# **Machine Learning Engineer Nanodegree**

# **Capstone Project**

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# I. Definition

### **Project Overview**

In this world, there are some thousands to millions species of plants. In the field of Botany, there have been many research work in the field of Identifying a Plant species with the use of plant leaf. Due to this fact, a lot of researchers and Botanists, have been collecting a lot of plant leaf and have been documenting them as leaf databases. One form of this database is the photographs taken of the leaf for each species in a specific angle, position and light exposure. Such a Database/Dataset exists in the UCI (University of California, Irvine) Machine Learning Repository, known as Folio Data Set.

My project is to explore such a leaf database, and try to implement a Machine Learning technique/algorithm, especially a Deep Learning technique. After the project is implemented, the algorithm will be able to provide an accuracy score based on the training and test/validation data.

#### **Problem Statement**

The Plant species classification can be best achieved by using the leaf samples, as they are unique to species and is a challenging task. The application of this research work is in identifying a plant species from a leaf sample, identify how close a very new leaf sample might belong to a known species, and during fossil extraction, to which variety can this fossil be linked to the current active species. We can try to use Neural Networks with computer vision to solve the classification problem.

The solution would be built by following the below mentioned steps:

- 1. Download the Dataset from <a href="https://archive.ics.uci.edu/ml/machine-learning-databases/00338/Folio%20Leaf%20Dataset.rar">https://archive.ics.uci.edu/ml/machine-learning-databases/00338/Folio%20Leaf%20Dataset.rar</a>
- 2. Organize the data/images in the downloaded folder into train and test/validation folders
- 3. Train a VGG16 (a Deep Convolutional Networks for Large-Scale Image Recognition) Pre-trained model in Keras
- 4. Measure the loss and accuracy and report it

The final application is expected to be useful in showing that such an approach can be used to for identifying a plant species and also how close a new/unknown plant could be associated to a know plan species.

#### **Metrics**

Binary Cross Entropy is a measure for the loss calculation in CNN Deep Learning. The lower the loss, the better the model is trying to learn and best fits the data. The formula used for this calculation is:

$$crossentropy(t, o) = -(t \cdot log(o) + (1 - t) \cdot log(1 - o))_{\text{ or }}$$

$$L_{CE} \equiv t \log(o) + (1 - t) \log(1 - o)$$

The final binary cross entropy of the final model is calculated as:

$$\mathcal{L}(\hat{\mathbf{y}}, \mathbf{y}) = -\frac{1}{N} \sum_{i}^{N} \left[ y_i \log \hat{y}_i + (1 - y_i) \log(1 - \hat{y}_i) \right]$$

# II. Analysis

# **Data Exploration**

The Dataset which can be used for this project can be found at the UCI Machine Learning Repository at the following link.

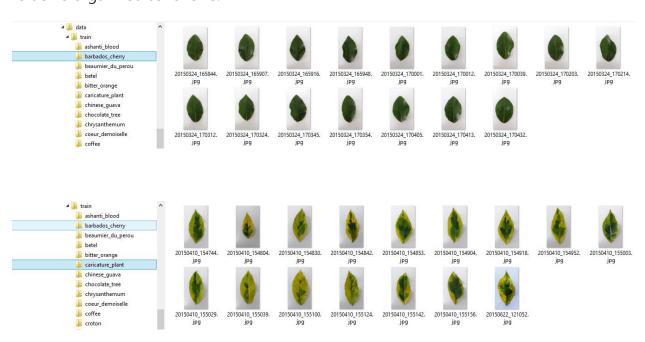
https://archive.ics.uci.edu/ml/datasets/Folio

The Datase is called the Folio dataset and comprises of 20 photos of leaves for each of 32 different species. From the above set, the data set is described as below:

Data Set Characteristics:	Multivariate	Number of Instances:	637	Area:	N/A
Attribute Characteristics:	N/A	Number of Attributes:	20	Date Donated	2015- 07-05
Associated Tasks:	Classification, Clustering	Missing Values?	Yes	Number of Web Hits:	36774

# **Exploratory Visualization**

The training set contains 509 (training database) files in 32 (species) folders. The validation set contains 128 (test database) files in 32 (species) folders. The training data folder is organized as follows:



The validation/test data folder is organized as follows:





# **Algorithms and Techniques**

I have used a Convolution Neural Network classifier, which is the state-of-the art algorithm for Image classification. The details are mentioned below.

VGG16 is a famous pre-trained CNN available in Keras module and produces a fair enough acceptable module with acceptable accuracy.

The Configuration of the VGG16 model, a summary, a visualization on how it can be perceived are given below.

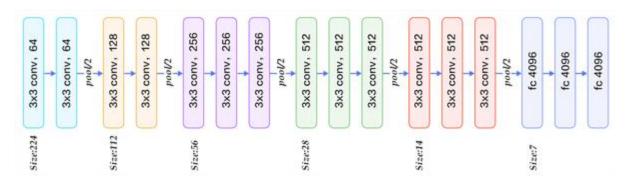
#### **Application**:

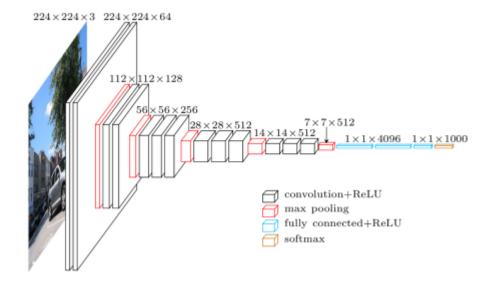
- Given image → find object name in the image
- It can detect any one of 1000 images
- It takes input image of size 224 \* 244 \* 3 (RGB image)

### **Built using:**

- Convolutions layers (used only 3\*3 size)
- Max pooling layers (used only 2\*2 size)
- Fully connected layers at end
- Total 16 layers

ConvNet Configuration									
A	A-LRN	В	C	D	Е				
11 weight	11 weight	13 weight	16 weight	16 weight	19 weight				
layers	layers	layers	layers	layers	layers				
input ( $224 \times 224$ RGB image)									
conv3-64	conv3-64	conv3-64	conv3-64	conv3-64	conv3-64				
	LRN	conv3-64	conv3-64	conv3-64	conv3-64				
maxpool									
conv3-128	conv3-128	conv3-128	conv3-128	conv3-128	conv3-128				
		conv3-128	conv3-128	conv3-128	conv3-128				
maxpool									
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256				
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256				
			conv1-256	conv3-256	conv3-256				
					conv3-256				
maxpool									
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512				
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512				
			conv1-512	conv3-512	conv3-512				
					conv3-512				
			pool						
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512				
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512				
			conv1-512	conv3-512	conv3-512				
					conv3-512				
			pool						
FC-4096									
FC-4096									
FC-1000									
soft-max									





#### **Benchmark**

Based on the paper titled, "Data Augmentation for Plant Classification", Authors, Pornntiwa Pawara, Emmanuel Okafor, Lambert Schomaker, and Marco Wiering, for the Folio Dataset they have achieved a Test accuracy of 99.04%.

# III. Methodology

# **Data Preprocessing**

Since the Data was organized in good format, and also the image capture was also in good quality, whitish background, with clear photograph taken, not much of preprocessing steps was required to do any feature extraction or feature generation. The existing pre-trained VGG16 model was itself able to generate the necessary features.

However, the image files had to be organized a little. This was to facilitate Keras to split the data into training and validation/test sets. The steps followed were:

- Create two folders under a parent folder, say "data", namely "train" and "validation"
- Re-create the folder structure, as from the original dataset into each of the above folders
- From the original dataset, pick 4 random files and move it to the validation (into the corresponding species) folder, and the rest (20 4) 16, into the training's corresponding species folder

### **Implementation**

The algorithm was implemented in the following manner. The following two websites were referred mainly for the implementation.

https://blog.keras.io/building-powerful-image-classification-models-using-very-little-data.html

https://www.codesofinterest.com/2017/08/bottleneck-features-multi-class-classification-keras.html

- Import the necessary Keras, OpenCV and Numpy libraries
- Initialize program parameters such as, train sample size, validation sample size, batch size for each iteration of each epoch, number of epochs
- Load the VGG16 pre-trained model of Keras
- Use the ImageDataGenerator and flow\_from\_directory features of Keras to generate the features Bottleneck features for the Train datasets, and store these features in a numpy matrix and store them on disk
- Do the above set for the Validation data set also
- Load the Train numpy matrix and store it in a variable
- Convert the species directory names from the train data directory into Categorical Variable
- Do the above step for validation data directory classes also
- Create a CNN Sequential Model
- Add a Flattened Layer to the model
- Add another Dense Layer to the model with 256 output units and a reluactivation function
- Add a Dropout Layer
- Add another Dense layer with output unit as the number of classes and a sigmoid activation function. This is out last output layer
- Compile the above model
- Train it using the batch size, epochs train data and validation data
- Save the weights and model
- Use the Model's evaluate function to get the validation loss and accuracy of the model
- Plot the above in a epoch graph

#### Refinement

From the references provided, following refinement or improvements were done to solve various issue encountered:

- The training and validation number of samples were calculated dynamically. This
  was creating a problem while adding the Flatter layer to the model (input\_shape
  parameter was not being passed correctly. Hence, had to hard-code the sample
  sizes and adjust the input\_shape parameter.
- In the Bottleneck features generations and saving functions, these were written
  for very balanced input samples. Hence, the calculation of the predict size train
  was not correct. The input number of samples and the predicted features sample
  (number or rows) were incorrect. The root cause of this was the calculation of the
  predict\_size\_train and predict\_size\_validation. These were corrected.

### **IV. Results**

#### **Model Evaluation and Validation**

The final model has the following features and capabilities:

- This is the bare metal model using the pre-trained VGG16 CNN layers
- Has an accuracy of 96.88%
- Is very fast on a GPU enabled AWS system
- Seems to learn from a small epoch run of 21-23 runs and have a low validation loss of 0.1334

### **Justification**

In the benchmark solution,

http://www.ai.rug.nl/~mwiering/GROUP/ARTICLES/plantaugment.pdf, if we refer the section 4.1, Table 2, the Original (no flip) Scratch accuracy results with both AlexNet and GoogleNet are lower than 90%.

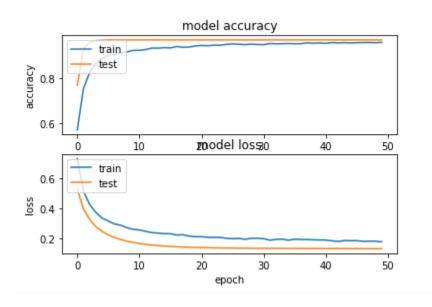
In my implementation, with the bare metal model, and no pre-processing, I achieve an accuracy of 96.88%. Hence, this model should be better than the above said benchmark model.

If we, do some extra pre-processing, and do fine tuning, the model should be able achieve equivalent or better results than the benchmark model.

### V. Conclusion

#### **Free-Form Visualization**

Please find below the Model Accuracy and Model Loss against the epoch run for the final model.



### Reflection

The problem that I wanted to solve was of, Plant species classification by using the leaf samples. Neural Networks with computer vision can be used to solve the classification problem. I was trying to implement a Machine Learning technique/algorithm, especially a Deep Learning technique. the algorithm will be able to provide an accuracy score based on the training and test/validation data based on it's learning.

The steps followed are:

- First get a dataset that has good images taken of the leaves of various species
- > Organize the data in proper folder for training, validation and classification

- Pick some random 20% of the data and assign it as validation/test data
- Download and Load a pre-trained VGG16 model file
- ➤ Run the training data and validation data through the VGG16 model, and store the resultant matrix is some format
- Create another Sequential CNN model, add a Flatten and Dense layer, and an output layer with sigmoid activation function
- Train this newly created model, and validate the model against the validation/test set
- Report the accuracy and loss values

The interesting part while doing this project was, how a pre-trained model, even though whose base idea was different, could be used for a different purpose.

Another interesting part was the bare metal model, could produce a such a good results.

The final model fits my expectations for this project work based upon my understanding of Deep Learning and CNN, and can definitely be used to solve these kind of problems.

### **Improvement**

A few improvements that I can propose or think of is:

- More data could be added to improve the accuracy
- Will have to fine-tune the model by adding some more layers or using some other loss entropy calculations or other optimizers
- Will like to pre-process the data and check the effects of rotation, scaling, illumination, etc.
- Will like to pre-process the data and apply a Ridge Filter using the Hessian Matrix calculation. This will identify the vein structure of the leaf, and then this image files can be fed into the CNN model

#### References

https://arxiv.org/pdf/1409.1556.pdf

http://deeplearning.net/software/theano/library/tensor/nnet/nnet.html#theano.tensor.nnet.nnet.binary\_crossentropy

http://deeplearning.net/software/pylearn/formulas.html#pylearn.formulas.costs.binary\_c rossentropy

https://github.com/keras-team/keras/issues/6444

https://blog.keras.io/building-powerful-image-classification-models-using-very-little-data.html

https://github.com/keras-team/keras/issues/3296

https://www.codesofinterest.com/2017/08/bottleneck-features-multi-class-classification-keras.html

 $\frac{https://stackoverflow.com/questions/47535596/how-do-i-get-the-true-labels-when-i-use-a-imagedatagenerator-flow-from-directory?rq=1$ 

https://stackoverflow.com/questions/45806669/keras-how-to-use-predict-generator-with-imagedatagenerator

https://keras.io/preprocessing/image/

https://www.kaggle.com/keras/vgg16

https://archive.ics.uci.edu/ml/datasets/Folio

https://www.kaggle.com/c/leaf-classification#description

https://www.quora.com/in/What-is-the-VGG-neural-network