



CENTRE FOR INDUSTRIAL CONSULTANCY & SPONSORED RESEARCH (IC&SR)
INDIAN INSTITUTE OF TECHNOLOGY MADRAS
CHENNAI 600 036



No.F.ICSR/PA-5/ASE-138/2018

Speed Post
06/06/2018

To
Dr. S K Varshney
Scientist G/Adviser
International Bilateral Cooperation
Government of India
Technology Bhawan,
New Delhi - 110016

Sir,

Sub: Audited Utilization Certificate - Reg.

Please find enclosed herewith an **Audited** Utilization Certificate and Statement of Expenditure for the year **2017-2018** for the following project.

Sanction No. & Date	Project Title
INT/RUS/RSF/P-16 Dt: 05/09/2016	"Experimental study and numerical..."

Thanking you,

Yours faithfully,

Deputy Registrar (IC&SR)

Encl: As above

Cc:
Dr. Amit Kumar
Dept of ASE

उप कुलसचिव (आईसी एवं एसआर)
DEPUTY REGISTRAR (IC & SR)
आईआईटी मद्रास
I.I.T. MADRAS

GFR 12 – A
[(See Rule 238 (1))]

**FORM OF AUDITED UTILIZATION CERTIFICATE
FOR AUTONOMOUS BODIES OF THE GRANTEE ORGANIZATION**

AUDITED UTILIZATION CERTIFICATE FOR THE YEAR **2017-2018** in respect ✓
of recurring /non-recurring
GRANTS –IN-AID /SALARIES/CREATION OF CAPITAL ASSETS
PERIOD ENDING **31/03/2018** ✓

1. Name of the Scheme : "Experimental study and numerical modeling of polymers..." ✓

2. Whether recurring or non-recurring grants : Recurring/ Non Recurring grants ✓

3. Grants position at the beginning of the Financial year

(i) Cash in Bank : Rs.3649554.00 ✓
(ii) Unadjusted advances : Rs.0.00
(iii) Total : Rs.3649554.00 ✓

4. Details of grants received, expenditure incurred and closing balances: (Actuals)

Unspent Balances of Grants received years [figure as at Sl. No. 3 (iii)]	Interest Earned thereon	Interest deposite d back to the Govern- ment	Grant received during the year			Total Available funds (1+2- 3+4)	Expen- diture incurred	Closing Balances (5-6)
1	2	3	4			5	6	7
			Sanction No.(i)	Date (ii)	Amount (iii)			
3649554.00 ✓	62908.00 ✓	0.00	INT/RUS/R SF/P-16 ✓	05/09/2016 ✓	902686.00 ✓	4615148.00 ✓	3350761.00 ✓	1264387.00 ✓

Component wise utilization of grants:

Grant-in-aid - General	Grant-in-aid - Salary	Grant-in-aid—creation of capital assets	Total
3350761.00 ✓	0.00	0.00	3350761.00 ✓

Details of grants position at the end of the year

(i) Cash in Bank : Rs.1264387.00 ✓
(ii) Unadjusted Advances: Rs. 0.00
(iii) Total : Rs.1264387.00 ** ✓

****Balance Commitments :Rs. 1504310.00 ✓**
Balance after Commitments:Rs.(-)229923.00 ✓

Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

(i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.

(ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.

(iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.

(iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.

(v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.

(vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.

(vii) It has been ensured that the physical and financial performance under..... (name of the scheme has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure – I duly enclosed.

(viii) The utilization of the fund resulted in outcomes given at Annexure – II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)

(ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries is enclosed at Annexure –II (to be formulated by the Ministry/Department concerned as per their requirements/specifications).

Date :

Place :

FINANCE OFFICER

REGISTRAR

कुलसचिव / Registrar

भारतीय प्रौद्योगिकी संस्थान मद्रास
Indian Institute of Technology Madras

चेन्नई/Chennai - 600 036

उप कुलसचिव (आईसी एवं एसआर)
DEPUTY REGISTRAR (IC & SR)

आईआईटी मद्रास
I.I.T. MADRAS

PRINCIPAL INVESTIGATOR

INTERNAL AUDIT

(TO BE FILLED IN BY DST)

2. Certified that I have satisfied myself that the conditions on which the grants – in – aid was sanctioned have been fulfilled/are being fulfilled and that I have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned:

Kinds of checks exercised.

- 1.
- 2.
- 3.
- 4.

Signature
Designation
Date

ANNEXURE - II

REQUEST FOR ANNUAL INSTALMENT WITH UP-TO-DATE STATEMENT OF EXPENDITURE FOR THE FINANCIAL YEAR 05/09/2016 TO 31/03/2018

1 Sanctioned Letter No	:INT/RUS/RSF/P-16		
2 Total Project Cost	:13656720.00		
3 Sanctioned/Revised Project Cost (if applicable) Rs.	: NIL		
4 Date of Commencement of Project	:05/09/2016		
5 Statement of Expenditure			
6 Grant Received in each year :			
a. I year	: Rs.4552240.00		
b. II year	: Rs.902686.00		
c. III year	: Rs.		
d. Interest, if any	: Rs.70568.00 + 62908.00		
e. Total	: Rs.5588402.00		

Month & Year	Expenditure incurred/Committed
2016-2017	973254 ✓
2017-2018	3350761 ✓
	4324015 ✓

*DOS- Date of Start of Project

Note:

1. Expenditure under the sanctioned heads, at any point of time, should not exceed funds allocated under that head, without, prior approval of SERB i.e. Figures in Column (VIII) should not exceed corresponding figures in column (III)
2. Utilization Certificate (Annexure III) for each financial year ending 31 st March has to be enclosed along with request for carry-forward permission to the next financial year.

AUDITED STATEMENT OF EXPENDITURE

(to be submitted financial yearwise ie. say 05/09/2016 to 31/03/2018)

Sl No	Sanctioned Heads	Funds Allocated (indicate sanctioned or revised)	Expenditure Incurred				Total Expenditure (V+VI+VII+VIII)	Balance as on date (IX) = III- (V+VI+VII+V)	Balance Commitments	Remarks (if any)
			I Year (05/09/2016 to 31/03/2017) (V)	II Year (01/04/2017 to 31/03/2018) (VI)	III Year (VII)	IV Year (VIII)				
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(IX)			
1	Manpower Costs	4726800.00	49194.00	1033900.00			1083094.00		461232.00	
2	Consumables	5400000.00	499482.00	1610476.00			2109958.00		1017305.00	
3	Travel	2138400.00	8023.00	569907.00			577930.00		0.00	
4	Contingencies	150000.00	2715.00	48000.00			50715.00		20055.00	
5	Others, if any	0.00	0.00	0.00			0.00		0.00	
6	Equipment	0.00	0.00	0.00			0.00		0.00	
8	Overhead expenses	1241520.00	413840.00	88478.00			502318.00		5719.00	
9	Total	13656720.00	973254.00	3350761.00			4324015.00	1264387.00	1504311.00	-239924.00

Name & Signature
Principal Investigator :

Signature of Finance Officer
Date:

Signature of Internal Audit
Date:

उप कुलसचिव (आईसी एवं एसआर)
DEPUTY REGISTRAR (IC & SR)
आईआईटी मद्रास
I.I.T. MADRAS

उप कुलसचिव (आंतरिक लेखा-परीक्षा)
Deputy Registrar (Internal Audit)
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