

# Constructing Hard Functions from Learning Algorithms

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# Motivation

## Circuit Lower Bounds are Hard to prove

What we'd like:  $f \in \text{NP}$  such that  $f \notin P/\text{poly}$ .

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Explicit circuit lower bounds known for very  
few circuit classes.

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Circuit Lower Bounds are Hard to prove

What we'd like:  $f \in \text{NP}$  such that  $f \notin P/\text{poly}$ .

Explicit circuit lower bounds known for very few circuit classes.

Even for low depth circuits of AND, OR, NOT and mod-m gates, a lower bound eluded us till Williams-2011 breakthrough.

# Motivation

## Learning Algorithms and Circuit Lower Bounds

"Circuit Lower Bounds precede Learning Algorithms  
for specific circuit classes."

Furst-Saxe-Sipser-81, Ajtai-83, Yao-85, Hastad 1987,...

Parity  $\notin \text{ACO}$

# Motivation

## Learning Algorithms and Circuit Lower Bounds

"Circuit Lower Bounds precede Learning Algorithms  
for specific circuit classes."

Furst-Saxe-Sipser-81, Ajtai-83, Yao-85, Hastad 1987,...

Parity  $\notin \text{ACO}$

Linial-Mansour-Nisan 1989

Learning ACO in Quasi-Poly time.

Is there a formal connection between  
learning algorithms and circuit lower  
bounds?

# Learning Algorithms and Circuit Lower Bounds

Klivans-Fortnow 2005

"Efficient Learning Algorithms Yield Circuit Lower Bounds."

"learning expressive classes is as hard as proving strong circuit lower bounds"

# Exact Learning Yields Lower Bounds

Klivans-Fortnow 2005

If  $C^*$  is efficiently exactly learnable with membership and equivalence queries, then:

$$\text{EXP}^{\text{NP}} \not\subseteq C$$

\* $C$  is any poly-size circuit class.

# Exact Learning Yields Lower Bounds

Klivans-Fortnow

Angluin's

Exact Learning  
Model.

If  $C^*$  is efficiently exactly learnable with membership and equivalence queries, then:

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# Exact Learning Yields Lower Bounds

Hitchcock-Harkins 2011

If  $C$  is efficiently exactly learnable with membership and equivalence queries, then:

$$\text{EXP} \not\subseteq C$$

# PAC Learning with MQs Yields Lower Bounds

Klivans-Fortnow 2005

If  $C$  is efficiently PAC learnable with membership queries on the uniform distribution, then:

$$\text{BPEXP} \not\subseteq C$$

# Our Result: I

If  $C$  is efficiently exactly learnable with membership and equivalence queries, then:

$$\text{DTIME}(n^{\omega(1)}) \not\subseteq C$$

## Our Result: II

If  $C$  is efficiently PAC learnable with membership queries on the uniform distribution, then either:

1.  $\text{PSPACE} \not\subseteq C$ .
2.  $\text{PSPACE} \subseteq \text{BPP}$ .

## Our Result: III

If  $C$  is efficiently learnable via statistical queries,  
then there exists an explicit function  $f$ ,  
that is average case hard for  $C$ .

uses connection to discrepancy minimization.

# Lower Bounds from Exact Learning

\* $C$  is a poly-size circuit class on the hypercube.

# Exact Learning Algorithms

Target Concept  $c \in \mathcal{C}$

Learner can ask two types of queries about  $c$

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For any  $x$ , what is  $c(x)$ ?

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**Equivalence Query**

For any circuit  $q$ , is  $c = q$ ?

# Exact Learning Algorithms

Target Concept  $c \in \mathcal{C}$

Learner can ask two types of queries about  $c$

**Membership Query**

For any  $x$ , what is  $c(x)$ ?

**Equivalence Query**

For any circuit  $q$ , is  $c = q$ ?

If  $q \neq c$ , the oracle sends  $x$  such that

$$q(x) = -c(x).$$

Learner must identify the correct hypothesis  $c$ .

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Klivans-Fortnow 2005

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- Outline similar to Impagliazzo-Kabanets-04's proof.
- Toda's theorem, collapse\hierarchy theorems, downward self-reducibility of Permanent...

# Exact Learning Yields Lower Bounds

Hitchcock-Harkins 2011

If  $C$  is efficiently exactly learnable with membership and equivalence queries, then:

$$\text{EXP} \not\subseteq C$$

- Uses ideas from Betting Games and Resource Bounded Measure.

# High Level Idea of Previous Results

1. Start from a purported hard function (Permanent) and assume it is computed by  $C$ .
2. Now show that efficient learnability of  $C$  violates some hierarchy theorem.

# Our Result: I

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If  $\mathcal{C}$  is efficiently exactly learnable with membership and equivalence queries, then:

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- ➊ Self-contained, simple and elementary proof.

# Our Result: I

If  $\mathcal{C}$  is efficiently exactly learnable with membership and equivalence queries, then:

$$\text{DTIME}(n^{\omega(1)}) \not\subseteq \mathcal{C}$$

- Self-contained, simple and elementary proof
- Uses the learning algorithm in a non-standard way to diagonalize against the circuit class and compute a hard function.

# Our Result: I

If  $\mathcal{C}$  is efficiently exactly learnable with membership and equivalence queries, then:

$$\text{DTIME}(n^{\omega(1)}) \not\subseteq \mathcal{C}$$

**Corollary:** Efficient exact learning algorithm for linear size circuits implies  $P = BPP$ .  
(Using Impagliazzo-Wigderson-98 generator.)

# Our Result: I

If  $C$  is efficiently exactly learnable with membership and equivalence queries:

DTIME( $n^{\omega}$ )

Learning  
implies  
derandomization!

**Corollary:** Efficient exact learning algorithm for linear size circuits implies P = BPP.

# Lower Bounds from PAC Learning with Membership Queries

# PAC Learning with MQs

Target Concept  $c \in \mathcal{C}$ , Uniform Distribution

**MQ:** Learner can find  $c(x)$  for any  $x$  on the hypercube.

Learner w.h.p. must output hypothesis  $h$  such that:

$$\Pr[h(x) \neq c(x)] \leq \epsilon$$

# PAC Learning with MQs Yields Lower Bounds

Klivans-Fortnow 2005

If  $C$  is efficiently PAC learnable with membership queries on the uniform distribution, then:

$$\text{BPEXP} \not\subseteq C$$

## Our Result: II

If  $C$  is efficiently PAC learnable with membership queries on the uniform distribution, then either:

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# PAC Learning with MQs Yields Lower Bounds

Klivans-Fortnow 2005

If  $C$  is efficiently PAC learnable with membership queries on the uniform distribution, then:

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IWO1-If Permanent is computed by  $C$  and  $C$  is PAC learnable, then Permanent is computed by BPP.

## Our Result: II

If  $C$  is efficiently PAC learnable with membership queries on the uniform distribution, then either:

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2.  $\text{PSPACE} \subseteq \text{BPP}$

- ➊ Generalize Klivans-Fortnow argument.
- ➋ Use Trevisan-Vadhan07's downward self-reducible, self-correctible PSPACE complete function.

# Our Result II

If  $C$  is efficiently PAC learnable with membership queries on the uniform distribution, then either:

1.  $\text{PSPACE} \not\subseteq C$
2.  $\text{PSPACE} \subseteq \text{BPP}$

As a corollary yields the Fortnow-Klivans result.

# Proof

## Lower Bounds from Mistake Bound\* Learning

\*more restrictive (special case of) Exact Learning

# Mistake Bound Learning

Target Function:  $c \in \mathcal{C}$

Online Learning Model.

1. In each round, learner receives a point  $x$  from the hypercube.

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Target Function:  $c \in \mathcal{C}$

Online Learning Model.

1. In each round, learner receives a point  $x$  from the hypercube.
2. Learner predicts the label of  $x$  based on the current hypothesis.
3. Learner is told the correct label of  $x$ , and can update the hypothesis.

# Mistake Bound Learning

Target Function:  $c \in \mathcal{C}$

Online Learning Model.

1.  $T(n)$ : update time in each round
2.  $M(n)$ : Maximum number of mistakes on any sequence of input points.
3. Learner is told the correct label of  $x$ , and can update the hypothesis.

# Mistake Bound Learning Yields Lower Bounds

If  $\mathcal{C}^*$  is learnable in time  $T=T(n,s)$  and mistake bound  $M=M(n,s)$ , then there exists a function  $f$  such that

1.  $f \in \text{DTIME}(n + M \cdot T)$
2.  $f \notin \mathcal{C}$

${}^*\mathcal{C} = \mathcal{C}^s$ : circuits in  $\mathcal{C}$  of size at most  $s$ .

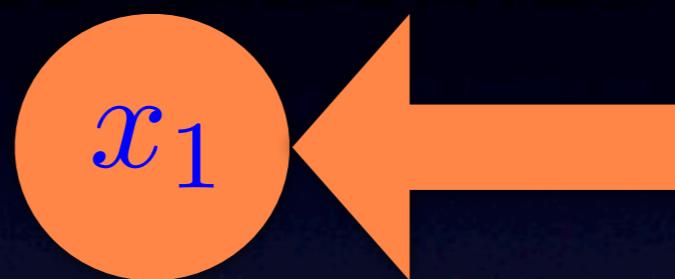
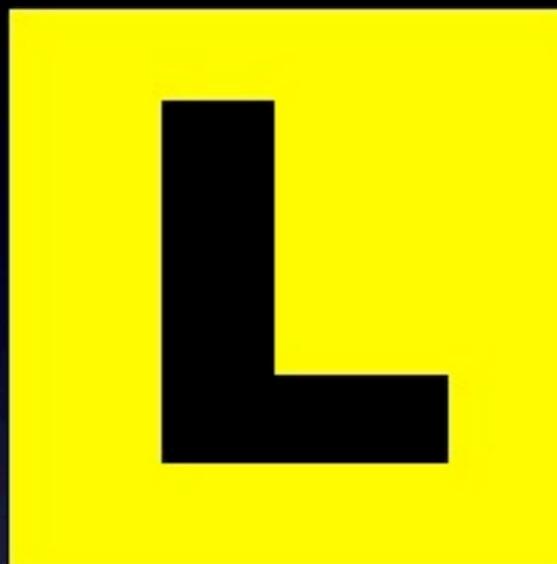
# Mistake Bound Learning Yields Lower Bounds

1. Simulate the oracle for the learner, say A.

# Mistake Bound Learning Yields Lower Bounds

1. Simulate the oracle for the learner, say  $L$ .
2. Present points in lexicographic order from the hypercube in each round.

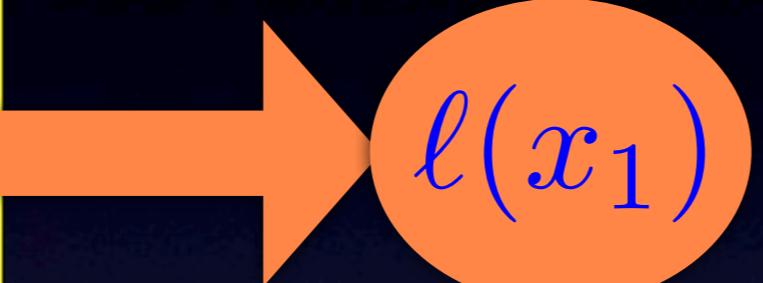
# Mistake Bound Learning Yields Lower Bounds



# Mistake Bound Learning Yields Lower Bounds

3. Ask  $L$  to report its label:  $l(x)$

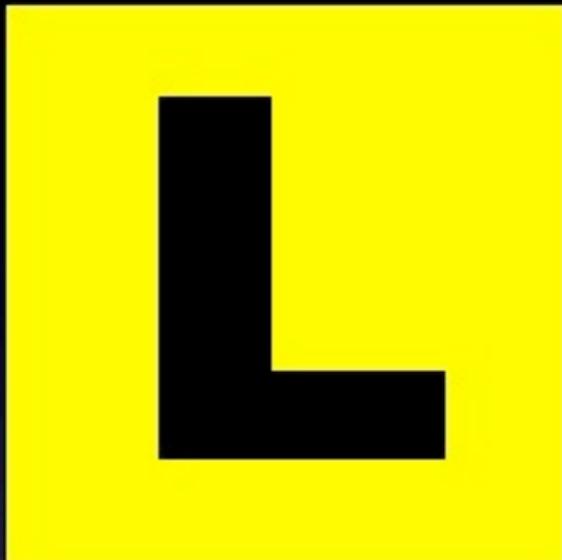
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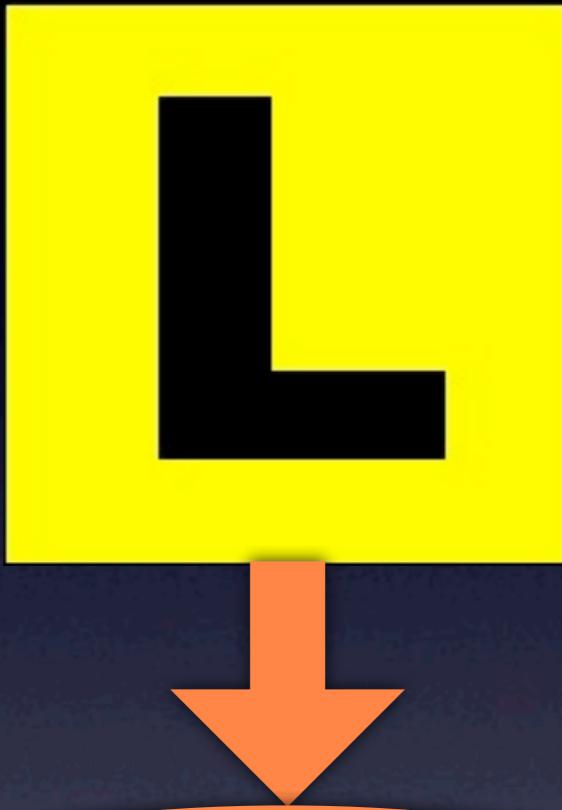
5. Set  $f(x) = -l(x)$

# Mistake Bound Learning Yields Lower Bounds



$$f(x_1) = -\ell(x_1)$$

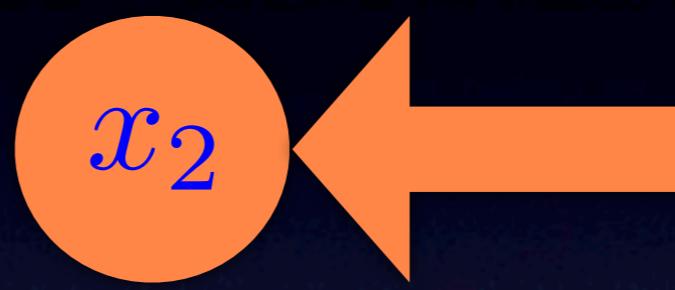
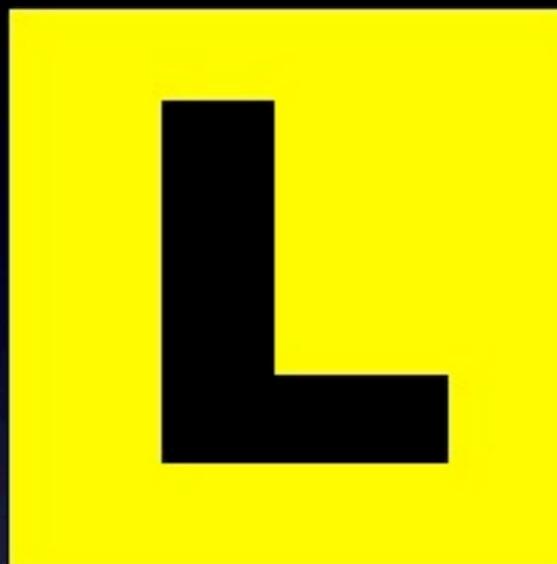
# Mistake Bound Learning Yields Lower Bounds



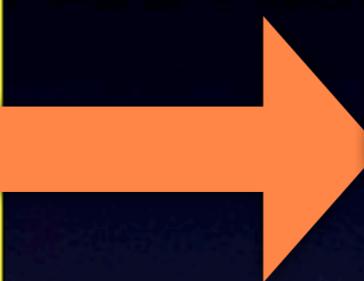
Update Hypothesis.



# Mistake Bound Learning Yields Lower Bounds



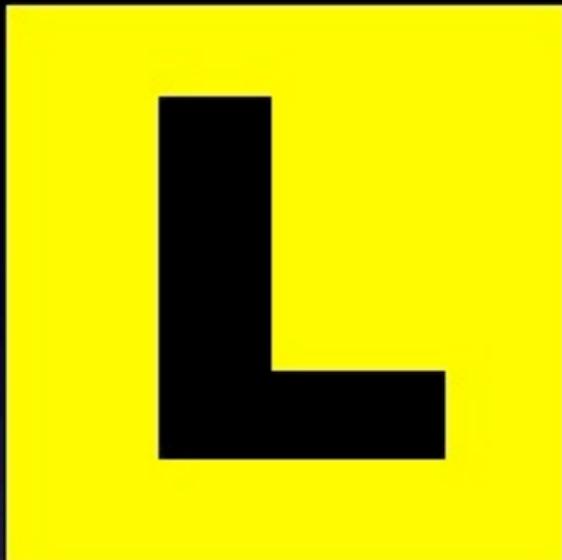
# Mistake Bound Learning Yields Lower Bounds



$\ell(x_2)$

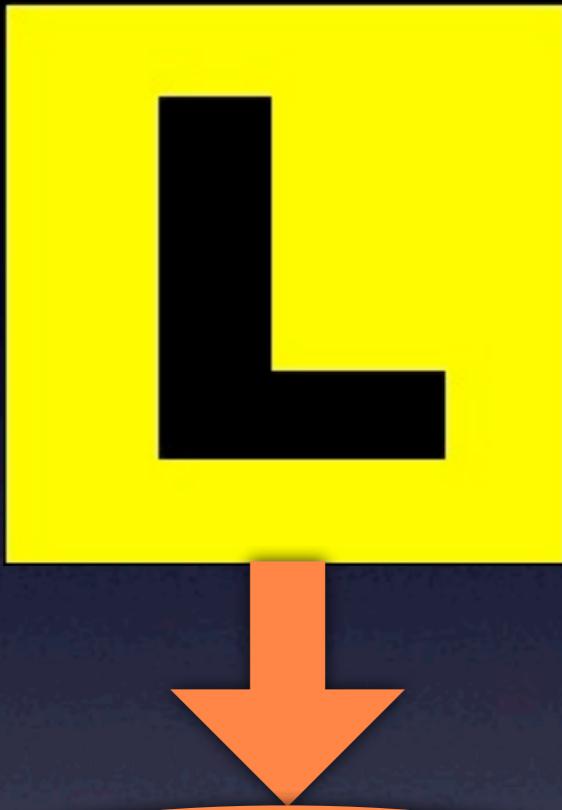


# Mistake Bound Learning Yields Lower Bounds



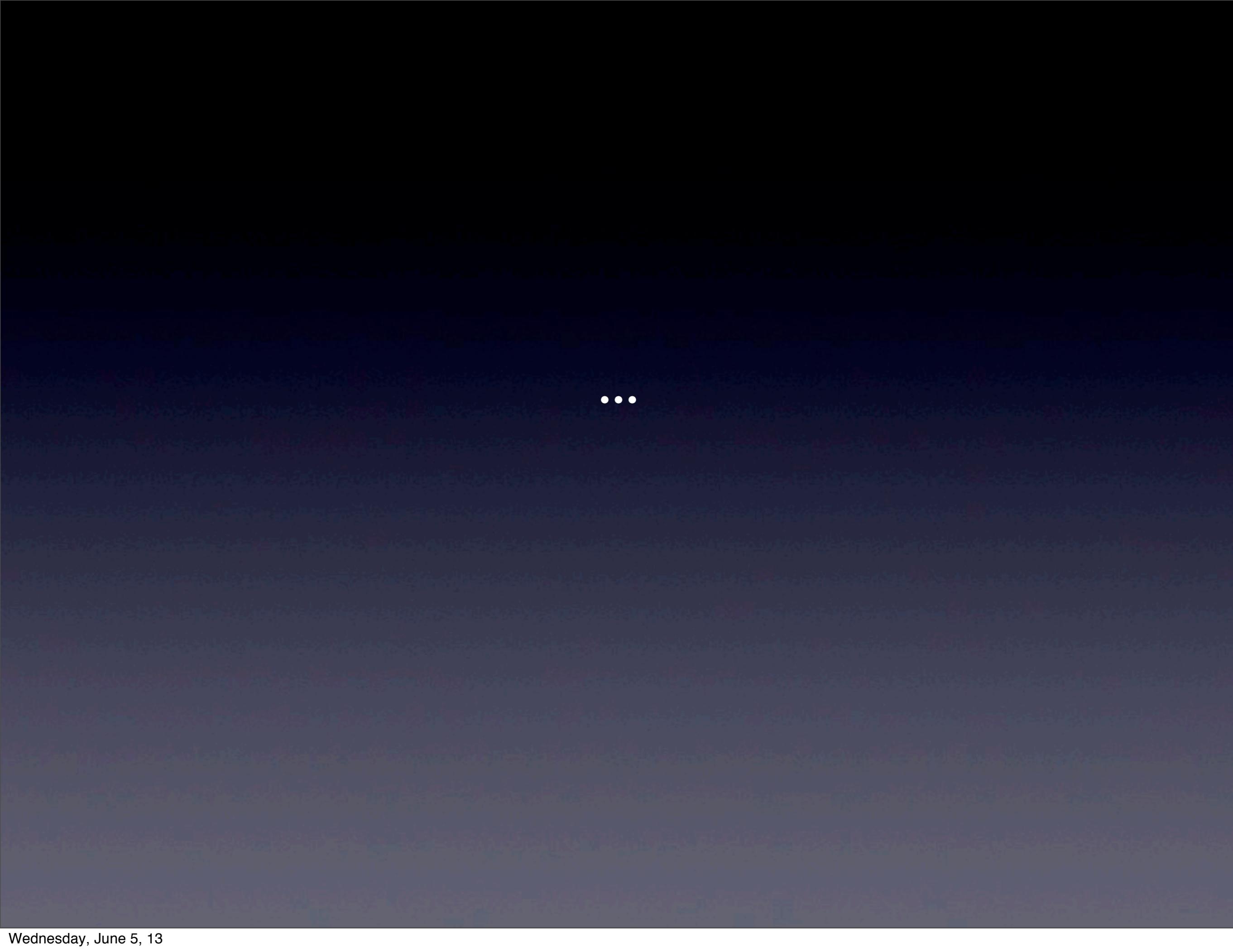
$$f(x_2) = -\ell(x_2)$$

# Mistake Bound Learning Yields Lower Bounds

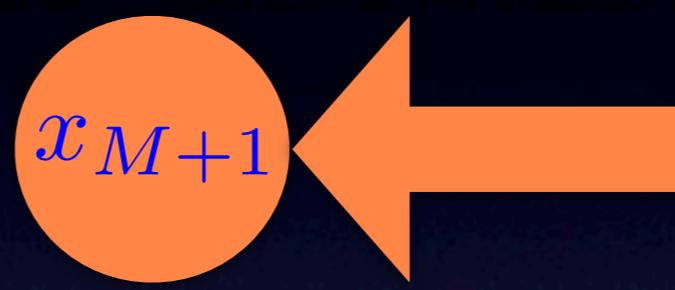


Update Hypothesis.

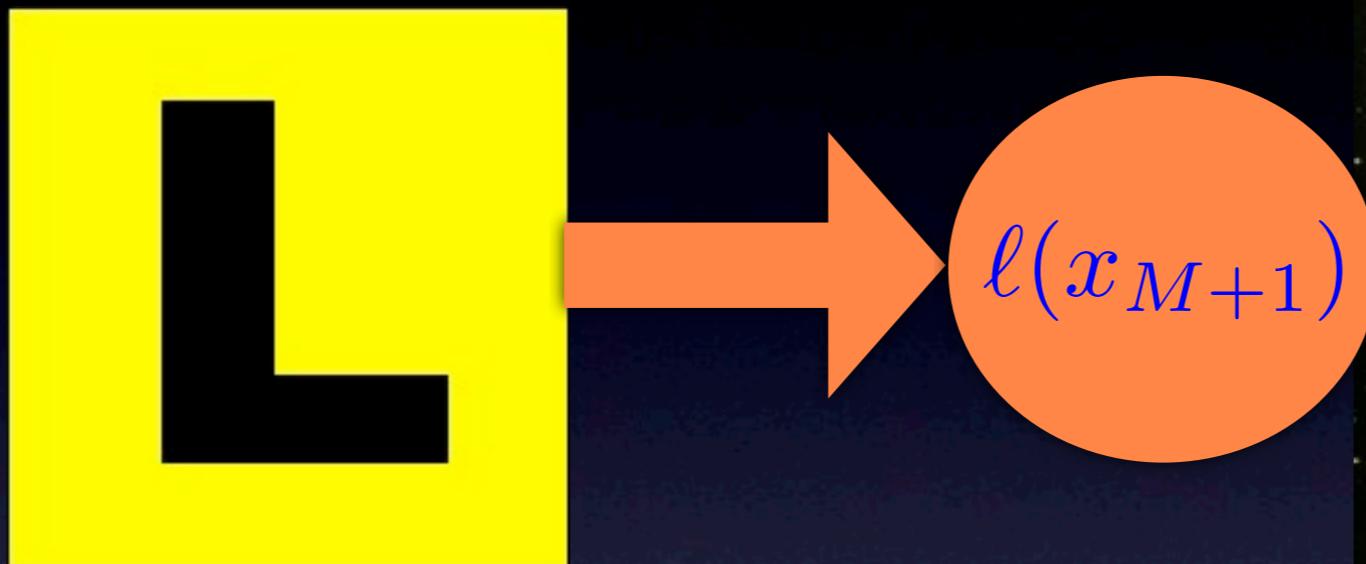




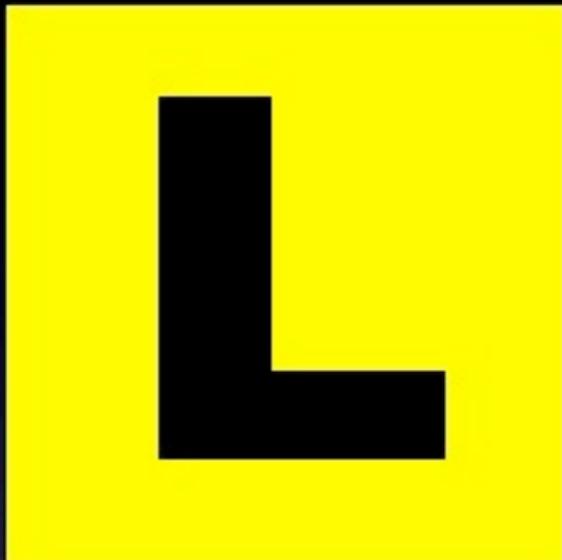
# Mistake Bound Learning Yields Lower Bounds



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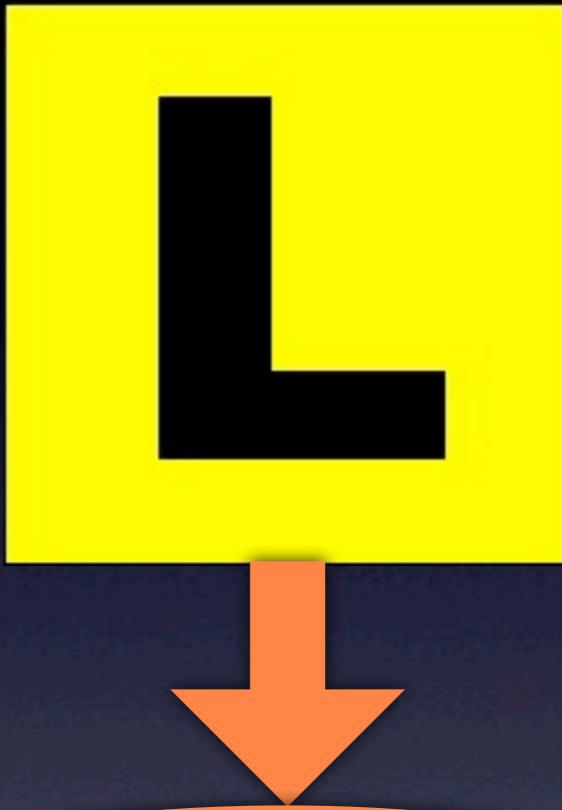


# Mistake Bound Learning Yields Lower Bounds



$$f(x_{M+1}) = -\ell(x_{M+1})$$

# Mistake Bound Learning Yields Lower Bounds



Update Hypothesis.



# Mistake Bound Learning Yields Lower Bounds

For  $M+1$  rounds:

1. Simulate the oracle for the learner, say  $A$ .
2. Present points in lexicographic order from the hypercube in each round.
3. Ask  $A$  to report its label:  $I(x)$
4. Report that  $A$  made a mistake.
5. Set  $f(x) = -I(x)$

# Mistake Bound Learning Yields Lower Bounds

- ❖ To compute  $f$  at  $y$ , check if  $y$  is the lexicographically first  $M+1$  points of the hypercube.
- ❖ If yes, then  $f(y)$  is already set in the procedure we ran and we return that value.
- ❖ Otherwise, we return -1.

# Mistake Bound Learning Yields Lower Bounds

For  $M+1$  rounds:

1. Simulate  $f$  on  $x$  under  $\mu$  and get label  $I(x)$ , say  $A$ .
2. Present  $x$  to  $A$ . If  $A$  makes a mistake, then  $f \neq A$ .  
From now on, we will refer to  $A$  as the **learner**.
3. Ask  $A$  to report its label.  $I(x)$
4. Report that  $A$  made a mistake.
5. Set  $f(x) = -I(x)$

Key Intuition

Learning Algorithm is used to  
diagonalize over the circuit class!

# Mistake Bound Learning Yields Lower Bounds

For  $M+1$  rounds:

- 1.
2. 1. All labels reported are consistent with  $f$ .  
2. A guaranteed to be correct iff  $f \in \mathcal{C}$ .  
3. In which case, A makes  $M+1$  mistakes.
- 3.
- 4.
5. **Contradiction!**

# Mistake Bound Learning to Average Case Hardness

If  $C^*$  is learnable in time  $T=T(n,s)$  and mistake bound  $M=M(n,s)$ , then there exists a function  $f$  such that

1.  $f \in \text{DTIME}(n + M \cdot T)$
2.  $\forall c \in \mathcal{C}, \Pr[f \neq c] \geq 1/2M$

${}^*\mathcal{C} = \mathcal{C}^s$ : circuits in  $C$  of size at most  $s$ .

# Exact Learning to Lower Bounds

If  $C$  is efficiently exactly learnable with membership and equivalence queries, then:

$$\text{DTIME}(n^{\omega(1)}) \notin C$$

Extend the idea, handling some technical difficulties.

# Exact Learning to Lower Bounds

If  $\mathcal{C}$  is efficiently exactly learnable with membership and equivalence queries, then:

$$\text{DTIME}(n^{\omega(1)}) \notin \mathcal{C}$$

**Caveat:** Learner needs to be deterministic. (All known algorithms happen to be deterministic.)

# Summary

If  $C$  is efficiently exactly learnable with membership and equivalence queries, then:

$$\text{DTIME}(n^{\omega(1)}) \not\subseteq C$$

If  $C$  is efficiently PAC learnable with membership queries on the uniform distribution, then either:

1.  $\text{PSPACE} \not\subseteq C$
2.  $\text{PSPACE} \subseteq \text{BPP}$

# Interpretation

Indicates hardness of designing learning algorithms for very expressive classes.

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Indicates hardness of designing learning algorithms for very expressive classes.

Strategy to prove new lower bounds?

"Non-trivial algorithms yield lower bounds."

Karp-Lipton 1980

If SAT can be solved by polynomial size circuits,  
then PH collapses to  $\Sigma_2$ .

"Non-trivial algorithms yield lower bounds."

Karp-Lipton 1980

If SAT can be solved by polynomial size circuits,  
then PH collapses to  $\Sigma_2$ .

Impagliazzo-Kabanets-Wigderson 2004

If there is a deterministic algorithm for  
polynomial identity testing, then either:

1.  $\text{NEXP} \not\subseteq \text{P/poly}$  or
2. Permanent does not have poly-size circuits.

"Non-trivial algorithms yield lower bounds."

Williams 2010,2011

If there exists an algorithm that solves satisfiability instances of a boolean circuit class  $C$  in "slightly-faster-than-trivial" time, then

$\text{NEXP} \not\subseteq C$ .

"Non-trivial algorithms yield lower bounds."

Williams 2010,2011

If there exists an algorithm that solves satisfiability instances of a boolean circuit class  $C$  in "slightly-faster-than-trivial" time, then

$\text{NEXP} \not\subseteq C$ .

$\text{NEXP} \not\subseteq \text{ACC}$ !



# Questions?

# Lower Bounds from Statistical Query Learning

# Correlational\* Statistical Query Learning

Target Concept  $c \in \mathcal{C}$ , Uniform Distribution\*

1. Every query of the learner is a function  $q$  and expects  $E[q(x).c(x)]$ .
2. Query must be answered within additive error of at most  $\tau$ .

\*more general statements possible.

# Correlational Statistical Query Learning

Target Concept  $c \in \mathcal{C}$ , Uniform Distribution

1. Every query of the learner is a function  $q^*$  and expects  $E[q(x).c(x)]$ .
2. Query must be answered within additive error of at most  $\tau$ .

\*efficiently representable, computable

# Correlational Statistical Query Learning

Target Concept  $c \in \mathcal{C}$ , Uniform Distribution

1. Every query of the learner is a function  $q$  and expects  $E[q(x)]$  tolerance
2. Query must be answered within additive error of at most  $\tau$ .

\*more general statements possible.

# Correlational Statistical Query Learning

Target Concept  $c \in \mathcal{C}$ , Uniform Distribution

1. Every query of the learner is a function  $q$  and expects  $E[q(x).c(x)]$ .
2. Query must be answered within additive error of at most  $\tau$ .

Hypothesis  $h$  must satisfy:

$$\Pr[h(x) \neq c(x)] \leq \epsilon$$

# Learning Algorithms and Circuit Lower Bounds

Learning Algorithms  $\Rightarrow$  Circuit Lower Bounds?

# Mistake Bound Learning Yields Lower Bounds

Arrange points on the hypercube in lexicographic order. Partition hypercube into consecutive elements of size  $M+1$ .

For  $M+1$  rounds:

1. Simulate the oracle for the learner, say  $A$ .
2. Find the set that contains  $y$ . Present points  $x$  from the set of size  $M+1$  containing  $y$ .
3. Ask  $A$  to report label:  $I(x)$
4. Report that  $A$  made a mistake.
5. Set  $f(x) = -I(x)$