



CENTRE FOR INDUSTRIAL CONSULTANCY & SPONSORED RESEARCH (IC&SR)
INDIAN INSTITUTE OF TECHNOLOGY MADRAS
CHENNAI 600 036



No.F.ICSR/PA-5/ELE-276/2018

Speed Post
03/07/2018

Dr. Anima Johari
Scientist C
Science & Engineering Research Board
5&5A, Lower Ground Floor
Vasant Square Mall
Plot.No.A, Community Centre
Sector-5, Pocket 5, VasantKunj
New Delhi – 110 070

Sir,

Sub: Audited Utilization Certificate - Reg.

Please find enclosed herewith an **Audited** Utilization Certificate and Statement of Expenditure for the year **2017-2018** for the following project.

Sanction No. & Date	Project Title
SB/S3/EECE/011/2014(IITM) Dt: 14/07/2014	"Electro-optic and magneto-optic interaction..."

Thanking you,

Yours faithfully,

P. Anil Prabhakar

Joint Registrar (IC&SR)

संयुक्त निदेशिका (आई.सी. एवं एस.आर.)

JOINT REGISTRAR (IC & SR)

आई.आई.टी. मद्रास, चेन्नई-६०००३६
I.I.T. Madras, Chennai - 600 036

(Red stamp)

Encl: As above

Cc:
Dr. Anil Prabhakar
Dept of ELE

GFR 12 – A
[(See Rule 238 (1))]

**FORM OF AUDITED UTILIZATION CERTIFICATE
FOR AUTONOMOUS BODIES OF THE GRANTEE ORGANIZATION**

AUDITED UTILIZATION CERTIFICATE FOR THE YEAR **2017-2018** in respect
of recurring /non-recurring
Period from **01/04/2017 to 25/02/2018**

GRANTS –IN-AID /SALARIES/CREATION OF CAPITAL ASSETS

1. Name of the Scheme : " Electro – optic and Magneto – optic ..."
2. Whether recurring or non-recurring grants : Recurring / Non Recurring Grant
3. Grants position at the beginning of the Financial year
- (i) Cash in Bank : Rs. (-) 168499.74 ✓
- (ii) Unadjusted advances : Rs. 0.00
- (iii) Total : Rs. (-) 168499.74 ✓
4. Details of grants received, expenditure incurred and closing balances: (Actuals)

Unspent Balances of Grants received years [figure as at Sl. No. 3 (iii)]	Interest Earned thereon	Interest deposite d back to the Govern- ment	Grant received during the year			Total Available funds (1+2- 3+4)	Expen- diture incurred	Closing Balances (5-6)
1	2	3	4			5	6	7
			Sanction No.(i)	Date (ii)	Amount (iii)			
(-) 168499.74	0.00	0.00	SB/S3/EECE/ 011/2014 (IITM) ✗	14/07/2014 ✗	0.00 ✓	(-) 168499.74 ✓	159331.00 ✓	(-)327830.74 ✓

Component wise utilization of grants:

Grant-in-aid - General	Grant-in-aid - Salary	Grant-in-aid—creation of capital assets	Total
159331.00	0.00	0.00	159331.00 ✓

Details of grants position at the end of the year

- (i) Cash in Bank : Rs. (-) 327830.74 ✓
- (ii) Unadjusted Advances : Rs. 0.00
- (iii) Total : Rs. (-) 327830.74 ✓

7. Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

(i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.

(ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.

(iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.

(iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.

(v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.

(vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.

(vii) It has been ensured that the physical and financial performance under..... (name of the scheme has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure – I duly enclosed.

(viii) The utilization of the fund resulted in outcomes given at Annexure – II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)

(ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries is enclosed at Annexure –II (to be formulated by the Ministry/Department concerned as per their requirements/specifications).

Date :

Place : Chennai

Signature

Name

Principal Investigator

उप कुलसचिव (आंतरिक लेखा-परीक्षा)
Deputy Registrar (Internal Audit)

भारतीय प्रौद्योगिकी संस्थान मद्रास
Indian Institute of Technology Madras

चेन्नई/CHENNAI - 600 036

(TO BE FILLED IN BY DST)

2. Certified that I have satisfied myself that the conditions on which the grants – in – aid was sanctioned have been fulfilled/are being fulfilled and that I have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned:

Kinds of checks exercised.

1.

2.

3.

4.

5.

Signature

Designation

Date

Signature

Name

Chief Finance Officer

आई.आई.टी. मद्रास, चेन्नई
DEPUTY REGISTRAR (IC & SR)

आई.आई.टी. मद्रास, चेन्नई
I.I.T. MADRAS, CHENNAI - 600 036.

REQUEST FOR ANNUAL INSTALMENT WITH UP-TO-DATE STATEMENT OF EXPENDITURE FOR THE FINANCIAL YEAR 26/08/2014 TO 25/02/2018 ✓

1 Sanctioned Letter No :SB/S3/EECE/011/2014(IITM) ✓

2 Total Project Cost :Rs.2446800/- ✓

3 Sanctioned/Revised Project Cost (if applicable) : NIL

4 Date of Commencement of Project :26/08/2014 ✓

5 Statement of Expenditure

6 Grant Received in each year :

a. I year 1705100.00 ✓

b. II year

c. III year

d. Interest,

if any

FY 2015 -2016 25369.00 ✓

FY 2016 -2017 1244.00 ✓

FY 2017 -2018 0.00

TOTAL 1731713.00 ✓

Month & Year	Expenditure incurred/ Committed
2014-2015	159588.00 ✓
2015-2016	1326628.74 ✓
2016-2017	413996.00 ✓
2017-2018	159331.00
	2059543.74

Expenditure under the sanctioned heads, at any point of time, should not exceed funds allocated under the head, without prior approval of DST

i.e. Figures in Column (vii) should not exceed corresponding figures in Column (iii)

Utilisation Certificate for each financial year ending 31st March has to be enclosed, along with request for carry - forward permission to next year

AUDITED STATEMENT OF EXPENDITURE
(to be submitted financial yearwise ie. Say 26/08/2014 to 25/02/2018)

Sanctioned Heads	Funds Allocated (indicate sanctioned or revised))	Expenditure Incurred				Total Expenditure	Balance	Balance Commitment	Remarks
		I Year	II Year	III Year	IV Year				
		2014-2015	2015-2016	2016-2017	2017-2018				
Manpower Costs	595800.00	11143.00	368033.00	196536.00	0.00	575712.00		0.00	
Consumables	575000.00	0.00	127300.00	149407.00	98337.00	375044.00		0.00	
Travel	120000.00	16091.00	35845.00	59368.00	21315.00	132619.00		0.00	
Contigencies	175000.00	3742.00	48286.74	8685.00	39679.00	100392.74		0.00	
Others, If any	0.00	0.00	0.00	0.00	0.00	0.00		0.00	
Equipment	830000.00	0.00	747164.00	0.00	0.00	747164.00		0.00	
Overhead expenses	151000.00	128612.00	0.00	0.00	0.00	128612.00		0.00	
Total	2446800.00	159588.00	1326628.74	413996.00	159331.00	2059543.74	-327830.74	0.00	-327830.74

reimburse the excess amount spent of Rs. 327830.74 /- at the earliest.

[Signature]

Signature

I Investigator : Anu PRABHAKAR

1/6/18.

[Signature]

Signature of Finance Officer

Date:

उप कुलसचिव (आई.सी. एवं एस.आर.)
DEPUTY REGISTRAR (IC & SR)
आई.आई.टी. मद्रास, चेन्नै
I.I.T. MADRAS, CHENNAI - 600 036.

[Signature]

Signature of Internal Audit

Date:

उप कुलसचिव (आंतरिक लेखा-परीक्षा)
Deputy Registrar (Internal Audit)
भारतीय प्रौद्योगिकी संस्थान मद्रास
Indian Institute of Technology Madras
चेन्नै / Chennai 600 036