

03/09/19

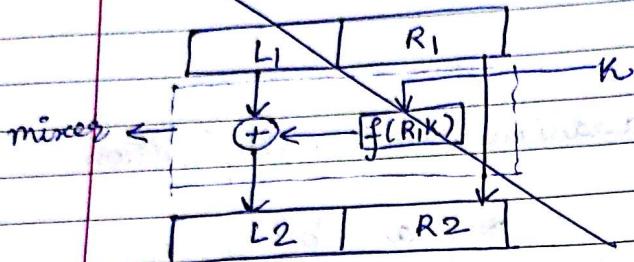
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①

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③

Mixer is self invertible



## Modern Block Ciphers

Substitution difficult to implement

exhaustive search for key key space  
is difficult  $= 2^n!$

## Transposition

As no. of 1's and no. of 0's

remain same, exhaustive

search for key is comparatively

easier.

key space =  $n!$

easier to implement

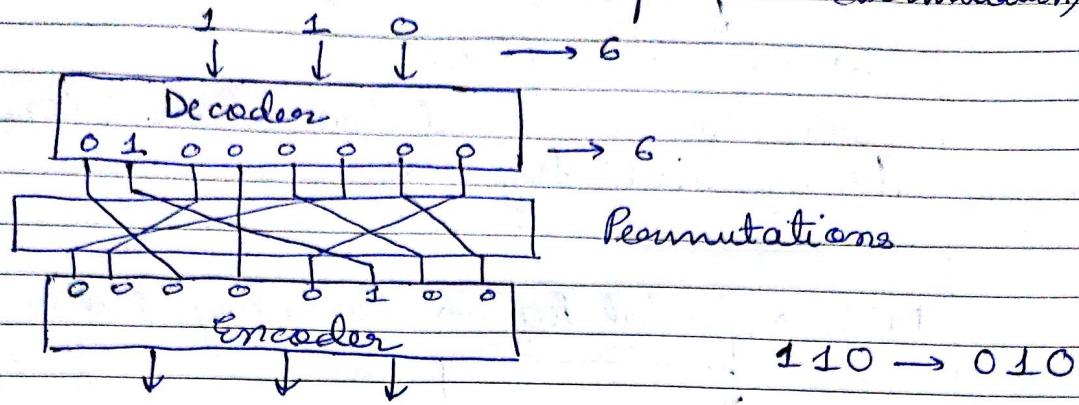
To be resistant to exhaustive search for key attack, a modern cipher needs to be designed as a substitution cipher.

Full key size ciphers : all keys in key space can be used in cipher

Partial key size ciphers : some keys in key space not in cipher

(3)

Substitution modelled as Transposition (Permutation)



A full size key  $n$ -bit transposition / substitution block cipher can be modelled as a permutation but their key sizes are different.

(i) For a transposition cipher, key size is

$$\lceil \log_2(n!) \rceil \text{ bits}$$

(ii) For a substitution cipher, key size is

$$\lceil \log_2(2^n!) \rceil \text{ bits}$$

Full key size is not practical, large number of bits required.

$\therefore$  Partial key size : only some keys used and less bits required to represent them.

\* Partial key size cipher

Key becomes too large

$\therefore$  cannot use full size key

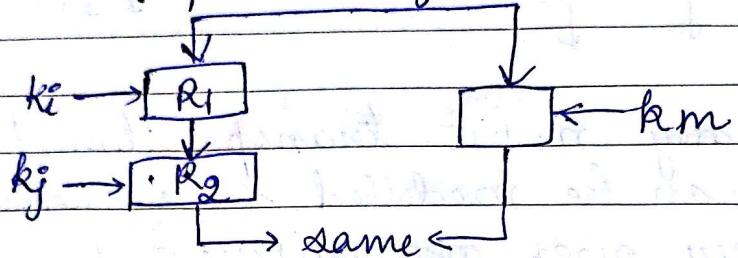
(A)

Eg: DES (partial key size)

↓  
64 bits block cipher

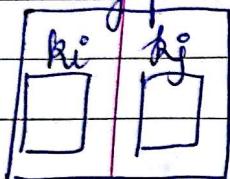
Full size key would have been  $\log_2(2^n) \approx 2^{30}$   
Actually used key size  
= 56 bits

DES → partial key → cascading improves strength of cipher.



not possible to have key km that gives same op.

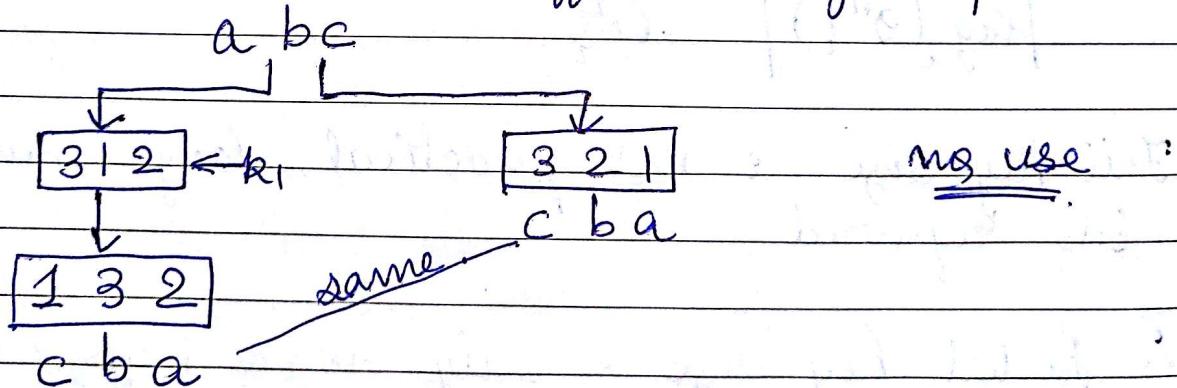
keyspace



km: overlap of  $k_i$  &  $k_j$   
not possible

∴ strength increases.

- ① less key bits
- ② cascading same operation with different key improves strength



(2)

## Full size key ciphers.

↓  
Transposition

↓  
Substitution

- |  |  |
|--|--|
| 1) for $n$ objects, possible permutations - $n!$                 | 1) For $n$ objects, possible substitutions - $2^n!$                  |
| 2) For $n!$ possible keys we need $\lceil \log_2 n! \rceil$ bits | 2) for $2^n!$ possible keys we need $\lceil \log_2 2^n! \rceil$ bits |

DES : block size 64 bits

If block size - 32 bits

$$\log_2 (32!) \approx 118$$

$$\log_2 (2^{32}) \gg 118$$

∴ We implement substitution as transposition

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## Partial-key size cipher

2)

3)

Block.

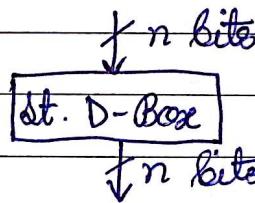
## Components of - Modern bit ciphers.

1) P-Boxes / D-Boxes  
↓  
permutation      Diffusion

All components to  
convert plain text to  
cipher text should  
be . invertible.

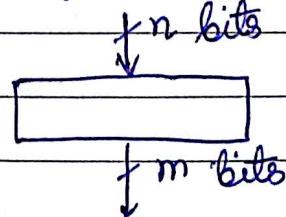
Invertible a) Straight

size I/P = size O/P



Invertible b) Expansion

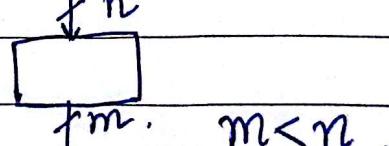
size I/P < size O/P.



one to many  
mappings  
 $m > n$ .

c) Compression

size I/P > size O/P.



ignoring some  
inputs ↓  
not invertible

## 2) S- Boxes

$\downarrow$   
substitution

using mathematical equations  
for substitution

\* May or may not be invertible

equation - linear or non linear

$\downarrow$   
based on how  
it is modelled.

Inverted : I/P size = O/P size.

## 3) Exclusive-OR (XOR)

Properties : In  $G_F(2^n)$  field  $\Rightarrow G_F(2^1)$ .

- 1) associative
- 2) closure
- 3) inverse
- 4) Identity
- 5) commutativity

Fiestel cipher

$$x \oplus \bar{x} = 111\dots11$$

$$x \oplus 111\dots11 = \bar{x}$$

$$\underline{op_1} \oplus \underline{op_2} = \underline{\underline{op_3}}$$

$\downarrow$   
known

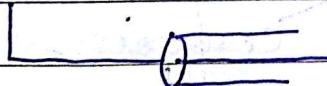
$\downarrow$   
then  $op_2$  can be found out

DES.

$\downarrow$   
this property  
is used in this

Transportation over in secure channel.

$$CT = PT \oplus K$$



$\rightarrow$  Receiver has CT and key

$$PT = CT \oplus K.$$

The inverse of an exclusive OR operation can make sense only if one of the I/P is fixed.

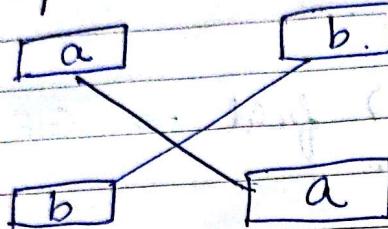
Here, key is fixed.

#### 4) Circular shift

If encryption is shifting to left, inverse will be shifting to right.

Diffusion

#### 5) Swap.



Inverse - swap operation performed again.

Confusion

#### 6) split and combine

split into equal sized blocks

split and combine are inverses of each other.

### Product Cipher

- introduced by Shannon
- complex cipher which combines substitution, permutation and many other components.
- enables to have two important properties

diffusion

confusion

hides the relation  
between cipher text  
and plain text.

hides the relation  
between cipher text  
and key.

Motive of attacker → deducing key (more than  
deducing plain text)

Diffusion

$P_{T_1}, P_{T_2}$  have hamming distance of 1 bit

$C_{T_1} \circ C_{T_2}$  should have hamming distance of more than 50% of # of bits

Confusion

$K_1, K_2$  used for encryption having Hamming distance of 1 bit.

$C_1, C_2$  should have hamming distance more than 50% of # of bits

Cascading / multistaging of an operation  
possible in DES.

Good cipher.

Rounds

Diffusion and confusion can be achieved using iterated product cipher.

Each iteration  $\Rightarrow$  S boxes, P boxes....

Each iteration  $\Rightarrow$  a round.

each round has different key. — Round key generator!

It uses another module i.e. round key generator to create different keys for each round using the cipher key.

Receiver should also have key generator and cipher text.

2 classes of product ciphers

fiestal

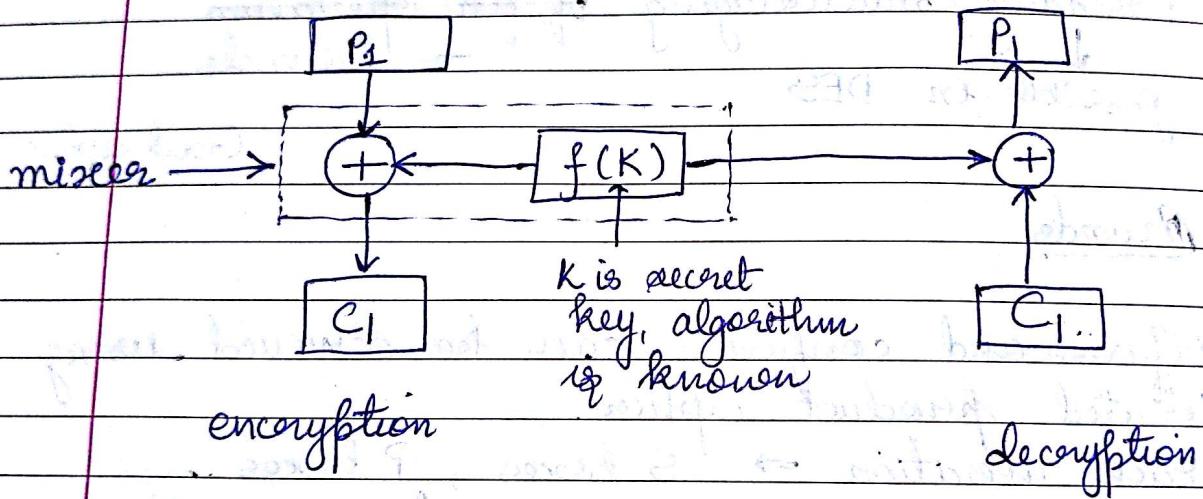
non-fiestal.

Feistal : makes use of both invertible and non-invertible components.

Non-feistal : makes use of only invertible components.

How to design feistal cipher?

They explore the inverse property of XOR operation  
(in condition that one of the I/Ps is constant).



$$C_1 = P_1 \oplus f(K)$$

$$\cancel{P_1 \oplus f(K) \oplus f(K)} \rightarrow \underline{\underline{P_1}}$$

$\downarrow$

$\underline{\underline{C_1}}$

Even when component is invertible or not.  
( $f(K)$ )  $\rightarrow$  everything is put in the mixer.  
Just keep the component fixed  $\rightarrow$  XOR  
inverse property will help in decryption

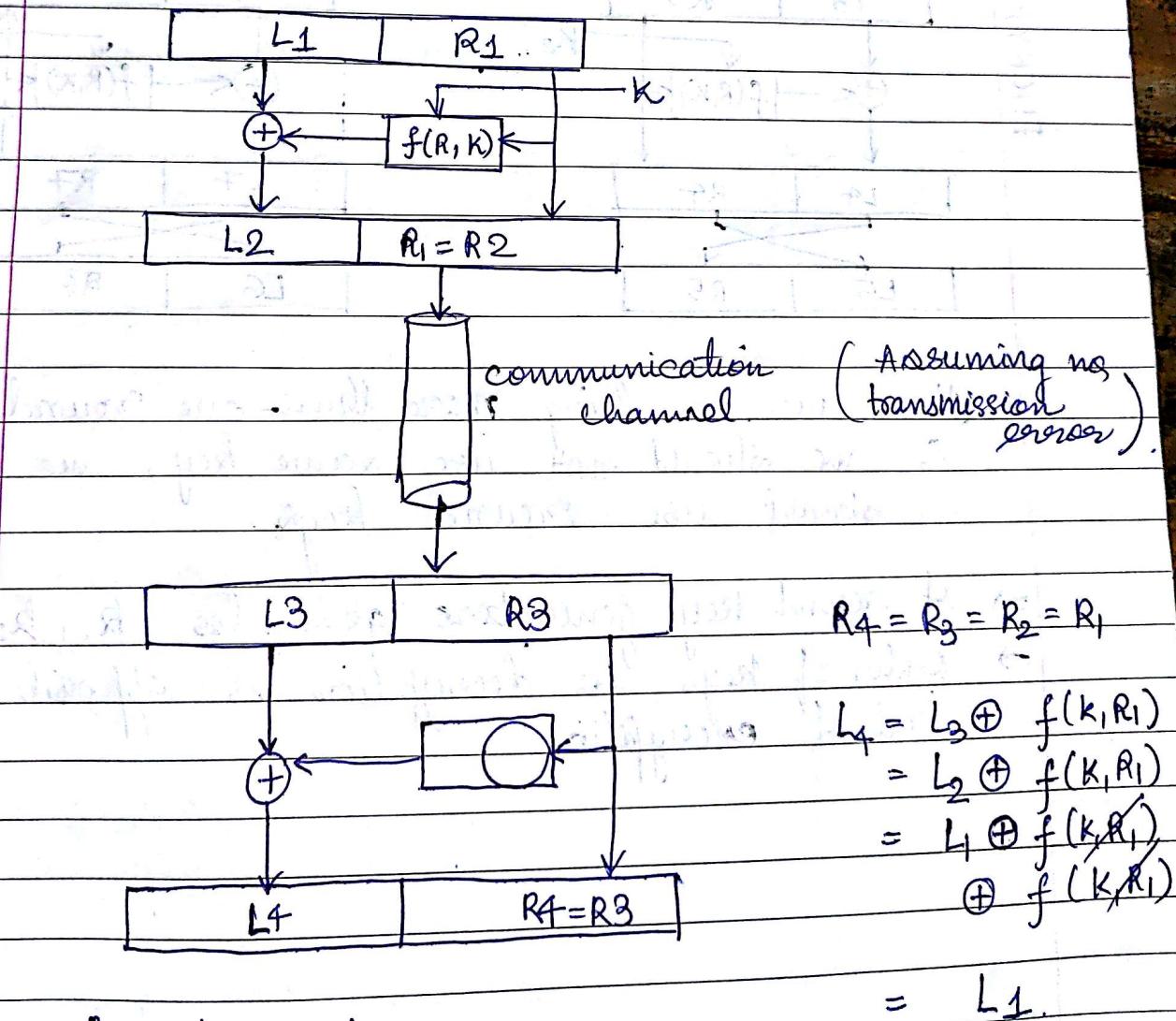
If  $P_1$  and  $P_2$  differ in 1 bit,  $f(K)$  is same, then  $C_1$  and  $C_2$  will also differ in 1 bit.

If any one plain text is compromised - it is helpful to get other plain text.

The function of mixing should be a function of both key as well as plain text.

(Input to the mixer)

Split the I/P in two boxes / parts / blocks.



$$R_4 = R_3 = R_2 = R_1$$

$$\begin{aligned}L_4 &= L_3 \oplus f(k, R_1) \\&= L_2 \oplus f(k, R_1) \\&= L_1 \oplus f(k, R_1) \\&\quad \oplus f(k, R_1)\end{aligned}$$

$$= L_1.$$

$$\therefore L_4 = L_1.$$

(decryption)

$$R_4 = R_1.$$

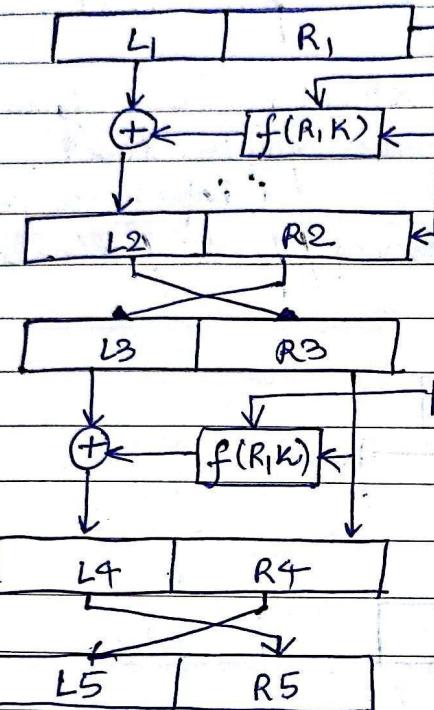
Right part remains same. — easier for decryption or attack.

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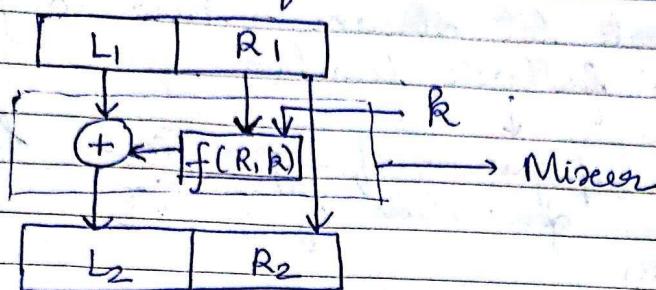
Disadvantage:

DECRYPTION.

ENCRYPTION

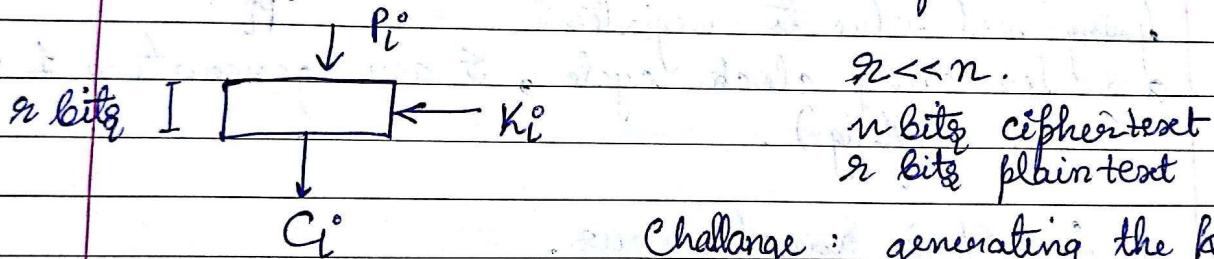


Mixer is self invertible



### Modern Stream Ciphers

For incoming bits, if we are not encrypting on the fly, it becomes stream cipher.



Challenge: generating the key stream - has to be random

$$C_i = P_i \oplus K_i$$

Here, feedback shift register is used.

Synchronous  
Non synchronous

] Types of modern stream ciphers.

Synchronous - Key stream is independent of plain text and cipher text.

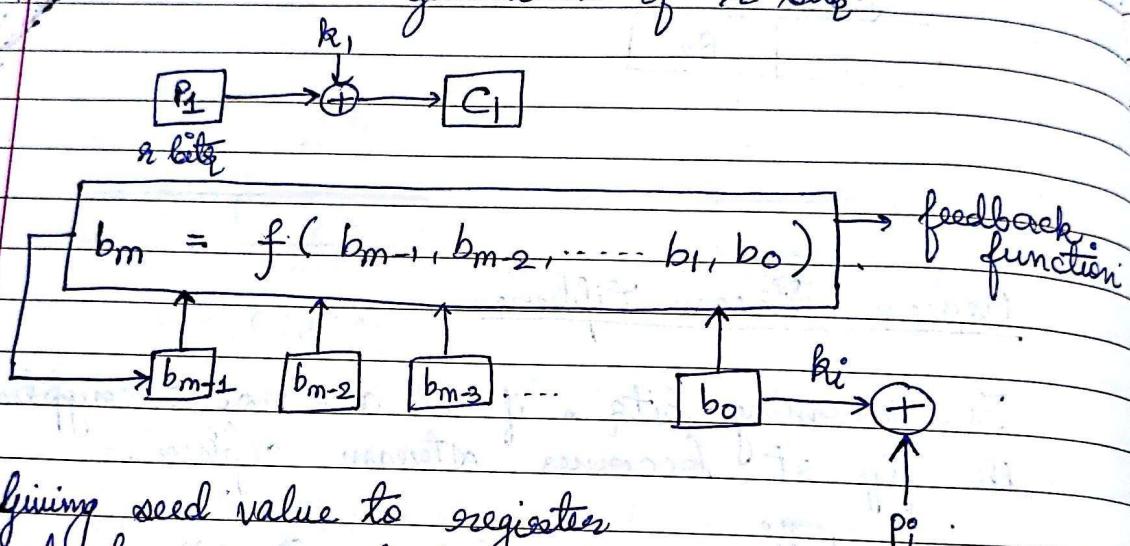
Asynchronous - Key stream dependent on P and C.

Feedback function in the feedback shift register

Registers

It will generate bit stream - how to generate is given by feedback function

polynomial of degree ( $\geq 1$ ) for generation of  $n$  bits.



So it is synchronous.

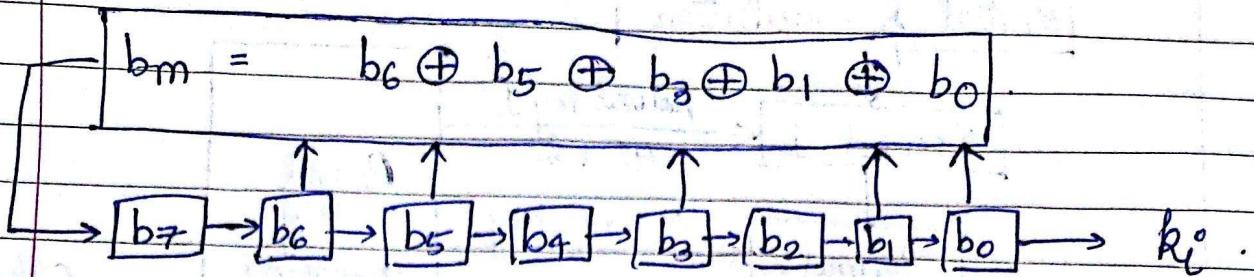
According to  $f(\text{---})$ , the feedback shift register can be linear or non linear.

$$b_m = C_{m-1}b_{m-1} + C_{m-2}b_{m-2} + \dots + C_0 b_0$$

has to be 1.

Whatever characteristic polynomial we use for feedback function, its last bit should be 1 to maintain link.

$m = 8$



$b_0$  is the output.  
Then shift to right.

We need  $b_7$  - calculated from function

Linear feedback shift register  $\rightarrow$  if. feedback function is linear.

Non linear : operations on bit pairs or more # of bits rather than single bits like linear function.

$$\text{Eg} : b_m = (b_6 \cdot b_4) \oplus (b_5 \cdot b_3) \oplus (b_5 \cdot b_2)$$

How are standards standardized?

International forum for all standards of connection networks, information security etc.

Data Encryption Scheme. (1975).

### Digital Ciphers

$\Rightarrow$  64 bit block

$\Rightarrow$  each round is simple

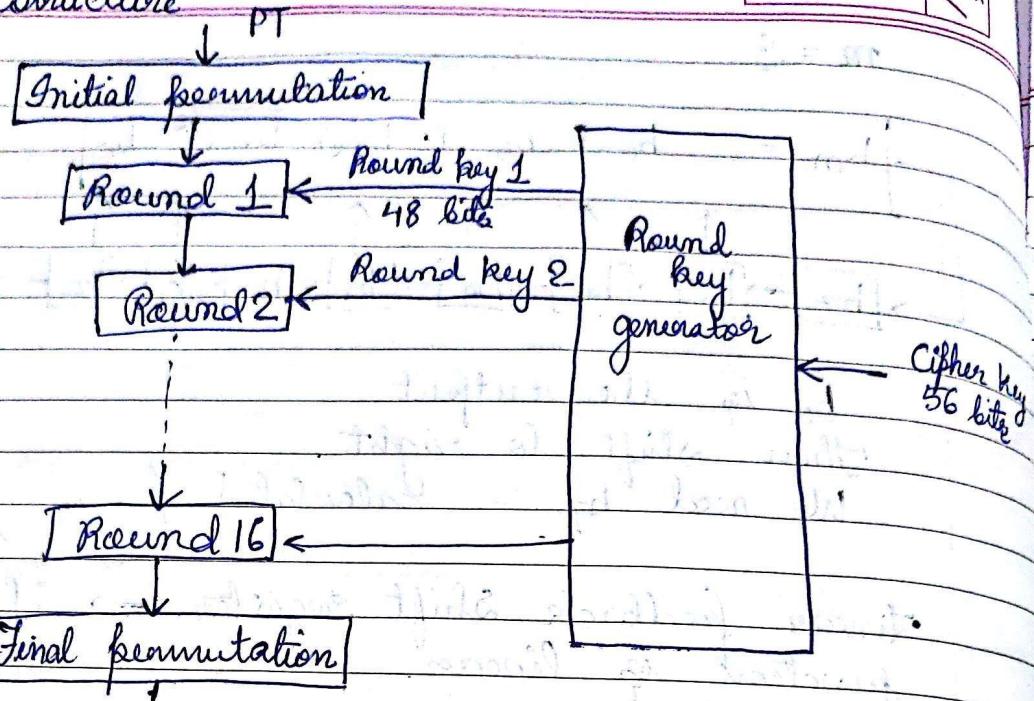
$\Rightarrow$  56 bit cipher key

$\Rightarrow$  16 rounds

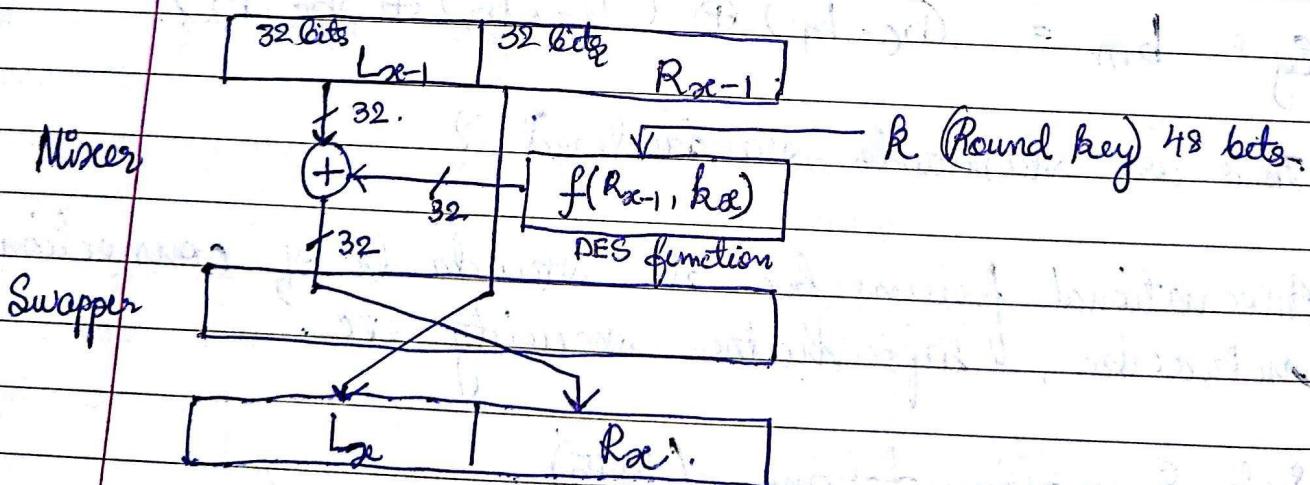
$\Rightarrow$  48 bit round key

heavily depends on S-boxes

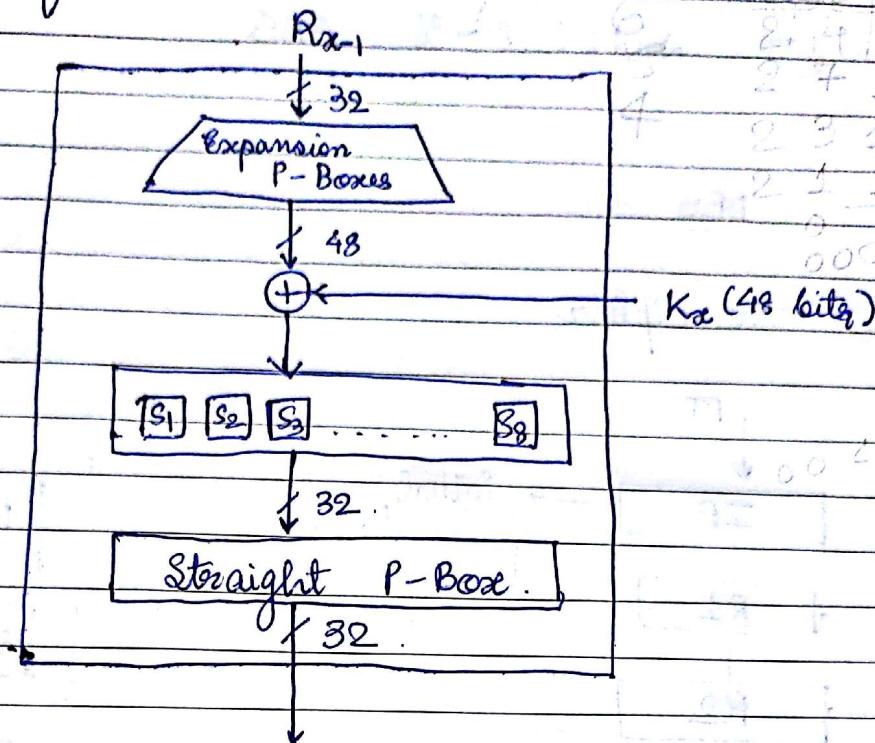
Structure



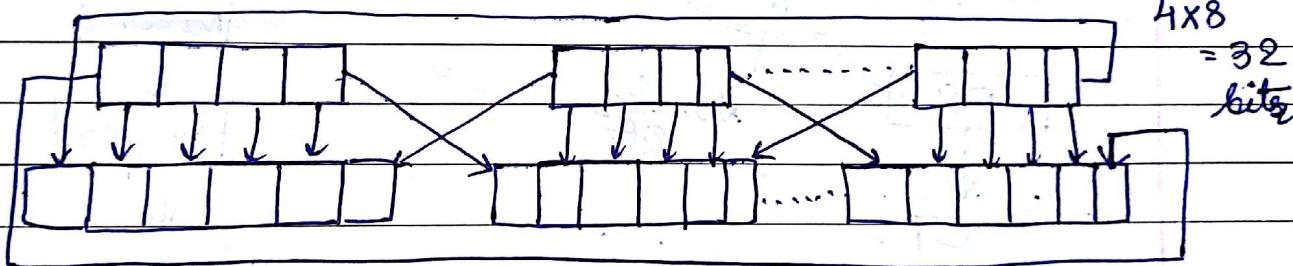
Initial and final are inverses of each other.



## DES function

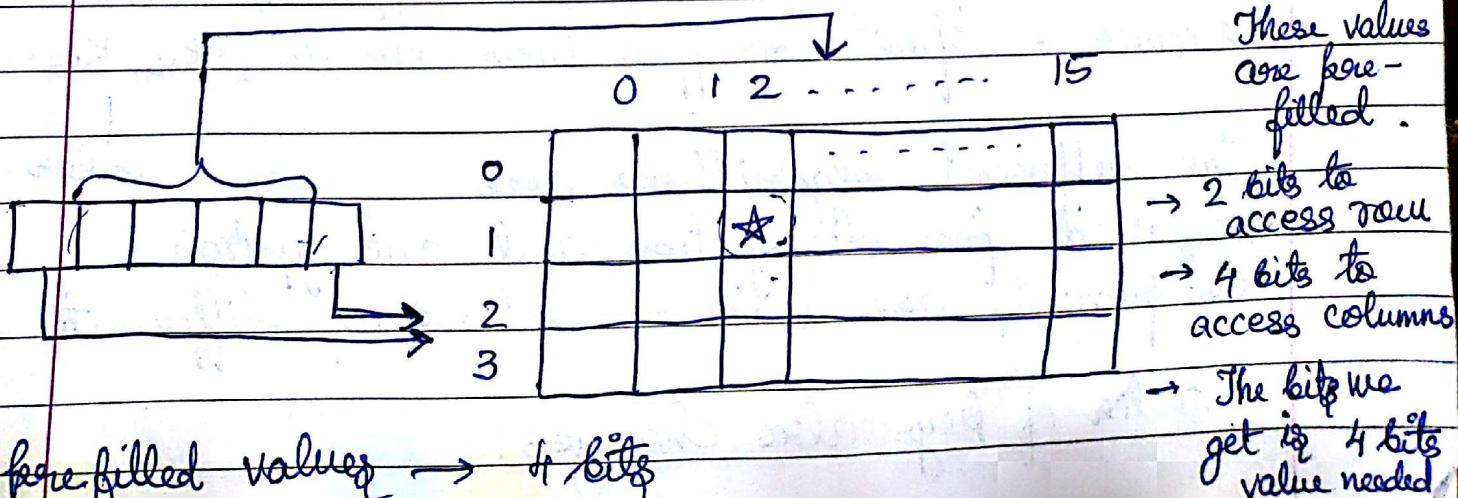


### Expansion P-boxes.



$$6 \times 8 = 48 \text{ bits}$$

Going from 48 bits to 32 bits using 8 S-Boxes



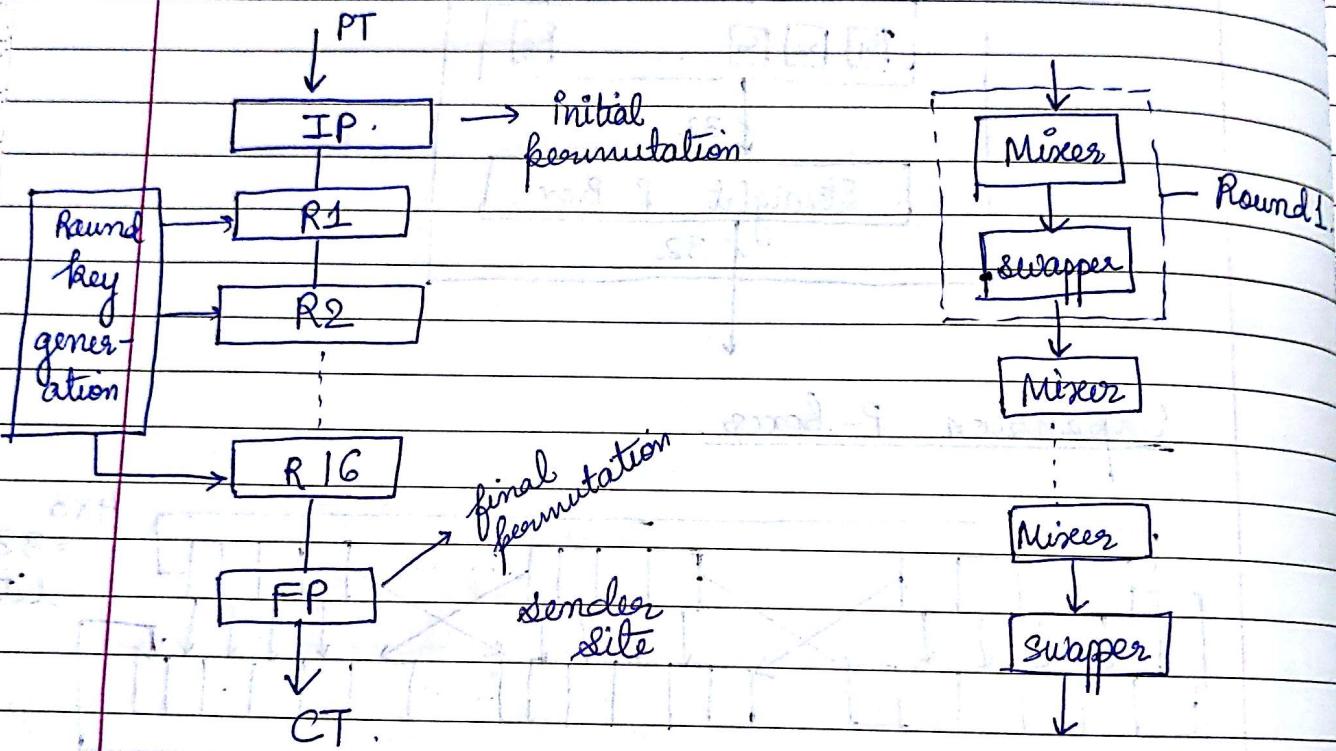
pre-filled values  $\rightarrow$  4 bits

straight boxes - public  $\therefore$  criticised.  
 $\Rightarrow$  switched to AES.

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DES

## Reverse Cipher



Sender side : I/P. Plain text, round keys.

Receiver side : IP : cipher text, round keys.

sender : first mixer than swapper,  $k_1, k_2 \dots k_{16}$

receiver : first swapper then mixer,  $K_{16}, K_{15} \dots K_1$

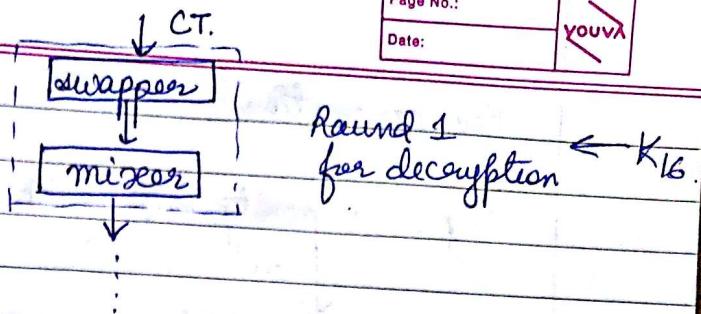
Two different algorithms are order.

required for decryption and encryption

Components are same - order is different.

Order of keys also changes.

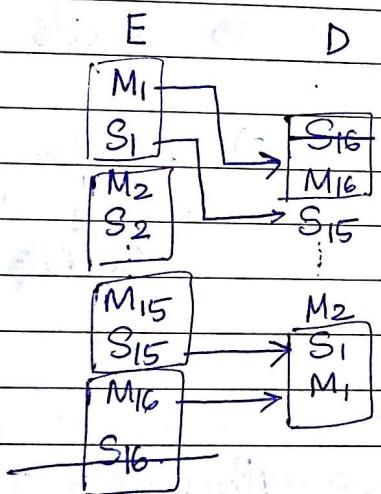
receiver side :



We need to align the rounds  $\rightarrow$  modify the last round a bit.

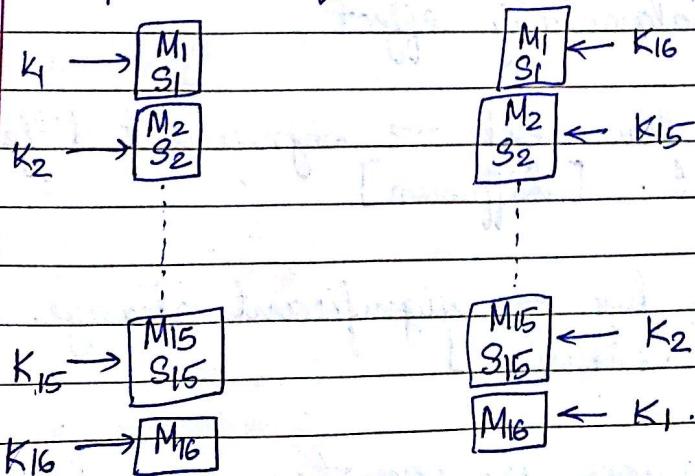
Remove the swapper in round 16 in encryption now my rounds are aligned.

To have same algorithm for encryption and decryption — use same machine.



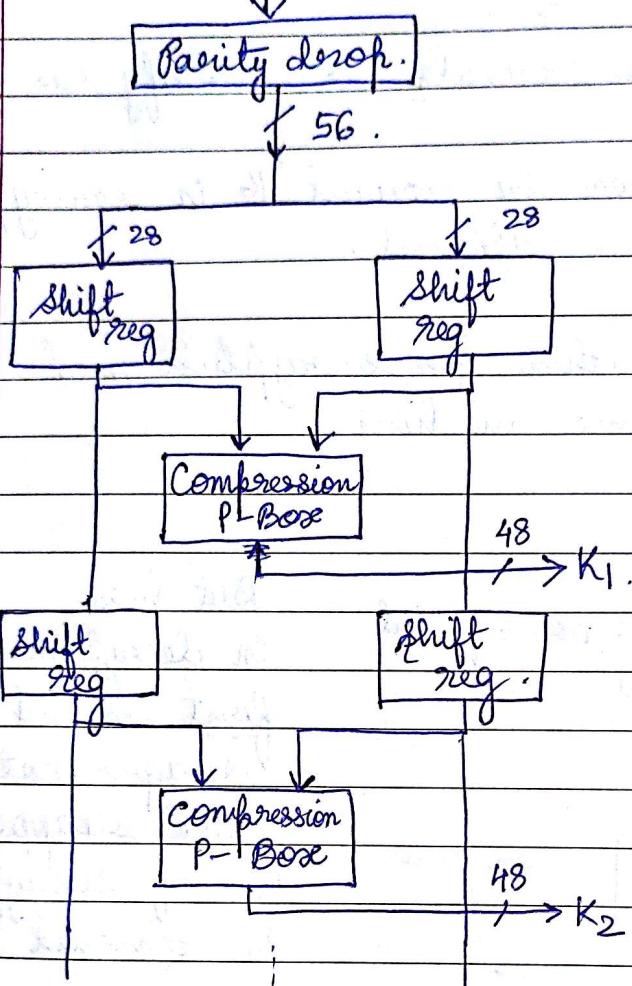
But here  
in decryption,  
first round  
swapper not needed  
since swapper of  
R16 of encryption  
is removed.

All mixers and swappers are same — Intermediate outputs are different.



## Key generation

Key with parity bits - 64 bits



- 1) Parity drop
- 2) Shift left  
(Circular shift)

Round 1, 2, 3, 16 ⇒  
1 bit left shift.

Round 3, 4, 5, 6, 7, 8, 10-15  
⇒ 2 bits left shift

3) compression P-  
boxes ⇒

56 bits to 48 bits

## Properties

A single bit difference affects more than 50% of output — avalanche effect.

Small change in plain text → significant difference in cipher text. [Diffusion]

Small change in key → significant change in cipher text [Confusion]

Each bit undergoes the operation — completeness

## Criticism (DES - Weakness)

- 1) Hiding the structure of S-boxes / P-boxes.
- 2) Keys → semi weak, weak, possible weak keys.

For complete encryption of text - atleast 2 rounds (even number needed). because 1 round modifies only half of plain text.

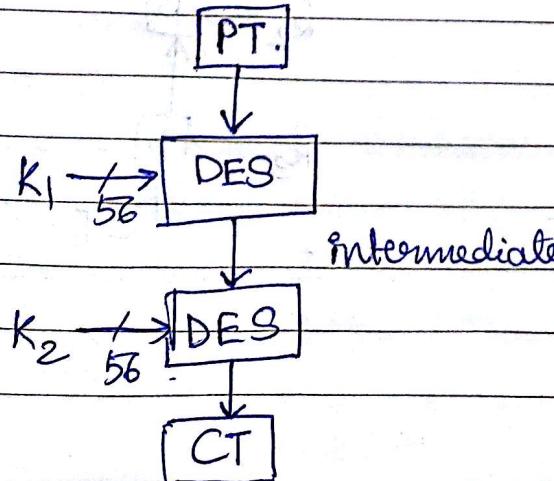
Why 16 rounds only? - according to DES standards :

- 1) more than 16 rounds - does not increase the strength of cipher.
- 2) less than 16 rounds - strength of cipher reduces.

## Security

- 1) "Brute force" attack is possible ( $2^{56}$ ) with today's technology.
- 2) Single DES cannot withstand Brute force attack.  
∴ Multiple DES used.

## Multiple DES



∴ Key space =  $2^{56} \cdot 2^{56} = 2^{112}$ .

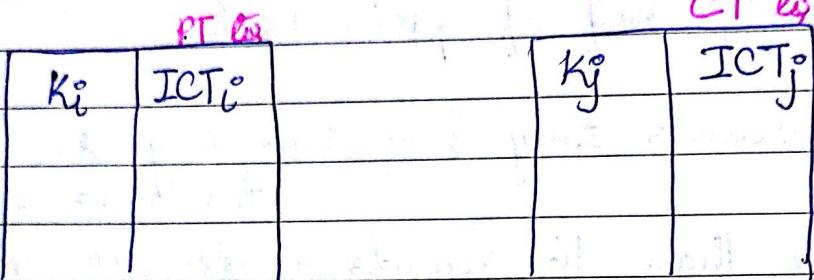
K<sub>1</sub> • K<sub>2</sub>

Ideally security should be  $2^{112}$ .

But there is a meet in the middle attack.

### Meet in the middle attack

Start with PT, reach intermediate cipher text.  
Start with CT, reach intermediate cipher text

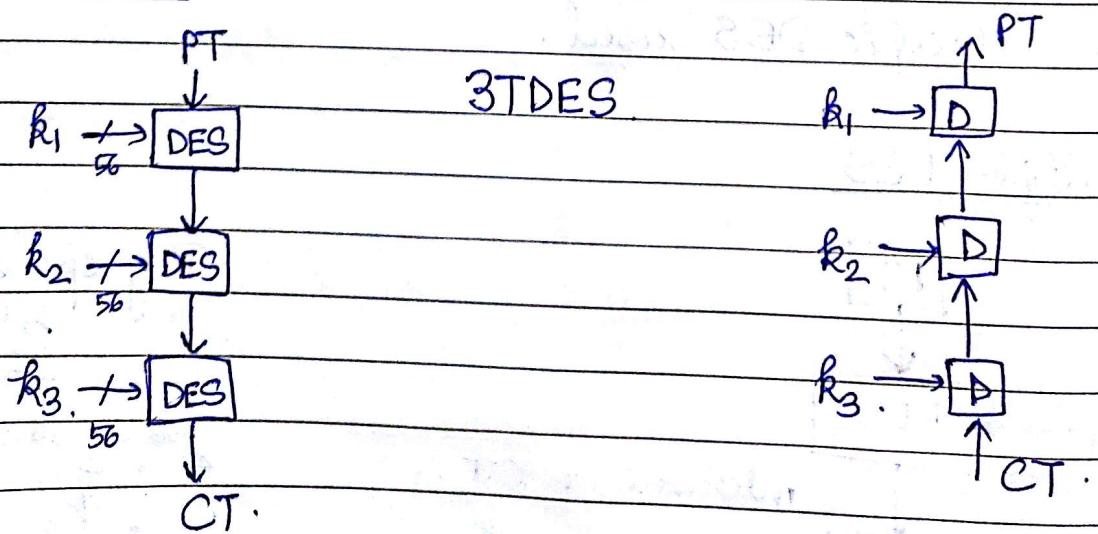


Sort tables based on  $ICT_i^o$  and  $ICT_j^o \rightarrow$  look for matching ICT.

$$2^{56} + 2^{56} \rightarrow 2^{57}. \text{ (Hardly more than single DES)}$$

Here, both plain text and cipher text needed for this attack.

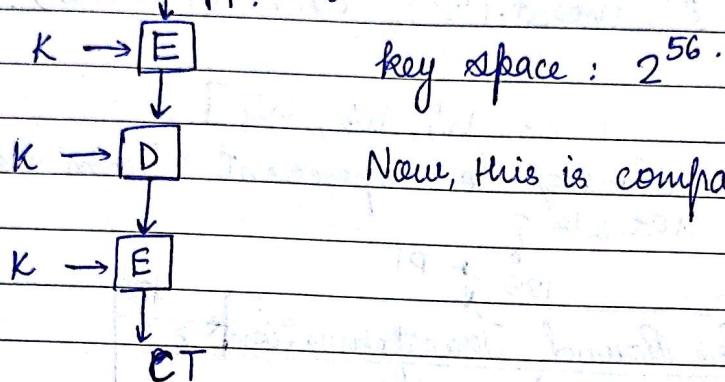
### Solution



## Triple DES (TDES)

Key space :  $2^{56} \times 3$ . Key : 56 bits

To make it backward compatible to single DES, it can also be designed as

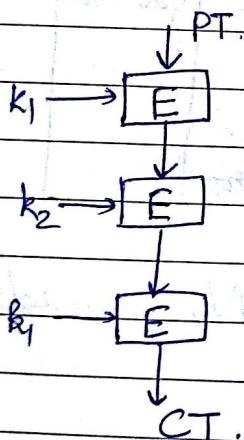


key space :  $2^{56}$ .

Now, this is compatible to single DES.

2TDES.  $k_1 = k_2, k_3$ .

Master ] TDES.



CAST. ] self  
IDEA study  
Blowfish algo.

Pretty good Privacy - TDES

DES : feistel cipher.

## AES (Advanced Encryption Scheme)

With advancements in computing system — brute force attacks became a possibility

∴ AES was introduced.

some conventions/constraints to follow.  
were standardized

Eg : key size, ease of encryption etc.

# Rijndael.

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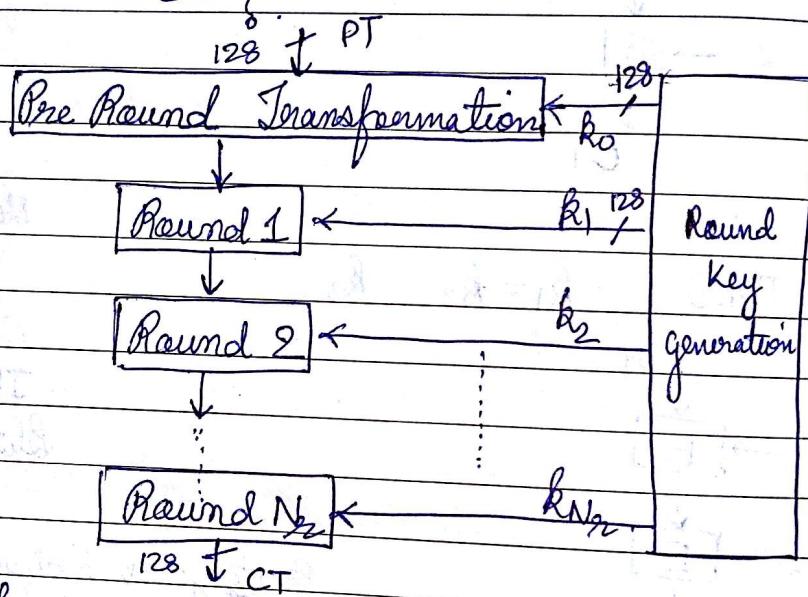
YUVAK

- \* 128 bit block
- \* Versions key size # rounds.
 

- 128	10
- 192	12
- 256	14

- \* bit (1), byte (8), word (32), block (128 bits).

- \* state  $S = [w_0, w_1, w_2, w_3]$ .
- \* preprocess blocks/ to represent them as states
- \* rounds?



$(N_z + 1)$  keys are generated for  $N_z$  rounds.  
per round.

Last round is different than others.

Structure of Each Round.

- \* SubByte - Intrabyte substitution

A lookup table is given → using lookup table.

→ using GF( $2^8$ ) with  
 $x^8 + x^4 + x^3 + x + 1$

## sub byte - substitute byte

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Byte in each cell.


2

$$\text{Sub-byte } d = X(S_{8,c})^{-1} \oplus Y$$

$X, Y$  - constant polynomials

$GF(2^8) \rightarrow$  use this and not just 8 bit binary number because inverse is available for all elements of  $GF(2^8)$ .

Plain text is arranged columnwise in state matrix to find  $S_{8,c}$ .

$$128 = 16 \times 8$$

$$X = \begin{matrix} & \begin{matrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 \end{matrix} \\ \text{rotations} & \vdots \end{matrix} \quad Y = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{bmatrix}.$$

$$\text{Inverse : } [X^{-1}(d \oplus Y)]^{-1} = S_{8,c}.$$

Put value as  $d$  and verify.

$$\begin{aligned} & [X^{-1}(X(S_{8,c})^{-1} \oplus Y \oplus Y)]^{-1} \\ &= [X^{-1}X(S_{8,c})^{-1}]^{-1} \\ &= [(S_{8,c})^{-1}]^{-1} = S_{8,c}. \end{aligned}$$

$(S_{8,c})^{-1} \rightarrow$  calculating this inverse is non-linear transformation

$\therefore d = X(S_{8,c})^{-1} \oplus Y$  transformation becomes non-linear

↓  
required to get diffusion and confusion

\* Shift rows

Row 0 → No Shift

1 → 1 byte

2 → 2 bytes

3 → 3 bytes

Polynomial Irreducible

$$= 100011011$$

1	0	0	0	1	1	0	1	1	1
0	0	0	1	1	0	1	1	1	1
0	0	1	1	0	1	1	1	1	0
0	1	1	0	1	1	1	0	0	0
1	1	0	1	1	1	0	1	0	0
1	0	1	1	1	0	0	0	1	1
0	1	1	1	0	0	0	1	1	1
-1	1	1	0	0	0	1	1	1	0

shift left  
Keep shifting

$$d = X \cdot S_{a,c} + Y$$

$$\begin{matrix} 8 \times 8 & 8 \times 1 \end{matrix}$$

$$S_{a,c} = [X(d \oplus Y)]^{-1}$$

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## \* Mixing (Reversible transformation)

$$\mathcal{S} = [w_0, w_1, w_2, w_3]$$

$y^9, z^9, t^9, x^9$  = individual bytes

$$\begin{bmatrix} w_i \\ x^9 \\ y^9 \\ z^9 \\ t^9 \end{bmatrix} = \begin{bmatrix} a & b & c & d \\ e & f & g & h \\ i & j & k & l \\ m & n & o & p \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ t \end{bmatrix}$$

constant  
matrix  
AES standard

inverse  
exists

$x^9 \rightarrow$   
 ~~$x^9 \rightarrow$~~

$$x^9 = ax + by + cz + dt$$

$$y^9 =$$

$$z^9 =$$

$$t^9 =$$

$$W_1 = [b_1 \ b_2 \ b_3 \ b_4]$$

$$b_1 = b_5 \quad b_1' = b_5' ?$$

$$W_2 = [b_5 \ b_6 \ b_7 \ b_8]$$

$$b_2 = b_6$$

$$b_3 = b_7$$

NO

context dependent.

$$b_4 \neq b_8$$

Even if one byte only is  
different and rest is same,

context is  
different.

still its transformation bytes  
will all be different.

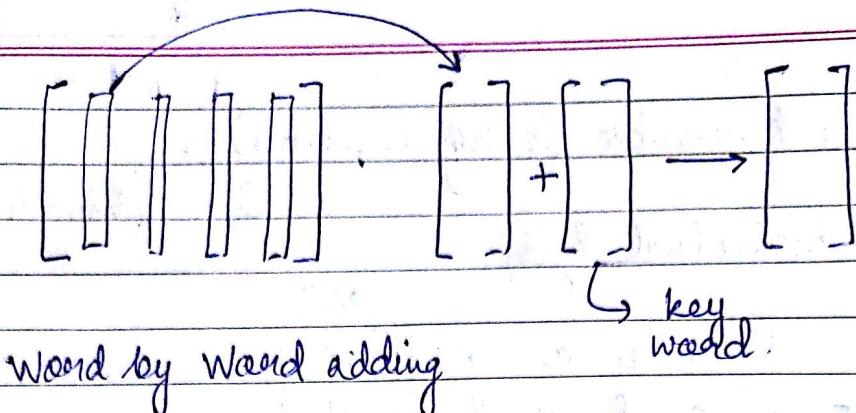
Interbyte transformation - substitution depends  
on contents of neighbouring bytes as well.

## \* Add Round Key.

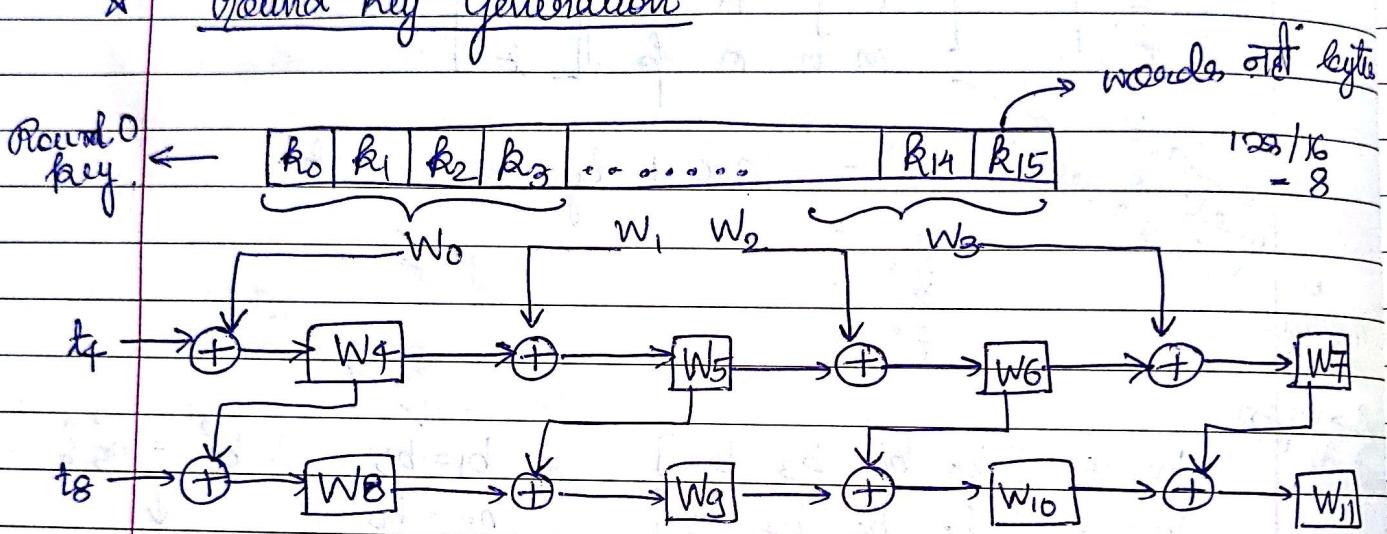
$$\mathcal{S} = [w_0 \ w_1 \ w_2 \ w_3]$$

It will work word by word.

$$= \begin{bmatrix} b_0 & b_4 & b_8 & b_{12} \\ b_1 & b_5 & b_9 & b_{13} \\ b_2 & b_6 & b_{10} & b_{14} \\ b_3 & b_7 & b_{11} & b_{15} \end{bmatrix}$$



### \* Round Key Generation



$W_4 W_5 W_6 W_7 \rightarrow$  Round 1 key

$W_8 W_9 W_{10} W_{11} \rightarrow$  Round 2 key.

where  $t_p$  = subword (rotated word ( $W_{i+1}$ ))  $\oplus$  Rotated Constt  
 $t_4 =$  *i/4*

1) if ( $i \% 4 \neq 0$ )

$$W_i^o = W_{i-1}^o \oplus W_{i-4}^o$$

else

$$W_i^o = t_i^o \oplus W_{i-4}^o$$

After  
rotating Word  
↓

R<sub>13</sub> R<sub>14</sub> R<sub>15</sub> R<sub>12</sub>

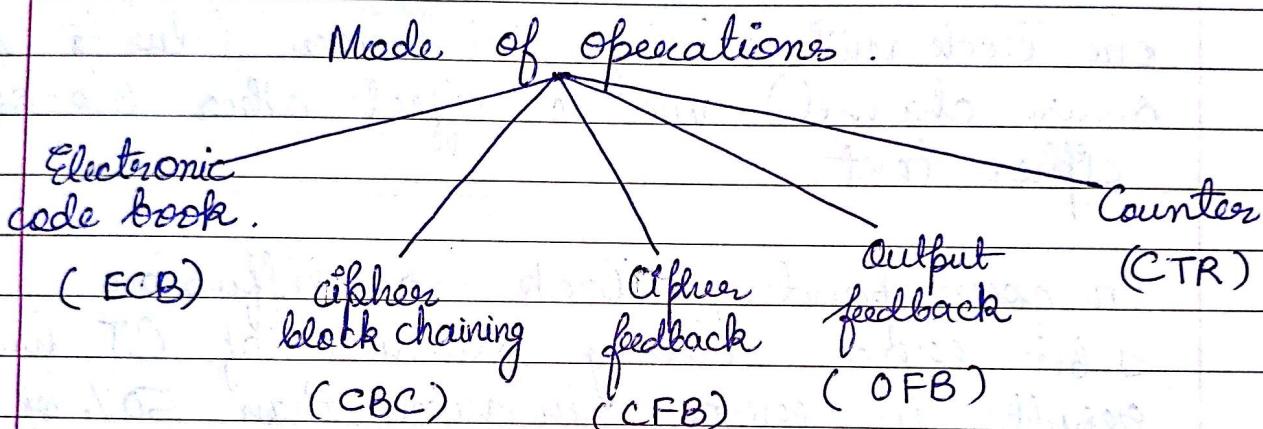
Make encryption and decryption compatible →  
last round if no mixing function

All operations reversible

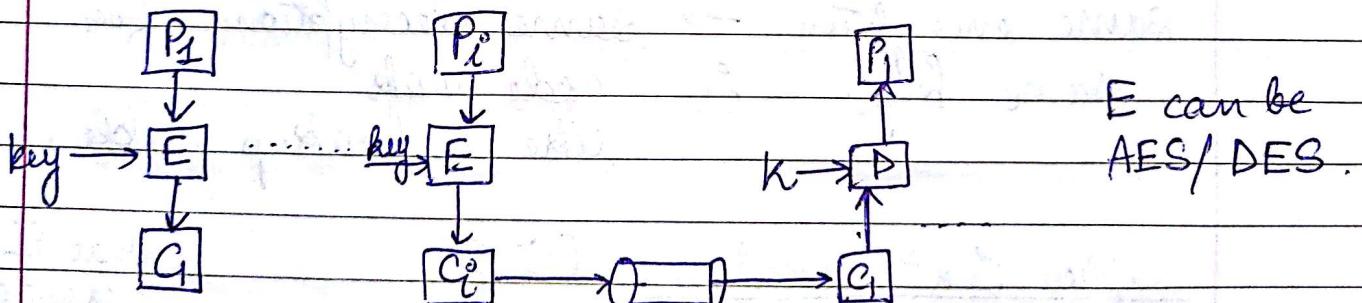
$$\text{key space} = 2^{128}$$

Brute force attack not possible.

## Mode of Operations (Use of modern cipher)



### i) ECB



### Problem

$$P_3^1 \neq P_3^2$$

$$C_1^1 = C_1^2$$

$$M_1 - P_1^1 P_2^1 P_3^1 P_4^1$$

$$\begin{bmatrix} C_1^1 & C_2^1 & C_3^1 & C_4^1 \end{bmatrix}$$

$$M_2 - P_1^2 P_2^2 P_3^2 P_4^2$$

$$\begin{bmatrix} C_1^2 & C_2^2 & C_3^2 & C_4^2 \end{bmatrix}$$

If  $(P_{11} = P_{21}) \Rightarrow C_{11} = C_{21}$  (for same key)  
 If  $(P_{11} \neq P_{21}) \Rightarrow C_{11} \neq C_{21}$  ( $\underbrace{\quad \quad \quad \quad \quad}_{n}$ )

$P_{11} = P_{31} \Rightarrow C_{11} = C_{31}$ . } does not depend  
 $P_{11} \neq P_{31} \Rightarrow C_{11} \neq C_{31}$ . } on continuous.  
 blocks.

Parallel computing possible here.  
 Each and every block is independent for  
 encryption and decryption

one block with a single bit error (due to non-  
 secure channel) will not affect other blocks of  
 cipher text.

In corresponding block  $\rightarrow$  diffusion

1 bit error during transmission of CT will  
 result in error in more than 50% of that  
 CT block bits

Same encryption  $\rightarrow$  same decryption for  
 same key.  $\therefore$  code book.  
 like a lookup table.

### Cipher Text Stealing (CTS)

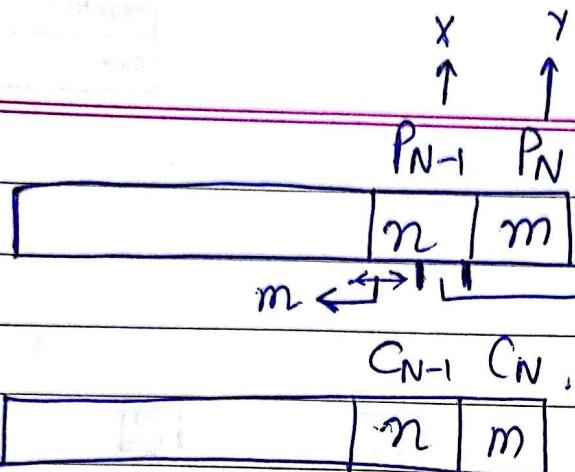
$P_{N-1}$  &  $P_N$  get encrypted differently.  
 and out of order. what if  
 message is less than 128 bits.

$P_{N-1} \rightarrow n$  bits &  $P_N \rightarrow m$  bits,  $m < n$ .  
Encryption

$$X = E_k(P_{N-1}) \Rightarrow C_N = \text{head}_m(X)$$

$$Y = P_N | \text{tail}_{(n-m)}(X) \Rightarrow C_{N-1} = F_k(Y).$$

$$m + (n-m).$$



### Decryption

$$Y = D_K(C_{N-1}) \Rightarrow P_N = \text{head}_m(Y)$$

$$X = C_N | \text{tail}_{n-m}(Y) \Rightarrow P_{N-1} = D_K(X).$$

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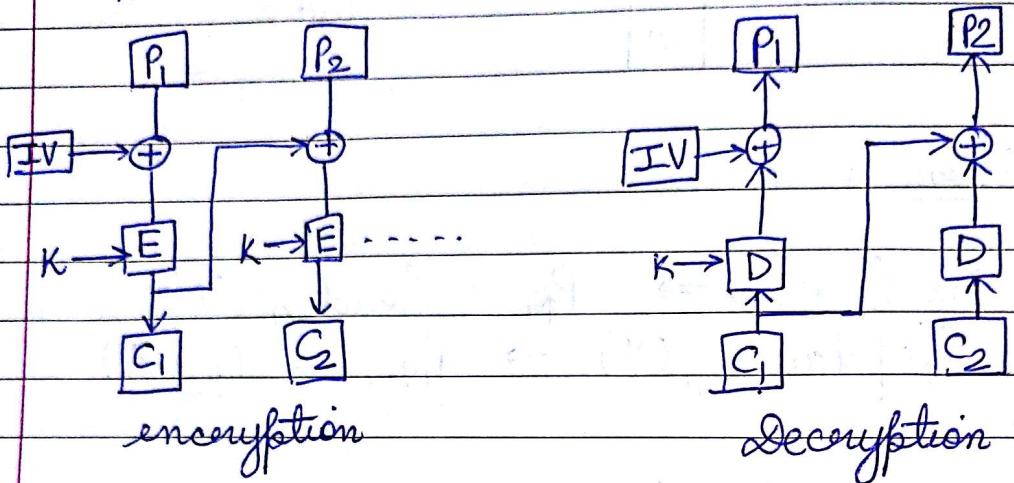
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Mode of Operations

## Cipher Block Chaining.



$$C_i^o = E_K(P_i \oplus C_{i-1}^o) \text{ for } i > 1$$

$$C_1^o = E_K(P_1 \oplus IV)$$

] Encryption

where IV is initialisation vector

predefined agreed upon vector

$$P_i^o = D_K(C_i^o \oplus C_{i-1}^o)$$

Preprocessing - XOR before encryption

IV can be kept secret in addition to key.

$$\begin{array}{l} M_i \dots \dots \\ M_{i+1} \dots \dots \end{array} \quad \begin{array}{l} P_i^o \\ P_j^o \end{array}$$

$$\begin{aligned} P_i^o &= P_j^o \\ C_i^o &\neq C_j^o \end{aligned}$$

But if their position is same in both messages, say  $i$  and  $j$  to  $P_i^o$  are same, then cipher text will be same.

$C_1$  has 1 bit error

Corresponding  $P_1$  will show avalanching effect  
(diffusion).

But  $C_2, C_3 \dots$  will not be as affected.

$C_i$  - 1 bit error,  $P_i^o$  - # bits <sup>more than</sup> 50% errors

$C_{i+1}$  - 0 bit error,  $P_{i+1}^o$  - 1 bit error

Rest - no errors.

$C_i$  - 1 bit error,  $P_i$  - lot of bit errors

$C_{i+1}$  - no errors,  $P_{i+1}^o$  - 1 bit error as it has  $C_i^o$  as I/P.

### Cipher Text Stealing

Encryption :  $U = P_{N-1} \oplus C_{N-2}$

$$\Rightarrow X = E_K(U) \Rightarrow C_N = \text{head}_m(X)$$

Decryption :  $V = P_N | \text{Pad}_{n-m}$

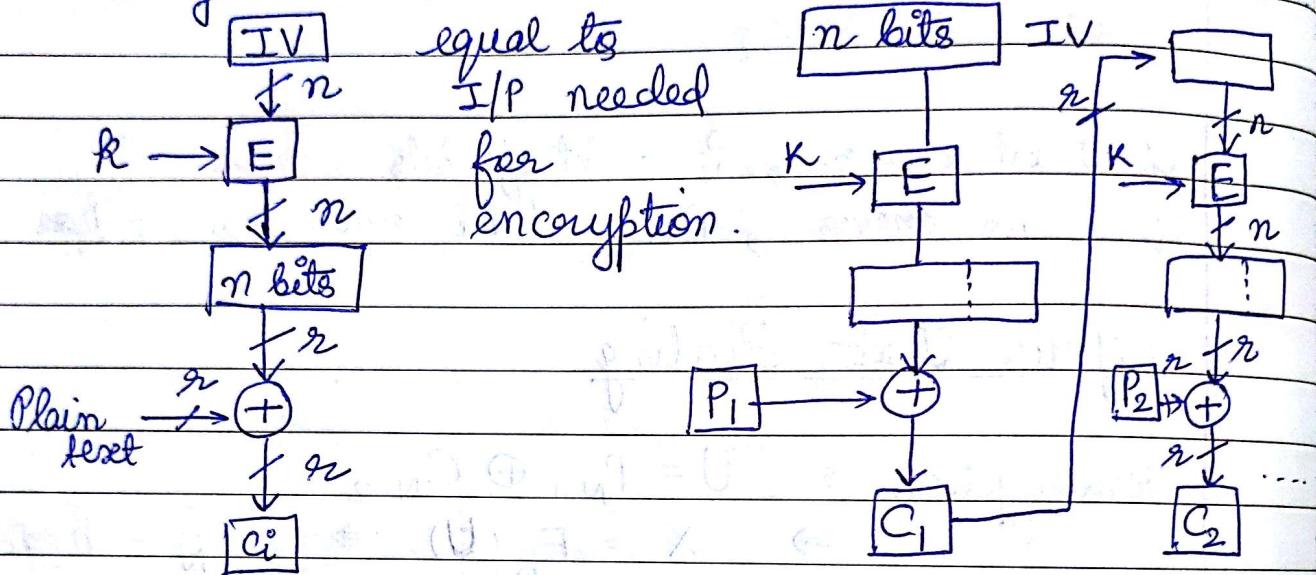
$$\Rightarrow Y = D_K(V) = X \oplus V$$

$$\Rightarrow C_{N-1} = E_K(Y)$$

## Cipher Text Feedback

IV encrypted using key  $\rightarrow$  whatever is generated is XORed with plain text to get cipher text.

Advantage : Plain text block size need not be

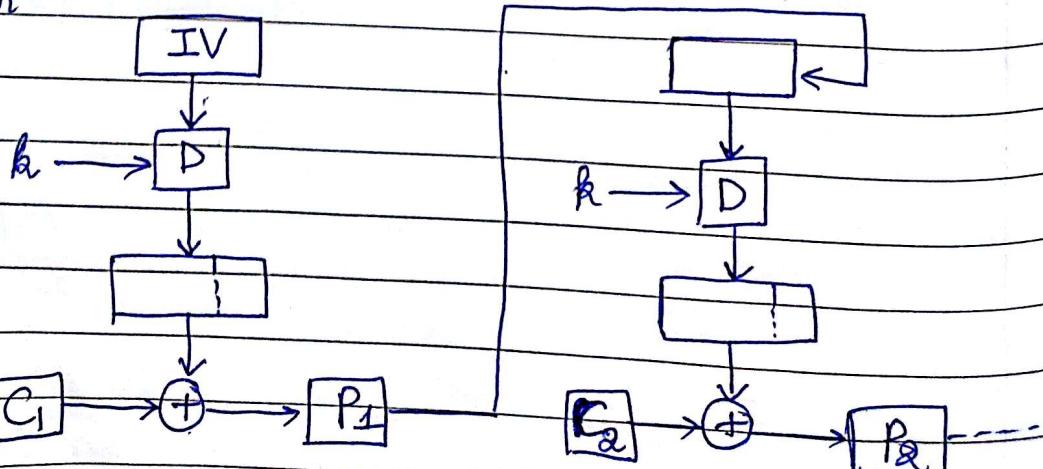


IV  $\rightarrow$  shift registers

$\rightarrow$  shifted left  $r$  bits for next round  
and  $C_{i-1}$  is appended.

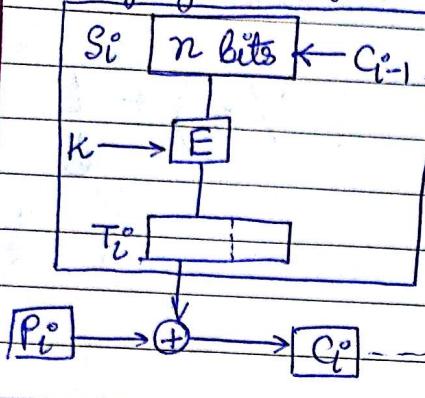
Operation is  $\oplus$ . - self invertible if one of the I/Ps. is same.

## Decryption

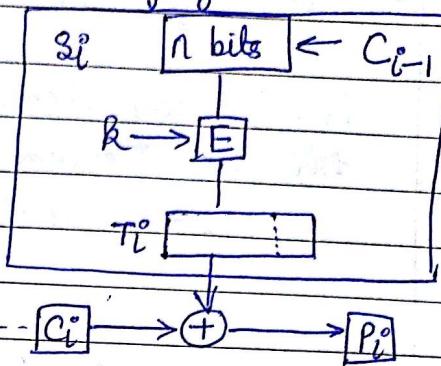


## CFB as stream cipher

Key generator



Key generator



Are block patterns preserved?

No, heavily dependent on encryption of previous block cipher text.

If  $P_k = P_j$        $M_1 = P_1 \dots P_k \dots P_m$ .  
 $C_k \neq C_j$        $M_2 = P_1 \dots P_j \dots P_l$ .

If first 'k' blocks of P are same for  $M_1$  and  $M_2$ ,  $C_{k+1}^{M_1} = C_{k+1}^{M_2}$

If  $C_1$  has 1 bit error,  $P_1$  has 1 bit error.  
 Same  $C_1$  is used to generate key for  $P_2$ .  
 no error in  $C_2$  but key is corrupted.  
 $\therefore P_2$  will have most bits in errors.

$C_3$  - no error      if  $s_2 = n$ , then no error in  
 $C_2$  - no error       $P_3$  and ahead.

If  $s_2 < n \rightarrow$  the corrupted bit of  $C_1$  might still persist after shifting the shift register  
 $\therefore$  Key is corrupted  $\Rightarrow P_3$  will be corrupted

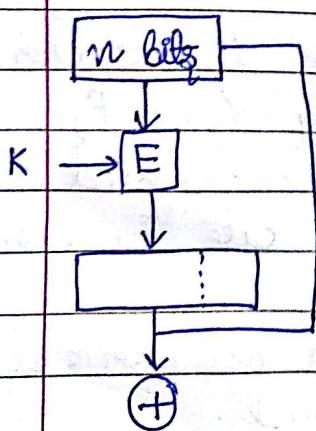
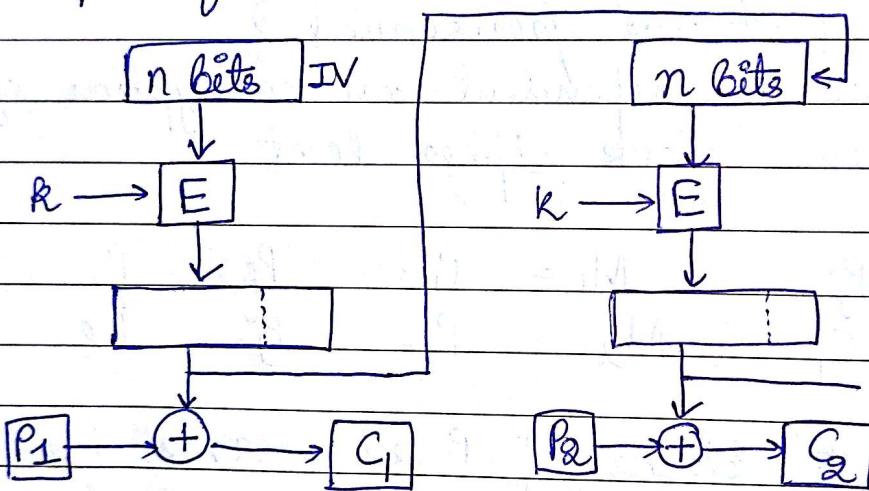
more than 50% bits will be corrupted.

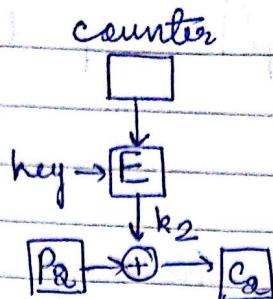
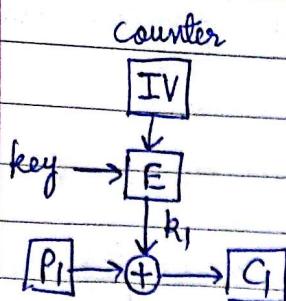
Until that error bit of  $C_1$  does not leave the shift register, key will be corrupted and  $P_i$  will be with errors.

how many iterations ?  $\left(\frac{n}{s_2}\right) + 1$   
 till no error in  $P$   
 for  $s_2 < n$ .

If  $C_1$  has  
1 bit error

### Output feedback



Counter mode

content of counter  
is encrypted to  
generate random  
key.

Stream Ciphers

RC4 / A5/1. (self study)

byte oriented  
used in data communication  
and N/W protocols

Eg: SSL/TLS, IEEE802.11

Asymmetric Key Cryptography

symmetric key cryptography : sharing secrecy,  
symbols are permuted / substituted

asymmetric key cryptography : personal secrecy  
, numbers are manipulated.

Keys : private and public

locking done using public key

unlocking done using private key

] for confidentiality

$$\text{sender } x \xrightarrow{f} y \quad \text{receiver} \quad \Rightarrow \quad g(t, y) = x \quad \text{trapdoor}$$

only receiver has  $t$ , sender won't be able to  
decrypt  $y$ , even when it knows  $x$ .

$$\phi(n) = |\mathbb{Z}_n^*|$$

$$\phi(p) = |\mathbb{Z}_p^*| = p-1 \quad p: \text{prime number}$$

## RSA

Let  $n = p^* q$ , where  $p$  and  $q$  are primes

Let  $P = C = \mathbb{Z}_n$  and define

$P$ : plaintext  
 $C$ : ciphertext

$$K = \{ (n, p, q, a, b) : ab \equiv 1 \pmod{\phi(n)} \}$$

$a$  and  $b$ : multiplicative inverses in  $\phi(n)$

Encryption :  $PT = x$ ,  $CT = y$ .

$$y = E_K(x) = x^b \pmod{n}$$

$b$ : public key  
sender key.

Decryption :  $x = D_K(y) = y^a \pmod{n}$

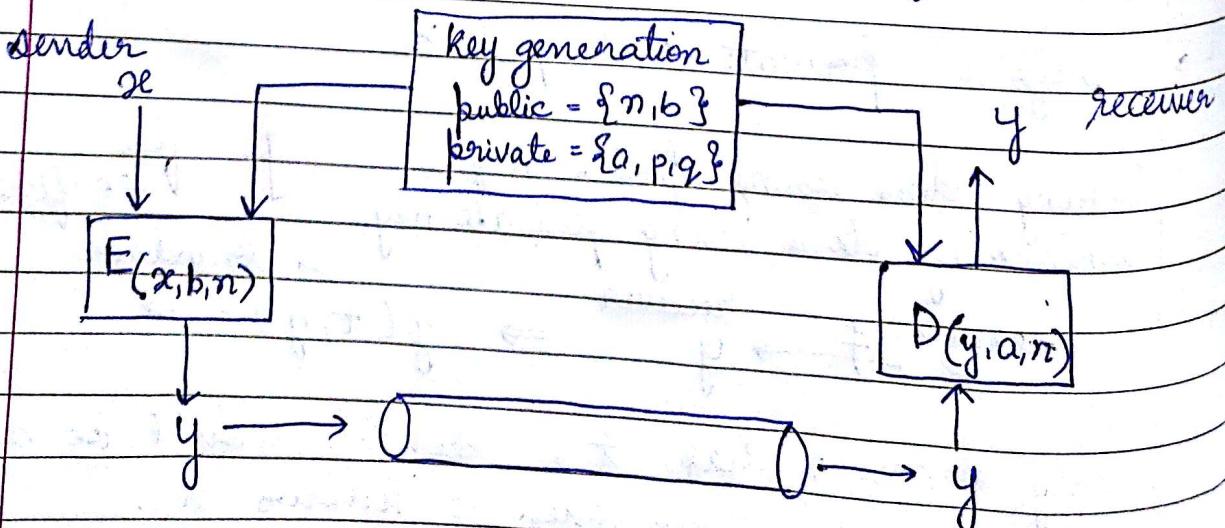
$$= x^{ab} \pmod{n}$$

$$= x^{1 \pmod{\phi(n)}} \pmod{n}$$

$$= x \pmod{n}$$

$a$ : private key  
receiver key

public key =  $\{ n, b \}$ , private key =  $\{ p, q, a \}$



$\phi(n) = |\mathbb{Z}_n^*|$  - difficult to compute  
no polynomial time algo, base of asymm key ciphers.

$n = p^* q$  (receiver does key generation)

$$\begin{aligned}\phi(n) &= \phi(p) \cdot \phi(q) \\ \text{receiver knows} &= (p-1)(q-1)\end{aligned}$$

For attacker, if she doesn't know private key 'a',  
then she must find inverse of public key 'b'  
in  $\mathbb{Z}_{\phi(n)}$  and  $\phi(n) = \phi(p-1) \cdot \phi(q-1)$ .

For computing  $\phi(n)$ , attacker requires values  $p$  and  $q$ .  
If factors of  $n$  are unknown and very large  
then factoring  $n$  is not feasible  
- problem of factorisation.

However, if factors of  $p$  and  $q$  are known, then  
there is efficient algo for computing modulo  
the integers  $\rightarrow$  primes and composites

Availability of prime nos should be infinite since  
asymmetric key ciphers heavily use primes.

### Euler's Phi Function

# elements relatively prime to  $n$ .

# elements in  $\mathbb{Z}_n^*$

(i)  $\phi(1) = 0$

(ii)  $\phi(p) = (p-1)$  if  $p$  is prime

(iii)  $\phi(m^* n) = \phi(m)^* \phi(n)$  if  $m, n$  are coprimes

(iv)  $\phi(p^e) = p^e - p^{e-1}$ , if  $p$  is prime.

$$n = p_1^{e_1} \cdot p_2^{e_2} \cdot p_3^{e_3} \cdots p_k^{e_k}$$

$$\phi(n) = (p_1^{e_1} - p_1^{e_1-1})(p_2^{e_2} - p_2^{e_2-1}) \cdots$$

$$n = 15, p = 3, p^3 = 5, e_1 = e_2 = 1.$$

$$\phi(n) = (3^1 - 3^0)(5^1 - 5^0) = 2 \times 4 = 8.$$

Difficulty of finding  $\phi(n)$  depends on that of factorising  $n$ .

For  $n > 2$ ,  $\phi(n)$  is even.

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## Fermat Little Theorem

\* 1<sup>st</sup> version

$a^{p-1} \equiv 1 \pmod{p}$ , where  $p$  is prime  
,  $a$  and  $p$  are relatively prime

\* 2<sup>nd</sup> version

$a^p \equiv a \pmod{p}$ , where  $a$  &  $p$  are relatively prime

### Application

1) Exponential

Ex)  $n = 17$ ,  $a = 9$ ,  $e = 50$

$$9^{16} \equiv 1 \pmod{17}$$

$$\begin{aligned} 9^{50} &\equiv (9^{16})^3 \times 9^2 = 1^3 \times 9^2 \\ &= 81 \pmod{17}. \end{aligned}$$

2) Inverse.

$$a \cdot a^{-1} \equiv 1 \pmod{p} \equiv a^{p-1}$$

$$a^{-1} \equiv a^{p-2} \pmod{p}$$

$$\begin{aligned} a &= 6 & a^{-1} &= 6^{15} \pmod{17} \\ p &= 17 & &= \dots \end{aligned}$$

## Euler's Theorem

\* Generalisation of Fermat Little Theorem.

Modulus in Fermat Little Theorem is prime whereas that in Euler's Theorem is an integer

$$1) \quad a^{\phi(n)} \equiv 1 \pmod{n}.$$

$$2) \quad \text{If } n = p \times q, \quad a < n \text{ and } k \text{ is an integer}$$

$$a^{k * \phi(n) + 1} \equiv a \pmod{n} \quad [\text{Used in ASA}]$$

Three cases are possible

a)  $a$  is neither a multiple of  $p$  nor  $q$ , then  $a$  and  $n$  are coprime ( $n = p^k q$ ).

$$\begin{aligned} a^{k * \phi(n) + 1} \pmod{n} &\equiv (a^{\phi(n)})^k \times a^1 \pmod{n} \\ &\equiv 1^k \times a^1 \pmod{n} \\ &\equiv a \pmod{n}. \end{aligned}$$

b)  $a$  is a multiple of  $p$  but not of  $q$ . ( $a = i^k p$ )

$$a^{\phi(n)} \pmod{q} = (a^{\phi(q)})^{\phi(p)} \pmod{q} = 1.$$

not mod  $n$ .  
because  $n = pq$   $\Rightarrow a^{\phi(n)} \pmod{q} = 1 \pmod{n}$ .  
and  $a = i^k p$ .

$$\begin{aligned} a^{k * \phi(n)} \pmod{q} &\equiv (a^{\phi(n)})^k \pmod{q} \\ &\equiv 1 \pmod{q} \\ &\equiv 1. \end{aligned}$$

$$a^{k * \phi(n)} \pmod{q} = 1 + j^k q.$$

$$\begin{aligned}
 a^{k^*\phi(n)+1} \pmod{q} &= a^1 * (1 + j^* q) \\
 &= a + ajq \\
 &= a(1 + jq) \\
 &= ip(1 + qj) \\
 &= a + ipqj \\
 &= a + ijn.
 \end{aligned}$$

$$a^{k^*\phi(n)+1} = a + (ij)n.$$

$$a^{k^*\phi(n)+1} \pmod{n} \equiv a \pmod{n}.$$

congruence relation we will get.

c)  $a$  is a multiple of  $q$ , but not of  $p$ . ( $a = j^* q$ )

proof of correctness for RSA algorithm.

### RSA Cryptosystem

Rewrite  
4 lines  
of RSA.

$$y = E_k(x) = x^b \pmod{n}$$

$$x = D_k(y) = y^a \pmod{n}$$

$$K_{\text{public}} = \{n, b\}$$

$$K_{\text{priv}} = \{p, q, a\} \quad ; \quad x, y \in \mathbb{Z}_n$$

### Proof of correctness

$$a^*b \equiv 1 \pmod{\phi(n)}$$

$$a^*b = 1 + t^* \phi(n), \quad t \geq 1$$

Suppose

$x \in \mathbb{Z}_n^* \Rightarrow n$  and  $x$  are coprime

$$x^{ab} \equiv x^{1+t^* \phi(n)} \pmod{n}$$

$$\equiv x \cdot x^{\phi(n)*t} \pmod{n}$$

$$\equiv x \cdot (x^{\phi(n)})^t \pmod{n}$$

$$\equiv x \cdot 1^t \pmod{n}$$

$$\star \star \star x^{ab} \equiv x \pmod{n}$$

(Fermat Little Theorem)  
→ (Euler's Thm).

Suppose

$x \in \mathbb{Z}_n - \mathbb{Z}_n^* \Rightarrow x$  and  $n$  are not coprime.

$$p^*q = n$$

$$x \in \mathbb{Z}_n = \{0, 1, \dots, n-1\}$$

since  $x$  and  $n$  are not coprime,

1)  $x$  is a multiple of  $p$ .

2)  $x$  is a multiple of  $q$ .

If  $x$  is a multiple of both  $p$  and  $q$ ,  
 $x \notin \mathbb{Z}_n$  because  $x \geq n$ .

1)  $x$  is a multiple of  $\beta$ .

$$\gcd(x, \beta) = \beta.$$

$$\gcd(x, q) = 1.$$

Apply fermat little theorem

$$x^{\phi(n)} \equiv 1 \pmod{q}.$$

$$x^{t \cdot \phi(p) \cdot \phi(q)} = 1 \pmod{q}$$

$$x^{t \phi(n)} = 1 \pmod{q}$$

$$\Rightarrow x^{t \phi(n)} = 1 + kg, k \text{ is an integer.}$$

Multiply both sides by  $x$ .

$$x^{t \phi(n)+1} = x + xkg.$$

Since  $\gcd(x, \beta) = \beta \Rightarrow x = cb, c \geq 1$ .

$$\therefore x^{t \phi(n)+1} = x + xck\frac{pq}{n}.$$

$$\Rightarrow x^{t \phi(n)+1} = x^{ab} \equiv x \pmod{n}.$$

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## Primality Testing

### Deterministic Algorithm

\* Divisibility Algo

$O(2^{n_b})$

if prime no.  
to test is  
 $n_b$  bits long

\* AKS.

$$(x-a)^n \equiv (x^n - a) \pmod{n}$$

$$\star O[(\log n_b)^{12}]$$

### Probabilistic Algorithm

\* Fermat Test

$$\text{If } n \text{ is prime, } a^{n-1} \equiv 1 \pmod{n}$$

$$\text{But } 2^{\frac{561-1}{4}} \equiv 1 \pmod{561} \text{ passes}$$

Fermat test but 561 is not a prime

$$33 * 17$$

\* Square-root test

$$\text{If } n \text{ is prime, } \sqrt{1} \pmod{n} = \pm 1$$

If  $n$  is composite,  $\sqrt{1} \pmod{n} = \pm 1$   
and possibly more values.

$$x \in \mathbb{Z}_6$$

$$x \quad x^2 \quad \sqrt{x^2}$$

$$1 \quad 1 \quad \sqrt{1} = 1$$

$$2 \quad 4 \quad \sqrt{4} = 2$$

$$3 \quad 3 \quad \sqrt{3} = 3$$

$$4 \quad 4 \quad \sqrt{4} = 4$$

$$5 \quad 1 \quad \sqrt{5} \neq 5$$

$$\sqrt{1} = 5 \\ = -1$$

$$x \in \mathbb{Z}_7$$

$$x \quad x^2 \quad \sqrt{x^2}$$

$$1 \quad 1 \quad \sqrt{1} = 1$$

$$2 \quad 4 \quad \sqrt{4} = 2$$

$$3 \quad 2 \quad \sqrt{2} = 3$$

$$4 \quad 2 \quad \sqrt{2} = 4 - 3$$

$$5 \quad 4 \quad \sqrt{4} = 5 - 2$$

$$6 \quad 1 \quad \sqrt{1} = 6 - 1$$

$$\therefore \sqrt{1} \bmod 7 = \pm 1.$$

$x \in \mathbb{Z}_7$

$$x \quad x^2 = y \quad \sqrt{y}$$

$$1 \quad 1 \quad \sqrt{1} = \boxed{1}$$

$$2 \quad 4 \quad \sqrt{4} = 2$$

$$3 \quad 1 \quad \sqrt{1} = \boxed{3}$$

$$4 \quad 0 \quad \sqrt{0} = 4$$

$$5 \quad 1 \quad \sqrt{1} = \boxed{5} \quad \boxed{-3}$$

$$6 \quad 4 \quad \sqrt{4} = 6$$

$$7 \quad 1 \quad \sqrt{1} = \boxed{7} \quad \boxed{-1}$$

$$\sqrt{1} \bmod 8 = \begin{cases} \pm 1 \\ \pm 3 \end{cases}$$

For  $x \in \mathbb{Z}_7$ , 7 is prime

$$\sqrt{1} = \pm 1.$$

For  $x \in \mathbb{Z}_6$ ,

$$\sqrt{1} = \pm 1 \text{ but } 6 \text{ is not prime.}$$

$\therefore$  sometimes square root test fails.

★ Miller - Robin Test

(Fermat test + square root test)

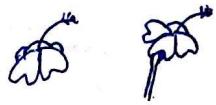
$$O(\log n_b)^3$$

Represent  $n-1 = m \cdot 2^k$  where m is odd.

Fermat test  $a^{n-1} \equiv 1 \pmod{n}$ , n is prime

$$n-1 = m \cdot 2^k$$

$\therefore a^{m \cdot 2^k} \equiv 1 \pmod{n}$  if n is prime



Let  $a^m = t$   $\dots k \text{ times}$

$$(t^2)^2 \dots$$

1<sup>st</sup> iteration

2<sup>nd</sup>

3<sup>rd</sup>.

$$t^2$$

$$t_1^2$$

$$t_2^2$$

$$t_1$$

$$t_2 \approx \pm 1$$

$$t_3 = 1$$

if .

$$\sqrt{t_3} = t_2$$

$$\sqrt{1} \neq \pm 1$$

some other value

+1

$\therefore n$  is composite.

$\Rightarrow$  we can terminate the loop.

Choose random no. 'a',  $1 \leq a \leq n-1$

$$a^{n-1} = a^{m \cdot 2^k}$$

$= ((a^m)^2)^2 \dots k \text{ times}$

algo-MRT ( $n, a$ )

{

Find  $m, k$  s.t.  $a^{n-1} = m \cdot 2^k$ .

$$T = a^m \bmod n.$$

if ( $T == \pm 1$ )

then return (prime)

other value  
=> continue

+1, -1  $\Rightarrow$  break

for ( $i = 1$  to  $k-1$ )

{

$$T = T^2 \bmod n.$$

if ( $T == +1$ )

then return (composite)

if ( $T == (-1)$ )

then return (prime).

g

return (composite)

g.

(k-1) iterations

(-1) : prime  
in next iteration  
it will become,  
remain 1.

(+1) : composite  
it was other value  
in prev fail  
dig. root test.

other : continue

$$T = a^m \bmod n$$

+1      -1      other      Iteration 1.

Iteration 2

$k^{\text{th}}$  iteration if  $(-1) \rightarrow$  fails Fermat  
Passes square root

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You've

Select good number of biases (different values of a for primality test) and perform primality test for integer  $n$  using all those biases.

Solving set of equations with one variable with different modulus.

$$x \equiv a_1 \pmod{n_1}$$

$$x \equiv a_2 \pmod{n_2}$$

:

$$x \equiv a_k \pmod{n_k}$$

If  $n$  is prime

If  $n$  is composite

$n$  : prime - apply Chinese Remainder Theorem (CRT)

CRT.

★ ★

Ap

1) Find  $N = n_1 \cdot n_2 \cdot n_3 \cdots n_k$

2) Find  $N_1 = N/n_1, N_2 = N/n_2$

3) Find  $N_1^{-1}, N_2^{-1}, \dots$

4)  $x = (a_1 N_1 N_1^{-1} + a_2 N_2 N_2^{-1} + \dots) \pmod{N}$

$$x \equiv 2 \pmod{3}$$

$$N = 3 * 5 * 7$$

$$x \equiv 3 \pmod{5}$$

$$= 105$$

$$x \equiv 2 \pmod{7}$$

$$N_1 = 35 \quad N_1^{-1} = 2$$

$$N_2 = 21 \quad N_2^{-1} = 1$$

$$N_3 = 15 \quad N_3^{-1} = 1$$

$$x =$$

=

$$N_1^{-1} \bmod 3 = 2$$

$$N_2^{-1} \bmod 5 = 1$$

$$N_3^{-1} \bmod 7 = 1$$

$$z = x + y$$

$$x = 120$$

$$y = 133$$

$$x \equiv 120 \pmod{98}$$

$$x \equiv 22 \pmod{98}$$

$$\underline{\underline{= 22}}$$

$$y \equiv 133 \pmod{98}$$

$$\underline{\underline{= 35}}$$

$$z = (22 + 35) \pmod{98}$$

$$x \equiv 120 \pmod{97} \quad y \equiv 133 \pmod{97}$$

$$x \equiv 120 \pmod{77} \quad y \equiv 133 \pmod{77}$$

★ When factorize modulus into prime factors and apply CRT. - When  $n$  is composite  
 Applications : Rabin Cryptosystem

# You've

## Quadratic Congruence $x^2 \equiv a \pmod{n}$

### i) QC Modulo a prime

$\mathbb{Z}_6$	$x$	$x^2$	Quadratic residue	Quadratic non-residue
	1	1	$\{1, 3, 4\}$	$\{2, 5\}$
	2	4	$x^2 \equiv 3 \pmod{6}$	✓
	3	3	$x^2 \equiv 4 \pmod{6}$	✓
	4	4		
	5	1	$x^2 \equiv 2 \pmod{6}$	X.

$$x^2 \equiv a \pmod{n}$$

$a \in QR$  (solution exists)

$a \in QNR$  (no solution exists)

### Euler's Criteria

a) If  $a^{(\frac{p-1}{2})/2} \equiv 1 \pmod{p}$ , then  $a$  is QR mod  $p$ .

b) If  $a^{(\frac{p-1}{2})/2} \equiv -1 \pmod{p}$ , then  $a$  is QNR mod  $p$ .

### Solving Quadratic Equation modulo prime

$$p = 4k+1 \quad \text{or} \quad p = 4k+3$$

Special case :  $p = 4k+3$  &  $a \in QR$ .

$$x \equiv a^{\frac{(p+1)/4}{}} \pmod{p}$$

$$x \equiv -a^{\frac{(p+1)/4}{}} \pmod{p}$$

$Z_{11}$ 

$x$	$x^2$	$QR = \{1, 3, 4, 5, 9\}$
1	1	
2	4	
3	9	$QNR = \{2, 6, 7, 8, 10\}$
4	5	
5	3	
6	3	
7	5	
8	9	
9	4	
10	1	

For  $\mathbb{Z}_p^*$ ,  $|QR| = (p-1)/2$ .

$$|QNR| = (p-1)/2.$$

$$x^2 \equiv 3 \pmod{23}.$$

- 1) check if 23 is prime - yes.
- 2) check if 3 is QR.

$$23 = 4k + 3.$$

$$3^{(22-1)/2} = 1 \pmod{23}.$$

$$3^{11} \pmod{23} = 1 \pmod{23} - \text{yes}.$$

$$\begin{aligned} \therefore a &\in QR \\ \Rightarrow x &\equiv 3^{(24/4)} \pmod{23} \\ \Rightarrow x &\equiv (3^6) \pmod{23} \end{aligned}$$

$$\therefore x =$$

$n \rightarrow$  factorisation if it is composite

$$\begin{aligned} x^2 &\equiv a_1 \pmod{p_1} & x &\equiv \pm b_1 \pmod{p_1} \\ x^2 &\equiv a_2 \pmod{p_2} \Rightarrow x &\equiv \pm b_2 \pmod{p_2} \\ x^2 &\equiv a_3 \pmod{p_3} & x &\equiv \pm b_3 \pmod{p_3} \end{aligned}$$

solve using CRT.

$$x^2 \equiv 36 \pmod{77}$$

$$x^2 = 36 \pmod{7} \equiv 1 \pmod{7}$$

$$x^2 \equiv 36 \pmod{11} \equiv 3 \pmod{11}$$

## Exponentiation and Logarithm

$$z = a^x \Rightarrow \text{logarithm } x = \log_a z.$$

1) exponentiation :  $z = a^x \pmod{n}$ .

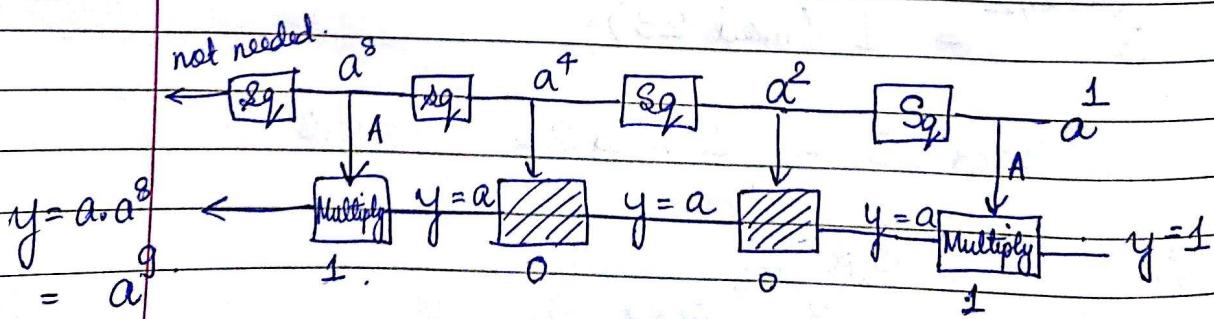
07/10/19 Fast Exp Algorithm.

$$z = a^x \Rightarrow \text{represent } x \text{ as binary string (10)}$$

$$\text{Eg: } y = a^b$$

$$\begin{aligned} y &= a^9 \\ &= a^8 \times 1 \times 1 \times a^1 \end{aligned}$$

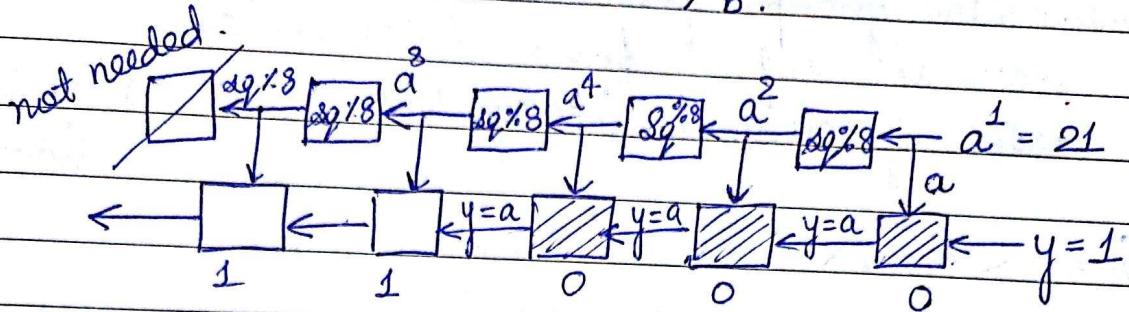
$$b = 9 = (1001)_b$$



Every arithmetic operation will be  $\% n$  if exponentiation is  $\% n$ .

$$a^x \bmod n = 2^{24} \bmod 8$$

$$x = 24 = (11000)_b.$$



## Modular Logarithm

$$y = a^x \bmod n$$

$n = 6$  valid value of  $x$   
 $a = 3$  from 1 to 5.

$$x = \log_a y$$

If  $n$  is large, brute force in finding  $\log$  will not be feasible

$$\begin{aligned} y &= 3^1 \bmod 6 = 3 \text{ not one to one} \\ y &= 3^2 \bmod 6 = 3 \text{ one to one} \\ y &= 3^3 \bmod 6 = 3 \text{ mapping} \end{aligned}$$

Take  $n = 7$ .

If encryption algo is exponential, decryption is logarithmic  
 - Create a table and do that for every possible bias ( $a$ ).

$$x \quad a^x \bmod n.$$

1	3
2	2
3	6
4	4
5	5
6	1

Exhaustive search.

$$O(2^{n_b}) \quad n_b \text{ bits to represent } n.$$

## Discrete Logarithm.

Multiplicative group: finite

order of group: finite (cardinality).

order of element (every basis) is  $a^i = e$   
i - least.

$$G_1 = \langle \mathbb{Z}_{10}^*, \times \rangle$$

$$\mathbb{Z}_{10}^* = \{1, 3, 7, 9\}. \quad \text{Order of group} = 4.$$

$$1^1 = 1 \pmod{10} \Rightarrow \text{Order}(1) = 1.$$

$$3^4 = 1 \pmod{10} \Rightarrow \text{Order}(3) = 4$$

$$7^4 = 1 \pmod{10} \Rightarrow \text{Order}(7) = 4$$

$$9^2 = 1 \pmod{10} \Rightarrow \text{Order}(9) = 2.$$

## Euler's Theorem.

$$a^{\phi(n)} = 1 \pmod{n}. \quad \text{if } n \text{ is prime number.}$$

$$i < \phi(n), \quad a^i \equiv 1 \pmod{n}. \quad (\text{atleast once})$$

For some  $a$ , different  $a^i$ 's, all values of  $\mathbb{Z}_n^*$  are generated  
 $\rightarrow a$  is a primitive root.

If  $\text{order}(\text{an element}) = \phi(n)$ , then that element is a root  
 $\phi(n) = 4 \quad (12_{10}^*)$ .

element 1 will generate only {1} off space  
 element 9 will generate only {1, 9} off space

But we want I/P space = O/P space.

How many primitive roots present in the group of elements — because only these can be used as bias 'a' in an encryption algorithm.

Primitive root (used in ElGamal Cryptology)

In group  $G_1 < \mathbb{Z}_n^*, *$  when the order of an element is the same as  $\phi(n)$ .

The group  $G_1 = < \mathbb{Z}_n^*, * >$  has primitive only if  $n$  is of the form  $2, 4, p^t, 2p^t, \dots$ .  $10 = 2 * 5^1$

# primitive roots =  $\phi(\phi(n))$

cyclic group :  $\mathbb{Z}_n^* = \{g^1, g^2, \dots\}$

Discrete Logarithm

$G = < \mathbb{Z}_p^*, \times >$

Its elements include all int from 1 to  $p-1$

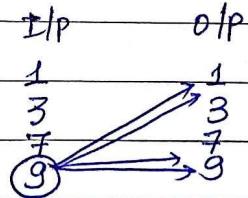
It has primitive root

It is cyclic.

Eg:  $p=10$

primitive root  
can be seen as

$\log_a y$ ; base of log.



many to one.  
decryption/encryption  
- problematic

Traditional

$$\log_a 1 = 0$$

$$\log_a (x^* y)$$

$$= \log_a x + \log_a y$$

$$\log_a x^k = k \log_a x$$

Disp Log.

$$\log_a 1 \equiv 0 \pmod{\phi(n)}$$

$$\log_a (x^* y) \equiv \log_a x +$$

$$\log_a y \pmod{\phi(n)}$$

$$\log_a (x^k) \equiv k \log_a x \pmod{\phi(n)}$$