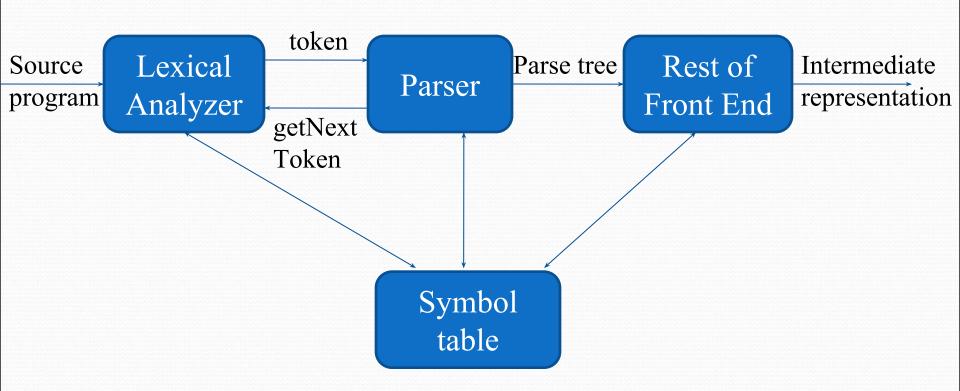
Syntax Analysis

Outline

- Introduction
- Context free grammars
- Writing a grammar
- Top down parsing
- Bottom up parsing

The role of parser



The role of parser

- Parser obtains a string of tokens from the analyser and verifies that the string of token names can be generated by the grammar for the source language.
- The parser constructs a parse tree and passes it to the rest of the compiler for further processing.
- There are three general types of parsers:
 - ☐ Universal:- Cocke-Younger-Kasami & Earley's algorithm
 - □ top-down: build parse tees from the root to the leaves. (LL)
 - bottom-up:- start from the leaves and work their way up to the root.(LR)
 - In either case the input to the parser is scanned from left to right, one symbol at a time.

Uses of grammars

E-> expressions, T-> terms, F-> factors

Left-recursive grammar- suitable for bottom-up parsing

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F -> (E) | id$$

Non-left-recursive grammar- suitable for top-down parsing

$$F -> (E) | id$$

Error handling

- Common programming errors
 - Lexical errors
 - Syntactic errors
 - Semantic errors
 - Logical errors
- Error handler goals
 - Report the presence of errors clearly and accurately
 - Recover from each error quickly enough to detect subsequent errors
 - Add minimal overhead to the processing of correct programs

Error-recover strategies

Panic mode recovery

• Discard input symbol one at a time until one of designated set of synchronization tokens is found

Phrase level recovery

 Replacing a prefix of remaining input by some string that allows the parser to continue

Error productions

 Augment the grammar with productions that generate the erroneous constructs

Global correction

 Choosing minimal sequence of changes to obtain a globally least-cost correction

- Grammars are used to describe the syntax of programming language constructs like expressions and statements.
- A syntactic variable stmt-> statements and variable expr-> expressions, the production stmt -> if (expr) stmt else stmt
 - A context-free grammar consists of terminals, nonterminals, a start symbol and productions.

- Terminals:- basic symbols from which strings are formed. It is a synonym for token name. Ex: if, else, (,)
- Nonterminals:- syntactic variables that denote a set of strings. Nonterminals impose a hierarchical structure on the language that is key to syntax analysis and translation. Ex: stmt, expr
- Start symbol:- a nonterminal, start symbol and set of strings it denotes is the language generated by the grammar. The productions for the start symbol are listed first. Ex: LHS stmt symbol

- Productions:- the productions of a grammar specify the manner in which the terminals and the nonterminals can be combined to form strings. Each production consists of;
 - ☐ A nonterminal called head or left side of the production; this production defines some of the strings denoted by the head.
 - ☐ The symbol -> , sometimes : : = has been used in the place of the arrow
 - ☐ A body or right side consisting of zero or more terminals and nonterminals.

Grammar for simple arithmetic expressions

```
expression -> expression + term
expression -> expression - term
expression -> term
term -> term * factor
term -> term / factor
term -> factor
factor -> (expression)
factor -> id
id + - */() \rightarrow terminals
expression, term, factor -> nonterminals
expression -> start symbol
```

Derivations

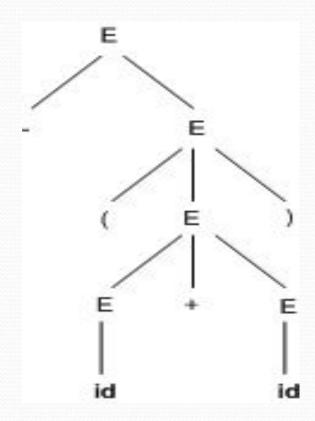
- Productions are treated as rewriting rules to generate a string
- Rightmost and leftmost derivations
 - $E \rightarrow E + E \mid E * E \mid -E \mid (E) \mid id$
 - Derivations for –(id+id)
 - E => -E => -(E) => -(E+E) => -(id+E) => -(id+id) Left
 - $E \Rightarrow -(E) \Rightarrow -(E+E) \Rightarrow -(E+id) \Rightarrow -(id+id) Right$

Parse trees

- A parse tree is a graphical representation of derivation that filters out the order in which productions are applied to replace nonterminals.
- Each interior node of a parse tree represents the applications of a production.
- The interior node is labelled with the nonterminal A in the head of the production; the children of the node are labelled, from left to right, by the symbols in the body of the production by which this A was replaced during the derivation.

Parse trees and Derivations

- -(id+id)
- E => -E => -(E) => -(E+E) => -(id+E) => -(id+id)

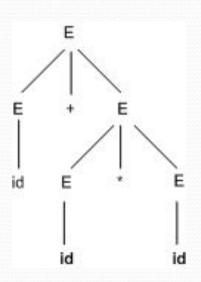


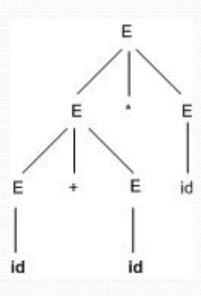
Ambiguity

- For some strings there exist more than one parse tree
- Or more than one leftmost derivation
- Or more than one rightmost derivation

Ambiguity

- Example: id+id*id
- Expression like a + b * c as a + (b * c) rather than (a + b) *c





Verifying the Language

- A grammar G generates a language L has two parts:
 - Every string generated by G in L
 - Conversely that every string in L and indeed be generated by G.

Example: Grammar - $S \Rightarrow (S)S \mid \mathcal{E}$

Basis: The basis is n=1. The only string of terminals derived from S in one step is the £ –empty string, which is balanced.

Induction: $s => (S)S =>^* (x)S =>^* (x)y$.

CFG versus Regular Expressions

The regular expression (a|b)*abb and the grammar describes the same language, the set of strings of a's and b's ending in abb.

$$A_0 \rightarrow aA_0 \mid bA_0 \mid aA_1 \ A_1 \rightarrow bA_2 \ A_2 \rightarrow bA_3 \ A_3 \rightarrow \mathcal{E}$$

Example

Consider the context-free grammar:

```
S \rightarrow S S + |S S^*| a and the string aa+a^*.
```

- a) Give a leftmost derivation of the string
- b)Give a rightmost derivation of the string
- c)Give a parse tree for the string
- d)Is grammar ambigous or unambiguos? Justify the answer.
- e)Describe the language generated by this grammar.

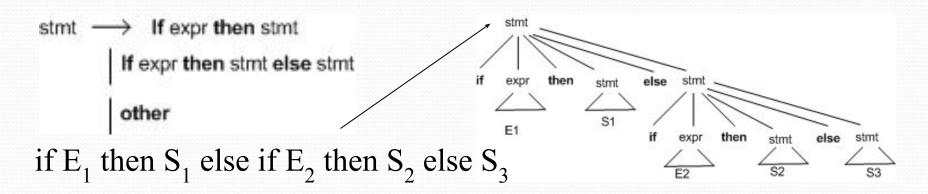
```
Leftmost derivation: s=>ss*=>ss+s*=>as+s*=>aa+s*=>aa+a*
```

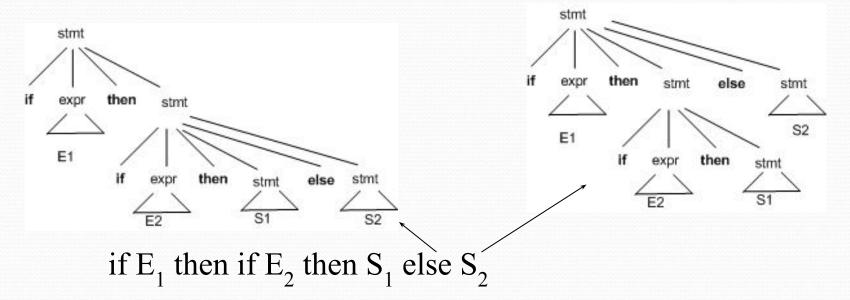
Rightmost derivation: s=>ss*=>sa*=>sa+a*=>aa+a*

Lexical Versus Syntactic Analysis

- Separate the syntactic structure of a language into lexical and non lexical parts provides a convenient of modularizing the front end of a compiler into two manageable- sized components.
- The lexcial rules are simpler, does not require notations as grammars.
- Regular expressions are easier to understand than grammars.
- More efficient lexical analyzers can be constructed automatically from regular expressions than from grammars.

Elimination of ambiguity





Elimination of ambiguity (cont.)

- Idea:
 - A statement appearing between a **then** and an **else** must be matched

```
stmt 

matched_stmt

open_stmt

matched_stmt 

if expr then matched_stmt else matched_stmt

other

open_stmt 

if expr then stmt

lf expr then matched_stmt else open_stmt
```

Elimination of left recursion

- A grammar is left recursive if it has a non-terminal A such that there is a derivation A=> Aα for some string α.
- Top down parsing methods cant handle left-recursive grammars
- A simple rule for direct left recursion elimination:
 - For a rule like:
 - A -> A $\alpha | \beta$
 - We may replace it with
 - A -> β A'
 - A' -> α A' | ε

Left recursion elimination (cont.)

- There are cases like following
 - S -> Aa | b
 - A -> Ac | Sd | ε
- Left recursion elimination algorithm:
 - Arrange the nonterminals in some order A₁,A₂,...,A_n.
 - for (each i from 1 to n) {
 - for (each j from 1 to i-1) {
 - Replace each production of the form $A_i -> A_j$ γ by the production $A_i -> \delta 1$ $\gamma \mid \delta 2$ $\gamma \mid \dots \mid \delta k$ γ where $A_j -> \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$ are all current A_j productions
 - }
 - Eliminate left recursion among the Ai-productions
 -]

Left recursion elimination (cont.)

- There are cases like following
 - S -> Aa | b
 - A -> Ac | Sd | ε

Applying the algorithm,

- There is no change in first production, as it is not left recursive
- Second production, A-> S d should be replaced as
- A -> Ac | A ad | bd | ϵ

After removing left recursion

- S -> A a | b
- A' -> b d A' | A'
- A' -> c A' | a d A' | ε

Left factoring

- Left factoring is a grammar transformation that is useful for producing a grammar suitable for predictive or top-down parsing.
- Consider following grammar:
 - Stmt -> if expr then stmt else stmtif expr then stmt
- On seeing input if it is not clear for the parser which production to use
- We can easily perform left factoring:
 - If we have A-> $\alpha\beta1$ | $\alpha\beta2$ then we replace it with
 - A $\rightarrow \alpha A'$
 - A' -> β1 | β2

Left factoring (cont.)

- Algorithm
 - For each non-terminal A, find the longest prefix α common to two or more of its alternatives. If $\alpha <> \epsilon$, then replace all of A-productions A-> α β 1 | α β 2 | ... | α β n | γ by
 - A -> α A' | γ
 - A' -> β 1 | β 2 | ... | β n
- Example:
 - \bullet S -> i E t S | i E t S e S | a
 - E -> b

Left factoring (cont.)

- Example:
 - S->iEtS|iEtSeS|a
 - E -> b

After left factoring, the grammar becomes:

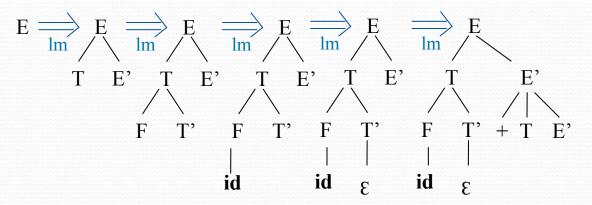
```
S \rightarrow i E t S S' | a
```

$$S \rightarrow e S \mid \varepsilon$$

Top Down Parsing

Introduction

- A Top-down parser tries to create a parse tree from the root towards the leafs scanning input from left to right
- It can be also viewed as finding a leftmost derivation for an input string
- Example: id+id*id



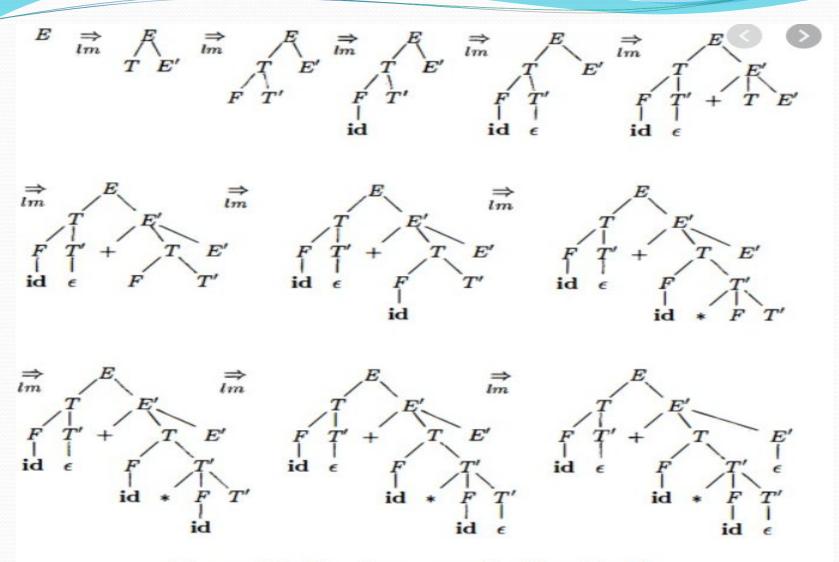


Figure 4.12: Top-down parse for id + id * id

Type of Top-down parser

Recursive-Descent Parsing

- Backtracking is needed (If a choice of a production rule does not work, we backtrack to try other alternatives.)
- It is a general parsing technique, but not widely used.
- Not efficient

Predictive Parsing

- no backtracking
- efficient
- needs a special form of grammars (LL(1) grammars).
- Recursive Predictive Parsing is a special form of Recursive Descent parsing without backtracking.
- Non-Recursive Predictive Parser is also known as LL(1) parser.

Recursive descent parsing

- Consists of a set of procedures, one for each nonterminal.
- Execution begins with the procedure for start symbol
- A typical procedure for a non-terminal

```
void A() {
    choose an A-production, A->X<sub>1</sub>X<sub>2</sub>..X<sub>k</sub>
    for (i=1 to k) {
        if (X<sub>i</sub> is a nonterminal
            call procedure X<sub>i</sub>();
        else if (X<sub>i</sub> equals the current input symbol a)
            advance the input to the next symbol;
        else /* an error has occurred */
    }
}
```

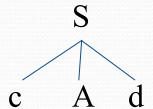
Recursive descent parsing (cont)

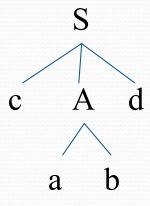
- General recursive descent may require backtracking
- The previous code needs to be modified to allow backtracking
- In general form, it can't choose an A-production easily, so we need to try all alternatives
- If one failed the input pointer needs to be reset and another alternative should be tried
- Recursive descent parsers cant be used for left-recursive grammars

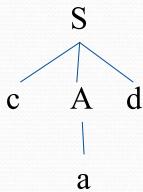
Example

S->cAd A->ab | a

Input: cad







First and Follow

- Two functions are used in the construction of LL(1) parsing tables:
 - FIRST FOLLOW
- FIRST(α) is a set of the terminal symbols which occur as first symbols in strings derived from α where α is any string of grammar symbols.
- if α derives to ϵ , then ϵ is also in FIRST(α).
- FOLLOW(A) is the set of the terminals which occur immediately after (follow) the non-terminal A in the strings derived from the starting symbol.
 - a terminal a is in FOLLOW(A) if S => αAaβ

Computing First

- To compute First(X) for all grammar symbols X, apply following rules until no more terminals or ε can be added to any First set:
 - If X is a terminal then First(X) = {X}.
 - 2. If X is a nonterminal and X->Y1Y2...Yk is a production for some k>=1, then place a in First(X) if for some i a is in First(Yi) and ε is in all of First(Y1),...,First(Yi-1) that is Y1...Yi-1 => ε. if ε is in First(Yj) for j=1,...,k then add ε to First(X).
 - 3. If X-> ϵ is a production then add ϵ to First(X)

Computing follow

- To compute Follow(A) for all nonterminals A, apply following rules until nothing can be added to any follow set:
 - Place \$ in Follow(S) where S is the start symbol
 - If there is a production A-> αBβ then everything in First(β) except ε is in Follow(B).
 - 3. If there is a production A->B or a production A->αBβ where First(β) contains ε, then everything in Follow(A) is in Follow(B)

Example

Consider the non-recursive grammar

LL(1) Grammars

- Predictive parsers are those recursive descent parsers needing no backtracking.
- Grammars for which we can create predictive parsers are called LL(1)
 - The first L means scanning input from left to right.
 - The second L means leftmost derivation.
 - And 1 stands for using one input symbol for look ahead.
- A grammar G is LL(1) if and only if whenever A-> α|βare two
 distinct productions of G, the following conditions hold:
 - For no terminal a do αandβ both derive strings beginning with a
 - At most one of α or βcan derive empty string
 - If β=> ε then α does not derive any string beginning with a terminal in Follow(A).

Transition diagrams for Predictive Parser

To construct the transition diagram for a predictive parser:

- 1. Eliminate left recursion from the grammar
- 2. Left factor the grammar
- 3. For each nonterminal A do

Create an initial state and final state.

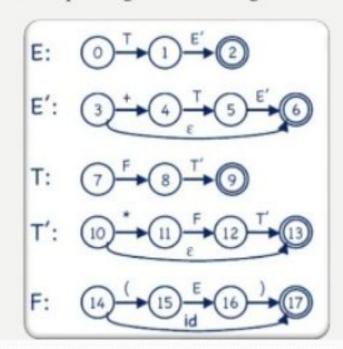
For each production $A \rightarrow X_1X_2 \dots X_n$ create a path from the initial state to the final state labeled $X_1X_2 \dots X_n$

end

Transition diagrams for Predictive Parser

- An expression grammar with left recursion and ambiguity removed:
- · E -> T E'
- E'->+TE'|ε
- T -> F T
- T'-> * F T' | ε
- F -> (E) | id

· Corresponding transition diagrams



Transition diagrams for Predictive Parser

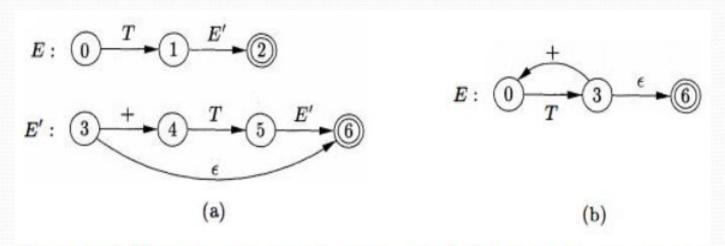


Figure 4.16: Transition diagrams for nonterminals E and E' of grammar 4.28

Construction of predictive parsing table

- INPUT: Grammar G
- OUTPUT: Parsing table M
- METHOD: For each production A->α in grammar do the following:
 - For each terminal a in First(α) add A-> α in M[A,a]
 - 2. If ε is in First(α), then for each terminal b in Follow(A) add A-> ε to M[A,b].
 - If ε is in First(α) and \$ is in Follow(A), add A-> ε to M[A,\$] as well
- 1. If after performing the above, there is no production in M[A,a] then set M[A,a] to error.

Example

E -> TE' E' -> +TE' | E T -> FT' T' -> *FT' | E F -> (E) | id

	First	Follow
F	{(,id}	{+, *,), \$}
T	{(,id}	$\{+,), \$\}$
Ε	{(,id}	{), \$}
Ε'	$\{+,\epsilon\}$	{), \$}
T'	{*,e}	$\{+,), \$\}$

Input Symbol

Non -			mpui	Symbol			_
terminal	id	+	*	()	\$	
Е	E -> TE'			E -> TE'			
E'		E' -> +TE'			E' -> E	E' -> E	
T	T -> FT'			T -> FT'			
Т'		T' -> E	Γ' -> *FT'		T' -> E	T' -> E	
F	F -> id			F -> (E)			

Another example

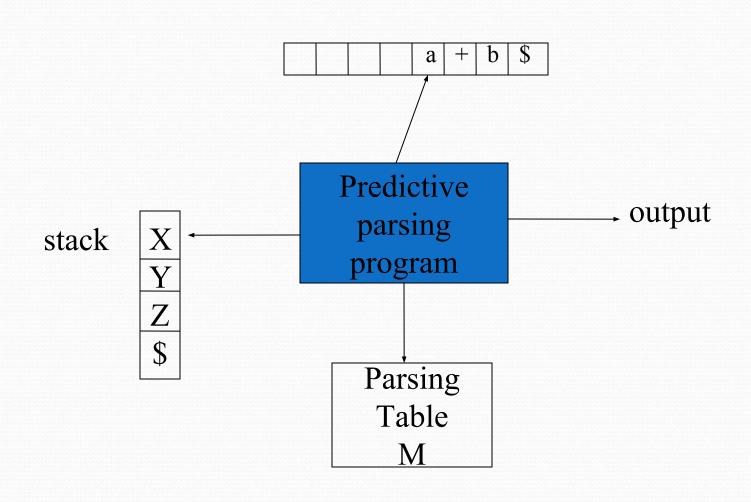
T...... 1 C----1 - 1

-	Non -			Inpu	t Symbol			
	terminal	a	b	e	i	t	\$	200000000000000000000000000000000000000
	S	S -> a			S -> iEtSS'			25.55.55.55
	S'			$S' \rightarrow E$ $S' \rightarrow eS$			S' -> E	
	Е		E -> b					

Non-Recursive Predictive Parsing -- LL(1) Parser

- Non-Recursive predictive parsing is a table-driven parser.
- It is a top-down parser.
- It is also known as LL(1) Parser.
- •In LL(1) the first "L" producing a leftmost derivation and second "L" → one input symbol of look ahead
- It uses stack explicitly.
- In non recursive predictive parser ,production is applied on the parsing table

Non-recursive predicting parsing



LL(1)Parser

Input buffer

- Input string to be parsed .The end of the string is marked with a special symbol \$.
 Output
- A production rule representing a step of the derivation sequence (left-most derivation) of the string in the input buffer.

Stack

- Contains the grammar symbols
- •At the bottom of the stack, there is a special end marker symbol \$.
- Initially the stack contains only the symbol \$ and the starting symbol \$.
 i.e; \$S <= initial stack
- •When the stack is emptied (ie. only \$ left in the stack), the parsing is completed.

Parsing table

- A two-dimensional array M[A,a]
- •Each row (A) ,is a non-terminal symbol
- Each column (a), is a terminal symbol or the special symbol \$

LL(1)Parser –Parser Actions

- •The symbol at the top of the stack (say X) and the current symbol in the input string (say a) determine the parser action.
- •There are FOUR possible PARSER ACTIONS:-
- •If X = a = \$ -> parser halts and announces successful completion of the parsing
- If X = a <> \$ -> parser pops X from the stack, and advances the input pointer to the next input symbol
- If X is a non-terminal
 - -> parser looks at the parsing table entry M[X,a]. If M[X,a] holds a production rule X->Y1Y2...Yk, it pops X from the stack and pushes Yk,Yk-1,...,Y1 into the stack. The parser also outputs the production rule X->Y1Y2...Yk to represent a step of the derivation.
- none of the above -> error
 - all empty entries in the parsing table are errors.
 - If X is a terminal symbol different from a, this is also an error case.

Non Recursive Predictive Parsing program

Input: A string w and a parsing table M for grammar G.

Output: If w is in L(G), a leftmost derivation of w;

Otherwise, an error indication

Method: Initially parser is in configuration, it has \$S on the stack with S, the start symbol of G on top, and w\$ in the input buffer. The program that utilizes the parsing table M to produce a parse for the input

Algorithm:

Predictive parsing algorithm

```
Algorithm:
Let a be the first symbol of w;
Let X to the top stack symbol;
While (X<>$) { /* stack is not empty */
  if (X is a) pop the stack and advance ip;
  else if (X is a terminal) error();
  else if (M[X,a]) is an error entry error();
  else if (M[X,a] = X->Y1Y2..Yk) {
    output the production X->Y1Y2..Yk;
    pop the stack;
    push Yk,...,Y2,Y1 on to the stack with Y1 on top;
  set X to the top stack symbol;
```

$$E \underset{lm}{\Rightarrow} TE' \underset{lm}{\Rightarrow} FT'E' \underset{lm}{\Rightarrow} \operatorname{id} T'E' \underset{lm}{\Rightarrow} \operatorname{id} E' \underset{lm}{\Rightarrow} \operatorname{id} + TE' \underset{lm}{\Rightarrow} \cdots$$

MATCHED	STACK	INPUT	ACTION
	E\$	id + id * id	
	TE'\$	id + id * id\$	output $E \to TE'$
	FT'E'\$	id + id * id\$	output $T \to FT'$
	id T'E'\$	id + id * id\$	output $F \to id$
id	T'E'\$	+ id * id \$	match id
id	E'\$	+ id * id \$	output $T' \to \epsilon$
id	+ TE'\$	+ id * id \$	output $E' \rightarrow + TE'$
id +	TE'\$	id * id\$	match +
id +	FT'E'\$	id * id\$	output $T \to FT'$
id +	id T'E'\$	id * id\$	output $F \to id$
id + id	T'E'\$	* id\$	match id
id + id	*FT'E'\$	* id\$	output $T' \to *FT'$
id + id *	FT'E'\$	id\$	match *
id + id *	id T'E'\$	id\$	output $F \to id$
id + id * id	T'E'\$	\$	match id
id + id * id	E'\$	\$	output $T' \to \epsilon$
id + id * id	\$	\$	output $E' \to \epsilon$

Figure 3: Moves made by predictive parser on input id+id*id

LL(1) Parser Example

 $S \rightarrow aBa$ $B \rightarrow bB \mid \epsilon$

Input : abba

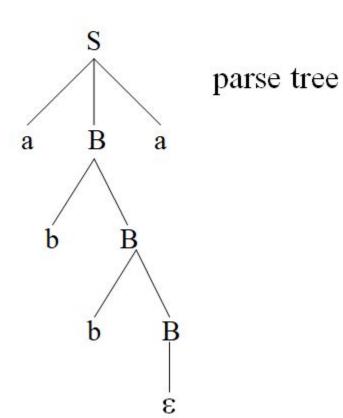
	a	b	\$
S	$S \rightarrow aBa$		
В	$B \rightarrow \epsilon$	$B \rightarrow bB$	

LL(1) Parsing Table

stack	<u>input</u>	<u>output</u>
\$ <mark>S</mark>	abba\$	$S \rightarrow aBa$
\$aB <mark>a</mark>	abba\$	
\$aB	bba\$	$B \rightarrow bB$
\$aB <mark>b</mark>	bba\$	
\$aB	ba\$	$B \rightarrow bB$
\$aB <mark>b</mark>	ba\$	
\$aB	a\$	$B \rightarrow \epsilon$
\$ <mark>a</mark>	a\$	
\$	\$	accept, successful completion

Outputs: $S \to aBa$ $B \to bB$ $B \to \epsilon$

Derivation(left-most): S⇒ aBa⇒ abBa⇒ abbBa⇒ abba



LL(1) Parser Example

	id	+	*	()	\$
E	$E \rightarrow TE$			$E \rightarrow TE$		
E '		$E' \rightarrow +TE'$			$E' \rightarrow \varepsilon$	E'→ ε
T	$T \rightarrow FT'$			$T \rightarrow FT$		
T'		$T' \rightarrow \varepsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \varepsilon$	T'→ε
F	$F \rightarrow id$			$F \rightarrow (E)$		

stack	input	output
\$E	id+id\$	$E \rightarrow TE$
\$E'T	id+id\$	$T \rightarrow FT$
\$E'T' F	id+id\$	$F \rightarrow id$
\$E'T'id	id+id\$	
\$ E' T '	+id\$	$T' \rightarrow \epsilon$
\$ E'	+id\$	$E' \rightarrow +TE'$
\$ E' T+	+id\$	
\$ E' T	id\$	$T \rightarrow FT$
\$ E' T' F	id\$	$F \rightarrow id$
\$E'T'id	id\$	
\$ E' T '	\$	$T' \rightarrow \epsilon$
\$ E*	\$	$E \rightarrow \varepsilon$
\$	\$	accept

Error recovery in predictive parsing

- An error may occur in the predictive parsing (LL(1) parsing)
 - if the terminal symbol on the top of stack does not match with the current input symbol.
 - if the top of stack is a non-terminal A, the current input symbol is a, and the parsing table entry M[A,a] is empty.
- What should the parser do in an error case?
 - The parser should be able to give an error message (as much as possible meaningful error message).
 - It should be recover from that error case, and it should be able to continue the parsing with the rest of the input.

Error recovery in predictive parsing

Panic mode

- Place all symbols in Follow(A) into synchronization set for nonterminal A: skip tokens until an element of Follow(A) is seen and pop A from stack.
- Add to the synchronization set of lower level construct the symbols that begin higher level constructs
- Add symbols in First(A) to the synchronization set of nonterminal A
- If a nonterminal can generate the empty string then the production deriving can be used as a default
- If a terminal on top of the stack cannot be matched, pop the terminal, issue a message saying that the terminal was insterted

Error recovery in predictive parsing

- In panic-mode error recovery, we skip all the input symbols until a synchronizing token is found.
- All the terminal-symbols in the follow set of a non-terminal can be used as a synchronizing token ("synch") for that non-terminal.
- " synch " is placed in the parsing table for the positions of follow set of that non terminal.
- If the parser looks up entry " M [A ,a] " and finds that it is blank ,then the input symbol a is skipped
- If the entry is "synch " then the non terminal on top of the stack is popped in an attempt to resume parsing
- If the token on top of the stack does not match the input symbol ,then we pop the token from the stack.

Synchronizing tokens added to the parsing table

NON -			INPUT SYM	BOL		
TERMINAL	id	+	*	()	\$
\boldsymbol{E}	$E \to TE'$			$E \rightarrow TE'$	synch	synch
E'		$E \rightarrow +TE'$			$E \to \epsilon$	$E \rightarrow \epsilon$
T	$T \rightarrow FT'$	synch		$T \to FT'$	synch	synch
T'		$T' \rightarrow \epsilon$	$T' \to *FT'$		$T' \to \epsilon$	$T' \rightarrow \epsilon$
\boldsymbol{F}	$F \rightarrow id$	synch	synch	$F \rightarrow (E)$	synch	synch

Parsing and error recovery moves made by a predictive parser

STACK	INPUT	REMARK
E \$) $id * + id $ \$	error, skip)
E\$	id*+id\$	id is in $FIRST(E)$
TE'\$	id * + id \$	
FT'E'\$	id * + id \$	
id T'E'\$	id * + id \$	
T'E'\$	* + id \$	
* FT'E' \$	* + id \$	
FT'E'\$	+ id \$	error, $M[F, +] = $ synch
T'E' \$	+ id \$	F has been popped
E' \$	+ id \$	
+ TE' \$	+ id \$	
TE'\$	id \$	
FT'E'\$	id \$	
id T'E' \$	id \$	
T'E'\$	\$	
E' \$	\$	
\$	\$	

Phrase-Level Error Recovery

- Each empty entry in the parsing table is filled with a pointer to a special error routine which will take care that error case.
- These error routines may:
 - change, insert, or delete input symbols.
 - issue appropriate error messages
 - pop items from the stack.
- We should be careful when we design these error routines, because we may put the parser into an infinite loop.

Bottom-up Parsing

Introduction

- Constructs parse tree for an input string beginning at the leaves (the bottom) and working towards the root (the top)
- Example: id*id

id

Shift-reduce parser

- The general idea is to shift some symbols of input to the stack until a reduction can be applied
- At each reduction step, a specific substring matching the body of a production is replaced by the nonterminal at the head of the production
- The key decisions during bottom-up parsing are about when to reduce and about what production to apply
- A reduction is a reverse of a step in a derivation
- The goal of a bottom-up parser is to construct a derivation in reverse:
 - E=>T=>T*F=>T*id=>F*id=>id*id

Handle pruning

 A Handle is a substring that matches the body of a production and whose reduction represents one step along the reverse of a rightmost derivation

Right sentential form	Handle	Reducing production
id ₁ *id ₂	id ₁	F->id
F*id ₂	F	T->
$T*id_2$	id_2	F->id
T*F	T*F	E->T*F

A handle of a right sentential form γ (≡ αβω) is
 a production rule A → β and a position of γ
 where the string β may be found and replaced by A to produce the previous right-sentential form in a rightmost derivation of γ.

$$S \stackrel{*}{\underset{m}{\Longrightarrow}} \alpha A \omega \stackrel{\Rightarrow}{\underset{m}{\Longrightarrow}} \alpha \beta \omega$$

- If the grammar is unambiguous, then every right-sentential form of the grammar has exactly one handle.
- We will see that ω is a string of terminals.

• A right-most derivation in reverse can be obtained by handle-pruning.

$$S = \gamma_0 \Rightarrow_m \gamma_1 \Rightarrow_m \gamma_2 \Rightarrow_m \dots \Rightarrow_m \gamma_{n-1} \Rightarrow_m \gamma_n = \omega$$
 input string

- Start from γ_n, find a handle A_n→β_n in γ_n, and replace β_n in by A_n to get γ_{n-1}.
- Then find a handle $A_{n-1} \rightarrow \beta_{n-1}$ in γ_{n-1} , and replace β_{n-1} in by A_{n-1} to get γ_{n-2} .
- Repeat this, until we reach S.

Right Sentential Form	<u>Handle</u>	Reducing Production
<u>id</u> +id*id	id	$F \rightarrow id$
<u>F</u> +id*id	F	$T \rightarrow F$
<u>T</u> +id*id	Т	$E \rightarrow T$
E+ <u>id</u> *id	id	$F \rightarrow id$
E+ <u>F</u> *id	F	$T \rightarrow F$
E+T* <u>id</u>	id	$F \rightarrow id$
E+ <u>T*F</u>	T*F	$T \rightarrow T^*F$
<u>E+T</u>	E+T	$E \rightarrow E+T$
E		

<u>Handles</u> are red and underlined in the right-sentential forms

Shift reduce parsing

- A stack is used to hold grammar symbols
- Handle always appear on top of the stack
- Initial configuration:

```
Stack Input
$ w$
```

Acceptance configuration

```
Stack Input
$S $
```

Shift reduce parsing (cont.)

- •There are four possible actions of a shift-parser action:
 - 1. Shift: The next input symbol is shifted onto the top of the stack.
 - 2. Reduce: Replace the handle on the top of the stack by the non-terminal.
 - 3. Accept: Successful completion of parsing.
 - **4. Error**: Parser discovers a syntax error, and calls an error recovery routine.
- •Initial stack just contains only the end-marker \$.
- The end of the input string is marked by the end-marker \$.

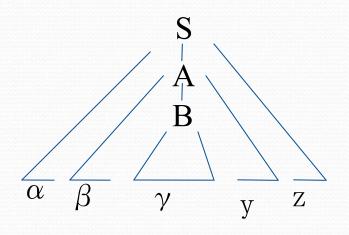
Shift reduce parsing (cont.)

- Basic operations:
 - Shift
 - Reduce
 - Accept
 - Error
- Example: id*id

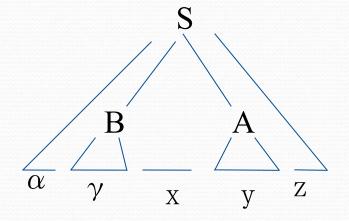
Stack	Input	Action	
\$	id*id\$	shift	
\$id	*id\$	reduce by F->i	d
\$F	*id\$	reduce by	
\$ T	*id\$	ahistr	
\$T*	id\$	shift	
\$T*id	\$	reduce by F->i	d
\$T*F	\$	reduce by	
\$T	\$	Treduct by E->7	Γ
\$E	\$	accept	

Stack	Input	Action	
\$	id+id*id\$	shift	
\$id	+id*id\$	reduce by $F \rightarrow id$	Parse Tree
\$F	+id*id\$	reduce by $T \to F$	
\$T	+id*id\$	reduce by $E \rightarrow T$	E 8
\$E	+id*id\$	shift	
\$E+	id*id\$	shift	$E_3 + T_7$
\$E+id	*id\$	reduce by $F \rightarrow id$	
\$E+F	*id\$	reduce by $T \to F$	T_2 T_5 * F_6
\$E+T	*id\$	shift	
\$E+T*	id\$	shift	F_1 F_4 id
\$E+T*id	\$	reduce by $F \rightarrow id$	
\$E+T*F	\$	reduce by $T \rightarrow T^*F$	id id
\$E+T	\$	reduce by $E \rightarrow E+T$	
\$E	\$	accept	

Handle will appear on top of the stack



Stack	Input	
\$αβγ	yz\$	
\$ α β B	yz\$	
$\$ \alpha \beta By$	z\$	



Input
xyz\$
z\$

Conflicts during shift reduce parsing

- Two kind of conflicts
 - Shift/reduce conflict
 - Reduce/reduce conflict
- Example:

```
stmt --> If expr then stmt
| If expr then stmt else stmt
| other
```

```
Stack Input
... if expr then stmt else ...$
```

Reduce/reduce conflict

```
stmt -> id(parameter list)
    stmt -> expr:=expr
    parameter list->parameter list, parameter
3)
    parameter list->parameter
4)
    parameter->id
5)
    expr->id(expr list)
    expr->id
   expr list->expr list, expr
8)
                                                                Input
                                   Stack
   expr list->expr
                                                              ,id) ...$
                              ... id(id
```