

Risk ID	Level 1	Client Name	BusinessProduct	Department	Process	Activity Master	Risk Heading	Inherent Risk Master	Risk Severity Master	Risk Likelihood/Probability Master	Risk Rating	Risk Controls Master (Multiple Values) - Headings	Risk Controls Effectiveness Master	Risk Severity Master	Risk Likelihood/Probability Master	Risk Rating	RR Rationale Description - RR Master	Issue ID	Issue Short Name	Issue Description	AP ID	AP Description	AP Owner	AP Date
NG/MF/O/112-4	Risk	LiquidityNJ Asset ManagementFund	Mutual Fund	Retail MF	Operations	KYC & Client Onboarding	Activity IR Heading2	IR Description	Extreme	Almost Certain	Critical	Others		Incidental		Low	RR Description	53	Issue Name	Issue Short Description				
NG/MF/O/112-3	Risk	LiquidityNJ Asset ManagementFund	Mutual Fund	Retail MF	Operations	KYC & Client Onboarding	Activity IR Heading2	IR Description	Extreme	Almost Certain	Critical	Others		Incidental		Low	RR Description	52	Issue Name	Issue Short Description				
NG/MF/O/112-2	Risk	LiquidityNJ Asset ManagementFund	Mutual Fund	Retail MF	Operations	KYC & Client Onboarding	Activity IR Heading2	IR Description	Extreme	Almost Certain	Critical	Others		Incidental		Low	RR Description	51	Issue Name	Issue Short Description				
NG/MF/O/112-1	Risk	LiquidityNJ Asset ManagementFund	Mutual Fund	Retail MF	Operations	KYC & Client Onboarding	Activity IR Heading2	IR Description	Extreme	Almost Certain	Critical	Others		Incidental		Low	RR Description	50	Issue Name	Issue Short Description				
NG/MF/O/112-4	Risk	LiquidityNJ Asset ManagementFund	Mutual Fund	Retail MF	Operations	KYC & Client Onboarding	Activity IR Heading 666	IR Description	Incidental	Rare	Low	Others		Incidental		Low	RR Description	49	Issue Name	Issue Short Description				
NG/MF/O/112	Risk	LiquidityNJ Asset ManagementFund	Mutual Fund	Retail MF	Operations	KYC & Client Onboarding	Activity IR Heading2	IR Description	Extreme	Almost Certain	Critical	Others		Incidental		Low	RR Description	45	Issue Name	Issue Short Description				
NG/MF/O/112	Risk	LiquidityNJ Asset ManagementFund	Mutual Fund	Retail MF	Operations	KYC & Client Onboarding	Activity IR Heading	IR Description	Extreme	Rare	Medium	Others		Incidental		Low	RR Description	45	Issue Name	Issue Short Description				
NG/MF/O/112	Risk	LiquidityNJ Asset ManagementFund	Mutual Fund	Retail MF	Operations	KYC & Client Onboarding	Activity IR Heading2	IR Description	Extreme	Almost Certain	Critical	Others		Incidental		Low	RR Description	45	Issue Name	Issue Short Description				
NG/MF/O/112	Risk	LiquidityNJ Asset ManagementFund	Mutual Fund	Retail MF	Operations	KYC & Client Onboarding	Activity IR Heading	IR Description	Extreme	Rare	Medium	Others		Incidental		Low	RR Description	45	Issue Name	Issue Short Description				
NG/MF/O/111	Risk	LiquidityNJ Asset ManagementFund	Mutual Fund	PMS	Human Resources	KYC & Client Onboarding	Activity IR Heading 66	IR Description	Extreme	Rare	Critical	Automated Maker-Checker, Others		Extreme		Medium	RR Description	19	Issue Name	Issue Short Description				