

# DEADLOCKS

CS646: Operating System

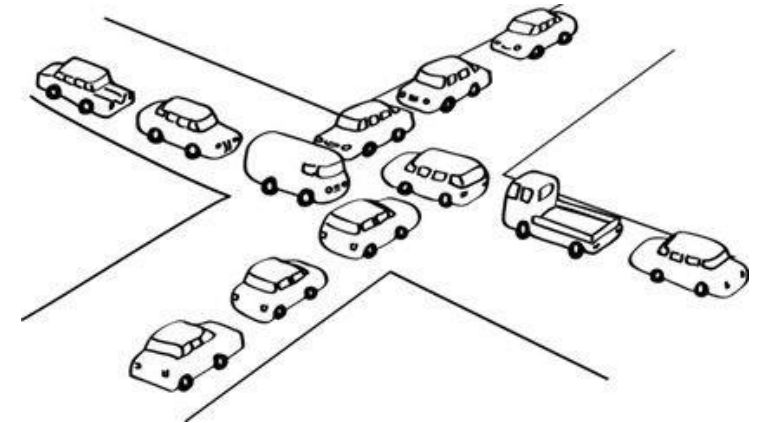
Mohammad Farhan

# Outcomes

- Learn about Deadlocks, which prevent sets of concurrent processes from completing their tasks.
- Learn about the reasons of Deadlocks.
- Learn about several different methods for preventing or avoiding deadlocks in a computer system.

# Deadlocks

- A condition where two or more threads are waiting for an event that can only be generated by these same threads.
- It occurs when two processes attempt to gain exclusive access to a resource, and each of them waits on the other to complete before moving forward.
- Deadlocks can occur via system calls, locking, etc.



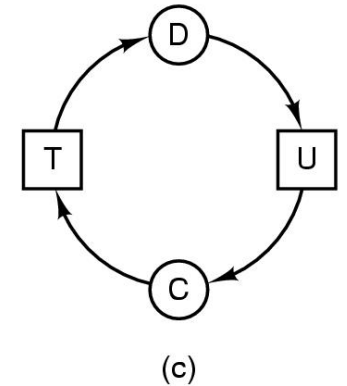
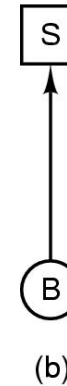
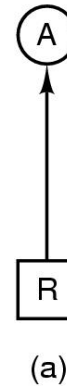
# Example

- Process uses resources following way

- Requests a resource
- Use the resource
- Releases the resource

- Modeled with directed graphs

- resource R assigned to process A.
- process B is requesting/waiting for resource S.
- process C and D are in deadlock over resources T and U.
- If the graph has no cycles, no deadlock exists.
- If the graph has cycle, deadlock might exist.



# Example

Request R  
Request S  
Release R  
Release S

(a)

Request S  
Request T  
Release S  
Release T

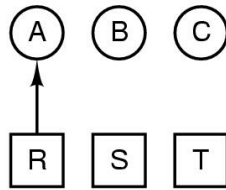
(b)

Request T  
Request R  
Release T  
Release R

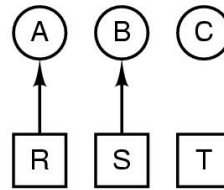
(c)

1. A requests R
2. B requests S
3. C requests T
4. A requests S
5. B requests T
6. C requests R  
deadlock

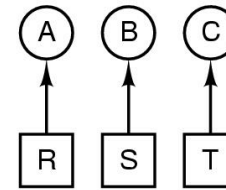
(d)



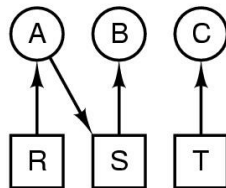
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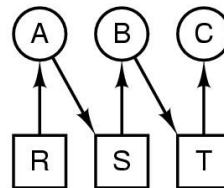
(f)



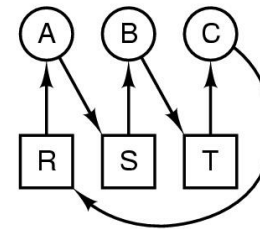
(g)



(h)



(i)

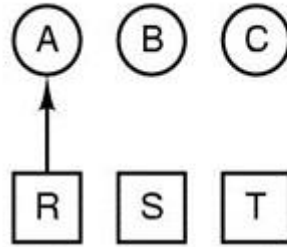


(j)

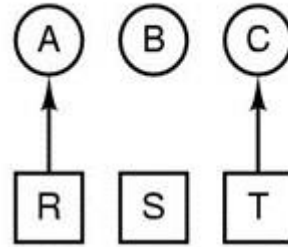
# Example

1. A requests R
  2. C requests T
  3. A requests S
  4. C requests R
  5. A releases R
  6. A releases S
- no deadlock

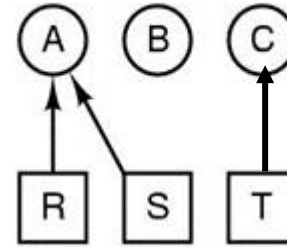
(k)



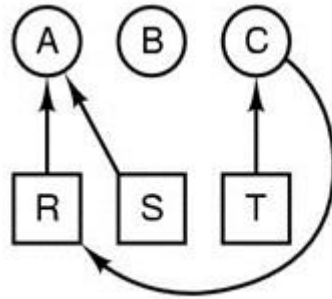
(l)



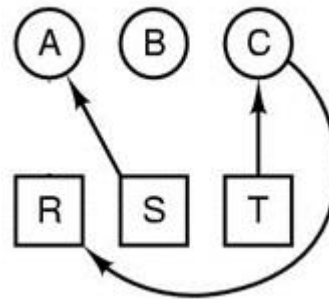
(m)



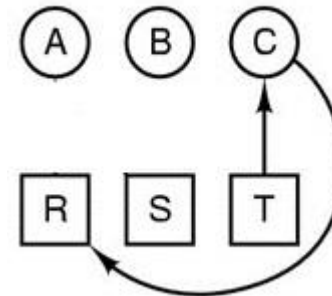
(n)



(o)



(p)



(q)

# Conditions for Deadlock

- Mutual Exclusion: at least one thread must hold a resource in non sharable mode, i.e., the resource may only be used by one thread at a time.
- Hold and Wait: at least one thread holds a resource and is waiting for other resource(s) to become available. A different thread holds the resource(s).
- No Preemption: A thread can only release a resource voluntarily; another thread or the OS cannot force the thread to release the resource.
- Circular Wait: A set of waiting threads  $\{t_1, \dots, t_n\}$  where  $t_i$  is waiting on  $t_{i+1}$  ( $i = 1$  to  $n$ ) and  $t_n$  is waiting on  $t_1$ .

# Deadlock Prevention

- Prevent deadlock: ensure that at least one of the necessary conditions doesn't hold.
  1. Mutual Exclusion: make resources sharable (but not all resources can be shared).
  2. Hold and Wait:
    - Guarantee that a thread cannot hold one resource when it requests another.
    - Make threads request all the resources they need at once
    - Make the thread release all resources before requesting a new set.
  3. No Preemption:
    - If a thread requests a resource that cannot be immediately allocated to it, then the OS preempts (releases) all the resources that the thread is currently holding.
    - Only when all the resources are available, will the OS restart the thread.
  4. Circular Wait: impose an ordering (numbering) on the resources and request them in order.



# Summary

- Deadlock: situation in which a set of threads/processes cannot proceed because each requires resources held by another member of the set.
- Detection and Recovery: recognize deadlock after it has occurred and break it.
- Avoidance: don't allocate a resource if it would introduce a cycle.
- Prevention: design resource allocation strategies that guarantee that one of the necessary conditions never holds.

THANK YOU