

# CS 320: Principles of Programming Languages

Katie Casamento, Portland State University

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Week 8b: Imperative Constructs

# Referential transparency

So far we've been working only with **expressions**, which **evaluate** to a **value**.

$$\begin{aligned} e ::= & x \mid (\lambda(x: t). e) \mid (e_1 \ e_2) \\ & \mid n \mid (e_1 + e_2) \mid (e_1 * e_2) \\ & \mid b \mid \text{if } e_1 \text{ then } e_2 \text{ else } e_3 \end{aligned}$$

All closed expressions in this language are *referentially transparent*:

If expression  $e$  evaluates to value  $v$ ,  
then we can replace any occurrence of  $e$  with  $v$   
**without changing the meaning of the program.**

# Side effects

A *side effect* is anything that might happen during execution outside of expressions evaluating to values.

- Setting the value of a *mutable* variable (one whose value can change over time)
- Printing to some output stream (console, file, network bridge, ...)
- Reading from some external resource (console, file, network bridge, ...)
- ...

These can all be thought of as depending on some kind of **state**:

- Setting the value of a mutable variable changes the state of the program environment
- Printing to an output stream changes the state of the stream
- Reading from an external resource depends on the state of the resource

# Side effects

Side effects **break referential transparency!**

```
int x = 0;  
int f() { return x++; }
```

The first time we call `f()`, it returns 0,

but we **can't** replace every call to `f()` with 0 without changing the meaning of a program!

```
void main() { print(f()); print(f()); } // prints "01"
```

is **not the same program** as

```
void main() { print(0);    print(0);    } // prints "00"
```

# Statements

In an imperative language, there are **statements** (or *commands*), which **execute** to cause **side effects**.

Some common kinds of statements:

`x = 1`

set the value of mutable variable `x` to 1

`if e then s1 else s2`

execute statement `s1` if `e` evaluates to `true` or statement `s2` if `e` evaluates to `false`

`while e s`

execute statement `s` as long as expression `e` evaluates to `true`

`s1 ; s2`

execute statement `s1`, then execute statement `s2`

# Modeling commands

# Modeling commands

How can we add commands to our language?

A couple approaches (not the only ones!):

- Model the whole language using a *state machine*
  - Most commonly a *stack machine* or a *register machine*
  - A program is a sequence of statements
  - Expression evaluation is described as a series of commands (as in assembly)

$$x + y + z$$
$$= \text{mov } x \text{ \%eax; mov } y \text{ \%ebx; add \%eax \%ebx; mov } z \text{ \%eax; add \%eax \%ebx}$$

(returning the result in `\%ebx`)
- Model commands as expressions
  - Add expression forms for commands
  - Modify evaluation semantics to account for side effects
  - Sometimes called *expression-oriented* programming

# Commands as expressions

In the expression-oriented approach, we add a new expression form to represent mutable assignments.

$$e ::= \dots \mid \mathbf{x} = e$$

How do we give semantics to this assignment construct?



# Evaluating commands

What **value** should a command evaluate to?

$1 + 2 \Rightarrow^* 3$   
 $(\lambda x. x + 1) 2 \Rightarrow^* 3$   
 $(x = 3) \Rightarrow^* ???$

One approach: have every command evaluate to some (sort of arbitrary) value that's already in our language.

$(x = 3) \Rightarrow^* 3$

This is the approach C/C++ take for assignments: an assignment evaluates to the value that was being assigned.

# Evaluating statements

What value should a command **evaluate** to?

$1 + 2$	$\Rightarrow^* 3$
$(\lambda x. x + 1) 2$	$\Rightarrow^* 3$
$x := 3$	$\Rightarrow^* ???$

Another approach: C/C++/Java have a `void` type.

`(x = 3) : void`

But what does a **value** of type `void` look like?

# The unit type

In formal type theory, we call this the *unit* type: a type with exactly one value.

$e ::= \dots \mid ()$

$t ::= \dots \mid \mathbf{unit}$

T-Unit

$$\frac{}{\Gamma \vdash () : \mathbf{unit}}$$

$(x = 3) : \mathbf{unit}$

$(x = 3) \Rightarrow^* ()$

T-Assign

$$\frac{\Gamma(x) = t \quad \Gamma \vdash e : t}{\Gamma \vdash (x = e) : \mathbf{unit}}$$

$()$  is usually pronounced "unit"; it can be thought of as a zero-element struct.

Since  $()$  contains **no information**, it can be used to represent the **absence** of a return value.

This captures the **return value** of a command, but we still need a way to describe the **execution** of commands in terms of side effects.

# Mutability semantics

We need a way to represent a change in the **value environment** during reductions.

$$\text{E-Assign} \quad \frac{\quad ???}{\langle \rho, (x = e) \rangle \Downarrow ???}$$

# Mutability semantics

We need a way to represent a change in the **value environment** during reductions.

One solution: reduce an expression in an environment to a value and also a **new environment**.

$$\text{E-Assign} \quad \frac{\langle \rho, e \rangle \Downarrow v}{\langle \rho, (x = e) \rangle \Downarrow \langle \rho[x \mapsto v], () \rangle} \quad \begin{array}{l} \text{if } e \text{ evaluates to } v \text{ under } \rho \\ \text{then } x = e \text{ updates } \rho \text{ with } x \mapsto v \\ \text{and returns } () \end{array}$$

This isn't quite right, though: what if the evaluation of  $e$  produces side effects?

$x = (y = y + 1)$

This might happen if a function mutates state or prints to output before returning a value.

# Mutability semantics

We need a way to represent a change in the **value environment** during reductions.

One solution: reduce an expression in an environment to a value and also a **new environment**.

$$\text{E-Assign} \quad \frac{\langle \rho, e \rangle \Downarrow \langle \rho', v \rangle}{\langle \rho, (x = e) \rangle \Downarrow \langle \rho' [x \mapsto v], () \rangle}$$

if  $e$  evaluates to  $v$  under  $\rho$   
and updates the environment to  $\rho'$   
then  $x = e$  updates  $\rho'$  with  $x \mapsto v$   
and returns  $()$

In order to account for potential side effects in  $e$ , we have to update the environment **after** evaluating  $e$ , not the environment **before** evaluating  $e$ .

# Sequencing

Variable assignments aren't very interesting without a way to *sequence* commands.

$$e ::= \dots \mid \mathbf{e}_1 ; \mathbf{e}_2$$

The **value** of a sequence is the value of the **last** expression of the sequence.

$$(1 \quad ; \quad 2) \Rightarrow^* 2$$

$$(\text{true} \quad ; \quad 2) \Rightarrow^* 2$$

$$\text{T-Seq} \quad \frac{\Gamma \vdash e_2 : t}{\Gamma \vdash (e_1 ; e_2) : t}$$

# Sequencing semantics

When reducing a sequence of expressions, we reduce them left to right, **propagating** the environment changes from earlier expressions to later expressions.

$$\begin{array}{c} \text{E-Seq} \quad \frac{\begin{array}{l} \text{if } e_1 \text{ evaluates to } v_1 \text{ under } \rho \\ \text{and updates the environment to } \rho' \end{array} \quad \begin{array}{l} \text{and } e_2 \text{ evaluates to } v_2 \text{ under } \rho' \\ \text{and updates the environment to } \rho'' \end{array} \\ \begin{array}{l} \langle \rho, e_1 \rangle \Downarrow \langle \rho', v_1 \rangle \quad \langle \rho', e_2 \rangle \Downarrow \langle \rho'', v_2 \rangle \end{array}}{\begin{array}{l} \langle \rho, (e_1 ; e_2) \rangle \Downarrow \langle \rho'', v_2 \rangle \\ \text{then } (e_1 ; e_2) \text{ evaluates to } v_2 \text{ under } \rho \\ \text{and updates the environment to } \rho'' \end{array}}\end{array}$$



# Imperative programs

Now we have the basic tools to write (very simple) imperative programs.

```
plusOne = λ(x: num) . x = x + 1; x
```

```
plusOne 1 ⇒* 2
```

```
plusOne 2 ⇒* 3
```

# Imperative semantics example

$$\text{E-Seq} \frac{\langle \rho, e_1 \rangle \Downarrow \langle \rho', v_1 \rangle \quad \langle \rho', e_2 \rangle \Downarrow \langle \rho'', v_2 \rangle}{\langle \rho, (e_1 ; e_2) \rangle \Downarrow \langle \rho'', v_2 \rangle}$$

$$\text{E-Assign} \frac{\langle \rho, e \rangle \Downarrow \langle \rho', v \rangle}{\langle \rho, (x = e) \rangle \Downarrow \langle \rho'[x \mapsto v], () \rangle}$$

$$\text{E-Plus} \frac{\langle (x \mapsto 1), x \rangle \Downarrow 1 \quad \langle (x \mapsto 1), 1 \rangle \Downarrow 1 \quad 1 + 1 = 2}{\langle (x \mapsto 1), (x + 1) \rangle \Downarrow \langle (x \mapsto 1), 2 \rangle}$$

$$\text{E-Assign} \frac{\langle (x \mapsto 1), (x + 1) \rangle \Downarrow \langle (x \mapsto 1), 2 \rangle}{\langle (x \mapsto 1), (x = x + 1) \rangle \Downarrow \langle (x \mapsto 2), () \rangle} \quad \text{E-Var} \frac{(x \mapsto 2) (x) = 2}{\langle (x \mapsto 2), x \rangle \Downarrow \langle (x \mapsto 2), 2 \rangle}$$

$$\text{E-Seq} \frac{\langle (x \mapsto 1), (x = x + 1) \rangle \Downarrow \langle (x \mapsto 2), () \rangle \quad \langle (x \mapsto 2), x \rangle \Downarrow \langle (x \mapsto 2), 2 \rangle}{\langle (x \mapsto 1), (x = x + 1 ; x) \rangle \Downarrow \langle (x \mapsto 2), 2 \rangle}$$

# Imperative syntax

We can define a more traditional C-style imperative syntax as syntactic sugar:

```
num plusOne(num x) { x = x + 1; return x }  
plusOne = λ(x: num). x = x + 1; x
```

(Note that we haven't implemented early returns or recursion yet, though.)

When a function's return type is `unit`, we can leave out the `return` and insert `return ()` during syntax analysis.

```
unit f(x: num) { x = x + 1 }  
unit f(x: num) { x = x + 1; return () }  
f = λ(x: num). x = x + 1; ()
```

# Imperative syntax

An `if` statement where the branches have type `unit` can omit an `else` branch.

```
if x > 5 then x = x + 1  
if x > 5 then x = x + 1 else ()
```

When a function takes no arguments, we model it as taking a single `unit` argument.

```
unit incX() { x = x + 1; }  
incX = λ(u: unit). x = x + 1
```

# Explicit vs. implicit typing

The lambda syntax we've been using is partially *implicitly* typed: we don't have to specify the return type of a function explicitly. (This means the typechecker is doing some amount of type **inference**.)

```
plusOne = λ(x: num) . x = x + 1; x
```

In contrast, the imperative syntax is *explicitly* typed: every variable's type must be annotated in the syntax of the program.

```
num plusOne(num x) { x = x + 1; return x }
```

Since return type inference is decidable in this language, this is just a design decision.

- Implicit typing is less work for the programmer and less distracting syntax
- Explicit typing prevents errors where type inference infers a type the programmer didn't intend

# Environment updates

How exactly should we **update** the environment?

$(x \mapsto 1, y \mapsto 2) [a \mapsto 0] =$

`err?` (since there's no binding to update for  $a$ )

$(a \mapsto 0, x \mapsto 1, y \mapsto 2)$ ? (*declare* a new binding for  $a$ )

$(x \mapsto 1, y \mapsto 2) [y \mapsto 0] =$

$(x \mapsto 1, y \mapsto 0)$ ? (update the existing binding for  $y$ )

$(y \mapsto 0, x \mapsto 1, y \mapsto 2)$ ? (*declare* a new binding for  $y$ , *shadowing* the old binding)

(Remember that  $\rho(x)$  returns the **leftmost** binding for  $x$  in  $\rho$ .)

And when should we **remove** values from the environment?

## Python:

```
if __name__ == "__main__":  
  
    x = 1 # new binding  $x_1$   
    y = 2 # new binding  $y_1$   
    x = 3 # update  $x_1$   
  
    def f(x): # new binding  $x_2$   
        x = 4 # update  $x_2$   
        y = 5 # new binding  $y_2$   
        y = 6 # update  $y_2$   
        z = 7 # new binding  $z_1$   
        z = 8 # update  $z_1$   
  
    x = 9 # update  $x_1$   
    y = 10 # update  $y_1$   
    z = 11 # new binding  $z_2$ 
```

## C (with GCC extensions):

```
void main() {  
  
    x = 1; // error  
    int x = 2; // new binding  $x_1$   
    int x = 3; // error  
    x = 4; // update  $x_1$   
    int y = 5; // new binding  $y_1$   
  
    void f(int x) { // new binding  $x_2$   
        x = 6; // update  $x_2$   
        y = 7; // update  $y_2$   
        int z = 8; // new binding  $z_1$   
    }  
  
    x = 9; // update  $x_1$   
    z = 10; // error  
  
}
```

# Scope and lifetime



# Scope

The *scope* of a variable is the part of the program where references to the variable are valid.

Different languages have different *scoping rules*, which specify where variables are in scope within different language constructs.

There are two broad categories, but a lot of variation within these categories.

- *Static* (or *lexical*) scoping resolves variable references based on the position of each reference in the AST of the program
  - Almost all modern languages
- *Dynamic* scoping resolves variable references based on the runtime environment at each reference to a variable during execution
  - bash, PowerShell, Emacs Lisp, ...
  - Occasionally opt-in for individual variables (Perl, Common Lisp, Haskell (GHC), ...)
  - Sometimes used in the implementation of *exception handling* (Java, Python, ...)

# Scoping rules

For our little imperative lambda language, we'll use a simplified version of the **static** scoping rules from C.

Our language will have a special form for *declaring local* variables:

$$e ::= \dots \mid \mathbf{x : t = e} \mid x = e$$

```
plusOne = λ(x: num). y: num = 1; x + y
```

With C-style local variable declarations in the imperative syntax:

```
num plusOne(num x) { num y = 1; return x + y }
```

# Scoping rules

Taking inspiration from C:

- Each function body is a *block*; the function's arguments are in scope within the body
- Each branch of an `if/then/else` construct is a block
- A local variable's scope is from its declaration to the end of the block it's declared in



```
unit f(num x) { // x comes into scope
  num y = 1;    // y comes into scope
  if x < y {
    num z = 2;  // z comes into scope
  } else {     // z goes out of scope
    num w = 3;  // w comes into scope
  }           // w goes out of scope
}             // x, y go out of scope
```

# Lifetime

The *lifetime* of a value is the period of execution during which it's guaranteed to be in memory.

There are many approaches to lifetime management. Among the most common:

- A variable with a *static* (or *lexical*) lifetime is in memory until the end of the scope it was declared in
- A variable with a *manually managed* lifetime is in scope until a special `free` function is called on it to explicitly free up the memory
- A *garbage-collected* variable is in scope until there are no live references to it left in the runtime environment

# Procedural evaluation

# Procedural evaluation

A simple set of rules for implementing function calls under static scope/lifetime rules can be implemented with an *activation stack*.

This is a common procedure to **execute** and **compile** procedural programs.

- When a function is *invoked* (called/applied),
  - An *activation record* (or *stack frame*) is pushed onto a global stack
  - Bindings for the function arguments are added to the environment in the function's activation record
- When a variable is declared within the function, it gets added to the environment in the function's activation record
- When a function returns, its activation record is popped off the stack

The activation record has space for the function's arguments and local variables, along with a return address and sometimes other information.

# Procedural evaluation

Other blocks, like if branches and while loop bodies, are handled similarly.

- When a variable is declared within the block, it gets added to the environment
- When execution leaves the block, all variables added in the block are removed from the environment

The address of a lexically-scoped variable can be computed in constant time, so getting the value of a lexically-scoped variable reference takes the same amount of time regardless of how far down the activation stack it is.

# Procedural evaluation example

```

D {
1.  num plus(num x, num y) {
2.      num z = x + y;
3.      return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.      num y = x;
8.      if x < 0 {
9.          num z = 0 - x;
10.         y = z;
11.     }
12.     return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.     absPlusOne(-2);
17. }

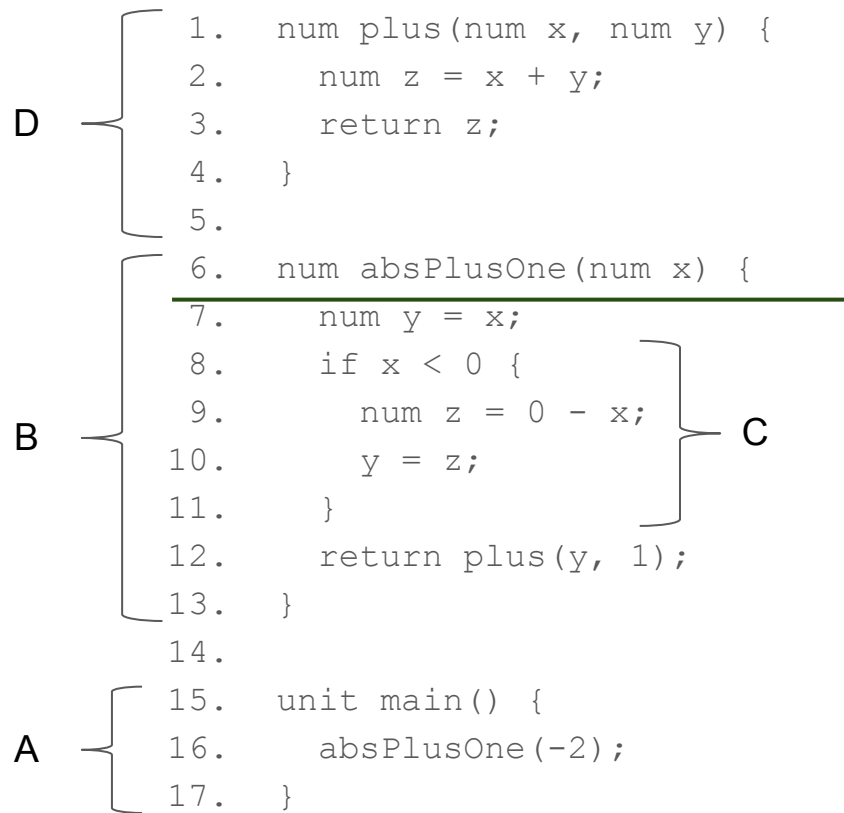
```

C

A main	$\rho = \emptyset$ return = <quit>
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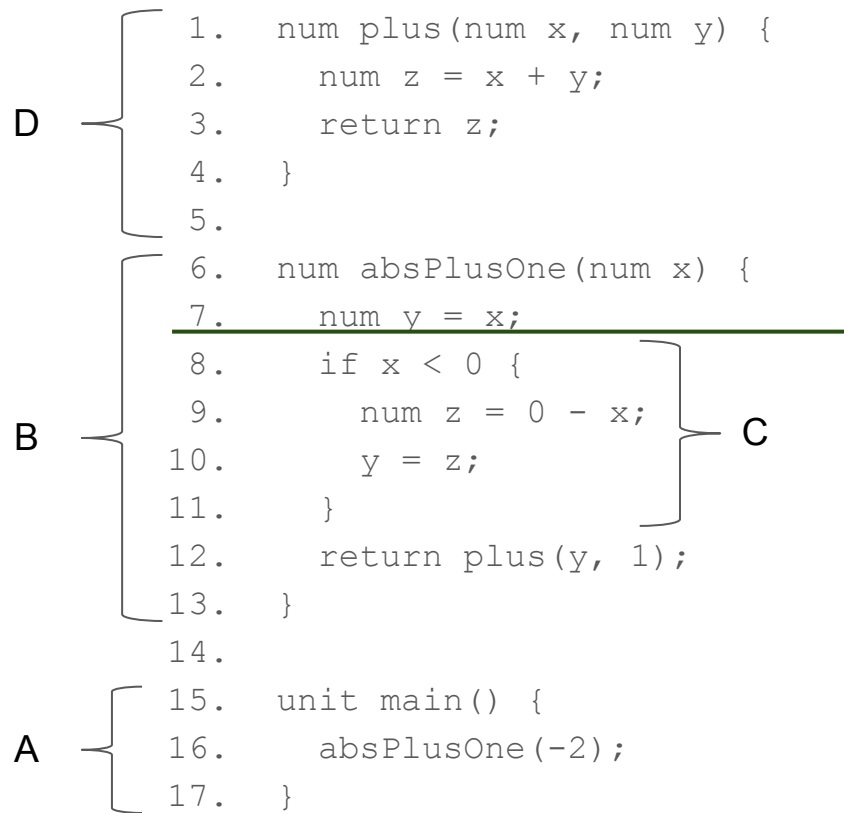


# Procedural evaluation example



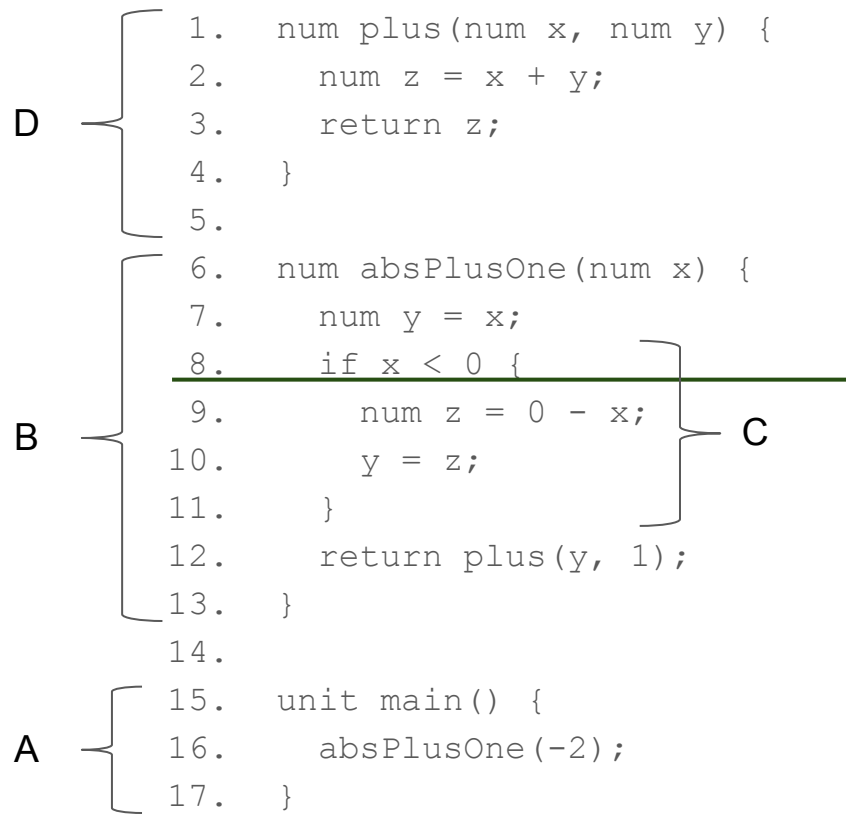
B absPlusOne	$\rho = x \mapsto -2$ return = line 17
A main	$\rho = \emptyset$ return = <quit>

# Procedural evaluation example



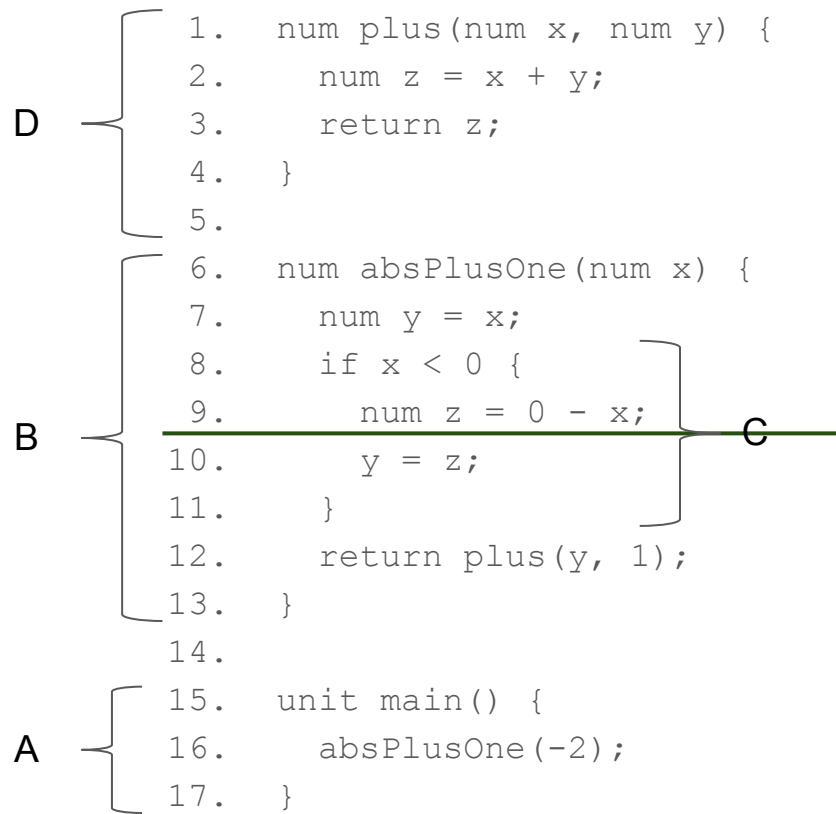
B absPlusOne	$\rho = y \mapsto -2, x \mapsto -2$ return = line 17
A main	$\rho = \emptyset$ return = <quit>

# Procedural evaluation example



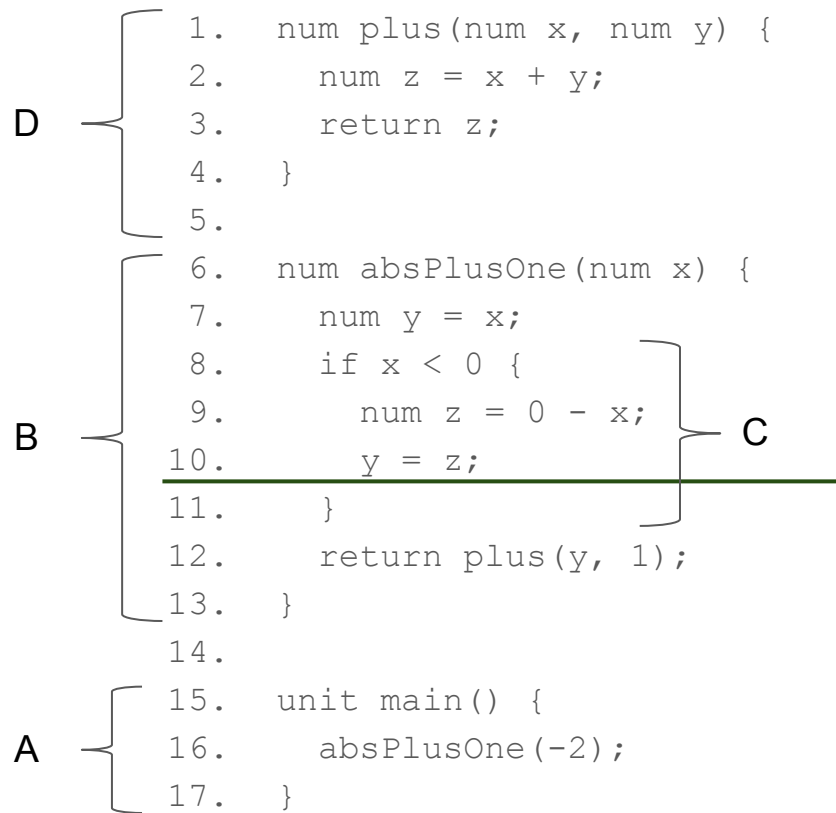
C absPlusOne	$\rho = y \mapsto -2, x \mapsto -2$ return = line 17
A main	$\rho = \emptyset$ return = <quit>

# Procedural evaluation example



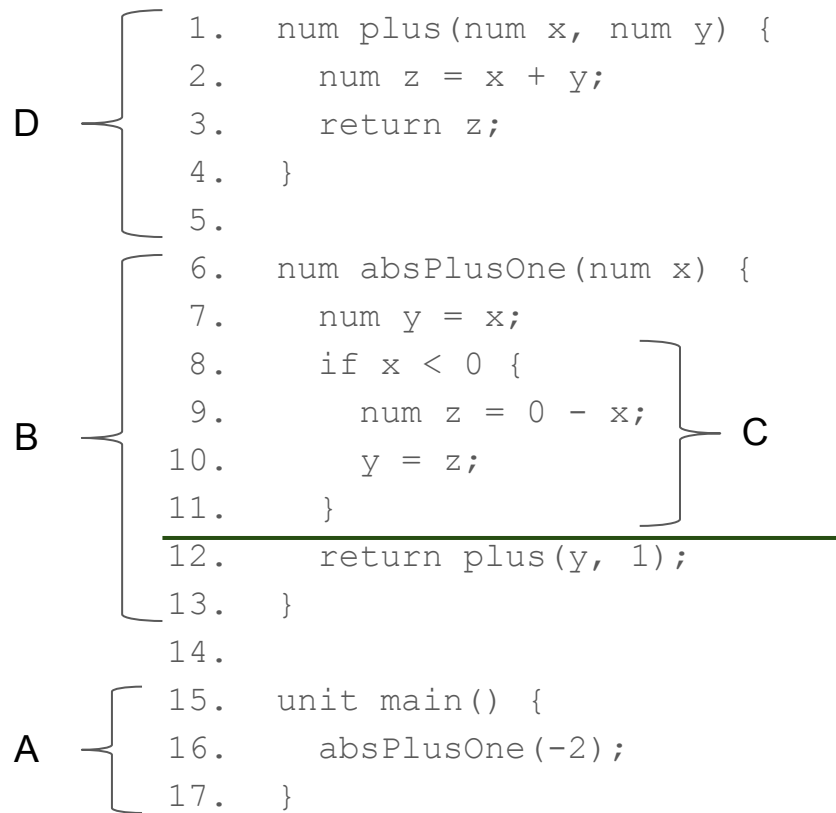
C absPlusOne	$\rho = z \mapsto 2, y \mapsto -2, x \mapsto -2$ return = line 17
A main	$\rho = \emptyset$ return = <quit>

# Procedural evaluation example



C absPlusOne	$\rho = z \mapsto 2, y \mapsto 2, x \mapsto -2$ return = line 17
A main	$\rho = \emptyset$ return = <quit>

# Procedural evaluation example



B absPlusOne	$\rho = \underline{z \mapsto 2}, y \mapsto 2, x \mapsto -2$ return = line 17
A main	$\rho = \emptyset$ return = <quit>

# Procedural evaluation example

```

D {
1.  num plus(num x, num y) {
2.      num z = x + y;
3.      return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.      num y = x;
8.      if x < 0 {
9.          num z = 0 - x;
10.         y = z;
11.     }
12.     return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.     absPlusOne(-2);
17. }

```

C

D plus	$\rho = x \mapsto 2, y \mapsto 1$ return = line 13
B absPlusOne	$\rho = y \mapsto 2, x \mapsto -2$ return = line 17
A main	$\rho = \emptyset$ return = <quit>

# Procedural evaluation example

```

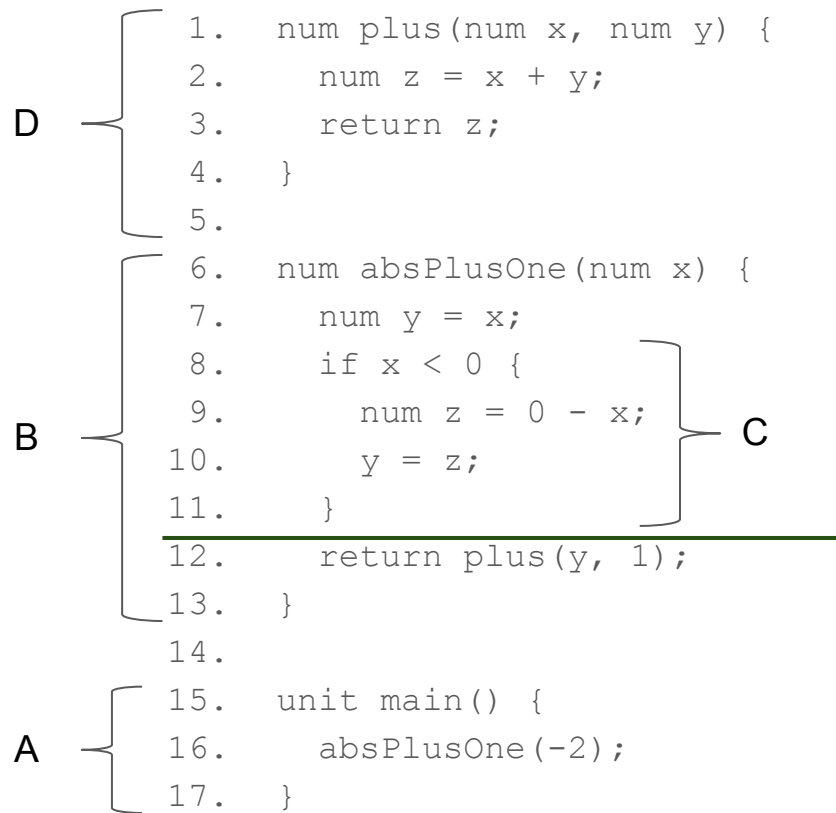
D {
1.  num plus(num x, num y) {
2.      num z = x + y;
3.      return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.      num y = x;
8.      if x < 0 {
9.          num z = 0 - x;
10.         y = z;
11.     }
12.     return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.     absPlusOne(-2);
17. }

```

D plus	$\rho = z \mapsto 3, x \mapsto 2, y \mapsto 1$ return = line 13
B absPlusOne	$\rho = y \mapsto 2, x \mapsto -2$ return = line 17
A main	$\rho = \emptyset$ return = <quit>



# Procedural evaluation example



B absPlusOne	$\rho = y \mapsto 2, x \mapsto -2$ return = line 16
A main	$\rho = \emptyset$ return = <quit>

# Procedural evaluation example

```

D {
1.  num plus(num x, num y) {
2.      num z = x + y;
3.      return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.      num y = x;
8.      if x < 0 {
9.          num z = 0 - x;
10.         y = z;
11.     }
12.     return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.     absPlusOne(-2);
17. }

```

C

A main	$\rho = \emptyset$ return = <quit>
-----------	---------------------------------------

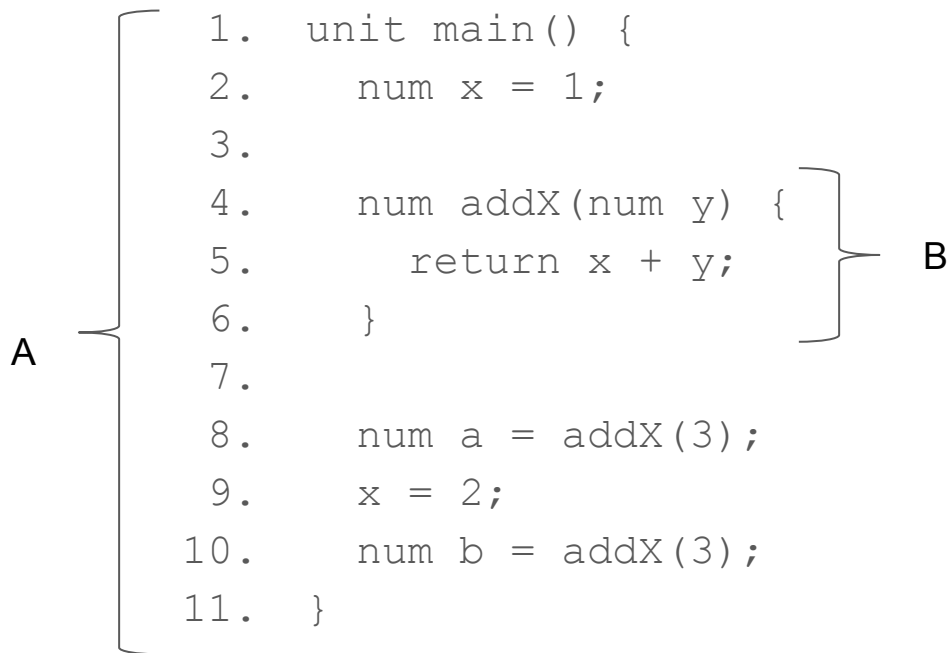
# Static and dynamic links

Scopes in a program can be syntactically *enclosed by* (or *nested in*) other scopes.

In programs with nested scopes, non-local variables are accessed through *links* between activation records.

- The *dynamic* link points to the next activation record on the stack
- The *static* link points to the nearest activation record for the enclosing static scope of the current (topmost) activation record

# Enclosing scopes



The diagram illustrates nested scopes using a code snippet. A large left-facing curly brace labeled 'A' spans lines 1 through 11, indicating the main function's scope. A smaller right-facing curly brace labeled 'B' spans lines 4 through 6, indicating the scope of the `addX` function, which is nested within scope A.

```
1.  unit main() {  
2.      num x = 1;  
3.  
4.      num addX(num y) {  
5.          return x + y;  
6.      }  
7.  
8.      num a = addX(3);  
9.      x = 2;  
10.     num b = addX(3);  
11. }
```

In this program, A is the (*static*) *enclosing scope* of B, because the **definition** of B is within A.

# Evaluation with static links example

A {

```
1.  unit main() {  
2.    num x = 1;  
3.  
4.    num addX(num y) {  
5.      return x + y;  
6.    }  
7.  
8.    num a = addX(3);  
9.    x = 2;  
10.   num b = addX(3);  
11. }
```

B {

A main	$\rho = \emptyset$ return = <quit>
-----------	---------------------------------------

# Evaluation with static links example

A {

```
1.  unit main() {  
2.    num x = 1;  
3.  
4.    num addX(num y) {  
5.      return x + y;  
6.    }  
7.  
8.    num a = addX(3);  
9.    x = 2;  
10.   num b = addX(3);  
11. }
```

B {

A  
main

$\rho = x \mapsto 1$   
return = <quit>

# Evaluation with static links example

A

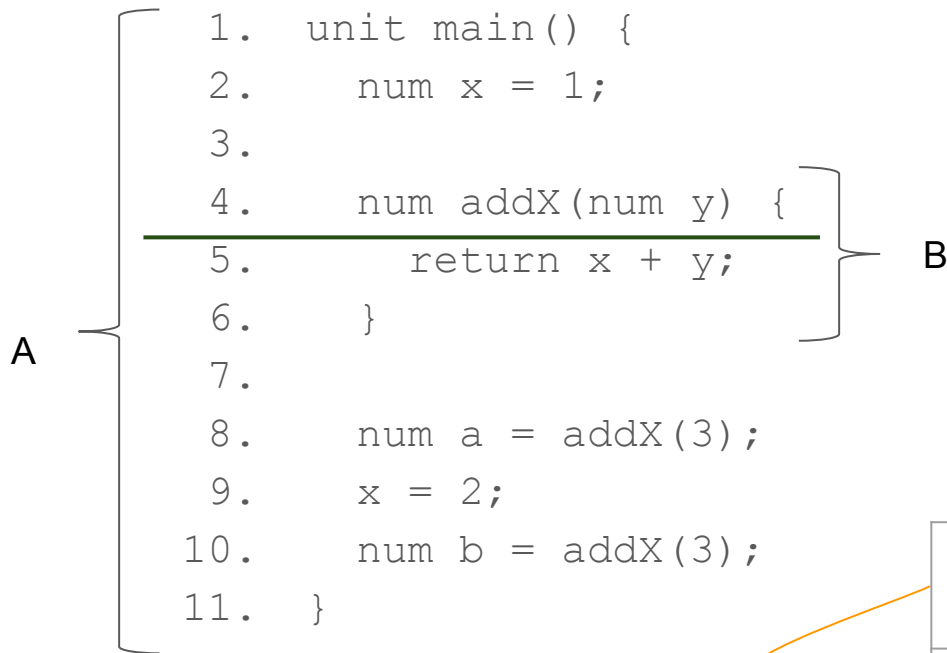
```
1.  unit main() {  
2.    num x = 1;  
3.  
4.    num addX(num y) {  
5.      return x + y;  
6.    }  
7.  
8.    num a = addX(3);  
9.    x = 2;  
10.   num b = addX(3);  
11. }
```

B

A  
main

$\rho = \text{addX} \mapsto \lambda(y: \text{num}). x + y,$   
 $x \mapsto 1$   
return = <quit>

# Evaluation with static links example



When B is called, its **static link** points to the nearest activation record for A, because A is the enclosing scope for B.

B addX	$\rho = y \mapsto 3$ return = line 9
A main	$\rho = \text{addX} \mapsto \lambda(y: \text{num}). x + y,$ $x \mapsto 1$ return = <quit>



# Evaluation with static links example

A

```
1.  unit main() {  
2.    num x = 1;  
3.  
4.    num addX(num y) {  
5.      return x + y;  
6.    }  
7.  
8.    num a = addX(3);  
9.    x = 2;  
10.   num b = addX(3);  
11. }
```

B

When B is called, its **static link** points to the nearest activation record for A, because A is the enclosing scope for B.

The reference to `x` within B is resolved by following the static links and taking the **value** from the first environment in that chain that has a binding for `x`.

B addX	$\rho = y \mapsto 3$ return = line 9
A main	$\rho = \text{addX} \mapsto \lambda(y: \text{num}). x + y,$ $x \mapsto 1$ return = <quit>

# Evaluation with static links example

A {

```
1.  unit main() {
2.    num x = 1;
3.
4.    num addX(num y) {
5.      return x + y;
6.    }
7.
8.    num a = addX(3);
9.    x = 2;
10.   num b = addX(3);
11. }
```

B {

A  
main

$\rho = a \mapsto 4,$   
 $\text{addX} \mapsto \lambda(y: \text{num}). x + y,$   
 $x \mapsto 1$   
return = <quit>

# Evaluation with static links example

A {

```
1.  unit main() {
2.    num x = 1;
3.
4.    num addX(num y) {
5.      return x + y;
6.    }
7.
8.    num a = addX(3);
9.    x = 2;
10.   num b = addX(3);
11. }
```

B {

A  
main

$\rho = a \mapsto 4,$   
 $\text{addX} \mapsto \lambda(y: \text{num}). x + y,$   
 $x \mapsto 2$   
return = <quit>

# Evaluation with static links example

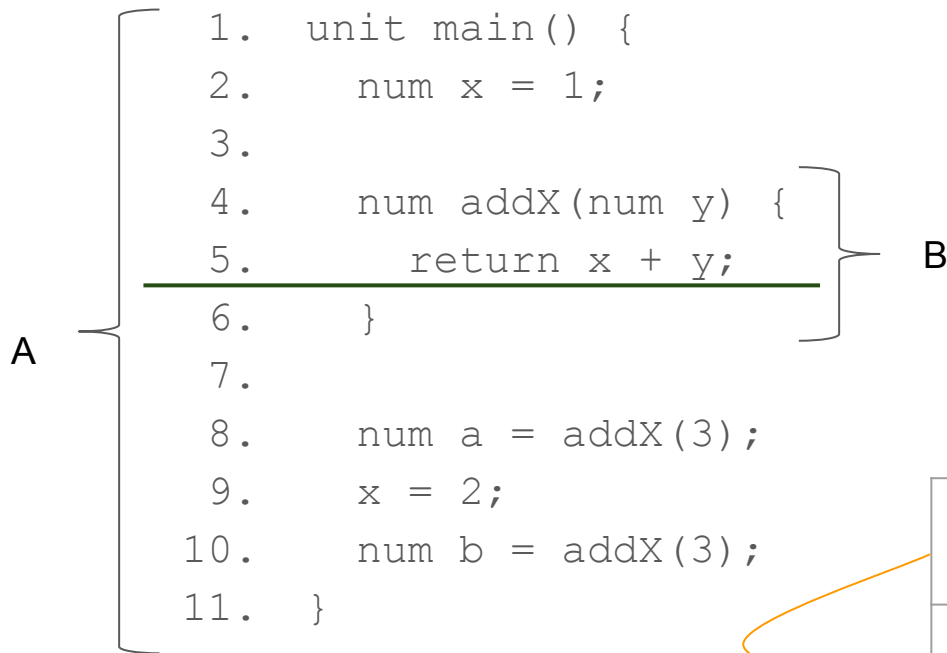
A

```
1.  unit main() {  
2.    num x = 1;  
3.  
4.    num addX(num y) {  
5.      return x + y;  
6.    }  
7.  
8.    num a = addX(3);  
9.    x = 2;  
10.   num b = addX(3);  
11. }
```

B

B addX	$\rho = y \mapsto 3$ return = line 11
A main	$\rho = a \mapsto 4,$ $\text{addX} \mapsto \lambda(y: \text{num}). x + y,$ $x \mapsto 2$ return = <quit>

# Evaluation with static links example



When B is called, its **static link** points to the nearest activation record for A, because A is the enclosing scope for B.

The reference to `x` resolves to the **current** value of `x` in the enclosing scope, so it's different now than last time.

B addX	$\rho = y \mapsto 3$ return = line 11
A main	$\rho = a \mapsto 4,$ $\text{addX} \mapsto \lambda(y: \text{num}). x + y,$ $x \mapsto 2$ return = <quit>

# Evaluation with static links example

A {

```
1.  unit main() {
2.    num x = 1;
3.
4.    num addX(num y) {
5.      return x + y;
6.    }
7.
8.    num a = addX(3);
9.    x = 2;
10.   num b = addX(3);
11. }
```

B {

A  
main

$\rho = b \mapsto 5, a \mapsto 4,$   
 $\text{addX} \mapsto \lambda(y: \text{num}). x + y,$   
 $x \mapsto 2$   
return = <quit>

# Calling conventions

A *calling convention* specifies how arguments are passed in to functions.

- A *call-by-value* argument gets **copied** into an activation record when it's created
  - Modifications to function arguments are "undone" when the function returns
  - Copying involves some runtime work
  - Default in most languages
- A *call-by-reference* argument is a reference to the argument's original **location**
  - Modifications to function arguments are kept when the function returns
  - Avoids the work of copying
  - Sometimes harder to reason about (especially in concurrent programs)
  - Optional in some languages (C#, Ada)
  - Can be partially simulated with pointers (C, C++) and objects (Java, Python)

# Call-by-value

In call-by-value execution of this `main` function, the value assigned to `y` is 1, because the change to the value of `x` in `f` is not visible in the calling block.

```
unit f(num x) { x = x + 1; }
```

```
unit main() {  
  num x = 1;  
  f(x);  
  num y = x;  
}
```



# Call-by-reference

In call-by-reference execution of this `main` function, the value assigned to `y` is 2, because the change to `x` in `f` affects the variable that was passed in from the calling block as the `x` argument.

```
unit f(num x) { x = x + 1; }
```

```
unit main() {  
  num x = 1;  
  f(x);  
  num y = x;  
}
```

# Procedural typechecking

# Procedural typechecking

Evaluation with activation records suggests a procedure for **typechecking** procedural programs.

The typechecker moves through the code top to bottom, line by line, keeping track of a typing context.

- At every line, it typechecks the expression on that line
- When it enters a function, it adds the function's arguments to the context
- When it encounters a local variable declaration, it adds the variable to the context
- When it leaves a block, it removes the variables declared in that block from the context
- When it encounters a return statement, it checks the value's type against the return type
- When it leaves a function,
  - it removes the function's arguments and local variables from the context,
  - and adds the function itself to the context

# Procedural typechecking example

```

D {
1.  num plus(num x, num y) {
2.      num z = x + y;
3.      return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.      num y = x;
8.      if x < 0 {
9.          num z = 0 - x;
10.         y = z;
11.     }
12.     return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.     absPlusOne(-2);
17. }

```

C

check	none
$\Gamma$	$\emptyset$

# Procedural typechecking example

```

D {
  1.  num plus(num x, num y) {
  2.      num z = x + y;
  3.      return z;
  4.  }
  5.
  B {
    6.  num absPlusOne(num x) {
    7.      num y = x;
    8.      if x < 0 {
    9.          num z = 0 - x;
   10.          y = z;
   11.      }
   12.      return plus(y, 1);
   13.  }
   14.
   A {
    15.  unit main() {
    16.      absPlusOne(-2);
    17.  }
  }
}

```

C

check	none
$\Gamma$	$x: \text{num}, y: \text{num}$

# Procedural typechecking example

```

D {
1.  num plus(num x, num y) {
2.    num z = x + y;
3.    return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.    num y = x;
8.    if x < 0 {
9.      num z = 0 - x;
10.     y = z;
11.   }
12.   return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.   absPlusOne(-2);
17. }

```

$$\text{T-Var} \frac{\Gamma(x) = t}{\Gamma \vdash x : t}$$

$$\text{T-Plus} \frac{\Gamma \vdash e_1 : \text{num} \quad \Gamma \vdash e_2 : \text{num}}{\Gamma \vdash (e_1 + e_2) : \text{num}}$$

$$\text{T-Assign} \frac{\Gamma(x) = t \quad \Gamma \vdash e : t}{\Gamma \vdash (x = e) : \text{unit}}$$

check	<code>x: num &amp;&amp; y: num</code>
$\Gamma$	<code>z: num, x: num, y: num</code>

# Procedural typechecking example

```

D {
1.  num plus(num x, num y) {
2.      num z = x + y;
3.      return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.      num y = x;
8.      if x < 0 {
9.          num z = 0 - x;
10.         y = z;
11.     }
12.     return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.     absPlusOne(-2);
17. }

```

$$\text{T-Var} \quad \frac{\Gamma(x) = t}{\Gamma \vdash x : t}$$

check	<code>z: num</code>
$\Gamma$	<code>z: num, x: num, y: num</code>

# Procedural typechecking example

```

D {
1.  num plus(num x, num y) {
2.    num z = x + y;
3.    return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.    num y = x;
8.    if x < 0 {
9.      num z = 0 - x;
10.     y = z;
11.   }
12.   return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.   absPlusOne(-2);
17. }

```

check	none
$\Gamma$	<del>z: num, x: num, y: num,</del> plus: num $\rightarrow$ num $\rightarrow$ num



# Procedural typechecking example

```

D {
1.  num plus(num x, num y) {
2.      num z = x + y;
3.      return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.      num y = x;
8.      if x < 0 {
9.          num z = 0 - x;
10.         y = z;
11.     }
12.     return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.     absPlusOne(-2);
17. }

```

check	none
$\Gamma$	$x: \text{num},$ $\text{plus}: \text{num} \rightarrow \text{num} \rightarrow \text{num}$

# Procedural typechecking example

```

D {
1.  num plus(num x, num y) {
2.    num z = x + y;
3.    return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.    num y = x;
8.    if x < 0 {
9.      num z = 0 - x;
10.     y = z;
11.   }
12.   return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.   absPlusOne(-2);
17. }

```

$$\text{T-Var} \frac{\Gamma(x) = t}{\Gamma \vdash x : t}$$

$$\text{T-Assign} \frac{\Gamma(x) = t \quad \Gamma \vdash e : t}{\Gamma \vdash (x = e) : \text{unit}}$$

check	x: num
$\Gamma$	y: num, x: num, plus: num $\rightarrow$ num $\rightarrow$ num

# Procedural typechecking example

D

1. num plus(num x, num y) {

2.   num z = x + y;

3.   return z;

4. }

5.

B

6. num absPlusOne(num x) {

7.   num y = x;

8.   if x < 0 {

9.     num z = 0 - x;

10.    y = z;

11.   }

12.   return plus(y, 1);

13. }

14.

A

15. unit main() {

16.   absPlusOne(-2);

17. }

C

T-Num

$$\frac{}{\Gamma \vdash n : \text{num}}$$

T-Var

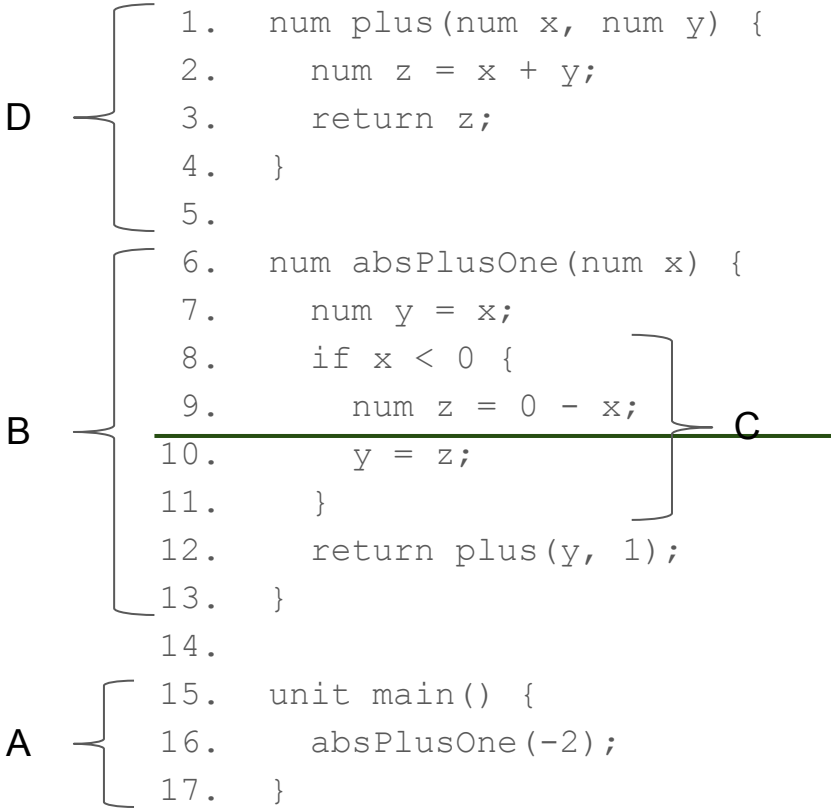
$$\frac{\Gamma(x) = t}{\Gamma \vdash x : t}$$

T-Less

$$\frac{\Gamma \vdash e_1 : \text{num} \quad \Gamma \vdash e_2 : \text{num}}{\Gamma \vdash (e_1 < e_2) : \text{bool}}$$

check	(x < 0) : bool
$\Gamma$	y: num, x: num, plus: num → num → num

# Procedural typechecking example



$$\text{T-Num} \frac{}{\Gamma \vdash n : \text{num}}$$

$$\text{T-Var} \frac{\Gamma(x) = t}{\Gamma \vdash x : t}$$

$$\text{T-Minus} \frac{\Gamma \vdash e_1 : \text{num} \quad \Gamma \vdash e_2 : \text{num}}{\Gamma \vdash (e_1 - e_2) : \text{num}}$$

$$\text{T-Assign} \frac{\Gamma(x) = t \quad \Gamma \vdash e : t}{\Gamma \vdash (x = e) : \text{unit}}$$

check	$(0 - x) : \text{num}$
$\Gamma$	$z: \text{num}, y: \text{num}, x: \text{num},$ $\text{plus}: \text{num} \rightarrow \text{num} \rightarrow \text{num}$

# Procedural typechecking example

```

D {
1.  num plus(num x, num y) {
2.    num z = x + y;
3.    return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.    num y = x;
8.    if x < 0 {
9.      num z = 0 - x;
10.     y = z;
11.   }
12.   return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.   absPlusOne(-2);
17. }

```

$$\text{T-Var} \frac{\Gamma(x) = t}{\Gamma \vdash x : t}$$

$$\text{T-Assign} \frac{\Gamma(x) = t \quad \Gamma \vdash e : t}{\Gamma \vdash (x = e) : \text{unit}}$$

check	z: num
$\Gamma$	z: num, y: num, x: num, plus: num $\rightarrow$ num $\rightarrow$ num

# Procedural typechecking example

```

D {
1.  num plus(num x, num y) {
2.      num z = x + y;
3.      return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.      num y = x;
8.      if x < 0 {
9.          num z = 0 - x;
10.         y = z;
11.     }
12.     return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.     absPlusOne(-2);
17. }

```

check	none
$\Gamma$	<del>z: num,</del> y: num, x: num, plus: num $\rightarrow$ num $\rightarrow$ num

# Procedural typechecking example

```

D {
1.  num plus(num x, num y) {
2.    num z = x + y;
3.    return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.    num y = x;
8.    if x < 0 {
9.      num z = 0 - x;
10.     y = z;
11.   }
12.   return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.   absPlusOne(-2);
17. }

```

$$\text{T-Num} \frac{}{\Gamma \vdash n : \text{num}}$$

$$\text{T-Var} \frac{\Gamma(x) = t}{\Gamma \vdash x : t}$$

$$\text{T-App} \frac{e_1 : t_1 \rightarrow t_2 \quad e_2 : t_1}{(e_1 \ e_2) : t_2}$$

check	(plus y 1) : num
$\Gamma$	y: num, x: num, plus: num $\rightarrow$ num $\rightarrow$ num

# Procedural typechecking example

```

D {
1.  num plus(num x, num y) {
2.    num z = x + y;
3.    return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.    num y = x;
8.    if x < 0 {
9.      num z = 0 - x;
10.     y = z;
11.   }
12.   return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.   absPlusOne(-2);
17. }

```

check	none
$\Gamma$	<del>y: num, x: num,</del> absPlusOne: num $\rightarrow$ num, plus: num $\rightarrow$ num $\rightarrow$ num



# Procedural typechecking example

```

D {
1.  num plus(num x, num y) {
2.      num z = x + y;
3.      return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.      num y = x;
8.      if x < 0 {
9.          num z = 0 - x;
10.         y = z;
11.     }
12.     return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.     absPlusOne(-2);
17. }

```

check	none
$\Gamma$	$\text{absPlusOne} : \text{num} \rightarrow \text{num},$ $\text{plus} : \text{num} \rightarrow \text{num} \rightarrow \text{num}$

# Procedural typechecking example

$$\text{T-Num} \quad \frac{}{\Gamma \vdash n : \text{num}}$$

```

D {
1.  num plus(num x, num y) {
2.      num z = x + y;
3.      return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.      num y = x;
8.      if x < 0 {
9.          num z = 0 - x;
10.         y = z;
11.     }
12.     return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.     absPlusOne(-2);
17. }

```

$$\text{T-App} \quad \frac{e_1 : t_1 \rightarrow t_2 \quad e_2 : t_1}{(e_1 \ e_2) : t_2}$$

check	absPlusOne(-2) is well-typed
$\Gamma$	absPlusOne : num $\rightarrow$ num, plus: num $\rightarrow$ num $\rightarrow$ num

# Procedural typechecking example

$$\text{T-Num} \quad \frac{}{\Gamma \vdash n : \text{num}}$$

```

D {
1.  num plus(num x, num y) {
2.      num z = x + y;
3.      return z;
4.  }
5.
B {
6.  num absPlusOne(num x) {
7.      num y = x;
8.      if x < 0 {
9.          num z = 0 - x;
10.         y = z;
11.     }
12.     return plus(y, 1);
13. }
14.
A {
15. unit main() {
16.     absPlusOne(-2);
17. }

```

$$\text{T-App} \quad \frac{e_1 : t_1 \rightarrow t_2 \quad e_2 : t_1}{(e_1 \ e_2) : t_2}$$

check	none
$\Gamma$	main: unit $\rightarrow$ unit, absPlusOne : num $\rightarrow$ num, plus: num $\rightarrow$ num $\rightarrow$ num

# Summary

- Imperative programs are characterized by commands with side effects
  - A referentially transparent expression has no side effects
- We can model imperative and procedural languages:
  - As extensions of functional languages
  - As state machines
- Scoping rules and lifetime rules define where variables are valid in a program and when they're "cleaned up" at runtime
  - Different languages have different scoping and lifetime rules
  - Different variables within the same language may have different scoping and lifetime rules
- Calling conventions define how arguments are passed in to a function
  - Different arguments in the same language may have different calling conventions
  - Language constructs can simulate calling conventions that aren't supported natively