PHARMACEUTICAL REGULATORY AFFAIRS (MRA)

GOOD REGULATORY PRACTICES (MRA 101T)

Scope

This course is designed to impart fundamental knowledge on various Good Regulatory Practices viz., cGMP, GLP, GALP and GDP for Pharmaceuticals, Cosmetics, Food & Nutraceuticals, Medical devices, In-vitro Diagnostic Medical Devices (IVDs) and biological products and understand the rationale behind these requirements and will propose ways and means of complying with them.

Objectives

At completion of this course it is expected that students will be able to understand,

- The key regulatory and compliance elements with respect to Good Manufacturing Practices, Good Laboratory Practices, Good Automated Laboratory Practices and Good Documentation Practices.
- Prepare and implement the check lists and SOPs for various Good Regulatory Practices
- Implement Good Regulatory Practices in the Healthcare and related Industries
- Prepare for the readiness and conduct of audits and inspections.

THEORY 60 Hrs

1.	Current Good Manufacturing Practices: Introduction, US cGMP Part 210 and Part 211.EC Principles of GMP (Directive 91/356/EEC) Article 6 to Article 14 and WHO cGMP guidelines GAMP-5; Medical device and IVDs Global Harmonization Task Force(GHTF) Guidance docs.	12 Hrs
2	Good Laboratory Practices: Introduction, USFDA GLP Regulations (Subpart A to Subpart K), Controlling the GLP inspection process, Documentation, Audit, goals of Laboratory Quality Audit, Audit tools, Future of GLP regulations, relevant ISO and Quality Council of India(QCI) Standards	12 Hrs
3	Good Automated Laboratory Practices: Introduction to GALP, Principles of GALP, GALP Requirements, SOPs of GALP, Training Documentation,21 CFR Part 11, General check list of 21CFR Part 11, Software Evaluation checklist, relevant ISO and QCI Standards.	12 Hrs

4	Good Distribution Practices: Introduction to GDP, Legal GDP requirements put worldwide, Principles, Personnel, Documentation, Premises and Equipment, Deliveries to Customers, Returns, Self-Inspection, Provision of information, Stability testing principles, WHO GDP, USP GDP (Supply chain integrity), relevant CDSCO guidance and ISO standards	12 Hrs
5	Quality management systems: Concept of Quality, Total Quality Management, Quality by design, Six Sigma concept, Out of Specifications (OOS), Change control. Validation: Types of Validation, Types of Qualification, Validation master plan (VMP), Analytical Method Validation. Validation of utilities, [Compressed air, steam, water systems, Heat Ventilation and Air conditioning (HVAC)]and Cleaning Validation. The International Conference on Harmonization (ICH) process, ICH guidelines to establish quality, safety and efficacy of drug substances and products, ISO 13485, Sch MIII and other relevant CDSCO regulatory guidance documents.	12 Hrs

REFERENCES

1. Good Laboratory Practice Regulations, by Sandy Weinberg, Fourth Edition Drugs and the Pharmaceutical Sciences, Vol.168
2. Good Pharmaceutical Manufacturing practice, Rational and compliance by John Sharp, CRC Press
3. Establishing a cGMP Laboratory Audit System, A practical Guide by David M.Bleisner, Wiley Publication.
4. How to practice GLP by PP Sharma, Vandana Publications.
5. Laboratory Auditing for Quality and Regulatory compliance bu Donald C.Singer, Drugs and the Pharmaceutical Sciences, Vol.150.
6. Drugs & Cosmetics Act, Rules & Amendments

DOCUMENTATION AND REGULATORY WRITING
(MRA 102T)

Scope

This course is designed to impart fundamental knowledge on documentation and general principles involved in regulatory writing and submission to agencies.

Objectives

Upon completion of the course the student shall be able to,

- Know the various documents pertaining to drugs in pharmaceutical industry
- Understand the basics of regulatory compilation
- Create and assemble the regulation submission as per the requirements of agencies
- Follow up the submissions and post approval document requirements

THEORY 60 Hrs

1. Documentation in pharmaceutical industry: Exploratory Product Development Brief (EPDB) for Drug substance and Drug product, Product Development Plan (PDP), Product Development Report (PDR), Master Formula Record, Batch Manufacturing Record and its calculations, Batch Reconciliation, Batch Packaging Records, Print pack specifications, Distribution records, Certificate of Analysis (CoA), Site Master File and Drug Master Files (DMF). 12 Hrs

2 Dossier preparation and submission: Introduction and overview of dossiers, contents and organization of dossier, binders and sections, compilation and review of dossier. Paper submissions, overview and modules of CTD, electronic CTD submissions; Electronic submission: Planning electronic submission, requirements for submission, regulatory bindings and requirements, Tool and Technologies, electronic dossier submission process and validating the submission, Electronic Submission Gateway (ESG). Non eCTD electronic submissions (NeeS), Asian CTD formats (ACTD) submission. Organizing, process and validation of submission. Submission in Sugam system of CDSCO. 12 Hrs

3	Audits: Introduction, Definition, Summary, Types of audits, GMP compliance audit, Audit policy, Internal and External Audits, Second Party Audits, External third party audits, Auditing strategies, Preparation and conducting audit, Auditing strategies, audit analysis, audit report, audit follow up. Auditing/inspection of manufacturing facilities by regulatory agencies. Timelines for audits/inspection. GHTF study group 4 guidance document. ISO 13485.	12 Hrs
4	Inspections: Pre-approval inspections, Inspection of pharmaceutical manufacturers, Inspection of drug distribution channels, Quality systems requirements for national good manufacturing practice inspectorates, inspection report, model certificate of good manufacturing practices, Root cause analysis, Corrective and Preventive action (CAPA).	12 Hrs
5	Product life cycle management: Prior Approval Supplement (PAS), Post Approval Changes [SUPAC], Changes Being Effected in 30 Days (CBE-30), Annual Report, Post marketing Reporting Requirements, Post approval Labeling Changes, Lifecycle Management, FDA Inspection and Enforcement, Establishment Inspection Report (EIR), Warning Letters, Recalls, Seizure and Injunctions. ISO Risk Management Standard	12 Hrs

REFERENCES

1. Compliance auditing for Pharmaceutical Manufacturers. Karen Ginsbury and Gil Bismuth, Interpharm/CRC, Boca Raton, London New York, Washington D.C.
2. Pharmaceutical Manufacturing Handbook, Regulations and Quality by Shayne Cox Gad. Wiley-Interscience, A John Wiley and sons, Inc., Publications.
3. Handbook of microbiological Quality control. Rosamund M. Baird, Norman A. Hodges, Stephen P. Denyar. CRC Press. 2000.
4. Laboratory auditing for quality and regulatory compliance. Donald C. Singer, Raluca-Ioana Stefan, Jacobus F. Van Staden. Taylor and Francis (2005).
5. Implementing Juran's Road Map for Quality Leadership: Benchmarks and Results, By Al Endres, Wiley, 2000
6. Understanding, Managing and Implementing Quality: Frameworks, Techniques and Cases, By Jiju Antony; David Preece, Routledge, 2002

7. Organizing for High Performance: Employee Involvement, TQM, Reengineering, and Knowledge Management in the Fortune 1000: The CEO Report By Edward E. Lawler; Susan Albers Mohrman; George Benson, Jossey-Bass, 2001
8. Corporate Culture and the Quality Organization By James W. Fairfield-Sonn, Quorum Books, 2001
9. The Quality Management Sourcebook: An International Guide to Materials and Resources By Christine Avery; Diane Zabel, Routledge, 1997
10. The Quality Toolbox, Second Edition, Nancy R. Tague, ASQ Publications
11. Juran's Quality Handbook, Sixth Edition, Joseph M. Juran and Joseph A. De Feo, ASQ Publications
12. Root Cause Analysis, The Core of Problem Solving and Corrective Action, Duke Okes, 2009, ASQ Publications
13. International Medical Device Regulators Forum (IMDRF) Medical Device Single Audit Program (MDSAP)

CLINICAL RESEARCH REGULATIONS
(MRA 103T)

Scope

This course is designed to impart the fundamental knowledge on the clinical development process of drugs, pharmaceuticals and Medical Devices, phases and conduct of clinical trials and research, regulations and guidance governing the conduct of clinical research in India, USA and EU. It prepares the students to learn in detail on various laws, legislations and guidance related to safety, efficacy, ethical conduct and regulatory approval of clinical research.

Objectives

Upon completion of the course, the student shall be able to (know, do and appreciate)

- History, origin and ethics of clinical and biomedical research and evaluation
- Clinical drug, medical device development process and different types and phases of clinical trials
- Regulatory requirements and guidance for conduct of clinical trials and research

Theory — 60 Hrs

1. Clinical Drug Development Process — 12 Hrs
   - Different types of Clinical Studies
   - Phases of clinical trials, Clinical Trial protocol
   - Phase 0 studies
   - Phase I and subtype studies (single ascending, multiple ascending, dose escalation, methods, food effect studies, drug – drug interaction, PK end points
   - Phase II studies (proof of concept or principle studies to establish efficacy)
   - Phase III studies (Multi ethnicity, global clinical trial, registration studies)
   - Phase IV studies (Post Marketing Studies; PSUR)

   Clinical Investigation and Evaluation of Medical Devices & IVDs

   Different Types of Studies

   Key Concepts of Medical Device Clinical Evaluation

   Key concepts of Clinical Investigation

2 Ethics in Clinical Research: 12 Hrs

- Historical Perspectives: Nuremberg Code, Thalidomide study , Nazis Trials, Tuskegee Syphilis Study, The Belmont Report, The declaration of Helsinki
- Origin of International Conference on Harmonization – Good Clinical Practice (ICH-GCP) guidelines.
- The ethics of randomized clinical trials
- The role of placebo in clinical trials
- Ethics of clinical research in special population
- Institutional Review Board/Independent Ethics Committee/Ethics Committee – composition, roles, responsibilities, review and approval process and ongoing monitoring of safety data
- Data safety monitoring boards.
- Responsibilities of sponsor, CRO, and investigator in ethical conduct of clinical research
  - Ethical principles governing informed consent process
  - Patient Information Sheet and Informed Consent Form
  - The informed consent process and documentation

3 Regulations governing Clinical Trials 12 Hrs

India: Clinical Research regulations in India – Schedule Y & Medical Device Guidance

USA: Regulations to conduct drug studies in USA (FDA)

- NDA 505(b)(1) of the FD&C Act (Application for approval of a new drug)
- NDA 505(b)(2) of the FD&C Act (Application for approval of a new drug that relies, at least in part, on data not developed by the applicant)
- ANDA 505(j) of the FD&C Act (Application for approval of a generic drug product)
- FDA Guidance for Industry – Acceptance of Foreign Clinical Studies
- FDA Clinical Trials Guidance Document: Good Clinical Practice

EU: Clinical Research regulations in European Union (EMA)

4	Clinical Research Related Guidelines - Good Clinical Practice Guidelines (ICH GCP E6) - Indian GCP Guidelines - ICMR Ethical Guidelines for Biomedical Research - CDSCO guidelines GHTF study group 5 guidance documents Regulatory Guidance on Efficacy and Safety ICH Guidance's - E4 – Dose Response Information to support Drug Registration - E7 – Studies in support of General Population: Geriatrics - E8 – General Considerations of Clinical Trials - E10 – Choice of Control Groups and Related Issues in Clinical Trials, - E 11 – Clinical Investigation of Medicinal Products in the Pediatric Population - General biostatics principle applied in clinical research	12 Hrs
5	USA & EU Guidance USA: FDA Guidance - CFR 21Part 50: Protection of Human Subjects - CFR 21Part 54: Financial Disclosure by Clinical Investigators - CFR 21Part 312: IND Application - CFR 21Part 314: Application for FDA Approval to Market a New Drug - CFR 21Part 320: Bioavailability and bioequivalence requirements - CFR 21Part 812: Investigational Device Exemptions - CFR 21Part 822: Post-market surveillance - FDA Safety Reporting Requirements for INDs and BA/BE Studies - FDA Med Watch - Guidance for Industry: Good Pharmacovigilance Practices and Pharmacoepidemiologic Assessment European Union: EMA Guidance - EU Directives 2001 - EudraLex (EMEA) Volume 3 – Scientific guidelines for medicinal products for human use - EU Annual Safety Report (ASR) - Volume 9A – Pharmacovigilance for Medicinal Products for Human Use - EU MDD with respect to clinical research - ISO 14155	12 Hrs

REFERENCES

1. Clinical Trials and Human Research: A Practical Guide to Regulatory Compliance By Fay A. Rozovsky and Rodney K. Adams
2. HIPAA and Human Subjects Research: A Question and Answer Reference Guide By Mark Barnes, JD, LLM and Jennifer Kulynych, JD, PhD
3. Principles and Practices of Clinical Research, Second Edition Edited by John I. Gallin and Frederick P. Ognibene
4. Reviewing Clinical Trials: A Guide for the Ethics Committee; Johan PE Karlberg and Marjorie A Speers; Karlberg, Johan Petter Einar, Hong Kong.
5. International Pharmaceutical Product Registration: Aspects of Quality, Safety and Efficacy; Anthony C. Cartwright; Taylor & Francis Inc., USA.
6. New Drug Approval Process: The Global Challenge; Guarino, Richard A; Marcel Dekker Inc., NY.
7. FDA regulatory affairs: a guide for prescription drugs, medical devices, and biologics; Douglas J. Pisano, David Mantus; CRC Press, USA
8. Country Specific Guidelines from official websites.
9. Drugs & Cosmetics Act & Rules and Amendments

RECOMMENDED WEBSITES:

1. EU Clinical Research Directive 2001: http://www.eortc.be/services/doc/clinical-eudirective-04-april-01.pdf
2. Code of Federal Regulations, FDA: http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/cfrsearch.cfm
3. Guidelines of International Conference on Harmonization: http://www.ich.org/products/guidelines.html
4. Eudralex Guidelines: http://www.gmpcompliance.info/euguide.htm
5. FDA New Drug Application:
6. http://www.fda.gov/regulatoryinformation/legislation/FederalFoodDrugandCosmeticActFDCAct/FDCActChapterVDrugsandDevices/ucm108125.htm
7. Medicines and Healthcare products Regulatory Agency: http://www.mhra.gov.uk
8. Central Drugs Standard Control Organization Guidance for Industry: http://cdsco.nic.in/CDSCO-GuidanceForIndustry.pdf
9. ICMR Ethical Guidelines for Biomedical Research: http://icmr.nic.in/ethical_guidelines.pdf

REGULATIONS AND LEGISLATION FOR DRUGS & COSMETICS, MEDICAL DEVICES, BIOLOGICALS & HERBALS, AND FOOD & NUTRACEUTICALS IN INDIA AND INTELLECTUAL PROPERTY RIGHTS

(MRA 104T)

Scope

This course is designed to impart fundamental knowledge on regulations and legislation in India w.r.t. Drugs & Cosmetics, Medical Devices, Biologicals & Herbals, and Food & Nutraceuticals. It prepares the students for basic regulatory requirements in India of Drugs & Cosmetics, Medical Devices, Biologicals & Herbals, and Food & Nutraceuticals. for manufacture, import & registration, export, sale, marketing authorization, clinical trials and intellectual property rights.

Objectives

Upon the completion of the course the student shall be able to:

- Know different Acts and guidelines that regulate Drugs & Cosmetics, Medical Devices, Biologicals & Herbals, and Food & Nutraceuticals industry in India.
- Understand the approval process and regulatory requirements for Drugs & Cosmetics, Medical Devices, Biologicals & Herbals, and Food & Nutraceuticals

THEORY — 60 Hrs

1. Biologicals & Herbals, and Food & Nutraceuticals Acts and Rules (with latest amendments): — 12 Hrs

    1. Drugs and Cosmetics Act 1940 and Rules 1945: DPCO and NPPA
    2. Other relevant provisions (rules schedules and guidelines for approval of Drugs & Cosmetics, Medical Devices, Biologicals & Herbals, and Food & Nutraceuticals in India

    Other relevant Acts: Narcotics Drugs and Psychotropic Substances Act; Medicinal and Toilet Preparations (Excise Duties) Act, 1955; Pharmacy Act, 1948; Drugs and Magic Remedies (Objectionable Advertisements) Act, 1955; Prevention of Cruelty to Animals Act.

2	Regulatory requirements and approval procedures for Drugs & Cosmetics Medical Devices, Biologicals & Herbals, and Food & Nutraceuticals CDSCO (Central Drug Standard Control Organization) and State Licensing Authority: Organization, Responsibilities • Rules, regulations, guidelines and standards for regulatory filing of Drugs & Cosmetics, Medical Devices, Biologicals & Herbals, and Food & Nutraceuticals • Format and contents of Regulatory dossier filing Clinical trial/ investigations	12 Hrs
3	Indian Pharmacopoeial Standards, BIS standards and ISO and other relevant standards	12 Hrs
4	Bioavailability and Bioequivalence data (BA &BE), BCS Classification of Drugs, Regulatory Requirements for Bioequivalence study Stability requirements: ICH and WHO Guidelines for Drug testing in animals/Preclinical Studies Animal testing: Rationale for conducting studies, CPCSEA Guidelines Ethical guidelines for human participants ICMR- DBT Guidelines for Stem Cell Research	12 Hrs
5	Intellectual Property Rights: Patent, Trademark, Copyright, Industrial Designs and Geographical Indications, Indian Patent Scenario. IPR vs Regulatory Affairs	12 Hrs

REFERENCES

1. Manual of Patent Practice & Procedure, 3rd Edition, by The Patent Office of India
2. Patent Failure How Judges, Bureaucrats, and Lawyers put innovators at risk by James Bessen and Michael J. Meurer
3. Principles and Practice of Clinical Trial Medicine by Richard Chin and Bruce Y. Lee
4. Ethical Guidelines for Biomedical Research on Human Participants by Indian Council of Medical Research New delhi 2006.
5. CPCSEA Guidelines for Laboratory Animal Facility by Committee for the purpose of control and supervision on experiments on animals (CPCSEA)

6. ICH E6 Guideline — Good Clinical Practice‖ by ICH Harmonised Tripartite
7. Guidance for Industry on Submission of Clinical Trial Application for Evaluating Safety and Efficacy by CDSCO (Central Drug Standard Control Organisation)
8. Guidance for Industry on Requirement of Chemical & Pharmaceutical Information including Stability Study Data before approval of clinical trials / BE studies by CDSCO
9. Guidelines for Import and Manufacture of Medical Devices by CDSCO
10. Guidelines from official website of CDSCO

REGULATORY AFFAIRS PRACTICAL - I
(MRA 105P)

1. Case studies (4 Nos.) of each of Good Pharmaceutical Practices.
2. Documentation for in process and finished products Quality control tests for Solid, liquid, Semisolid and Sterile preparations.
3. Preparation of SOPs, Analytical reports (Stability and validation)
4. Protocol preparation for documentation of various types of records (BMR, MFR, DR)
5. Labeling comparison between brand & generics.
6. Preparation of clinical trial protocol for registering trial in India
7. Registration for conducting BA/ BE studies in India
8. Import of drugs for research and developmental activities
9. Preparation of regulatory dossier as per Indian CTD format and submission in SUGAM
10. Registering for different Intellectual Property Rights in India
11. GMP Audit Requirements as per CDSCO
12. Preparation and documentation for Indian Patent application.
13. Preparation of checklist for registration of IND as per ICH CTD format.
14. Preparation of checklist for registration of NDA as per ICH CTD format.
15. Preparation of checklist for registration of ANDA as per ICH CTD format.
16. Case studies on response with scientific rationale to USFDA Warning Letter
17. Preparation of submission checklist of IMPD for EU submission.
18. Comparison study of marketing authorization procedures in EU.
19. Comparative study of DMF system in US, EU and Japan
20. Preparation of regulatory submission using eCTD software
21. Preparation of Clinical Trial Application (CTA) for US submission
22. Preparation of Clinical Trial Application (CTA) for EU submission
23. Comparison of Clinical Trial Application requirements of US, EU and Japan of a dosage form.
24. Regulatory requirements checklist for conducting clinical trials in India.
25. Regulatory requirements checklist for conducting clinical trials in Europe.
26. Regulatory requirements checklist for conducting clinical trials in USA