107
108 theta_new=theta_angles
109 phi_new=phi_angles
110 G_G=0.0
111 for j in range(0,int(len(Slip_dirs)*1),1):
112     angle_theta=theta_angles[j]
113     angle_phi=phi_angles[j]
114     if ((angle_theta>90.0)):
115         angle_theta=180-angle_theta
116         if (angle_phi>90):
117             angle_phi=180-angle_phi
118
119         print (angle_theta, angle_phi)
120     nu_yx=0.278 # This will be zero for our cases
121     gamma_us=1.5775
122     theta=((math.pi)/180)*angle_theta
123     phi=((math.pi)/180)*angle_phi
124     denom=((1+math.cos(theta))*(pow(math.sin(theta),2)))*gamma_us
125     #if (denom==0.0):
126     #    G_G=0.0
127     if (denom!=0.0):

```

```

125     G_G=8*((1+(1-nu_yx)*pow(math.tan(phi),2))/((1+math.cos(theta))*(pow(math.sin(
126     theta),2))))*gamma_us
127
128 C=np.array([[532.55*1e9,204.95*1e9,204.95*1e9,0.0,0.0,0.0],[204.95*1e9,532.55*1e9
129     ,204.95*1e9,0.0,0.0,0.0],[204.95*1e9,204.95*1e9,532.55*1e9
130     ,0.0,0.0,0.0],[0.0,0.0,0.0,163.13*1e9,0.0,0.0],[0.0,0.0,0.0,0.0,163.13*1e9
131     ,0.0],[0.0,0.0,0.0,0.0,0.0,163.13*1e9]]) # Elastic constant matrix in
132     appropriate orientation
133
134 S=np.linalg.inv(C) # Compliance constant matrix
135
136 s11=S[0,0]
137 s12=S[0,1]
138 s13=S[0,2]
139 s22=S[1,1]
140 s23=S[1,2]
141 s26=S[1,5]
142 s66=S[5,5]
143
144 ##bij are in GPa^-1
145 b_11=((s11*s33)-(s13*s13))/s33
146 b_22=((s22*s33)-(s23*s23))/s33
147 b_12=((s12*s33)-(s13*s23))/s33
148 b_66=((s66*s33)-(s26*s26))/s33
149
150 #### B from equation (1) in report will be in GPa^-1
151 temp_1=(b_11*b_22*0.5)
152 temp_2=np.sqrt(b_22/b_11)
153 temp_3=((2*b_12)+b_66)
154 temp_4=(2*b_11)
155
156 B_1=np.sqrt((temp_1)*(temp_2+(temp_3/temp_4)))
157 K_G_N=np.sqrt(G_G/B_1) # equation (1) in report
158 K_G_N_MPa_root_m=K_G_N/1e6
159
160
161 print('Slip plane: ('+str(Slip_planes[j,0])+str(Slip_planes[j,1])+str(Slip_planes
162     [j,2])+')\t Slip direction (burger vector): '+'[ '+ str(Slip_dirs[j,0])+str(
163     Slip_dirs[j,1])+str(Slip_dirs[j,2])+'] '+'\t angle theta: '+str(theta_angles[j])
164     +'\t angle phi: '+str(phi_angles[j])+'\t K_IE: '+str(K_G_N_MPa_root_m)+'\n')

```

## Acknowledgements

## References

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