



CENTRE FOR INDUSTRIAL CONSULTANCY & SPONSORED RESEARCH (IC&SR)
INDIAN INSTITUTE OF TECHNOLOGY MADRAS
CHENNAI 600 036



No.F.ICSR/PA-5/CHY-343/2018

Speed Post
09/07/2018

To
Dr. Monika Agarwal
Scientist E
Science and Engineering Research Board
5 & 5A, Lower Ground Floor
Vasant Square Mall
Plot No.A, Community Centre
Sector – 5, Pocket-5, VasantKunj
New Delhi – 110 070

Sir,

Sub: Audited Utilization Certificate - Reg.

Please find enclosed herewith an **Audited** Utilization Certificate and Statement of Expenditure for the year **2017-2018** for the following project.

Sanction No. & Date	Project Title
EMR/2016/00041 Dt: 10/05/2016	"Nucleophilic intercepted meyer-schuster..."

Thanking you,

Yours faithfully,

Joint Registrar (IC&SR)

संयुक्त कुलसचिव (आई.सी. एवं एस.आर.)
JOINT REGISTRAR (IC & SR)
आई.आई.टी. मद्रास, चेन्नै-६०००३६
I.I.T. Madras, Chennai - 600 036

Encl: As above

Cc:
Dr. Beeraiah Baire
Dept of CHY

AUDITED
UTILISATION CERTIFICATE (TWO COPIES)
(FOR THE FINANCIAL YEAR 2017 - 2018) ✓
PERIOD ENDING 31/03/2018 ✓

U.C pertains to ✓ appropriate box

First Release	Second Release	Third Release	Fourth Release	Fifth Release
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- Is the UC Provisional : YES/NO
1. Title of the Project/Scheme : "Nucleophilic Intercepted Meyer - Schuster Rearrangement:..." ✓
2. Name of Principal Investigator : DR. BEERAIAH BAIRE ✓
3. Implementing Institution : IIT, MADRAS, Chennai-36 ✓
4. SERB Sanction Order No : EMR/2016/000041 ✓
Date : Dt: 10/05/2016 ✓
5. Amount brought forward from the previous financial year quoting SERB letter no. & date in which the Authority to carry forward the said amount was given : i) Amount (-)11197.00
ii) Letter No.
iii) Date
- 6a. Amount received during the financial year (please give no & date of SERB and sanction letter for the amount) : i) Amount 0.00
ii) Letter No.
iii) Date
- 6b. Interest Earned, if any : Rs. 0.00
7. Total amount that was available for expenditure (Excluding Commitments) during the financial year (Sl.No.5+6a+6b) : Rs. (-)11197.00
8. Actual expenditure (Excluding commitments) incurred during the financial year (upto 31st March) : Rs. 42495.00
9. Balance amount available at the end of the financial year(8-9):OR/ Negative balance (If expenditure Incurred is more than the funds released) : Rs. (-)53692.00
10. Unspent balance if any refunded to SERB (give details of cheque/ DD No etc.) : Rs. 0.00
11. Amount to be carried forward to the next financial year (if any) : Rs. (-)53692.00

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ANNEXURE - V (Contd)

UTILISATION CERTIFICATE

Certified that out of Rs. 0.00 of Recurring grant-in-aid sanctioned during the year 2017 - 2018 and Interest Earned Rs. 0.00 in favour of The Registrar, IIT, Madras-36 under SERB letter/order No. EMR/2016/000041 and Rs. (-)11197.00 on account of unspent balance of the previous year, a sum of Rs.42495.00 has been utilized for the purpose for which it was sanctioned and that the balance of Rs.(-)53692.00 **remaining unutilized at the end of the year has been refunded to SERB vide DD.no. : Dated: or will be adjusted toward the Recurring grants-in-aid payable during the next year 2018-2019.

Certified that we have satisfied ourselves that the conditions on which the grants-in-aid was sanctioned have been fulfilled/are being fulfilled and that we have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

****Balance Commitment : Rs. 69896.00**

Balance after Commitment : Rs.(-)123588.00

Kinds of checks exercised

1.

2.

Signature of
Principal
Investigator
with date

B. B. Srinivasan
13/6/18

Signature of
Registrar/
Accounts Officer
with date

S. R. Srinivasan
उप कुलसचिव (आई.सी. एवं एस.आर.)
DEPUTY REGISTRAR (IC & SR)
आई.आई.टी. मद्रास, चेन्नै
I.I.T. MADRAS, CHENNAI - 600 036

Signature of Head
of the Institute
with date

J. K. Srinivasan
कुलसचिव / REGISTRAR
आई.आई.टी. मद्रास / I.I.T. MADRAS
चेन्नै / CHENNAI - 600 036

Signature of the
Internal Audit
with date

Tommy
कुलसचिव (आंतरिक लेखा-परीक्षा)
Deputy Registrar (Internal Audit)
भारतीय प्रौद्योगिकी संस्थान मद्रास
Indian Institute of Technology Madras
चेन्नै / Chennai 600 036

Guidelines for preparation of U.C

1. U.C Should be only for the grants released by the SERB. Please do not account for Security deposits/other matching grants/account opening charges and miscellaneous items.
2. SERB Sanction No. and DT should be accurately shown in the U.C.
3. Even if the grant is utilised in the financial year in which the grant was released by SERB a NIL U.C needs to be forwarded to SERB along with a request for carrying forward the grant to the next financial year. Such grants which are carried forward must be shown in the subsequent U.C as carried forward grant and not amount received in the subsequent year (ref SI No.5 on pre-page).

Science and Engineering Research Board

UC accepted has been accepted by

Signature : _____
Name of the SERB Officer : _____
Designation : _____

UC FOR NON- RECURRING GRANTS

AUDITED
UTILISATION CERTIFICATE (TWO COPIES)
(FOR THE FINANCIAL YEAR 2017 - 2018)
PERIOD ENDING 31/03/2018

U.C pertains to ☒ appropriate box

First Release	Second Release	Third Release	Fourth Release	Fifth Release

Is the UC Provisional

: YES/NO

1. Title of the Project/Scheme : "Nucleophilic Intercepted Meyer -
-Schuster Rearrangement:..."
2. Name of Principal Investigator : DR. BEERAIAH BAIRE
3. Implementing Institution : IIT, MADRAS, Chennai-36
4. SERB Sanction Order No : EMR/2016/000041
Date : Dt: 10/05/2016
5. Amount brought forward from the :
previous financial year quoting : i) Amount 232558.00
SERB letter no. & date in which the : ii) Letter No.
Authority to carry forward the said : iii) Date
amount was given
- 6a. Amount received during the financial : i) Amount 0.00
year (please give no & date of SERB and : ii) Letter No.
sanction letter for the amount) : iii) Date
- 6b. Interest Earned, if any : Rs. 2616.00
7. Total amount that was available : Rs. 235174.00
for expenditure(Excluding
Commitments)during the financial
year (Sl.No.5+6a+6b)
8. Actual expenditure (Excluding : Rs. 178955.00
commitments) incurred during
the financial year (upto 31st March)
9. Balance amount available at the : Rs. 56219.00
end of the financial year(8-9):OR/
Negative balance (If expenditure
Incurred is more than the funds released)
10. Unspent balance if any refunded : Rs. 0.00
to SERB (give details of cheque/
DD No etc.)
11. Amount to be carried forward : Rs. 56219.00
to the next financial year
(if any)

UTILISATION CERTIFICATE

Certified that out of Rs. 0.00 of Non - Recurring grant-in-aid sanctioned during the year 2017 - 2018 and Interest Earned Rs. 2616.00 in favour of The Registrar, IIT, Madras-36 under SERB letter/order No. EMR/2016/000041 and Rs. 232558.00 on account of unspent balance of the previous year, a sum of Rs. 178955.00 has been utilized for the purpose for which it was sanctioned and that the balance of Rs. 56219.00 remaining unutilized at the end of the year has been refunded to SERB vide DD.no. : Dated: _____ or will be adjusted toward the Recurring grants-in-aid payable during the next year 2017-2018.

Certified that we have satisfied ourselves that the conditions on which the grants-in-aid was sanctioned have been fulfilled/are being fulfilled and that we have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

****Balance Commitment : Rs. 4144.00 ✓**

Balance after Commitment : Rs. 52075.00 ✓

Kinds of checks exercised

1.

2.

Signature of
Principal
Investigator
with date

RB Seenu
13/06/18

Signature of
Registrar/
Accounts Officer
with date

उप कुलसचिव (आई.सी. एवं एस.आर.)
DEPUTY REGISTRAR (IC & SR)
आई.आई.टी. मद्रास, चेन्नै
I.I.T. MADRAS, CHENNAI - 600 036.

Signature of Head
of the Institute
with date

कुलसचिव / REGISTRAR
आई.आई.टी. मद्रास / I.I.T. MADRAS
चेन्नै / CHENNAI - 600 036

Signature of the
Internal Audit
with date

कुलसचिव (आंतरिक लेखा-परीक्षा)
Deputy Registrar (Internal Audit)
भारतीय प्रौद्योगिकी संस्थान मद्रास
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Science and Engineering Research Board

UC accepted has been accepted by

Signature
Name of the SERB Officer
Designation

: _____
: _____
: _____

REQUEST FOR ANNUAL INSTALMENT WITH UP-TO-DATE STATEMENT OF EXPENDITURE

- 1 SERB Sanctioned Order No & Date :EMR/2016/000041 ; 07/07/2016 ✓
 2 Name of the PI :DR. BEERAI AH BAIRE ✓
 3 Total Project Cost :3850000.00 ✓
 4 Revised Project Cost : NIL
 (if any)
 5 Date of Commencement :07/07/2016 ✓
 6 Statement of Expenditure
 (Month wise expenditure incurred during current financial year)

Month & Year	Expenditure incurred/Committed
2016-2017	1827280 ✓
2017-2018	221450 ✓
	2048730

- 1 Grant received in each year
 1st year :2016000.00 ✓
 2nd year : 0.00
 3rd year : 0.00
 Interest, if any :32641.00 + 2616.00
 Total(a+b+c+d) :2051257.00

enditure Statement Encl.

Cont...2

AUDITED STATEMENT OF EXPENDITURE
(to be submitted financial yearwise ie. say 07/07/2016 to 31/03/2018)

No	Sanctioned Heads (II)	Total funds allocated (indicate sanctioned or revised) (III)	1st year (07/07/2016 to 31/03/2017) (IV)	2nd Year (01/04/2017 to 31/03/2018) (V)	(VI)	Total Expenditure till 31/03/2018(VII =IV+V+VI)	Balance as on date	Balance Commitment	Remarks (Balance as on 31/03/2018 including Requirement of funds)
1)	(II)	(III)	(IV)	(V)	(VI)	(VII)			
1	Manpower Costs	0.00	0.00	0.00		0.00		0.00	
2	Consumables	2150000.00	788497.00	6384.00		794881.00		0.00	
3	Travel	100000.00	16804.00	19757.00		36561.00		0.00	
4	Contingencies	150000.00	20145.00	16354.00		36499.00		0.00	
5	Others, if any	0.00	0.00	0.00		0.00		0.00	
6	Equipment	1100000.00	885252.00	178955.00		1064207.00		4144.00	
7	Overhead	350000.00	116582.00	0.00		116582.00		69896.00	
8	Total	3850000.00	1827280.00	221450.00		2048730.00	2527.00	74040.00	-71513.00

Signature & Signature **Dr. BEERAMAH BAIRE**

Principal Investigator :
Date: 13/06/18

IS- Date of Start of Project

e:

Signature of Finance Officer
Date:

उप कुलसचिव (आई.सी. एवं एस.आर.)
DEPUTY REGISTRAR (IC & SR)
आई.आई.टी. मद्रास, चेन्नै
I.I.T. MADRAS, CHENNAI - 600 036

Signature of Internal Audit
Date:

उप कुलसचिव (आंतरिक लेखा-परीक्षा)
Deputy Registrar (Internal Audit)
भारतीय प्रौद्योगिकी संस्थान मद्रास
Indian Institute of Technology Madras
चेन्नै / Chennai 600 036

1. Expenditure under the sanctioned heads, at any point of time, should not exceed funds allocated under that head, without, prior approval of SERB i.e. Figures in Column (VIII) should not exceed corresponding figures in column (III)
2. Utilization Certificate (Annexure III) for each financial year ending 31 st March has to be enclosed along with request for carry-forward permission to the next financial year.