



CENTRE FOR INDUSTRIAL CONSULTANCY & SPONSORED RESEARCH (IC&SR)
INDIAN INSTITUTE OF TECHNOLOGY MADRAS
CHENNAI 600 036



Speed Post
09/07/2018

No.F.ICSR/PA-5/CHY-360/2018

To
The Finance & Budget Officer
Science & Engineering Research Board
5 & 5A, Lower Ground Floor
Vasant Square Mall
VasantKunj
New Delhi – 110 070

Sir,

Sub: Audited Utilization Certificate - Reg.

Please find enclosed herewith an **Audited** Utilization Certificate and Statement of Expenditure for the year **2017-2018** for the following project.

Sanction No. & Date	Project Title
EMR/2016/003677 Dt: 18/11/2016	“Investigation of stereoselective transition metal ...”

Thanking you,

Yours faithfully,

Joint Registrar (IC&SR)
संयुक्त कुलसचिव (आई.सी एवं एस.आर)
JOINT REGISTRAR (IC & SR)
आई.आई.टी.मद्रास, चेन्नै-६०००३६
I.I.T. Madras, Chennai - 600 036

Encl: As above

Cc:
Dr. Anbarasan Pazhamalai
Dept of CHY

AUDITED
UTILISATION CERTIFICATE (TWO COPIES)
(FOR THE FINANCIAL YEAR 2017 - 2018)
PERIOD ENDING 31/03/2018

U.C pertains to ☒ appropriate box

First Release	Second Release	Third Release	Fourth Release	Fifth Release
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- Is the UC Provisional : YES/NO
1. Title of the Project/Scheme : "Investigation of stereoselective transition Metal catalyzed..." ✓
 2. Name of Principal Investigator : DR. ANBARASAN PAZHAMALAI ✓
 3. Implementing Institution : IIT, MADRAS, Chennai-36 ✓
 4. SERB Sanction Order No : EMR/2016/003677 ✓
Date : Dt: 18/11/2016 ✓
 5. Amount brought forward from the previous financial year quoting SERB letter no. & date in which the Authority to carry forward the said amount was given :
i) Amount 1223415.00
ii) Letter No.
iii) Date
 - 6a. Amount received during the financial year (please give no & date of SERB and sanction letter for the amount) :
i) Amount 400000.00
ii) Letter No.
iii) Date
 - 6b. Interest Earned, if any : Rs. 15929.00
 7. Total amount that was available for expenditure(Excluding Commitments)during the financial year (Sl.No.5+6a+6b) : Rs. 1639344.00
 8. Actual expenditure (Excluding commitments) incurred during the financial year (upto 31st March) : Rs. 1434135.00
 9. Balance amount available at the end of the financial year(8-9):OR/ Negative balance (If expenditure Incurred is more than the funds released) : Rs. 205209.00
 10. Unspent balance if any refunded to SERB (give details of cheque/ DD No etc.) : Rs. 0.00
 11. Amount to be carried forward to the next financial year (if any) : Rs. 205209.00

ANNEXURE - V (Contd)

UTILISATION CERTIFICATE

Certified that out of Rs. 400000.00 of Recurring grant-in-aid sanctioned during the year 2017 - 2018 and Interest Earned Rs. 15929.00 in favour of The Registrar, IIT, Madras-36 under SERB letter/order No. EMR/2016/003677 and Rs. 1223415.00 on account of unspent balance of the previous year, a sum of Rs.1434135.00 has been utilized for the purpose for which it was sanctioned and that the balance of Rs.205209.00 ~~remaining unutilized at the end of the year has been refunded to SERB vide DD.no. : Dated: or~~ will be adjusted toward the Recurring grants-in-aid payable during the next year 2018-2019.

Certified that we have satisfied ourselves that the conditions on which the grants-in-aid was sanctioned have been fulfilled/are being fulfilled and that we have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

****Balance Commitment : Rs. 22214.00**
Balance after Commitment : Rs.182995.00
Kinds of checks exercised

- 1.
- 2.

[Signature]

Signature of
Principal
Investigator
with date

[Signature]

[Signature]

Signature of
Registrar/
Accounts Officer
with date

[Signature]

DEPUTY REGISTRAR (IC & SR)
आई.आई.टी. मद्रास, चेन्नै टाईआईटी मद्रास / I.I.T.MADRAS
I.I.T. MADRAS, CHENNAI - 600 036

[Signature]

Signature of Head
of the Institute
with date

Signature of the
Internal Audit
with date

[Signature]

डुप्लिकेट (आंतरिक लेखा-परीक्षा)
Deputy Registrar (Internal Audit)
भारतीय प्रौद्योगिकी संस्थान मद्रास
Indian Institute of Technology Madras
चेन्नै / Chennai 600 036

Guidelines for preparation of U.C

1. U.C Should be only for the grants released by the SERB. Please do not account for Security deposits/other matching grants/account opening charges and miscellaneous items.
2. SERB Sanction No. and DT should be accurately shown in the U.C.
3. Even if the grant is utilised in the financial year in which the grant was released by SERB a NIL U.C needs to be forwarded to SERB along with a request for carrying forward the grant to the next financial year. Such grants which are carried forward must be shown in the subsequent U.C as carried forward grant and not amount received in the subsequent year (ref SI No.5 on pre-page).

Science and Engineering Research Board

UC accepted has been accepted by

Signature
Name of the SERB Officer
Designation

:
:
:

AUDITED
UTILISATION CERTIFICATE (TWO COPIES)
(FOR THE FINANCIAL YEAR 2017 - 2018)
PERIOD ENDING 31/03/2018

U.C pertains to ☒ appropriate box

First Release	Second Release	Third Release	Fourth Release	Fifth Release
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Is the UC Provisional

: YES/NO

1. Title of the Project/Scheme

: "Investigation of stereoselective transition Metal catalyzed..."

2. Name of Principal Investigator

: DR. ANBARASAN PAZHAMALAI

3. Implementing Institution

: IIT, MADRAS, Chennai-36

4. SERB Sanction Order No
Date

: EMR/2016/003677
Dt: 18/11/2016

5. Amount brought forward from the previous financial year quoting SERB letter no. & date in which the Authority to carry forward the said amount was given

: i) Amount 2907620.00
ii) Letter No.
iii) Date

6a. Amount received during the financial year (please give no & date of SERB and sanction letter for the amount)

: i) Amount 0.00
ii) Letter No.
iii) Date

6b. Interest Earned, if any

: Rs. 37858.00

7. Total amount that was available for expenditure (Excluding Commitments) during the financial year (Sl.No.5+6a+6b)

: Rs. 2945478.00

8. Actual expenditure (Excluding commitments) incurred during the financial year (upto 31st March)

: Rs. 2815978.00

9. Balance amount available at the end of the financial year(8-9):OR/ Negative balance (If expenditure Incurred is more than the funds released)

: Rs. 129500.00

10. Unspent balance if any refunded to SERB (give details of cheque/ DD No etc.)

: Rs. 0.00

11. Amount to be carried forward to the next financial year (if any)

: Rs. 129500.00

ANNEXURE - V (Contd)


UTILISATION CERTIFICATE

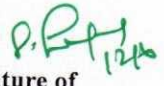
Certified that out of Rs. 0.00 of Recurring grant-in-aid sanctioned during the year 2017 - 2018 and Interest Earned Rs. 37858.00 in favour of The Registrar, IIT, Madras-36 under SERB letter/order No. EMR/2016/003677 and Rs. 2907620.00 on account of unspent balance of the previous year, a sum of Rs.2815978.00 has been utilized for the purpose for which it was sanctioned and that the balance of Rs.129500.00** remaining unutilized at the end of the year has been refunded to SERB vide DD.no. : Dated: or will be adjusted toward the Recurring grants-in-aid payable during the next year 2018-2019.

Certified that we have satisfied ourselves that the conditions on which the grants-in-aid was sanctioned have been fulfilled/are being fulfilled and that we have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.


Kinds of checks exercised

- 1.
- 2.


Signature of
Principal
Investigator
with date


Signature of
Registrar/
Accounts Officer
with date


Signature of Head
of the Institute
with date


Signature of the
Internal Audit
with date

उप. कुलसचिव (आई.सी. एवं एस.आर) / REGISTRAR
DEPUTY REGISTRAR (IC & SR)
आई.आई.टी. मद्रास, चेन्नै
I.I.T. MADRAS, CHENNAI - 600 036 चेन्नै/CHENNAI - 600 036

उप. कुलसचिव (आंतरिक लेखा-परीक्षा)
Deputy Registrar (Internal Audit)
भारतीय प्रौद्योगिकी संस्थान मद्रास
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Science and Engineering Research Board

UC accepted has been accepted by

Signature : _____
Name of the SERB Officer : _____
Designation : _____

REQUEST FOR ANNUAL INSTALMENT WITH UP-TO-DATE STATEMENT OF EXPENDITURE

- 1 SERB Sanctioned Order No & Date : EMR/2016/003677; 18/11/2016 ✓
- 2 Name of the PI
- 3 Total Project Cost : 71,78,600/- ✓
- 4 Revised Project Cost : NIL
- (if any)
- 5 Date of Commencement : 20/12/2016 ✓
- 6 Statement of Expenditure
- (Month wise expenditure incurred during current financial year)

Month & Year	Expenditure incurred/Committed
2016-2017	223833 ✓
2017-2018	4250113 ✓
	4473946

1 Grant received in each year

- a 1st year : 4319000.00 ✓
- b 2nd year : 400000.00 ✓
- c 3rd year :
- d Interest, if any : 35868.00+53787.00
- e Total(a+b+c+d) : 4808655.00

Expenditure Statement Encl.

Cont...2

AUDITED STATEMENT OF EXPENDITURE

(to be submitted financial yearwise ie. say 20/12/2016 to 31/03/2018 ✓)

SI No (I)	Sanctioned Heads (II)	Total funds allocated (indicate sanctioned or revised) (III)	1st year (20/12/2016 to 31/03/2017) (IV)	2nd Year (01/04/2017 to 31/03/2018) (V)		Total Expenditure till 31/03/2018(VII=I V+V+VI)	Balance as on date	Balance Commitment	Remarks (Balance as on 31/03/2018 including Requirement of funds)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)			
1	Manpower Costs	936000.00	0.00	188743.00		188743.00		0.00	
2	Consumables	2400000.00	0.00	976635.00		976635.00		17325.00	
3	Travel	150000.00	0.00	12608.00		12608.00		0.00	
4	Contigencies	150000.00	0.00	41340.00		41340.00		0.00	
5	Others, if any	0.00	0.00	0.00		0.00		0.00	
6	Equipment	2890000.00	6381.00	2815978.00		2822359.00		0.00	
8	Overhead expenses	652600.00	217452.00	214809.00		432261.00		4889.00	
9	Total	7178600.00	223833.00	4250113.00		4473946.00	334709.00	22214.00	312495.00

Name & Signature
Principal Investigator :

Signature of Finance Officer

Signature of Internal Audit

Date:

Date:

Date:

DOS- Date of Start of Project

Note:

- Expenditure under the sanctioned heads, at any point of time, should not exceed funds allocated under that head, without prior approval of SERB i.e. Figures in Column (VIII) should not exceed corresponding figures in column (III)
- Utilization Certificate (Annexure III) for each financial year ending 31 st March has to be enclosed along with request for carry-forward permission to the next financial year.

उप कुलसचिव (आई.सी. एवं एस.आर.)
DEPUTY REGISTRAR (IC & SR)
आई.आई.टी. मद्रास, चेन्नै
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