

To: Public Comment File – Docket No. OCC–2023–0008

From: Carl Kaminski, Assistant Director, Bank Advisory, Chief Counsel’s Office

Date: April 18, 2024

Subject: Meeting with representatives from the Investment Company Institute (ICI) to discuss: Notice of Proposed Rulemaking: “Regulatory Capital Rule: Large Banking Organizations and Banking Organizations with Significant Trading Activity”

Staffs of the Federal Deposit Insurance Corporation, Federal Reserve System, and Office of the Comptroller of the Currency (collectively, the “agencies”) met with representatives from the Investment Companies Institute (ICI) regarding the agencies’ Notice of Proposed Rulemaking on Regulatory Capital Rule: Large Banking Organizations and Banking Organizations With Significant Trading Activity (the “NPR”), which was published in the Federal Register on September 18, 2023 (88 FR 64028). The ICI representatives discussed the NPR’s impact on investment companies, regulated funds, defined contribution plans, and investment advisers, as described in their comment letters.

Attendees

OCC

Amrit Sekhon
Margot Schwadron
Andrew Tschirhart
Benjamin Pegg
Benjamin Pegg
Kevin Korzeniewski
Venus Fan
Diana Wei
Carl Kaminski

FDIC

Andrew Carayiannis
Brian Cox
Richard Smith
Benedetto Bosco
Iris Li
Catherine Wood
Anjoly David
Ernest Barkett

Michael Maloney
Merritt Pardini
Keith Bergstresser
Adam Casella
David Riley
Peter Yen

Federal Reserve Board

Andrew Willis
Abigail Roberts
Brian Chernoff
Christopher Appel
Nadya Zeltser
Shooka Saket
Vikram Ramnarain
Cecily Boggs
Robin Oh
Jonah Kind
Marco Goncalves Migueis
Mark Buresh
Matthew McQueeney
Daniel Schwindt
Ryan Rossner

ICI

Sean Collins
Rachel Graham

BlackRock

Alexandra Levite
Michael Winnike

Neveen

Nate Jones
John McCann

State Street

Joe Barry
Karen Gallagher

JPMorgan

Joseph O'Connor
Christopher Cafiero

Franklin Templeton

Michael Salm

PIMCO

Paul Cellupica
Del Anderson

Morgan Stanley

Tatiana Segal
Kara Fricke

BNY Mellon

Manoo Halati

Debevoise & Plimpton LLP

Chen Xu
Tejas Dave
