

# Automated Synthesis: Towards the Holy Grail of AI

Kuldeep S. Meel<sup>1</sup>, Supratik Chakraborty<sup>2</sup>, S Akshay<sup>2</sup>, Priyanka Golia<sup>1,3</sup>, Subhajit Roy<sup>3</sup>



<sup>1</sup>National University of Singapore

<sup>2</sup>Indian Institute of Technology Bombay

<sup>3</sup>Indian Institute of Technology Kanpur

AAAI-2022

# What's It All About

Wish I had a **system**  
that could work like  
this ...



# What's It All About

Wish I had a **system**  
that could work like  
this ...



Spec by examples

<b>X<sub>1</sub></b>	<b>X<sub>2</sub></b>	<b>Y</b>
20	3	20
2	9	10
5	30	30
:	:	:
:	:	:



# What's It All About

Wish I had a **system**  
that could work like  
this ...



Spec by examples

<b>X<sub>1</sub></b>	<b>X<sub>2</sub></b>	<b>Y</b>
20	3	20
2	9	10
5	30	30
:	:	:
:	:	:



Spec by input-output relation  
 $(Y \geq X_1) \wedge (Y \geq X_2) \wedge (Y \geq 10) \wedge ((Y \leq X_1) \vee (Y \leq X_2) \vee (Y \leq 10))$

# What's It All About

Wish I had a **system**  
that could work like  
this ...



Spec by examples

<b>X<sub>1</sub></b>	<b>X<sub>2</sub></b>	<b>Y</b>
20	3	20
2	9	10
5	30	30
:	:	:
:	:	:



Spec by input-output relation  
 $(Y \geq X_1) \wedge (Y \geq X_2) \wedge (Y \geq 10) \wedge ((Y \leq X_1) \vee (Y \leq X_2) \vee (Y \leq 10))$

Spec in natural language

*Output **Y** as max of **X<sub>1</sub>** and **X<sub>2</sub>**, but if both are less than 10, then output **Y** as 10*

# What's It All About

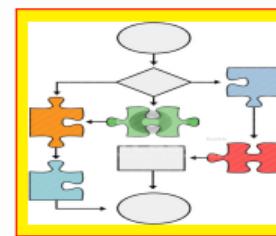
Wish I had an algorithm  
that could help me ...



Spec by examples

$X_1$	$X_2$	$Y$
20	3	20
2	9	10
5	30	30
:	:	:

Synthesis Algorithm



Spec by input-output relation

$$(\mathbf{Y} \geq \mathbf{X}_1) \wedge (\mathbf{Y} \geq \mathbf{X}_2) \wedge (\mathbf{Y} \geq 10) \wedge ((\mathbf{Y} \leq \mathbf{X}_1) \vee (\mathbf{Y} \leq \mathbf{X}_2) \vee (\mathbf{Y} \leq 10))$$

Spec in natural language

Output  $\mathbf{Y}$  as max of  $\mathbf{X}_1$  and  $\mathbf{X}_2$ , but if both are less than 10, then output  $\mathbf{Y}$  as 10

# What's It All About

Wish I had an algorithm  
that could help me ...

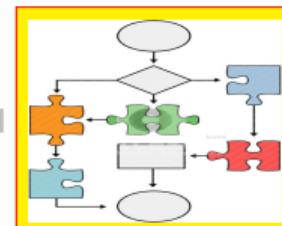


Spec by examples

$X_1$	$X_2$	$Y$
20	3	20
2	9	10
5	30	30
:	:	:

Synthesis Algorithm

Spec by input-output relation  
 $(Y \geq X_1) \wedge (Y \geq X_2) \wedge (Y \geq 10) \wedge ((Y \leq X_1) \vee (Y \leq X_2) \vee (Y \leq 10))$



Spec in natural language

Output  $Y$  as max of  $X_1$  and  $X_2$ , but if both are less  
than 10, then output  $Y$  as 10

# Focus of this tutorial

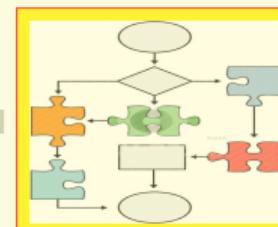
Wish I had an algorithm  
that could help me ...



Spec by examples

X <sub>1</sub>	X <sub>2</sub>	Y
20	3	20
2	9	10
5	30	30
:	:	:

Synthesis Algorithm

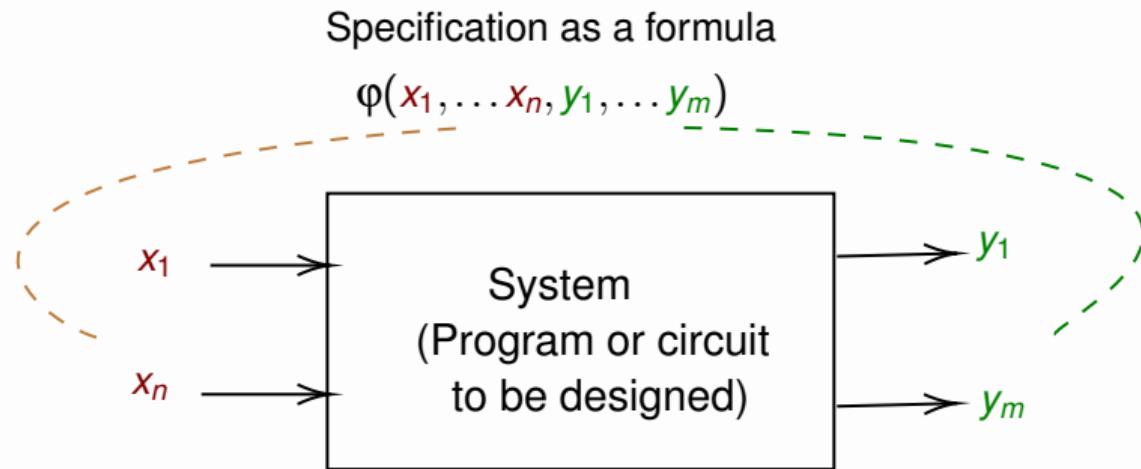


Spec by input-output relation  
 $(Y \geq X_1) \wedge (Y \geq X_2) \wedge (Y \geq 0) \wedge ((Y \leq X_1) \vee (Y \leq X_2) \vee (Y \leq 0))$

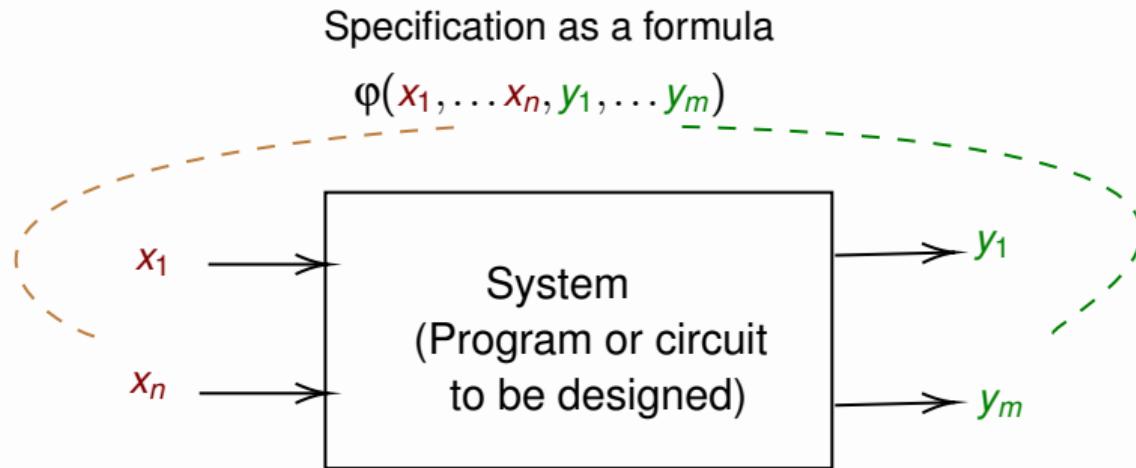
Spec in natural language

Output Y as max of X<sub>1</sub> and X<sub>2</sub>, but if both are less than 10, then output Y as 10

# Automated Synthesis: A Generic View

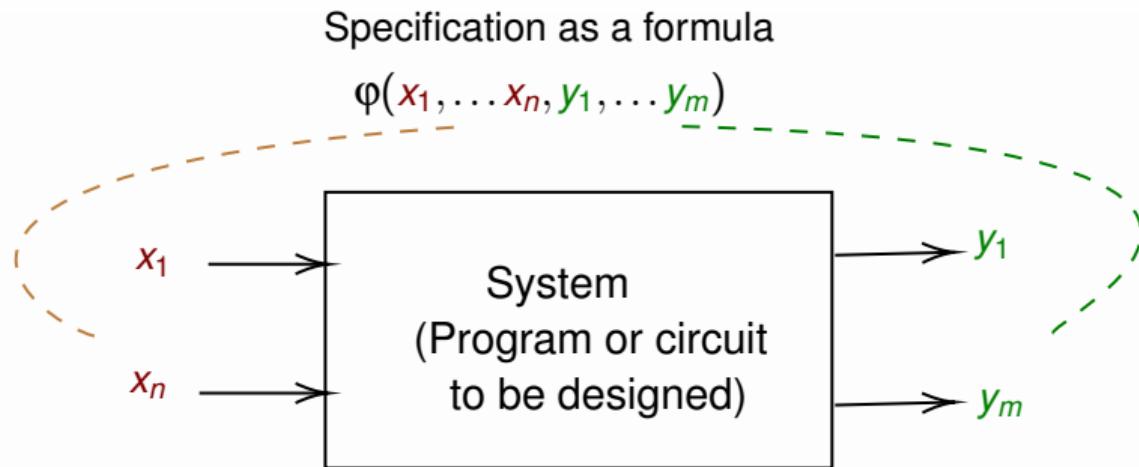


# Automated Synthesis: A Generic View



- Goal: Automatically synthesize system s.t. it satisfies  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$ 
  - $x_i$  *input* variables (vector **X**)
  - $y_j$  *output* variables (vector **Y**)

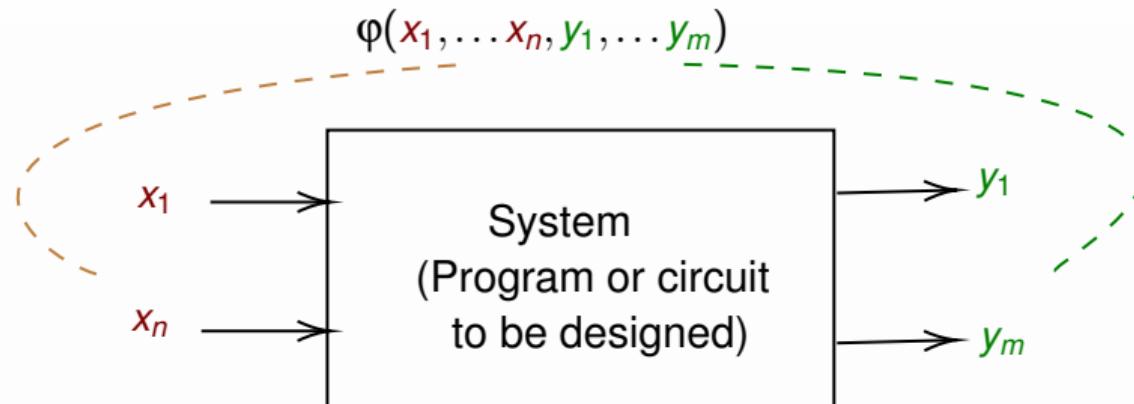
# Automated Synthesis: A Generic View



- Goal: Automatically synthesize system s.t. it satisfies  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$  whenever possible.
  - $x_i$  input variables (vector  $\mathbf{X}$ )
  - $y_j$  output variables (vector  $\mathbf{Y}$ )

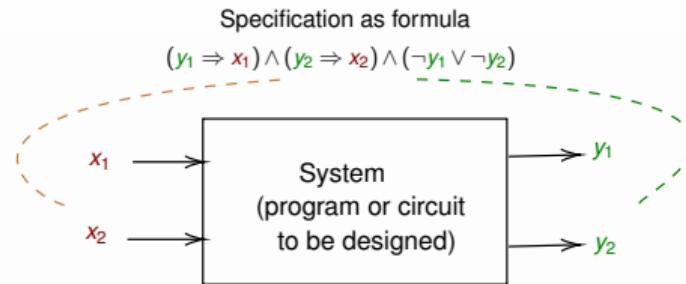
# Automated Synthesis: A Generic View

Specification as a formula



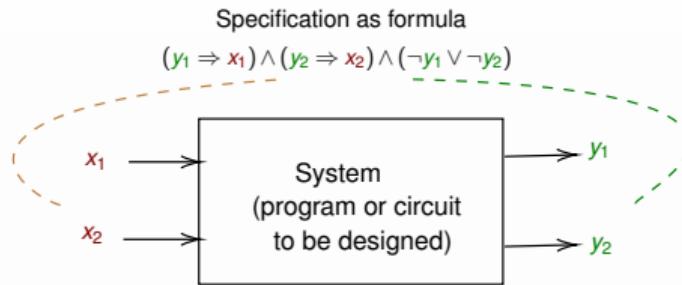
- Goal: Automatically synthesize system s.t. it satisfies  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$  whenever possible.
  - $x_i$  *input* variables (vector  $\mathbf{X}$ )
  - $y_j$  *output* variables (vector  $\mathbf{Y}$ )
- Need  $\mathbf{Y}$  as functions  $\mathbf{F}$  of
  - “History” of  $\mathbf{X}$  and  $\mathbf{Y}$ , “State” of system, ... in general such that  $\varphi(\mathbf{X}, \mathbf{F})$  is satisfied.

# Automated Synthesis: Concrete View 1 (Memoryless Arbiter)



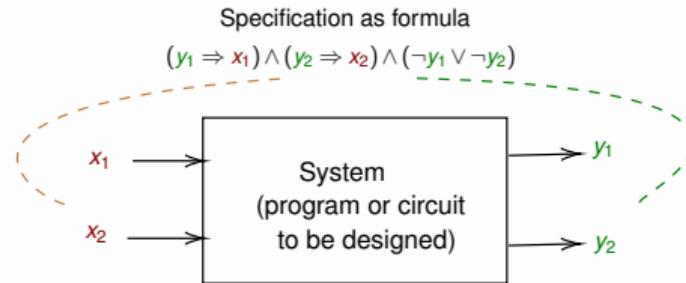
- Specification gives a relation between inputs & outputs

# Automated Synthesis: Concrete View 1 (Memoryless Arbiter)



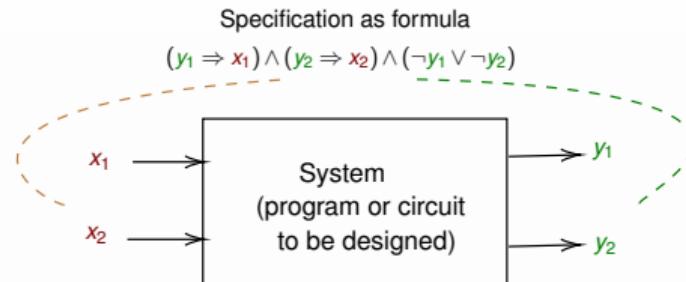
- Specification gives a relation between inputs & outputs
- Doesn't tell us how to obtain  $y_1, y_2$  as functions of  $x_1, x_2$

# Automated Synthesis: Concrete View 1 (Memoryless Arbiter)



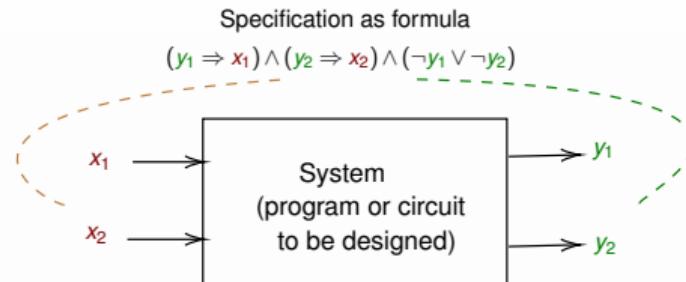
- Specification gives a relation between inputs & outputs
- Doesn't tell us how to obtain  $y_1, y_2$  as functions of  $x_1, x_2$
- Need to synthesize  $y_1, y_2$  as functions of  $x_1, x_2$  s.t. spec is satisfied

# Automated Synthesis: Concrete View 1 (Memoryless Arbiter)



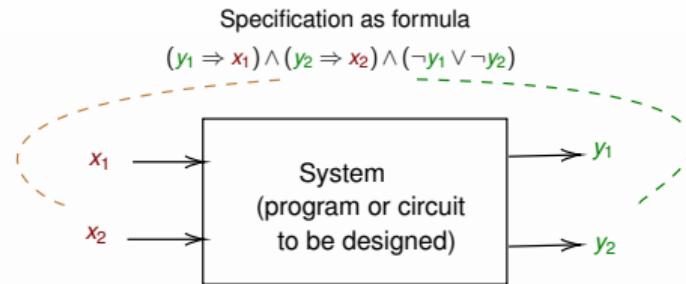
- Specification gives a relation between inputs & outputs
- Doesn't tell us how to obtain  $y_1, y_2$  as functions of  $x_1, x_2$
- Need to synthesize  $y_1, y_2$  as functions of  $x_1, x_2$  s.t. spec is satisfied
- Multiple solutions
  - $y_1 = x_1 \wedge \neg x_2, y_2 = x_2$
  - $y_1 = x_1, y_2 = x_2 \wedge \neg x_1$

# Automated Synthesis: Concrete View 1 (Memoryless Arbiter)



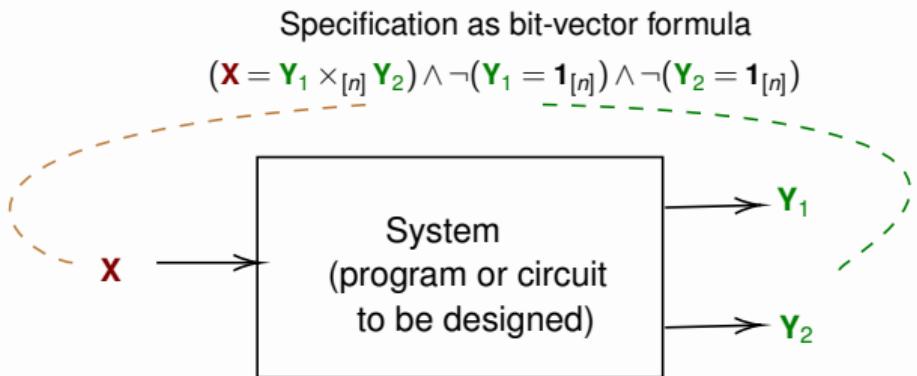
- Specification gives a relation between inputs & outputs
- Doesn't tell us how to obtain  $y_1, y_2$  as functions of  $x_1, x_2$
- Need to synthesize  $y_1, y_2$  as functions of  $x_1, x_2$  s.t. spec is satisfied
- Multiple solutions
  - $y_1 = x_1 \wedge \neg x_2, y_2 = x_2$
  - $y_1 = x_1, y_2 = x_2 \wedge \neg x_1$
  - Admits “unfair” implementation

# Automated Synthesis: Concrete View 1 (Memoryless Arbiter)



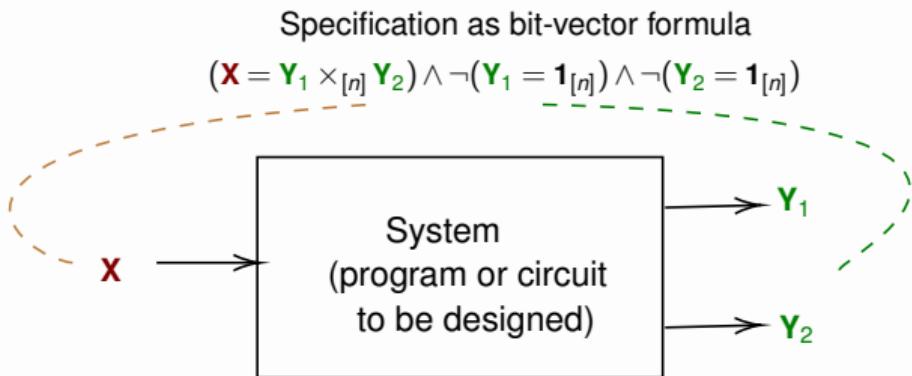
- Specification gives a relation between inputs & outputs
- Doesn't tell us how to obtain  $y_1, y_2$  as functions of  $x_1, x_2$
- Need to synthesize  $y_1, y_2$  as functions of  $x_1, x_2$  s.t. spec is satisfied
- Multiple solutions
  - $y_1 = x_1 \wedge \neg x_2, y_2 = x_2$
  - $y_1 = x_1, y_2 = x_2 \wedge \neg x_1$
  - Admits “unfair” implementation
- Suffices to give one “good enough” solution

# Automated Synthesis: Concrete View 2 (Cryptanalysis)



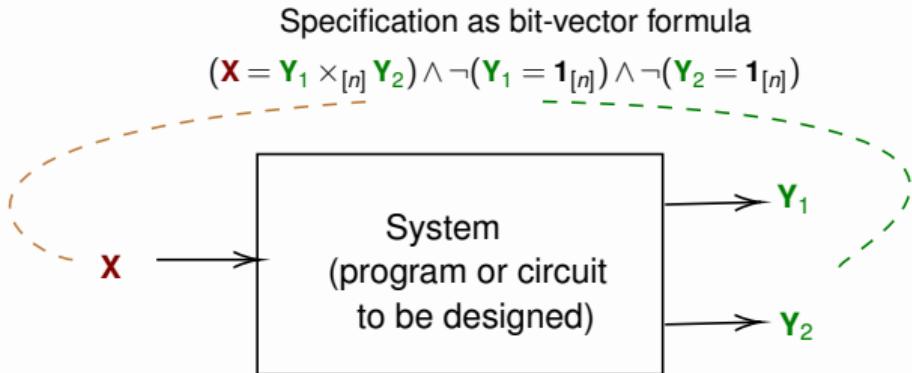
- Synthesize  $Y_1, Y_2$  as functions of  $X$

# Automated Synthesis: Concrete View 2 (Cryptanalysis)



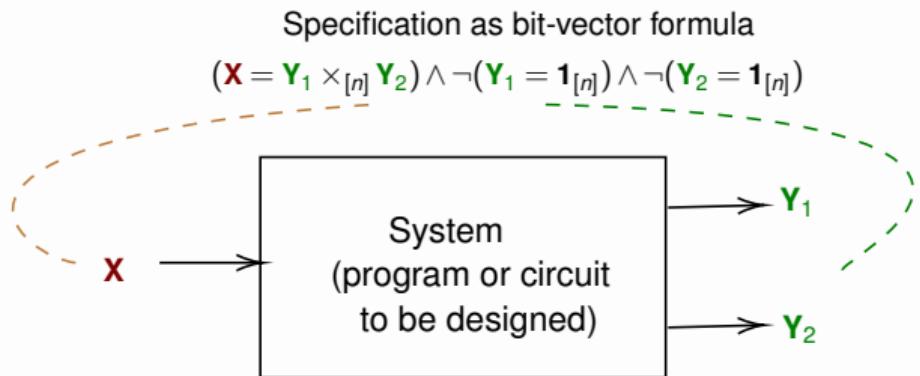
- Synthesize  $Y_1, Y_2$  as functions of  $X$ 
  - $Y_1, Y_2$  must be non-trivial factors of  $X$

# Automated Synthesis: Concrete View 2 (Cryptanalysis)



- Synthesize  $Y_1, Y_2$  as functions of  $X$ 
  - $Y_1, Y_2$  must be non-trivial factors of  $X$
  - Not always satisfiable (if  $X$  is prime)

# Automated Synthesis: Concrete View 2 (Cryptanalysis)



- Synthesize  $Y_1, Y_2$  as functions of  $X$ 
  - $Y_1, Y_2$  must be non-trivial factors of  $X$
  - Not always satisfiable (if  $X$  is prime)
  - Efficient solution would break crypto systems

## Reactive synthesis

- System & environment in continuous temporal interaction
- Specification talks of **infinite sequence of inputs & outputs**
  - Temporal logic, automata over infinite words, ...
- Examples: Operating system, network switch, nuclear plant controller, ...

## Reactive synthesis

- System & environment in continuous temporal interaction
- Specification talks of **infinite sequence of inputs & outputs**
  - Temporal logic, automata over infinite words, ...
- Examples: Operating system, network switch, nuclear plant controller, ...
- **Not focus of this tutorial**

## Reactive synthesis

- System & environment in continuous temporal interaction
- Specification talks of **infinite sequence of inputs & outputs**
  - Temporal logic, automata over infinite words, ...
- Examples: Operating system, network switch, nuclear plant controller, ...
- **Not focus of this tutorial**

## Functional synthesis

- System generates outputs in response to current inputs
- No dependence on past history
- Specification talks of **current input and current output**
  - Propositional/bit-vector/... logics suffice, no temporal operators
- Examples: program synthesis, arithmetic/numerical computation, next-state logic of reactive controllers, ...

## Reactive synthesis

- System & environment in continuous temporal interaction
- Specification talks of **infinite sequence of inputs & outputs**
  - Temporal logic, automata over infinite words, ...
- Examples: Operating system, network switch, nuclear plant controller, ...
- **Not focus of this tutorial**

## Functional synthesis

- System generates outputs in response to current inputs
- No dependence on past history
- Specification talks of **current input and current output**
  - Propositional/bit-vector/... logics suffice, no temporal operators
- Examples: program synthesis, arithmetic/numerical computation, next-state logic of reactive controllers, ...
- **Focus of this tutorial**

## First half: The basics

- ① Formal Problem Statement
- ② Application domains
- ③ Theoretical hardness and practical algorithms

# Outline

## First half: The basics

- ① Formal Problem Statement
- ② Application domains
- ③ Theoretical hardness and practical algorithms

A coffee/tea/dinner/drinks break

## Second half: Under the hood

- ④ Deep Dives
  - ① Knowledge compilation
  - ② Counter-example guided
  - ③ Data-driven approaches
- ⑤ Tool demos and experimental results
- ⑥ Conclusion and the way forward

- 1 Formal Problem Statement
- 2 Application Domains
- 3 Theoretical Hardness and Practical Algorithms
- 4 Deep Dives
- 5 Tool Demos and Experimental Results
- 6 Conclusion and the Way Forward

## Formal definition

Given Boolean relation  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$

- $x_i$  *input* variables (vector  $\mathbf{X}$ )
- $y_j$  *output* variables (vector  $\mathbf{Y}$ )

## Formal definition

Given Boolean relation  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$

- $x_1$  *input* variables (vector  $\mathbf{X}$ )
- $y_j$  *output* variables (vector  $\mathbf{Y}$ )

Synthesize Boolean functions  $F_j(\mathbf{X})$  for each  $y_j$  s.t.

$$\forall \mathbf{X} \left( \exists y_1 \dots y_m \varphi(\mathbf{X}, y_1 \dots y_m) \Leftrightarrow \varphi(\mathbf{X}, F_1(\mathbf{X}), \dots, F_m(\mathbf{X})) \right)$$

## Formal definition

Given Boolean relation  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$

- $x_1$  *input variables* (vector  $\mathbf{X}$ )
- $y_j$  *output variables* (vector  $\mathbf{Y}$ )

Synthesize Boolean functions  $F_j(\mathbf{X})$  for each  $y_j$  s.t.

$$\forall \mathbf{X} (\exists y_1 \dots y_m \varphi(\mathbf{X}, y_1 \dots y_m) \Leftrightarrow \varphi(\mathbf{X}, F_1(\mathbf{X}), \dots, F_m(\mathbf{X})))$$

$F_j(\mathbf{X})$  is also called a *Skolem function* for  $y_j$  in  $\varphi$ .

## Formal definition

Given Boolean relation  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$

- $x_1$  input variables (vector  $\mathbf{X}$ )
- $y_j$  output variables (vector  $\mathbf{Y}$ )

Synthesize Boolean functions  $F_j(\mathbf{X})$  for each  $y_j$  s.t.

$$\forall \mathbf{X} (\exists y_1 \dots y_m \varphi(\mathbf{X}, y_1 \dots y_m) \Leftrightarrow \varphi(\mathbf{X}, F_1(\mathbf{X}), \dots, F_m(\mathbf{X})))$$

$F_j(\mathbf{X})$  is also called a *Skolem function* for  $y_j$  in  $\varphi$ .

- What if  $\forall \mathbf{X} \exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) = 0$ ?

## Formal definition

Given Boolean relation  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$

- $x_1$  input variables (vector  $\mathbf{X}$ )
- $y_j$  output variables (vector  $\mathbf{Y}$ )

Synthesize Boolean functions  $F_j(\mathbf{X})$  for each  $y_j$  s.t.

$$\forall \mathbf{X} (\exists y_1 \dots y_m \varphi(\mathbf{X}, y_1 \dots y_m) \Leftrightarrow \varphi(\mathbf{X}, F_1(\mathbf{X}), \dots, F_m(\mathbf{X})))$$

$F_j(\mathbf{X})$  is also called a *Skolem function* for  $y_j$  in  $\varphi$ .

- What if  $\forall \mathbf{X} \exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) = 0$ ?
  - Interesting as long as  $\exists \mathbf{X} \exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) = 1$

## Formal definition

Given Boolean relation  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$

- $x_1$  input variables (vector  $\mathbf{X}$ )
- $y_j$  output variables (vector  $\mathbf{Y}$ )

Synthesize Boolean functions  $F_j(\mathbf{X})$  for each  $y_j$  s.t.

$$\forall \mathbf{X} (\exists y_1 \dots y_m \varphi(\mathbf{X}, y_1 \dots y_m) \Leftrightarrow \varphi(\mathbf{X}, F_1(\mathbf{X}), \dots, F_m(\mathbf{X})))$$

$F_j(\mathbf{X})$  is also called a *Skolem function* for  $y_j$  in  $\varphi$ .

- What if  $\forall \mathbf{X} \exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) = 0$ ?
  - Interesting as long as  $\exists \mathbf{X} \exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) = 1$
  - $F(\mathbf{X})$  must give right value of  $\mathbf{Y}$  for all  $\mathbf{X}$  s.t.  $\exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) = 1$ 
    - ▶  $F(\mathbf{X})$  inconsequential for other  $\mathbf{X}$

## Formal definition

Given Boolean relation  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$

- $x_1$  input variables (vector  $\mathbf{X}$ )
- $y_j$  output variables (vector  $\mathbf{Y}$ )

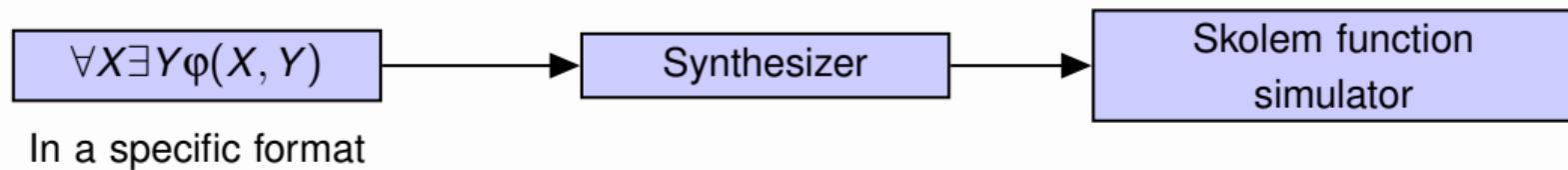
Synthesize Boolean functions  $F_j(\mathbf{X})$  for each  $y_j$  s.t.

$$\forall \mathbf{X} (\exists y_1 \dots y_m \varphi(\mathbf{X}, y_1 \dots y_m) \Leftrightarrow \varphi(\mathbf{X}, F_1(\mathbf{X}), \dots, F_m(\mathbf{X})))$$

$F_j(\mathbf{X})$  is also called a *Skolem function* for  $y_j$  in  $\varphi$ .

- What if  $\forall \mathbf{X} \exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) = 0$ ?
  - Interesting as long as  $\exists \mathbf{X} \exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) = 1$
  - $F(\mathbf{X})$  must give right value of  $\mathbf{Y}$  for all  $\mathbf{X}$  s.t.  $\exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) = 1$ 
    - ▶  $F(\mathbf{X})$  inconsequential for other  $\mathbf{X}$
  - Given  $\mathbf{X}$ ,  $F(\mathbf{X})$ , easy to check if  $\exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) = \varphi(\mathbf{X}, F(\mathbf{X})) = 0$

# A short tool demo



# Outline

- 1 Formal Problem Statement
- 2 Application Domains
- 3 Theoretical Hardness and Practical Algorithms
- 4 Deep Dives
- 5 Tool Demos and Experimental Results
- 6 Conclusion and the Way Forward

## Application Domain 1: Program Synthesis

Given a specification  $\varphi$ , automatically synthesize a program  $\mathcal{P}$  such that  $\varphi \models \mathcal{P}$ .

Given a specification  $\varphi$ , automatically synthesize a program  $\mathcal{P}$  such that  $\varphi \models \mathcal{P}$ .

## Specifications

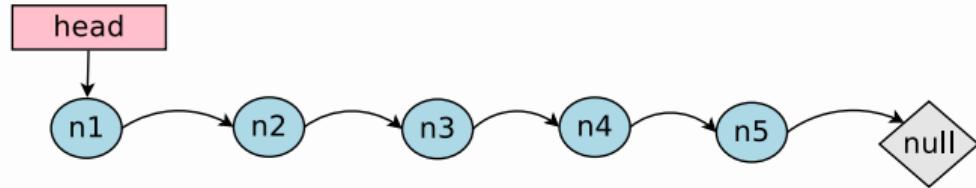
- Logical specifications
- Test cases (examples)
- Natural Language
- Demonstrations/Traces
- Programs

SyGuS was an attempt to formalize the core synthesis problem as a:

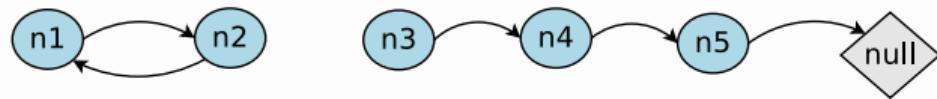
- a background theory (eg. QF\_UFLIA)
- a semantic correctness specification (in the background theory)
- a language to represent the synthesized program (as a context-free grammar)

Reverse a singly linked list.

Reverse a singly linked list.



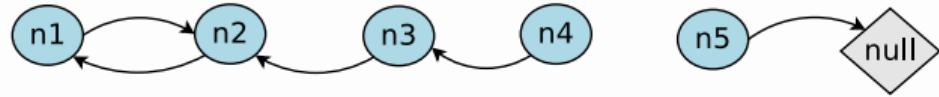
Reverse a singly linked list.



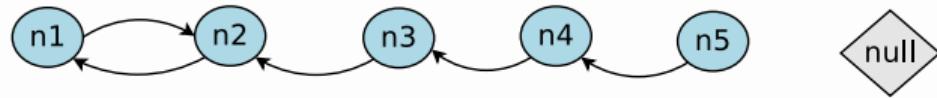
Reverse a singly linked list.



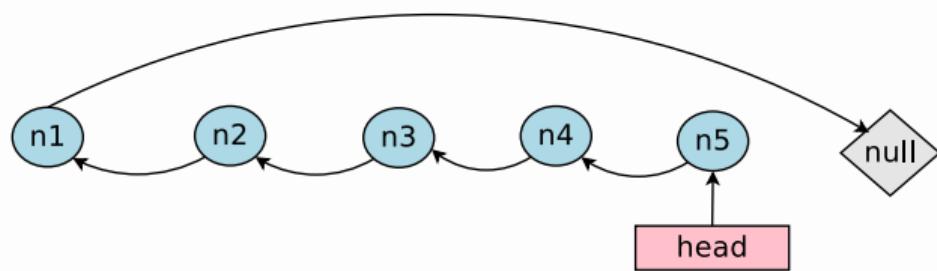
Reverse a singly linked list.



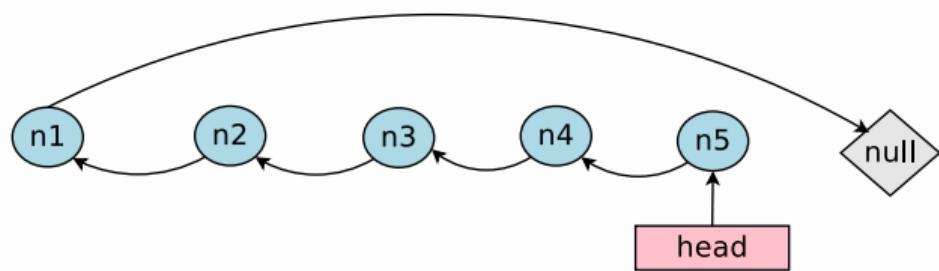
Reverse a singly linked list.



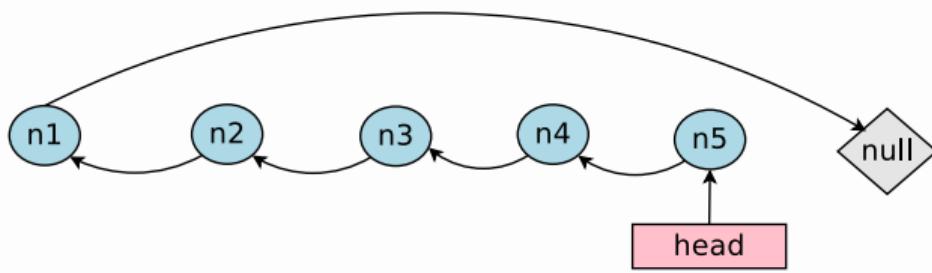
Reverse a singly linked list.



Reverse a singly linked list.



Reverse a singly linked list.



```
0 tmp1 = x
1 tmp2 = tmp1.next
while(not (tmp2 == null))
2   tmp0 = tmp2.next
3   tmp2.next = x
4   x = tmp2
5   tmp2 = tmp0
6 tmp1.next = tmp0
```

- **Data preparation:** synthesize R scripts for complex data wrangling tasks\*

---

\*Feng et al., PLDI'17

†Wang et al., PLDI'17; <https://scythe.cs.washington.edu/>

‡Bavishi et al., OOPSLA'19

§Wang et al., POPL'20

- **Data preparation:** synthesize R scripts for complex data wrangling tasks\*
- **Data extraction:** synthesize SQL queries<sup>†</sup> and Python scripts<sup>‡</sup> from examples of input and output tables

---

\*Feng et al., PLDI'17

†Wang et al., PLDI'17; <https://scythe.cs.washington.edu/>

‡Bavishi et al., OOPSLA'19

§Wang et al., POPL'20

- **Data preparation:** synthesize R scripts for complex data wrangling tasks\*
- **Data extraction:** synthesize SQL queries<sup>†</sup> and Python scripts<sup>‡</sup> from examples of input and output tables
- **Data visualization:** automatically synthesize visualizations from data with small example(s) as input §

---

\*Feng et al., PLDI'17

†Wang et al., PLDI'17; <https://scythe.cs.washington.edu/>

‡Bavishi et al., OOPSLA'19

§Wang et al., POPL'20

- **Data preparation:** synthesize R scripts for complex data wrangling tasks\*
- **Data extraction:** synthesize SQL queries<sup>†</sup> and Python scripts<sup>‡</sup> from examples of input and output tables
- **Data visualization:** automatically synthesize visualizations from data with small example(s) as input §
- **ML pipelines:** allows for generating supervised learning pipelines¶

---

\*Feng et al., PLDI'17

†Wang et al., PLDI'17; <https://scythe.cs.washington.edu/>

‡Bavishi et al., OOPSLA'19

§Wang et al., POPL'20

# Application Domain 1: End-User Programming

## Flash Fill (Microsoft Excel)\*

A screenshot of Microsoft Excel showing the 'Flash Fill' feature. The data in column A consists of names like Ned Lanning, Margo Hendrix, Dianne Pugh, etc. The user has highlighted the first two rows of column A (Ned Lanning and Margo Hendrix) and the first row of column B (First). A green overlay box contains the text: "Excel sees patterns and shows a preview". The Excel ribbon is visible at the top, showing the 'Data' tab selected.

	Name	First	Last
1	Ned Lanning	Ned	
2	Margo Hendrix	Margo	
3	Dianne Pugh	Dianne	
4	Earlene McCarty	Earlene	
5	Jon Voigt	Jon	
6	Mia Arnold	Mia	
7	Jorge Fellows	Jorge	
8	Rose Winters	Rose	
9	Carmela Hahn	Carmela	
10	Denis Horning	Denis	
11	Johnathan Swope	Johnathan	
12	Pause Cochran	Delia	
13	Mercurio Cervantes	Mercurio	

- automatically identifies patterns, and
- synthesizes a program in the background

\*Gulwani et al., POPL'11; image and video at <https://support.microsoft.com>

†Singh and Gulwani, PVLDB'12; Singh and Gulwani, CAV'12; Harris and Gulwani, PLDI'11

# Application Domain 1: End-User Programming

## Flash Fill (Microsoft Excel)\*

The screenshot shows a Microsoft Excel spreadsheet titled "Foster - Excel". The data in column A consists of names: Ned Lanning, Margo Hendrix, Dianne Pugh, Earlene McCarty, Jon Voigt, Mia Arnold, Jorge Fellows, Rose Winters, Carmela Hahn, Denis Horning, Johnathan Swope, and Paulette Cochran. The first two rows have their first and last names split into columns B and C respectively. A green callout box with white text is overlaid on the spreadsheet, containing the text: "Excel sees patterns and shows a preview". The URL "support.microsoft.com/en-us/office/using-flash-fill-in-excel-3f9bcf1e-db93-4890-94a0-1578341f73f7" is visible in the browser address bar.

Name	First	Last
Ned Lanning	Ned	
Margo Hendrix	Margo	
Dianne Pugh	Dianne	
Earlene McCarty	Earlene	
Jon Voigt	Jon	
Mia Arnold	Mia	
Jorge Fellows	Jorge	
Rose Winters	Rose	
Carmela Hahn	Carmela	
Denis Horning	Denis	
Johnathan Swope	Johnathan	
Paulette Cochran	Paulette	
Mercurio Cervantes	Mercurio	

- automatically identifies patterns, and
- synthesizes a program in the background

Similar line of tools for semantic string, number and table transformations.<sup>†</sup>

\*Gulwani et al., POPL'11; image and video at <https://support.microsoft.com>

†Singh and Gulwani, PVLDB'12; Singh and Gulwani, CAV'12; Harris and Gulwani, PLDI'11

- **Problem Generation:** for geometry, natural deduction and arithmetic\*

---

\*Alvinet al., AAAI'14; Ahmedet al., IJCAI'13; Andersen et al., CHI'13

†Gulwani et al., PLDI'11

‡Singh et al., PLDI'13; Alur et al., IJCAI'13, Gulwani, GECCO'14

- **Problem Generation:** for geometry, natural deduction and arithmetic\*
- **Solution Generation:** geometry constructions†

```
MidPoint(Line(p1, p2))
```

```
c1 = Circle(p1, len(Line(p1, p2)))  
c2 = Circle(p2, len(Line(p1, p2)))  
q1, q2 = CircleCircleXn(c1, c2)  
r = LineLineXn(Line(p1, p2), Line(q1, q2))
```

```
return r
```

(simplified for presentation)

---

\*Alvinet al., AAAI'14; Ahmedet al., IJCAI'13; Andersen et al., CHI'13

†Gulwani et al., PLDI'11

‡Singh et al., PLDI'13; Alur et al., IJCAI'13, Gulwani, GECCO'14

- **Problem Generation:** for geometry, natural deduction and arithmetic\*
- **Solution Generation:** geometry constructions†

```
MidPoint(Line(p1, p2))
```

```
c1 = Circle(p1, len(Line(p1, p2)))  
c2 = Circle(p2, len(Line(p1, p2)))  
q1, q2 = CircleCircleXn(c1, c2)  
r = LineLineXn(Line(p1, p2), Line(q1, q2))  
return r
```

(simplified for presentation)

- **Feedback Generation:** introductory programming and automata‡

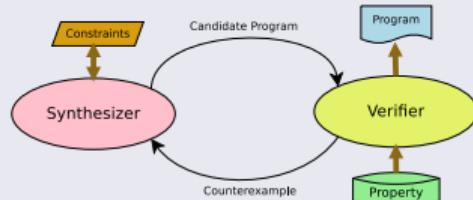
\*Alvinet al., AAAI'14; Ahmedet al., IJCAI'13; Andersen et al., CHI'13

†Gulwani et al., PLDI'11

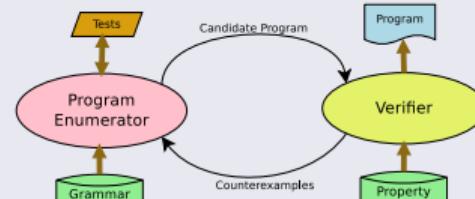
‡Singh et al., PLDI'13; Alur et al., IJCAI'13, Gulwani, GECCO'14

# Application Domain 1: Algorithms for Program Synthesis\*

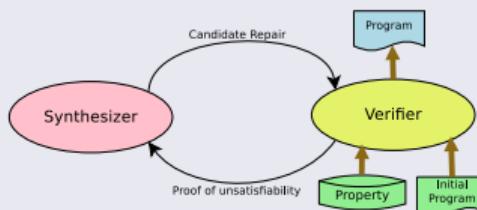
## CEGIS (Symbolic)



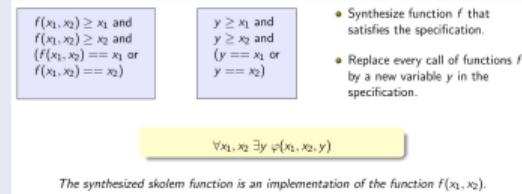
## CEGIS (Enumerative)



## SyPR: Proof-Guided Repairs



## Reduction to QBF



\*CEGIS(Sym): Solar-Lezama, STTT'12. CEGIS(Enum): Alur et al., FMCAD'13; Alur et al., TACAS'17; SyPR: Verma and Roy, ESEC/FSE'17; Verma et al., CGO'20; Golia et al., CAV'20; RedQBF: Golia et al., IJCAI'21

$f(x_1, x_2) \geq x_1$  and  
 $f(x_1, x_2) \geq x_2$  and  
 $(f(x_1, x_2) == x_1 \text{ or } f(x_1, x_2) == x_2)$

- Synthesize function  $f$  that satisfies the specification.

# Application Domain 1: Program synthesis to Skolem Functional Synthesis\*

$f(x_1, x_2) \geq x_1$  and  
 $f(x_1, x_2) \geq x_2$  and  
 $(f(x_1, x_2) == x_1 \text{ or } f(x_1, x_2) == x_2)$

$y \geq x_1$  and  
 $y \geq x_2$  and  
 $(y == x_1 \text{ or } y == x_2)$

- Synthesize function  $f$  that satisfies the specification.
- Replace every call of functions  $f$  by a new variable  $y$  in the specification.

$$\forall x_1, x_2 \exists y \varphi(x_1, x_2, y)$$

$f(x_1, x_2) \geq x_1$  and  
 $f(x_1, x_2) \geq x_2$  and  
 $(f(x_1, x_2) == x_1 \text{ or } f(x_1, x_2) == x_2)$

$y \geq x_1$  and  
 $y \geq x_2$  and  
 $(y == x_1 \text{ or } y == x_2)$

- Synthesize function  $f$  that satisfies the specification.
- Replace every call of functions  $f$  by a new variable  $y$  in the specification.

$$\forall x_1, x_2 \exists y \varphi(x_1, x_2, y)$$

*The synthesized skolem function is an implementation of the function  $f(x_1, x_2)$ .*

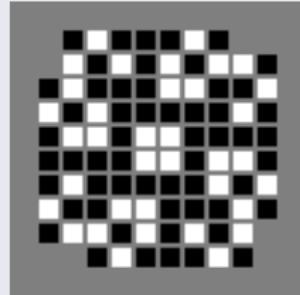
### Conway's Game of Life

- Infinite 2D grid of cells, each alive or dead in each gen:
  - ① (Under-pop) live cell with  $< 2$  live neighbors dies;
  - ② (Status-quo) live cell with 2 or 3 live neighbors lives;
  - ③ (Over-pop) live cell  $> 3$  live neighbors dies;
  - ④ (Re-birth) dead cell with 3 live neighbors comes alive

## Conway's Game of Life

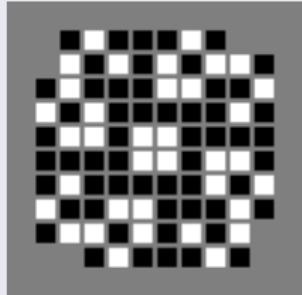
- Infinite 2D grid of cells, each alive or dead in each gen:

- ① (Under-pop) live cell with  $< 2$  live neighbors dies;
- ② (Status-quo) live cell with 2 or 3 live neighbors lives;
- ③ (Over-pop) live cell  $> 3$  live neighbors dies;
- ④ (Re-birth) dead cell with 3 live neighbors comes alive



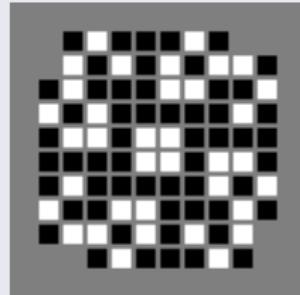
## Conway's Game of Life

- Infinite 2D grid of cells, each alive or dead in each gen:
  - ① (Under-pop) live cell with  $< 2$  live neighbors dies;
  - ② (Status-quo) live cell with 2 or 3 live neighbors lives;
  - ③ (Over-pop) live cell  $> 3$  live neighbors dies;
  - ④ (Re-birth) dead cell with 3 live neighbors comes alive
- **Objective:** Is there a **Garden of Eden (GoE)**, a configuration with no predecessor?
  - If it does not exist, give a witnessing function that defines the predecessor!



## Conway's Game of Life

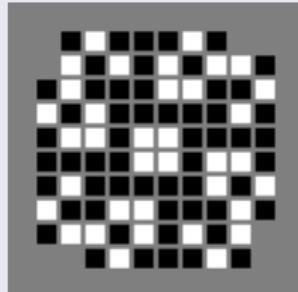
- Infinite 2D grid of cells, each alive or dead in each gen:
  - ① (Under-pop) live cell with  $< 2$  live neighbors dies;
  - ② (Status-quo) live cell with 2 or 3 live neighbors lives;
  - ③ (Over-pop) live cell  $> 3$  live neighbors dies;
  - ④ (Re-birth) dead cell with 3 live neighbors comes alive
- **Objective:** Is there a **Garden of Eden (GoE)**, a configuration with no predecessor?
  - If it does not exist, give a witnessing function that defines the predecessor!
  - A storied history! From 1971 onwards... [https://conwaylife.com/wiki/Garden\\_of\\_Eden](https://conwaylife.com/wiki/Garden_of_Eden)



## Application Domain 2: Games and planning

### Conway's Game of Life

- Infinite 2D grid of cells, each alive or dead in each gen:
  - ① (Under-pop) live cell with  $< 2$  live neighbors dies;
  - ② (Status-quo) live cell with 2 or 3 live neighbors lives;
  - ③ (Over-pop) live cell  $> 3$  live neighbors dies;
  - ④ (Re-birth) dead cell with 3 live neighbors comes alive
- **Objective:** Is there a **Garden of Eden (GoE)**, a configuration with no predecessor?
  - If it does not exist, give a witnessing function that defines the predecessor!
  - A storied history! From 1971 onwards... [https://conwaylife.com/wiki/Garden\\_of\\_Eden](https://conwaylife.com/wiki/Garden_of_Eden)



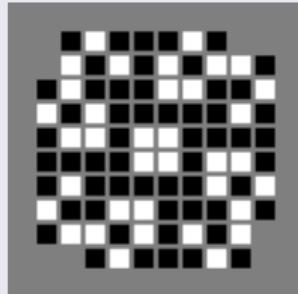
Encoded as Skolem function existence and synthesis problem

- Let **X** be current position, **Y** be previous position and  $T(\mathbf{X}, \mathbf{Y})$  be transition function
- Then GoE does not exist iff  $\forall \mathbf{X} \exists \mathbf{Y} T(\mathbf{X}, \mathbf{Y})$  is satisfiable!

## Application Domain 2: Games and planning

### Conway's Game of Life

- Infinite 2D grid of cells, each alive or dead in each gen:
  - ① (Under-pop) live cell with  $< 2$  live neighbors dies;
  - ② (Status-quo) live cell with 2 or 3 live neighbors lives;
  - ③ (Over-pop) live cell  $> 3$  live neighbors dies;
  - ④ (Re-birth) dead cell with 3 live neighbors comes alive
- **Objective:** Is there a **Garden of Eden (GoE)**, a configuration with no predecessor?
  - If it does not exist, give a witnessing function that defines the predecessor!
  - A storied history! From 1971 onwards... [https://conwaylife.com/wiki/Garden\\_of\\_Eden](https://conwaylife.com/wiki/Garden_of_Eden)



Encoded as Skolem function existence and synthesis problem

- Let **X** be current position, **Y** be previous position and  $T(\mathbf{X}, \mathbf{Y})$  be transition function
- Then GoE does not exist iff  $\forall \mathbf{X} \exists \mathbf{Y} T(\mathbf{X}, \mathbf{Y})$  is satisfiable!
- A witness that GoE does not exist is a Skolem function for **Y**.

## Application Domain 2: Games and planning as QBF

- $\forall \textcolor{red}{X} \exists \textcolor{green}{Y} T(\textcolor{red}{X}, \textcolor{green}{Y})$  has two alternating blocks of quantifiers: 2-QBF. In general, can have many!

Quantified Boolean Formula (QBF) or QSAT: Essentially SAT + chunks of quantifiers

$$\forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \dots \forall \textcolor{red}{X}_k \exists \textcolor{green}{Y}_k \varphi$$

where  $\varphi$  is a Quantifier-free Boolean Formula,

$\textcolor{red}{X}_i, \textcolor{green}{Y}_i$  are sequences of variables.

## Application Domain 2: Games and planning as QBF

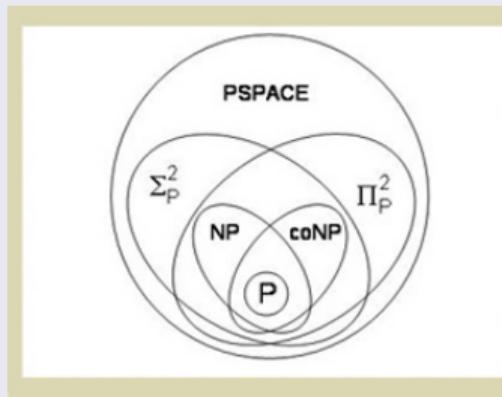
- $\forall \mathbf{X} \exists \mathbf{Y} T(\mathbf{X}, \mathbf{Y})$  has two alternating blocks of quantifiers: 2-QBF. In general, can have many!

Quantified Boolean Formula (QBF) or QSAT: Essentially SAT + chunks of quantifiers

$$\forall \mathbf{X}_1 \exists \mathbf{Y}_1 \forall \mathbf{X}_2 \exists \mathbf{Y}_2 \dots \forall \mathbf{X}_k \exists \mathbf{Y}_k \varphi$$

where  $\varphi$  is a Quantifier-free Boolean Formula,  
 $\mathbf{X}_i, \mathbf{Y}_i$  are sequences of variables.

- A rich theoretical history.
  - Textbook PSPACE-complete problem.



## Application Domain 2: Games and planning as QBF

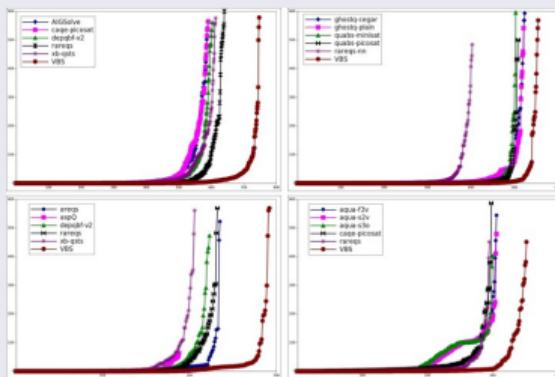
- $\forall \mathbf{X} \exists \mathbf{Y} T(\mathbf{X}, \mathbf{Y})$  has two alternating blocks of quantifiers: 2-QBF. In general, can have many!

Quantified Boolean Formula (QBF) or QSAT: Essentially SAT + chunks of quantifiers

$$\forall \mathbf{X}_1 \exists \mathbf{Y}_1 \forall \mathbf{X}_2 \exists \mathbf{Y}_2 \dots \forall \mathbf{X}_k \exists \mathbf{Y}_k \varphi$$

where  $\varphi$  is a Quantifier-free Boolean Formula,  
 $\mathbf{X}_i, \mathbf{Y}_i$  are sequences of variables.

- A rich theoretical history.
- Huge advances in tools! <https://www.qbflib.org>



## Application Domain 2: Games and planning as QBF

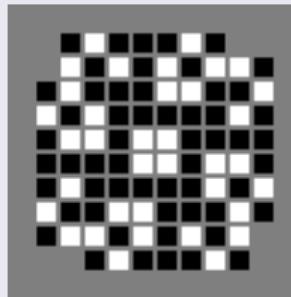
- $\forall \mathbf{X} \exists \mathbf{Y} T(\mathbf{X}, \mathbf{Y})$  has two alternating blocks of quantifiers: 2-QBF. In general, can have many!

Quantified Boolean Formula (QBF) or QSAT: Essentially SAT + chunks of quantifiers

$$\forall \mathbf{X}_1 \exists \mathbf{Y}_1 \forall \mathbf{X}_2 \exists \mathbf{Y}_2 \dots \forall \mathbf{X}_k \exists \mathbf{Y}_k \varphi$$

where  $\varphi$  is a Quantifier-free Boolean Formula,  
 $\mathbf{X}_i, \mathbf{Y}_i$  are sequences of variables.

- A rich theoretical history.
- Huge advances in tools! <https://www.qbflib.org> Smallest GoE: found by QBFsolver(2011)



Christiaan Hartman, Marijn Heule, Kees Kwekkeboom, Alain Noels: Symmetry in Gardens of Eden. Electron. J. Comb. 20(3): P16 (2013)

## Application Domain 2: Games and planning as QBF

- $\forall \mathbf{X} \exists \mathbf{Y} T(\mathbf{X}, \mathbf{Y})$  has two alternating blocks of quantifiers: 2-QBF. In general, can have many!

Quantified Boolean Formula (QBF) or QSAT: Essentially SAT + chunks of quantifiers

$$\forall \mathbf{X}_1 \exists \mathbf{Y}_1 \forall \mathbf{X}_2 \exists \mathbf{Y}_2 \dots \forall \mathbf{X}_k \exists \mathbf{Y}_k \varphi$$

where  $\varphi$  is a Quantifier-free Boolean Formula,  
 $\mathbf{X}_i, \mathbf{Y}_i$  are sequences of variables.

- A rich theoretical history.
- Huge advances in tools! <https://www.qbflib.org>
- Any 2-player game can be coded as QBF
  - Skolem functions are winning strategies of Player 2 ( $\exists$ -player)!



## Application Domain 2: Games and planning as QBF

- $\forall \mathbf{X} \exists \mathbf{Y} T(\mathbf{X}, \mathbf{Y})$  has two alternating blocks of quantifiers: 2-QBF. In general, can have many!

Quantified Boolean Formula (QBF) or QSAT: Essentially SAT + chunks of quantifiers

$$\forall \mathbf{X}_1 \exists \mathbf{Y}_1 \forall \mathbf{X}_2 \exists \mathbf{Y}_2 \dots \forall \mathbf{X}_k \exists \mathbf{Y}_k \varphi$$

where  $\varphi$  is a Quantifier-free Boolean Formula,

$\mathbf{X}_i, \mathbf{Y}_i$  are sequences of variables.

- A rich theoretical history.
- Huge advances in tools! <https://www.qbflib.org>
- Any 2-player game can be coded as QBF
  - Skolem functions are winning strategies of Player 2 ( $\exists$ -player)!



Is it the case that for every first move of P1 there exists a first move of P2, s.t for every second move of P1 there exists a second move of P2... s.t. P2 can win!?

## Application Domain 2: Games and planning as QBF

- $\forall \mathbf{X} \exists \mathbf{Y} T(\mathbf{X}, \mathbf{Y})$  has two alternating blocks of quantifiers: 2-QBF. In general, can have many!

Quantified Boolean Formula (QBF) or QSAT: Essentially SAT + chunks of quantifiers

$$\forall \mathbf{X}_1 \exists \mathbf{Y}_1 \forall \mathbf{X}_2 \exists \mathbf{Y}_2 \dots \forall \mathbf{X}_k \exists \mathbf{Y}_k \varphi$$

where  $\varphi$  is a Quantifier-free Boolean Formula,  
 $\mathbf{X}_i, \mathbf{Y}_i$  are sequences of variables.

- A rich theoretical history.
- Huge advances in tools! <https://www.qbflib.org>
- Any 2-player game can be coded as QBF
  - Skolem functions are winning strategies of Player 2 ( $\exists$ -player)!
- Many applications of QBF that we dont have time to go into!



## Conformant or Conditional Planning in AI

Rintanen, J. 1999. Constructing conditional plans by a theorem-prover. Journal of Artificial Intelligence Research 10:323-352.

- Given set  $S$  of states,  $I, G$ , formulas over  $S$  defining initial and goal states and a set of non-det actions  $A$ ,
  - does **there exist** a plan (seq of actions), s.t., **for all** possible contingencies (initial states and nondet choices), **there exist** an execution (seq of states) that reaches the goal state.

## Conformant or Conditional Planning in AI

Rintanen, J. 1999. Constructing conditional plans by a theorem-prover. Journal of Artificial Intelligence Research 10:323-352.

- Given set  $S$  of states,  $I, G$ , formulas over  $S$  defining initial and goal states and a set of non-det actions  $A$ ,
  - does **there exist** a plan (seq of actions), s.t., **for all** possible contingencies (initial states and nondet choices), **there exist** an execution (seq of states) that reaches the goal state.
  - This is a  $\exists \forall \exists$  formula, so in 3-QBF.

## Conformant or Conditional Planning in AI

Rintanen, J. 1999. Constructing conditional plans by a theorem-prover. Journal of Artificial Intelligence Research 10:323-352.

- Given set  $S$  of states,  $I, G$ , formulas over  $S$  defining initial and goal states and a set of non-det actions  $A$ ,
  - does **there exist** a plan (seq of actions), s.t., **for all** possible contingencies (initial states and nondet choices), **there exist** an execution (seq of states) that reaches the goal state.
  - This is a  $\exists\forall$  formula, so in 3-QBF.
  - Can also be encoded as  $\forall\exists$ . Rintanen, J. 2007. Asymptotically Optimal Encodings of Conformant Planning in QBF. AAAI 2007: 1045-1050

## Conformant or Conditional Planning in AI

Rintanen, J. 1999. Constructing conditional plans by a theorem-prover. Journal of Artificial Intelligence Research 10:323-352.

- Given set  $S$  of states,  $I, G$ , formulas over  $S$  defining initial and goal states and a set of non-det actions  $A$ ,
  - does **there exist** a plan (seq of actions), s.t., **for all** possible contingencies (initial states and nondet choices), **there exist** an execution (seq of states) that reaches the goal state.
  - This is a  $\exists \forall$  formula, so in 3-QBF.
  - Can also be encoded as  $\forall \exists$ . Rintanen, J. 2007. Asymptotically Optimal Encodings of Conformant Planning in QBF. AAAI 2007: 1045-1050

## More Planning to QBF approaches:

- Used to reduce size of encoding rather than uncertainty; Arbitrary Quantifier Alternation.

Michael Cashmore, Maria Fox, Enrico Giunchiglia: Partially Grounded Planning as Quantified Boolean Formula. ICAPS 2013

Michael Cashmore, Maria Fox, Enrico Giunchiglia: Planning as Quantified Boolean Formula. ECAI 2012: 217-222.

## Conformant or Conditional Planning in AI

Rintanen, J. 1999. Constructing conditional plans by a theorem-prover. Journal of Artificial Intelligence Research 10:323-352.

- Given set  $S$  of states,  $I, G$ , formulas over  $S$  defining initial and goal states and a set of non-det actions  $A$ ,
  - does **there exist** a plan (seq of actions), s.t., **for all** possible contingencies (initial states and nondet choices), **there exist** an execution (seq of states) that reaches the goal state.
  - This is a  $\exists \forall$  formula, so in 3-QBF.
  - Can also be encoded as  $\forall \exists$ . Rintanen, J. 2007. Asymptotically Optimal Encodings of Conformant Planning in QBF. AAAI 2007: 1045-1050

## More Planning to QBF approaches:

- Used to reduce size of encoding rather than uncertainty; Arbitrary Quantifier Alternation.

Michael Cashmore, Maria Fox, Enrico Giunchiglia: Partially Grounded Planning as Quantified Boolean Formula. ICAPS 2013

Michael Cashmore, Maria Fox, Enrico Giunchiglia: Planning as Quantified Boolean Formula. ECAI 2012: 217-222.

## Bottomline

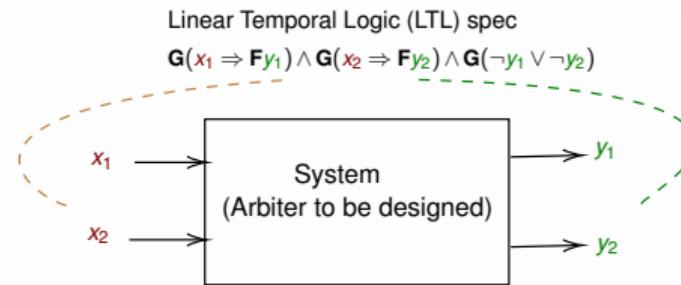
Synthesizing Skolem functions synthesizes the plans in all these cases!

## Application Domain 3: Reactive Synthesis

Boolean functional synthesis can help reactive synthesis too!

## Application Domain 3: Reactive Synthesis

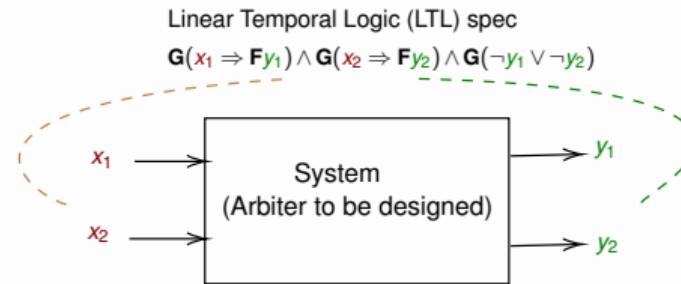
Boolean functional synthesis can help reactive synthesis too!



- Specification has **temporal** operators

## Application Domain 3: Reactive Synthesis

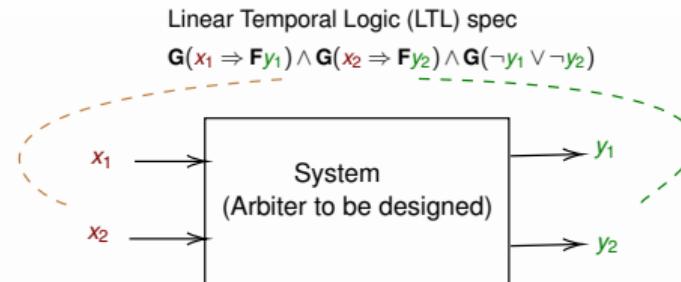
Boolean functional synthesis can help reactive synthesis too!



- Specification has **temporal** operators
- **G**: at **all** times; **F**: **now** or **in future**

## Application Domain 3: Reactive Synthesis

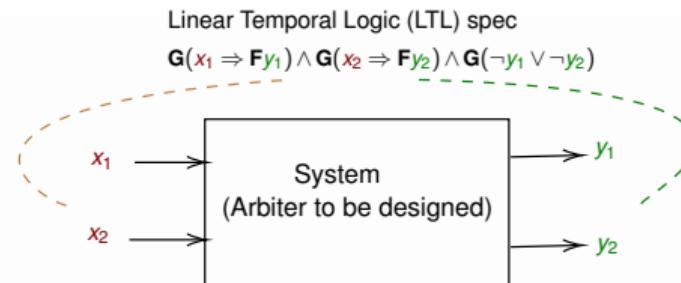
Boolean functional synthesis can help reactive synthesis too!



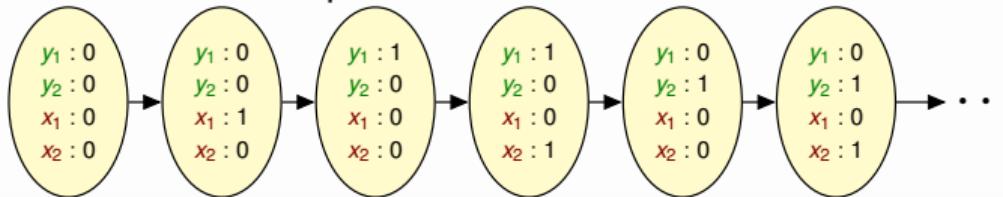
- Specification has **temporal** operators
- **G**: at **all** times; **F**: **now** or **in future**
- At **all** times
  - If a request comes on  $x_i$ , a grant goes on  $y_i$  **then or later**.
  - Both grants  $y_1$  and  $y_2$  can't be asserted

## Application Domain 3: Reactive Synthesis

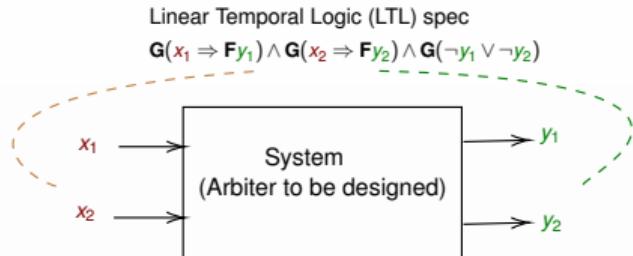
Boolean functional synthesis can help reactive synthesis too!



- Specification has **temporal** operators
- **G**: at **all** times; **F**: **now** or **in future**
- At **all** times
  - If a request comes on  $x_i$ , a grant goes on  $y_i$  **then or later**.
  - Both grants  $y_1$  and  $y_2$  can't be asserted
- Relates infinite sequence of **X** and **Y** values

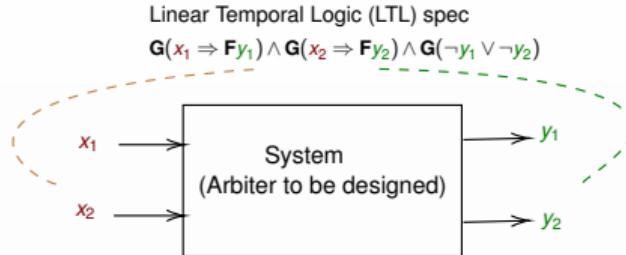


## Application Domain 3: Reactive synthesis



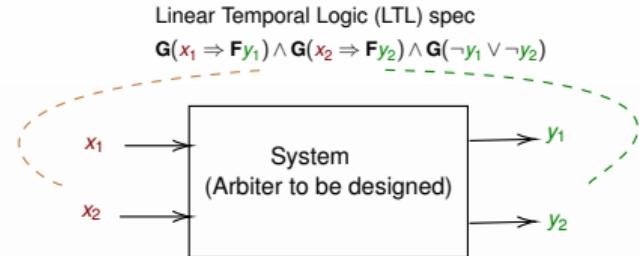
- 2-player game between system and environment
  - System wins if **Y** can be generated to satisfy spec

## Application Domain 3: Reactive synthesis



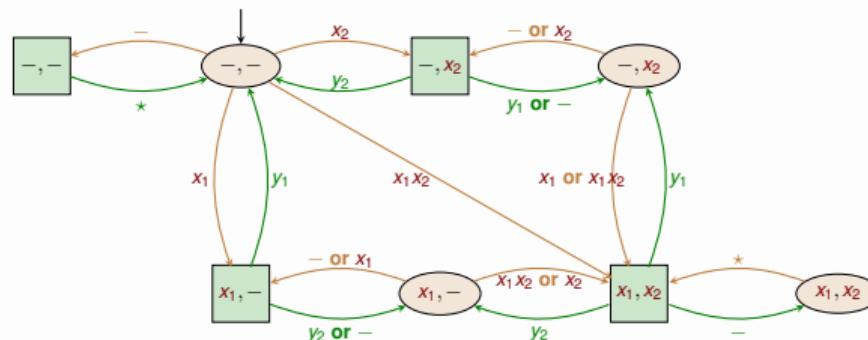
- 2-player game between system and environment
  - System wins if **Y** can be generated to satisfy spec
- Strategy for generating **Y**
  - Winning strategy in two-player game

# Application Domain 3: Reactive synthesis



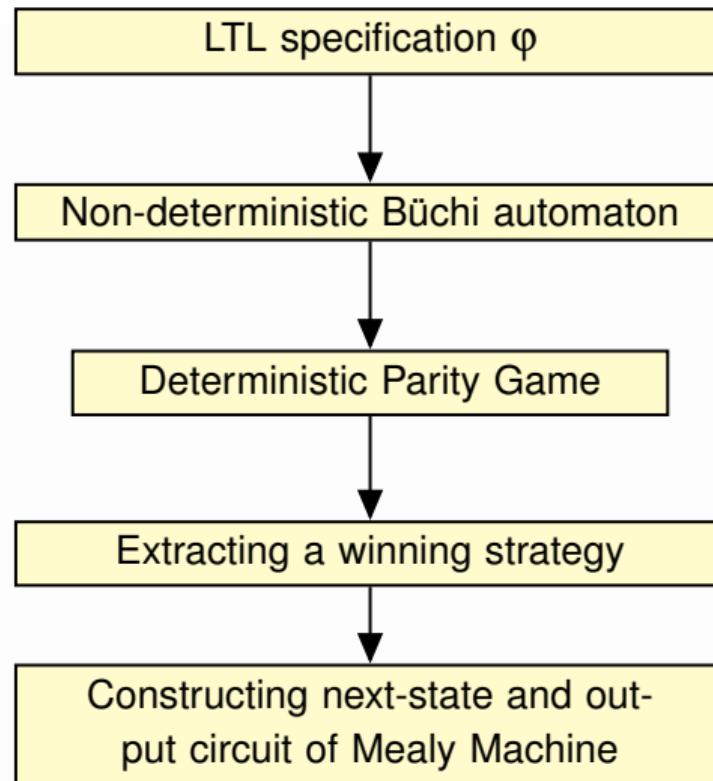
- 2-player game between system and environment
  - System wins if **Y** can be generated to satisfy spec
- Strategy for generating **Y**
  - Winning strategy in two-player game

Game graph:



## Application Domain 3: Reactive Synthesis

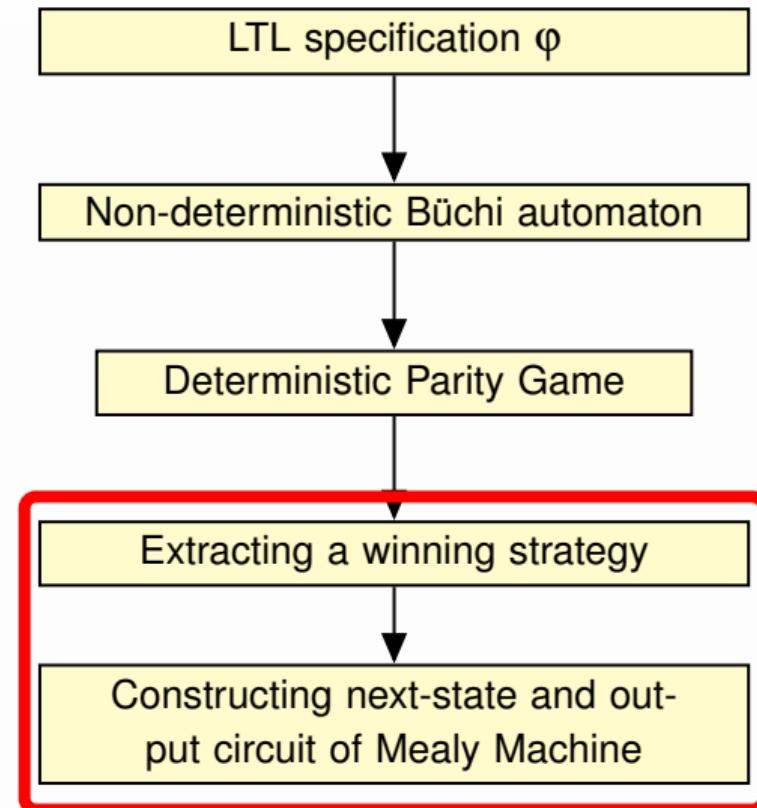
### Basic Steps in Synthesis from LTL



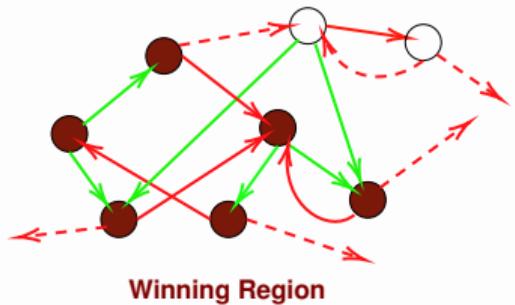
# Application Domain 3: Reactive Synthesis

## Basic Steps in Synthesis from LTL

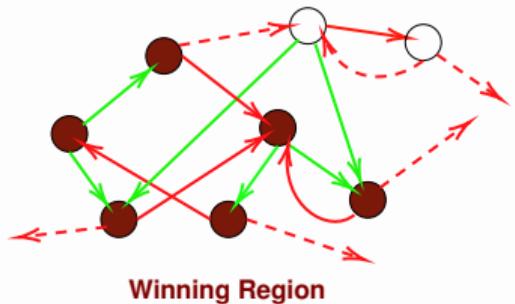
Boolean  
Functional  
Synthesis  
Application



## Application Domain 3: Winning strategy in reactive synthesis

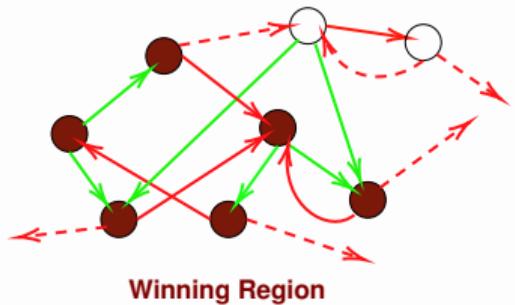


## Application Domain 3: Winning strategy in reactive synthesis



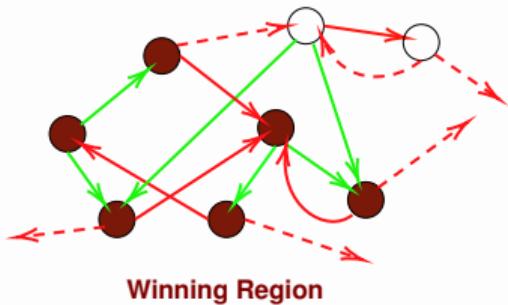
- *Winning region* in state transition graph
  - Can always satisfy spec from these states
- Synthesize *winning strategy* to stay within *winning region*

## Application Domain 3: Winning strategy in reactive synthesis



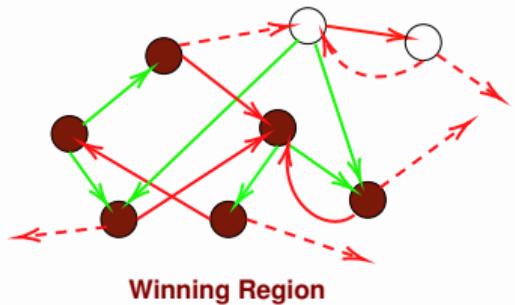
- *Winning region* in state transition graph
  - Can always satisfy spec from these states
- Synthesize *winning strategy* to stay within *winning region*
  - Given a state, if there exists red transition to winning region, choose that
  - $\forall \text{ state } \exists Y \text{ WinRgn}(\text{NxtSt(state, } Y)) = 1$

## Application Domain 3: Winning strategy in reactive synthesis



- *Winning region* in state transition graph
  - Can always satisfy spec from these states
- Synthesize *winning strategy* to stay within *winning region*
  - Given a state, if there exists red transition to winning region, choose that
  - $\forall \text{ state } \exists Y \text{ WinRgn}(\text{NxtSt(state, } Y)) = 1$ 
    - ▶ No temporal operators

## Application Domain 3: Winning strategy in reactive synthesis



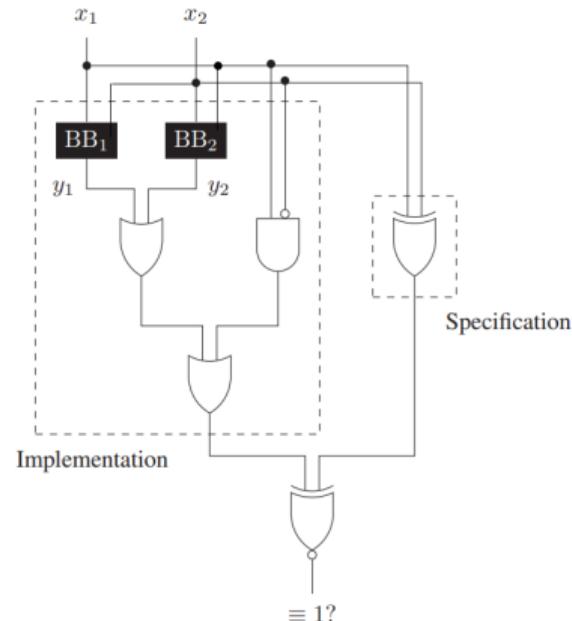
- *Winning region* in state transition graph
  - Can always satisfy spec from these states
- Synthesize *winning strategy* to stay within *winning region*
  - Given a state, if there exists red transition to winning region, choose that
  - $\forall$  state  $\exists Y \text{ WinRgn}(\text{NxtSt(state, } Y)) = 1$ 
    - ▶ No temporal operators
  - Not always satisfiable

## Application Domain 4: Circuit Repair

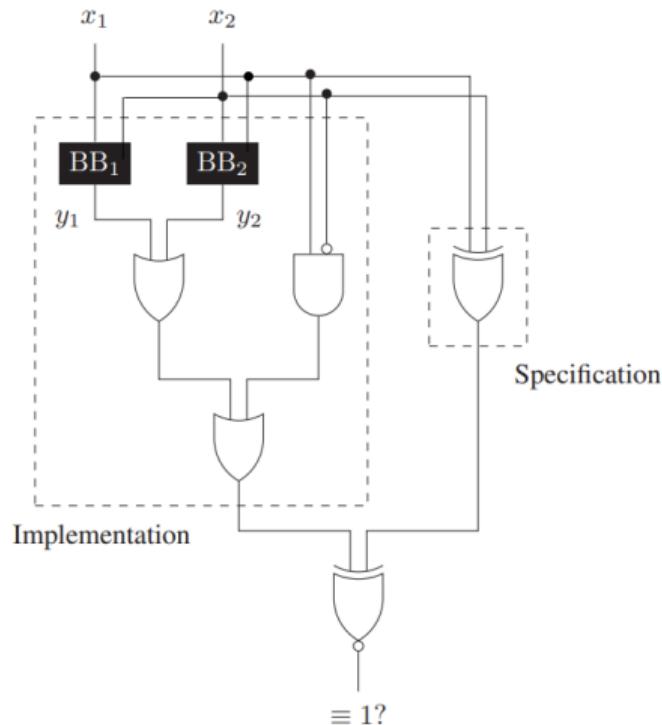
- **Given:** An incomplete implementation and specification.
- **Objective:** Complete the implementation s.t. it is functionally equivalent to specification.

## Application Domain 4: Circuit Repair

- **Given:** An incomplete implementation and specification.
- **Objective:** Complete the implementation s.t. it is functionally equivalent to specification.

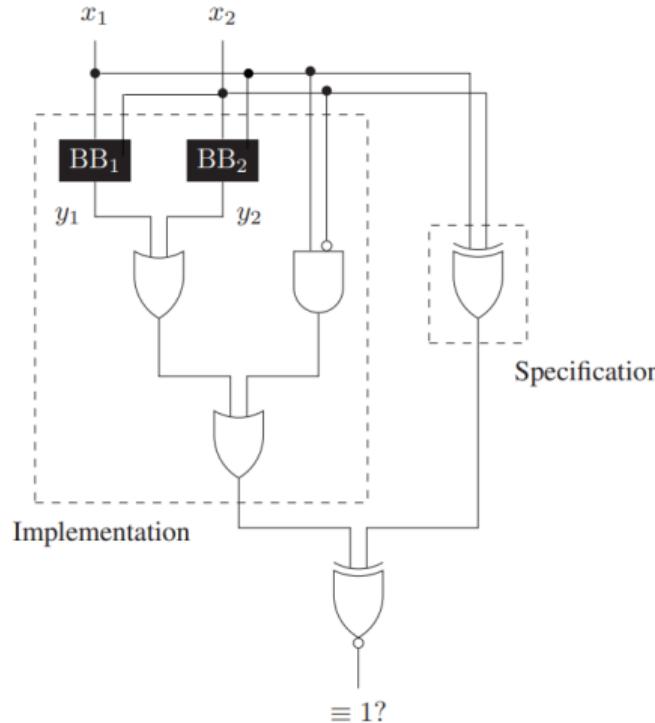


## Application Domain 4: Circuit Repair



- Inputs  $x_1, x_2$ , Outputs  $y_1, y_2$ .
- Synthesise functions(circuits) for  $y_1, y_2$  such that it satisfy the given specification.

# Application Domain 4: Circuit Repair



- Inputs  $x_1, x_2$ , Outputs  $y_1, y_2$ .
- Synthesise functions(circuits) for  $y_1, y_2$  such that it satisfy the given specification.

$$\forall x_1, x_2 \exists y_1 y_2 \neg(((y_1 \vee y_2) \vee (x_1 \wedge \neg x_2)) \oplus (x_1 \oplus x_2))$$

Image is taken(modified) from Equivalence Checking of Partial Designs Using Dependency Quantified Boolean Formulae, Gitina et al '13

Engineering change order for combinational and sequential design rectification, Jiang et. al'20

Synthesis and optimization of multiple portions of circuits for ECO based on set-covering and QBF formulations, Fujita et al'20

# Outline

- 1 Formal Problem Statement
- 2 Application Domains
- 3 Theoretical Hardness and Practical Algorithms**
- 4 Deep Dives
- 5 Tool Demos and Experimental Results
- 6 Conclusion and the Way Forward

# How Hard is Boolean Skolem Function Synthesis?

# How Hard is Boolean Skolem Function Synthesis?

Representation: Specification & Skolem functions as Boolean circuits in NNF.

# How Hard is Boolean Skolem Function Synthesis?

Representation: Specification & Skolem functions as Boolean circuits in NNF.

Time complexity

Boolean function synthesis is *NP*-hard

# How Hard is Boolean Skolem Function Synthesis?

Representation: Specification & Skolem functions as Boolean circuits in NNF.

Time complexity

Boolean function synthesis is *NP-hard* (not surprising!)

# How Hard is Boolean Skolem Function Synthesis?

Representation: Specification & Skolem functions as Boolean circuits in NNF.

Time complexity

Boolean function synthesis is *NP-hard* (not surprising!)

Space complexity [ACGKS'18]

# How Hard is Boolean Skolem Function Synthesis?

Representation: Specification & Skolem functions as Boolean circuits in NNF.

## Time complexity

Boolean function synthesis is *NP-hard* (not surprising!)

## Space complexity [ACGKS'18]

- Unless  $\Pi_2^P = \Sigma_2^P$  (i.e., the Polynomial Hierarchy collapses to 2nd level), there exist  $\varphi(\textcolor{red}{X}, \textcolor{green}{Y})$  for which Skolem function sizes are super-polynomial in  $|\varphi|$ .

# How Hard is Boolean Skolem Function Synthesis?

Representation: Specification & Skolem functions as Boolean circuits in NNF.

## Time complexity

Boolean function synthesis is *NP-hard* (not surprising!)

## Space complexity [ACGKS'18]

- Unless  $\Pi_2^P = \Sigma_2^P$  (i.e., the Polynomial Hierarchy collapses to 2nd level), there exist  $\varphi(\textcolor{red}{X}, \textcolor{green}{Y})$  for which Skolem function sizes are super-polynomial in  $|\varphi|$ .
- Unless non-uniform exponential-time hypothesis fails, there exist  $\varphi(\textcolor{red}{X}, \textcolor{green}{Y})$  for which Skolem function sizes are exponential in  $|\varphi|$ .

# How Hard is Boolean Skolem Function Synthesis?

Representation: Specification & Skolem functions as Boolean circuits in NNF.

## Time complexity

Boolean function synthesis is *NP-hard* (not surprising!)

## Space complexity [ACGKS'18]

- Unless  $\Pi_2^P = \Sigma_2^P$  (i.e., the Polynomial Hierarchy collapses to 2nd level), there exist  $\varphi(\textcolor{red}{X}, \textcolor{green}{Y})$  for which Skolem function sizes are super-polynomial in  $|\varphi|$ .
- Unless non-uniform exponential-time hypothesis fails, there exist  $\varphi(\textcolor{red}{X}, \textcolor{green}{Y})$  for which Skolem function sizes are exponential in  $|\varphi|$ .

Efficient algorithms for Boolean functional synthesis unlikely

# A Survey of Existing Techniques

0. Closely related to most general Boolean unifiers  
Boole'1847, Lowenheim'1908, Macii'98
1. Extract Skolem functions from proof of validity of  $\forall \textcolor{red}{X} \exists \textcolor{green}{Y} \varphi(\textcolor{red}{X}, \textcolor{green}{Y})$   
Bendetti'05, Jussilla et al.'07, Balabanov et al.'12, Heule et al.'14
  - Efficient if a short proof of validity is found.
  - Does not work if  $\forall \textcolor{red}{X} \exists \textcolor{green}{Y} \varphi(\textcolor{red}{X}, \textcolor{green}{Y})$  is not valid !
2. Using templates  
Solar-Lezama et al.'06, Srivastava et al.'13
  - Effective when small set of candidate Skolem functions known.
3. Self-substitution + function composition  
Jiang'09, Trivedi'03
  - Craig Interpolation-based approach.
  - Does not scale well with an increase in  $\textcolor{green}{Y}$  variables.

### 4. Incremental determinization

Rabe et al.'17,'18

- Incrementally adds new constraints to the formula to generate a unique Skolem function.

### 5. Quantifier instantiation techniques in SMT solvers

Barrett et al.'15, Bierre et al.'17

- Works even for bit-vector and other theories.

### 6. Input/output component separation

Chakraborty et al.'18

- View specification as made of input and output components.
- Alternate analysis of each component to generate decision lists.

### 7. Synthesis from and as ROBDDs

- Kukula et al.'00, Kuncak et al.'10, Fried et al.'16, Tabajara et al.'17

8. Synthesis from special normal forms: **The power of Knowledge Compilation!**
  - Synthesis negation normal forms (SynNNF)  
*Akshay et al.'19*
  - The ultimate normal form *Shah et al.'21*
9. Counter-example guided Skolem function generation
  - Start with over-approximation of Skolem functions + refine  
*John et al.'15, Akshay et al.'17,'18,'20*
10. Data-driven Skolem function synthesis
  - Machine-learn Skolem function + MaxSat-based iterative repair  
*Golia et al.'20, '21*

The last two fall into paradigm of **Get Skolem function candidate + check + repair**

**Our focus in the deep-dive: The last three approaches!**

# Outline

- 1 Formal Problem Statement
- 2 Application Domains
- 3 Theoretical Hardness and Practical Algorithms
- 4 Deep Dives
- 5 Tool Demos and Experimental Results
- 6 Conclusion and the Way Forward

# A Guess-Check-Repair Approach

$$\varphi(\textcolor{red}{X}, \textcolor{green}{Y}_1, \dots, \textcolor{green}{Y}_m)$$

# A Guess-Check-Repair Approach

$$\varphi(\textcolor{red}{X}, \textcolor{green}{Y}_1, \dots, \textcolor{green}{Y}_m)$$

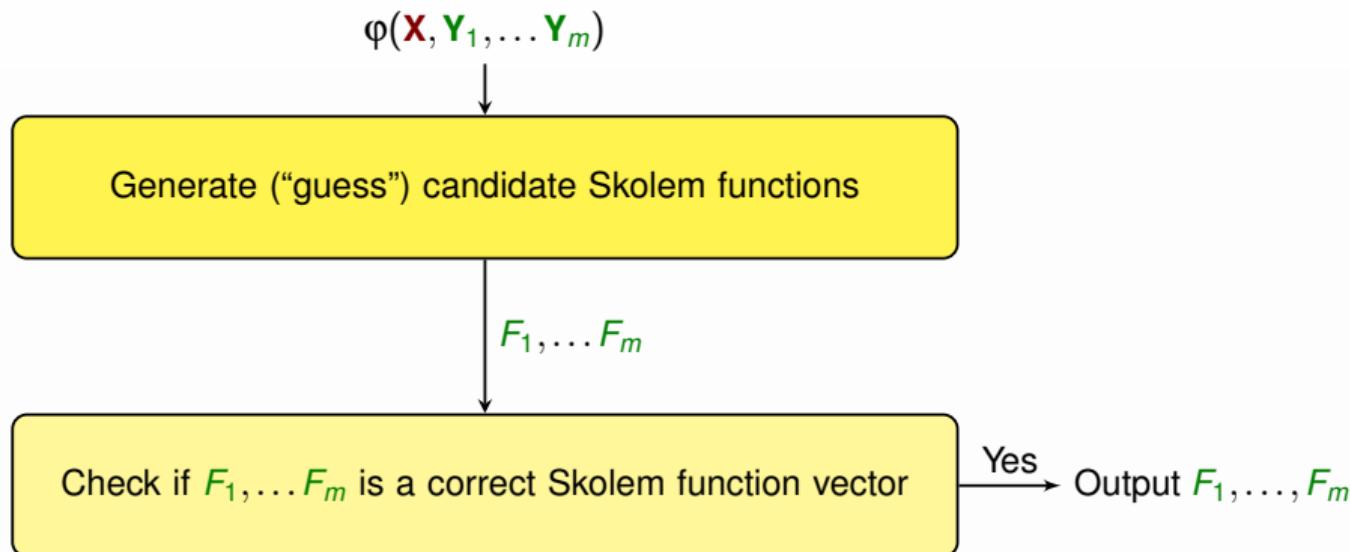


Generate (“guess”) candidate Skolem functions

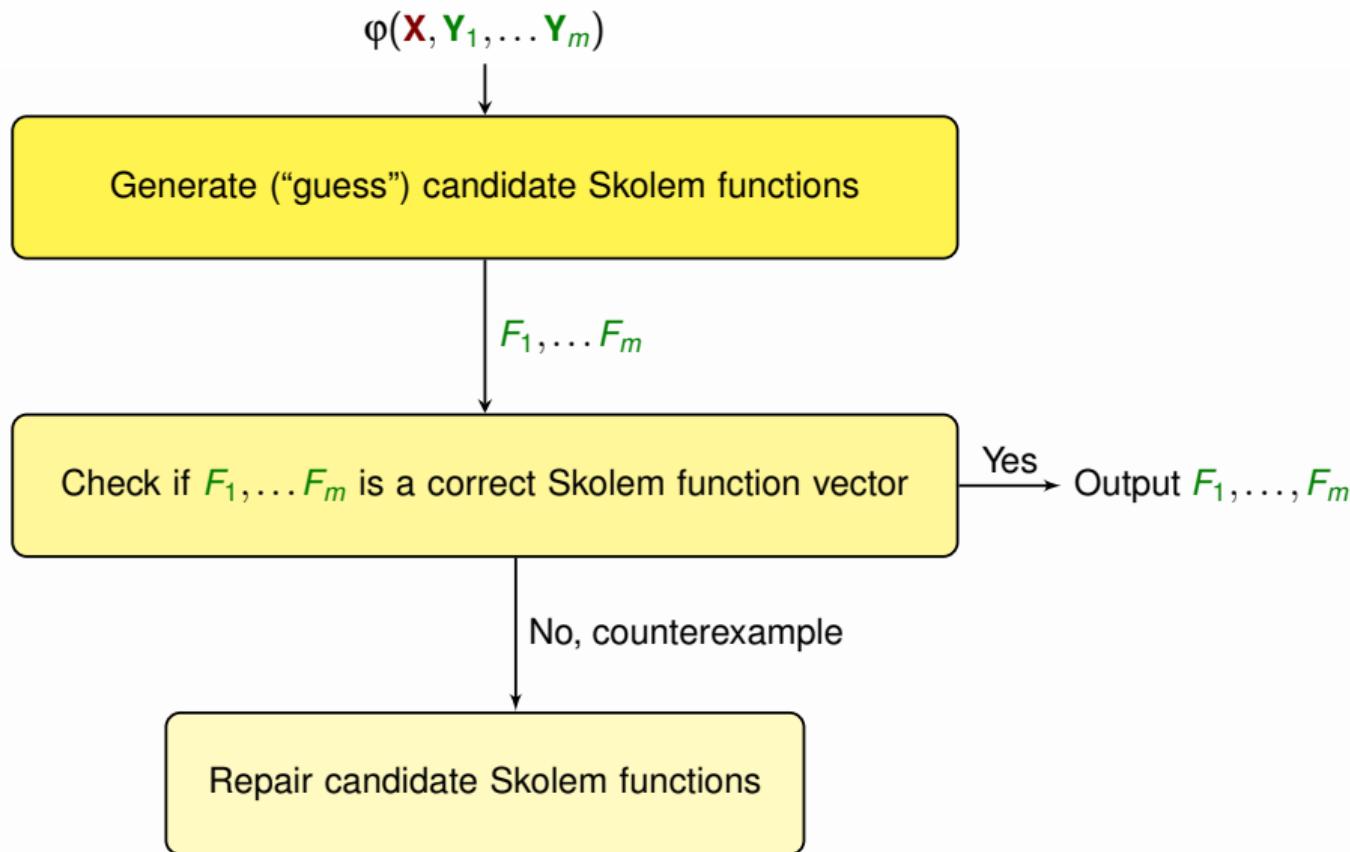


$$\textcolor{green}{F}_1, \dots, \textcolor{green}{F}_m$$

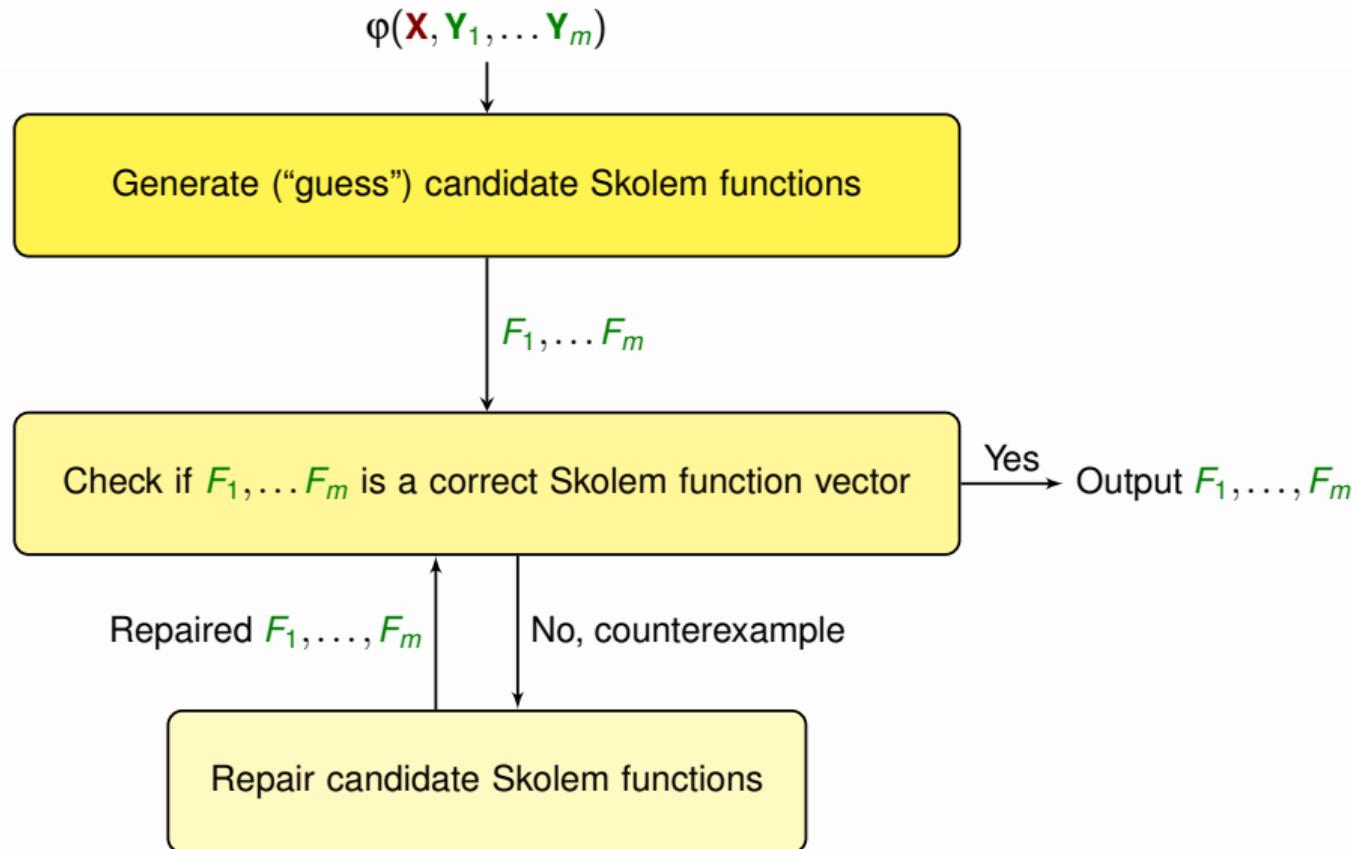
# A Guess-Check-Repair Approach



# A Guess-Check-Repair Approach



# A Guess-Check-Repair Approach

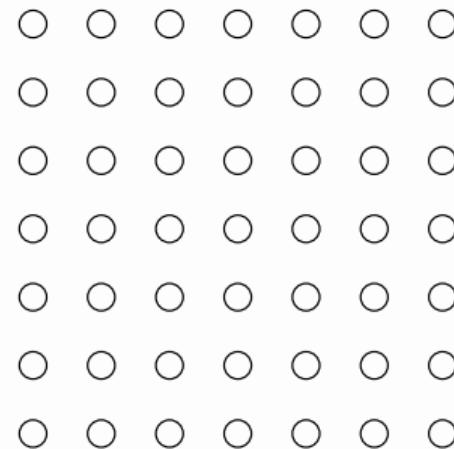


## “Guess”-ing candidate Skolem functions ( $|Y| = 1$ )

Find  $F(X)$  such that  $\exists y \varphi(X, y) \equiv \varphi(X, F(X))$

## “Guess”-ing candidate Skolem functions ( $|Y| = 1$ )

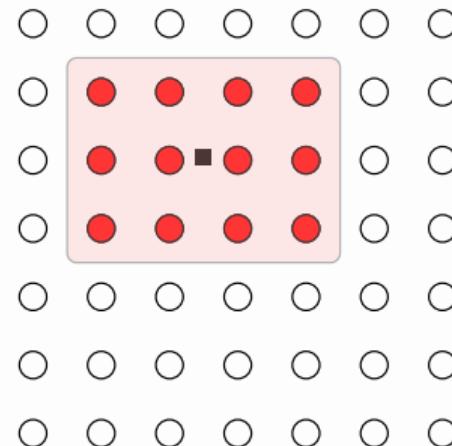
Find  $F(X)$  such that  $\exists y \varphi(X, y) \equiv \varphi(X, F(X))$



— Set of all valuations of  $X$ .

## “Guess”-ing candidate Skolem functions ( $|Y| = 1$ )

Find  $F(X)$  such that  $\exists y \varphi(X, y) \equiv \varphi(X, F(X))$



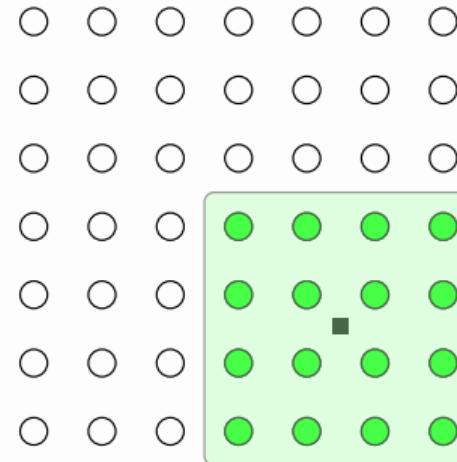
— Can't set  $y$  to 1 to satisfy  $\varphi$ :  $\Gamma(X) \triangleq \neg\varphi(X, y)[y1]$

E.g. If  $\varphi \equiv (x_1 \vee y) \wedge (x_1 \vee x_2 \vee \neg y)$ , then

$$\Gamma(X) = \neg((x_1 \vee 1) \wedge (x_1 \vee x_2 \vee 0)) = \neg(x_1 \vee x_2) = \neg x_1 \wedge \neg x_2$$

## “Guess”-ing candidate Skolem functions ( $|Y| = 1$ )

Find  $F(X)$  such that  $\exists y \varphi(X, y) \equiv \varphi(X, F(X))$

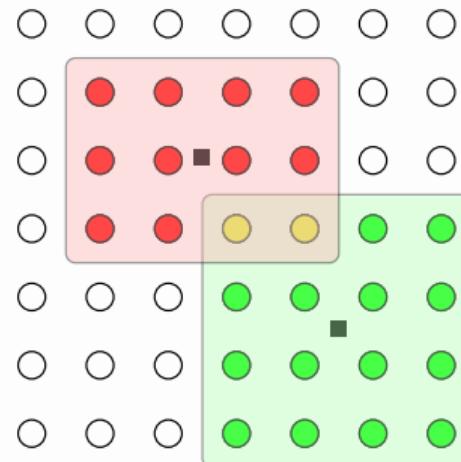


— Can't set  $y$  to 0 to satisfy  $\varphi$ :  $\Delta(X) \triangleq \neg\varphi(X, y)[y0]$

E.g. If  $\varphi \equiv (x_1 \vee y) \wedge (x_1 \vee x_2 \vee \neg y)$ , then  $\Delta(X) = \neg((x_1 \vee 0) \wedge (x_1 \vee x_2 \vee 1)) = \neg x_1$

## “Guess”-ing candidate Skolem functions ( $|Y| = 1$ )

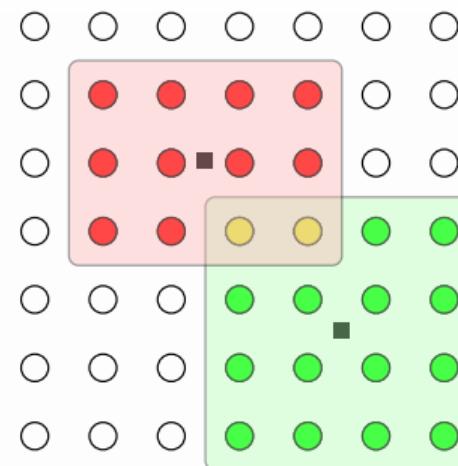
Find  $F(X)$  such that  $\exists y \varphi(X, y) \equiv \varphi(X, F(X))$



- Can't set  $y$  to 1 to satisfy  $\varphi$ :  $\Gamma(X) \triangleq \neg\varphi(X, y)[y1]$
- Can't set  $y$  to 0 to satisfy  $\varphi$ :  $\Delta(X) \triangleq \neg\varphi(X, y)[y0]$

## “Guess”-ing candidate Skolem functions ( $|Y| = 1$ )

Find  $F(X)$  such that  $\exists y \varphi(X, y) \equiv \varphi(X, F(X))$



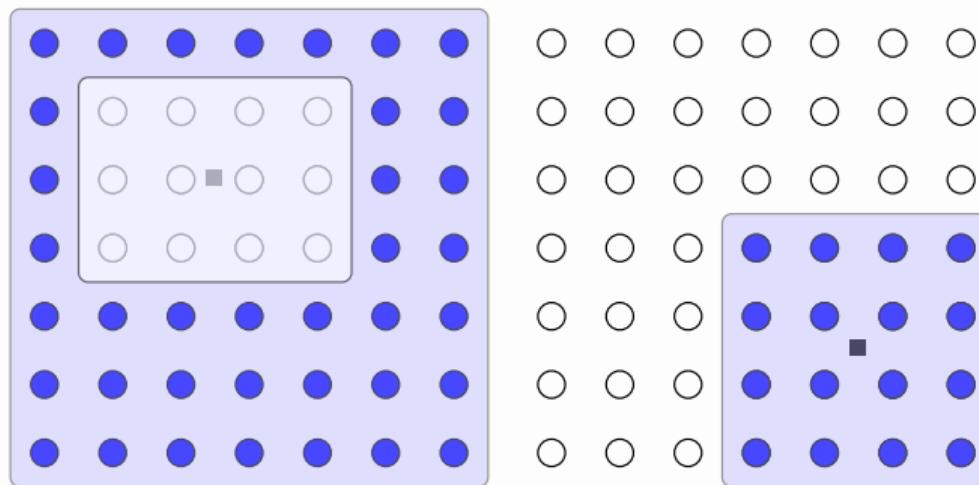
Lemma [Trivedi'03, Jiang'09, Fried et al'16]

Every Skolem function for  $y$  in  $\varphi$  must

- Evaluate to 1 in  $(\Delta \setminus \Gamma)$  and to 0 in  $(\Gamma \setminus \Delta)$
- Be an **interpolant** of  $(\Delta \setminus \Gamma)$  and  $(\Gamma \setminus \Delta)$

## “Guess”-ing candidate Skolem functions ( $|Y| = 1$ )

Find  $F(X)$  such that  $\exists y \varphi(X, y) \equiv \varphi(X, F(X))$

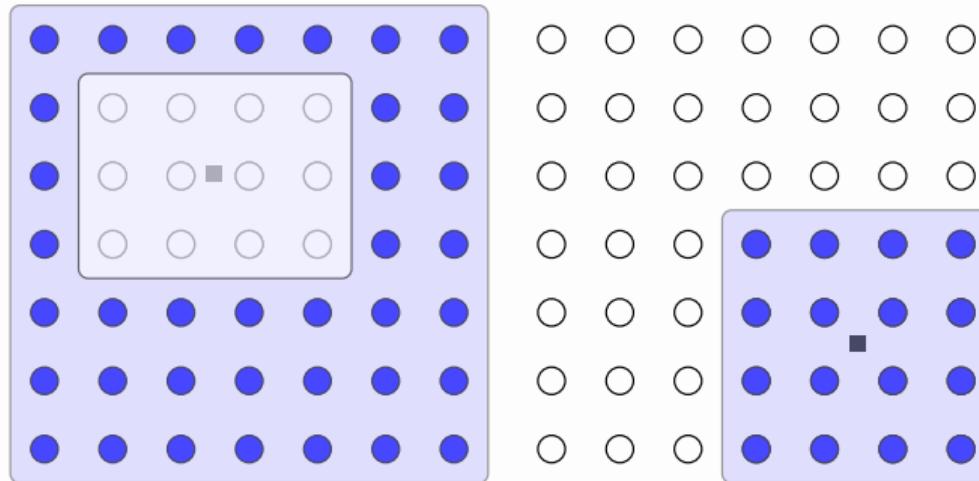


— Specific interpolants of  $(\Delta \setminus \Gamma) \& (\Gamma \setminus \Delta)$

- $\neg \Gamma \triangleq \varphi(X, y)[y1] \equiv \varphi(X, 1)$
- $\Delta \triangleq \neg \varphi(X, y)[y0] \equiv \neg \varphi(X, 0).$

## “Guess”-ing candidate Skolem functions ( $|Y| = 1$ )

Find  $F(X)$  such that  $\exists y \varphi(X, y) \equiv \varphi(X, F(X))$



— Specific interpolants of  $(\Delta \setminus \Gamma) \& (\Gamma \setminus \Delta)$

- $\neg \Gamma \triangleq \varphi(X, y)[y1] \equiv \varphi(X, 1)$ : Easy solution for 1 output var
- $\Delta \triangleq \neg \varphi(X, y)[y0] \equiv \neg \varphi(X, 0)$ .

## “Guess”-ing Game: ( $|\mathbf{Y}| \geq 2$ )

Suppose relational spec is  $\varphi(\mathbf{X}, y_1, \boxed{\mathbf{Y}_{2..m}})$

## “Guess”-ing Game: ( $|Y| \geq 2$ )

Suppose relational spec is  $\varphi(\text{X}, y_1, \boxed{Y_{2..m}})$

- Skolem function for  $\boxed{Y_{2..m}}$  depends on that for  $y_1$  in general

## “Guess”-ing Game: ( $|Y| \geq 2$ )

Suppose relational spec is  $\varphi(X, y_1, [Y_{2..m}])$

- Skolem function for  $[Y_{2..m}]$  depends on that for  $y_1$  in general
- For what values of  $X$  can we not set  $y_1$  to 1 (or 0)?
  - $\Gamma^{y_1}(X) = \neg \exists [Y_{2..m}] \varphi(X, 1, [Y_{2..m}])$
  - $\Delta^{y_1}(X) = \neg \exists [Y_{2..m}] \varphi(X, 0, [Y_{2..m}])$

## “Guess”-ing Game: ( $|Y| \geq 2$ )

Suppose relational spec is  $\varphi(X, y_1, [Y_{2..m}])$

- Skolem function for  $[Y_{2..m}]$  depends on that for  $y_1$  in general
- For what values of  $X$  can we not set  $y_1$  to 1 (or 0)?
  - $\Gamma^{y_1}(X) = \neg \exists [Y_{2..m}] \varphi(X, 1, [Y_{2..m}])$
  - $\Delta^{y_1}(X) = \neg \exists [Y_{2..m}] \varphi(X, 0, [Y_{2..m}])$
- From  $\Gamma^{y_1}(X)$  and  $\Delta^{y_1}(X)$ , find Skolem function  $F_1(X)$  for  $y_1$

## "Guess"-ing Game: ( $|Y| \geq 2$ )

Suppose relational spec is  $\varphi(\mathbf{X}, y_1, [Y_{2..m}])$

- Skolem function for  $[Y_{2..m}]$  depends on that for  $y_1$  in general
- For what values of  $\mathbf{X}$  can we not set  $y_1$  to 1 (or 0)?
  - $\Gamma^{y_1}(\mathbf{X}) = \neg \exists [Y_{2..m}] \varphi(\mathbf{X}, 1, [Y_{2..m}])$
  - $\Delta^{y_1}(\mathbf{X}) = \neg \exists [Y_{2..m}] \varphi(\mathbf{X}, 0, [Y_{2..m}])$
- From  $\Gamma^{y_1}(\mathbf{X})$  and  $\Delta^{y_1}(\mathbf{X})$ , find Skolem function  $F_1(\mathbf{X})$  for  $y_1$

- To find Skolem function for  $y_2$ , consider
  - "Simplified" spec  $\varphi_1(\mathbf{X}, y_2, [Y_{3..m}]) = \varphi(\mathbf{X}, F_1(\mathbf{X}), y_2, [Y_{3..m}])$

## "Guess"-ing Game: ( $|Y| \geq 2$ )

Suppose relational spec is  $\varphi(\mathbf{X}, y_1, [Y_{2..m}])$

- Skolem function for  $[Y_{2..m}]$  depends on that for  $y_1$  in general
- For what values of  $\mathbf{X}$  can we not set  $y_1$  to 1 (or 0)?
  - $\Gamma^{y_1}(\mathbf{X}) = \neg \exists [Y_{2..m}] \varphi(\mathbf{X}, 1, [Y_{2..m}])$
  - $\Delta^{y_1}(\mathbf{X}) = \neg \exists [Y_{2..m}] \varphi(\mathbf{X}, 0, [Y_{2..m}])$
- From  $\Gamma^{y_1}(\mathbf{X})$  and  $\Delta^{y_1}(\mathbf{X})$ , find Skolem function  $F_1(\mathbf{X})$  for  $y_1$

- To find Skolem function for  $y_2$ , consider
  - "Simplified" spec  $\varphi_1(\mathbf{X}, y_2, [Y_{3..m}]) = \varphi(\mathbf{X}, F_1(\mathbf{X}), y_2, [Y_{3..m}])$
  - Repeat above steps ...

## "Guess"-ing Game: ( $|Y| \geq 2$ )

Suppose relational spec is  $\varphi(\mathbf{X}, y_1, [Y_{2..m}])$

- Skolem function for  $[Y_{2..m}]$  depends on that for  $y_1$  in general
- For what values of  $\mathbf{X}$  can we not set  $y_1$  to 1 (or 0)?
  - $\Gamma^{y_1}(\mathbf{X}) = \neg \exists [Y_{2..m}] \varphi(\mathbf{X}, 1, [Y_{2..m}])$
  - $\Delta^{y_1}(\mathbf{X}) = \neg \exists [Y_{2..m}] \varphi(\mathbf{X}, 0, [Y_{2..m}])$
- From  $\Gamma^{y_1}(\mathbf{X})$  and  $\Delta^{y_1}(\mathbf{X})$ , find Skolem function  $F_1(\mathbf{X})$  for  $y_1$

- To find Skolem function for  $y_2$ , consider
  - "Simplified" spec  $\varphi_1(\mathbf{X}, y_2, [Y_{3..m}]) = \varphi(\mathbf{X}, F_1(\mathbf{X}), y_2, [Y_{3..m}])$
  - Repeat above steps ...

Are we done?

## “Guess”-ing Game: ( $|\mathbf{Y}| \geq 2$ )

Suppose relational spec is  $\varphi(\mathbf{X}, y_1, [\mathbf{Y}_{2..m}])$

- Skolem function for  $[\mathbf{Y}_{2..m}]$  depends on that for  $y_1$  in general
- For what values of  $\mathbf{X}$  can we not set  $y_1$  to 1 (or 0)?
  - $\Gamma^{y_1}(\mathbf{X}) = \neg \exists [\mathbf{Y}_{2..m}] \varphi(\mathbf{X}, 1, [\mathbf{Y}_{2..m}])$
  - $\Delta^{y_1}(\mathbf{X}) = \neg \exists [\mathbf{Y}_{2..m}] \varphi(\mathbf{X}, 0, [\mathbf{Y}_{2..m}])$
- From  $\Gamma^{y_1}(\mathbf{X})$  and  $\Delta^{y_1}(\mathbf{X})$ , find Skolem function  $F_1(\mathbf{X})$  for  $y_1$   
**What if calculating  $\exists [\mathbf{Y}_{2..m}] \varphi(\mathbf{X}, y_1, [\mathbf{Y}_{2..m}])$  is expensive?**

- To find Skolem function for  $y_2$ , consider
  - “Simplified” spec  $\varphi_1(\mathbf{X}, y_2, [\mathbf{Y}_{3..m}]) = \varphi(\mathbf{X}, F_1(\mathbf{X}), y_2, [\mathbf{Y}_{3..m}])$
  - Repeat above steps ...

Are we done?

## “Guess”-ing Game: ( $|\mathbf{Y}| \geq 2$ )

Suppose relational spec is  $\varphi(\mathbf{X}, y_1, [\mathbf{Y}_{2..m}])$

- Skolem function for  $[\mathbf{Y}_{2..m}]$  depends on that for  $y_1$  in general
- For what values of  $\mathbf{X}$  can we not set  $y_1$  to 1 (or 0)?
  - $\Gamma^{y_1}(\mathbf{X}) = \neg \exists [\mathbf{Y}_{2..m}] \varphi(\mathbf{X}, 1, [\mathbf{Y}_{2..m}])$
  - $\Delta^{y_1}(\mathbf{X}) = \neg \exists [\mathbf{Y}_{2..m}] \varphi(\mathbf{X}, 0, [\mathbf{Y}_{2..m}])$
- From  $\Gamma^{y_1}(\mathbf{X})$  and  $\Delta^{y_1}(\mathbf{X})$ , find Skolem function  $F_1(\mathbf{X})$  for  $y_1$

What if calculating  $\exists [\mathbf{Y}_{2..m}] \varphi(\mathbf{X}, y_1, [\mathbf{Y}_{2..m}])$  is expensive?

- Use easily computed approx of  $\exists [\mathbf{Y}_{2..m}] \varphi(\mathbf{X}, y_1, [\mathbf{Y}_{2..m}])$ ?
- “Guess”  $G_1(\mathbf{X})$  as approx of Skolem function  $F_1(\mathbf{X})$ ?
- Repair “guess” if needed

- To find Skolem function for  $y_2$ , consider
  - “Simplified” spec  $\varphi_1(\mathbf{X}, y_2, [\mathbf{Y}_{3..m}]) = \varphi(\mathbf{X}, F_1(\mathbf{X}), y_2, [\mathbf{Y}_{3..m}])$
  - Repeat above steps ...

Are we done?

## General Idea

Linearly order outputs:  $y_1 \prec y_2 \prec \dots \prec y_m$

## General Idea

Linearly order outputs:  $y_1 \prec y_2 \prec \dots \prec y_m$

Express

- $y_m$  as  $G_m(\mathbf{X}, x_1, \dots, x_{m-1})$  from spec  $\varphi(\mathbf{X}, x_1, \dots, x_{m-1}, y_m)$

## General Idea

Linearly order outputs:  $y_1 \prec y_2 \prec \dots \prec y_m$

Express

- $y_m$  as  $G_m(\mathbf{X}, x_1, \dots, x_{m-1})$  from spec  $\varphi(\mathbf{X}, x_1, \dots, x_{m-1}, y_m)$
- $y_{m-1}$  as  $G_{m-1}(\mathbf{X}, x_1, \dots, x_{m-2})$  from  $\exists y_m \varphi(\mathbf{X}, x_1, \dots, x_{m-2}, y_{m-1}, y_m)$
- $\vdots$

## General Idea

Linearly order outputs:  $y_1 \prec y_2 \prec \dots \prec y_m$

Express

- $y_m$  as  $G_m(\mathbf{X}, x_1, \dots, x_{m-1})$  from spec  $\varphi(\mathbf{X}, x_1, \dots, x_{m-1}, y_m)$
- $y_{m-1}$  as  $G_{m-1}(\mathbf{X}, x_1, \dots, x_{m-2})$  from  $\exists y_m \varphi(\mathbf{X}, x_1, \dots, x_{m-2}, y_{m-1}, y_m)$
- $\vdots$
- $y_1$  as  $G_1(\mathbf{X})$  from  $\exists y_2 \dots \exists y_m \varphi(\mathbf{X}, y_1, y_2 \dots y_m)$

## General Idea

Linearly order outputs:  $y_1 \prec y_2 \prec \dots \prec y_m$

Express

- $y_m$  as  $G_m(\mathbf{X}, x_1, \dots, x_{m-1})$  from spec  $\varphi(\mathbf{X}, x_1, \dots, x_{m-1}, y_m)$
- $y_{m-1}$  as  $G_{m-1}(\mathbf{X}, x_1, \dots, x_{m-2})$  from  $\exists y_m \varphi(\mathbf{X}, x_1, \dots, x_{m-2}, y_{m-1}, y_m)$
- $\vdots$
- $y_1$  as  $G_1(\mathbf{X})$  from  $\exists y_2 \dots \exists y_m \varphi(\mathbf{X}, y_1, y_2 \dots y_m)$

### Key Steps

- Generate Skolem functions for 1-output spec
- Compute (approximations of)  $\exists y_i \dots y_m \varphi(\mathbf{X}, \mathbf{Y})$

## General Idea

Linearly order outputs:  $y_1 \prec y_2 \prec \dots \prec y_m$

Express

- $y_m$  as  $G_m(\mathbf{X}, x_1, \dots, x_{m-1})$  from spec  $\varphi(\mathbf{X}, x_1, \dots, x_{m-1}, y_m)$
- $y_{m-1}$  as  $G_{m-1}(\mathbf{X}, x_1, \dots, x_{m-2})$  from  $\exists y_m \varphi(\mathbf{X}, x_1, \dots, x_{m-2}, y_{m-1}, y_m)$
- $\vdots$
- $y_1$  as  $G_1(\mathbf{X})$  from  $\exists y_2 \dots \exists y_m \varphi(\mathbf{X}, y_1, y_2 \dots y_m)$

### Key Steps

- Generate Skolem functions for 1-output spec
- Compute (approximations of)  $\exists y_i \dots y_m \varphi(\mathbf{X}, \mathbf{Y})$

If all guesses correct, a  $|\mathbf{X}|$ -input,  $|\mathbf{Y}|$ -output circuit computing the desired Skolem function vector  $(F_1, \dots, F_m)$  can be constructed with

- #gates  $\leq \sum_{i=1}^m \text{#gates}(G_i) + 2m$
- #wires  $\leq \sum_{i=1}^m \text{#wires}(G_i) + \frac{m(m-1)}{2}$

## General Idea

Linearly order outputs:  $y_1 \prec y_2 \prec \dots \prec y_m$

Express

- $y_m$  as  $G_m(\mathbf{X}, x_1, \dots, x_{m-1})$  from spec  $\varphi(\mathbf{X}, x_1, \dots, x_{m-1}, y_m)$
- $y_{m-1}$  as  $G_{m-1}(\mathbf{X}, x_1, \dots, x_{m-2})$  from  $\exists y_m \varphi(\mathbf{X}, x_1, \dots, x_{m-2}, y_{m-1}, y_m)$
- $\vdots$
- $y_1$  as  $G_1(\mathbf{X})$  from  $\exists y_2 \dots \exists y_m \varphi(\mathbf{X}, y_1, y_2 \dots y_m)$

### Key Steps

- Generate Skolem functions for 1-output spec
- Compute (approximations of)  $\exists y_i \dots y_m \varphi(\mathbf{X}, \mathbf{Y})$

If all guesses correct, a  $|\mathbf{X}|$ -input,  $|\mathbf{Y}|$ -output circuit computing the desired Skolem function vector  $(F_1, \dots, F_m)$  can be constructed with

- #gates  $\leq \sum_{i=1}^m \text{#gates}(G_i) + 2m$
- #wires  $\leq \sum_{i=1}^m \text{#wires}(G_i) + \frac{m(m-1)}{2}$

Sufficient to compute the  $G_i$  functions

## Dealing with Existential Quantification

- Compute  $\exists y_i \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots x_{i-2}, \textcolor{green}{y_{i-1}}, y_i, \dots y_m)$

## Dealing with Existential Quantification

- Compute  $\exists y_i \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots x_{i-2}, \textcolor{green}{y_{i-1}}, y_i, \dots y_m)$ 
  - Hard in general

## Dealing with Existential Quantification

- Compute  $\exists y_i \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots \textcolor{blue}{x}_{i-2}, \textcolor{green}{y_{i-1}}, y_i, \dots y_m)$ 
  - Hard in general
  - Can we use some efficiently computable approximations?

## Dealing with Existential Quantification

- Compute  $\exists y_i \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots x_{i-2}, \textcolor{green}{y_{i-1}}, y_i, \dots y_m)$ 
  - Hard in general
  - Can we use some efficiently computable approximations?

Represent  $\varphi(\textcolor{blue}{x}_1, \dots, \textcolor{red}{x}_n, \textcolor{red}{y}_1, \dots, \textcolor{red}{y}_m)$  as NNF DAG

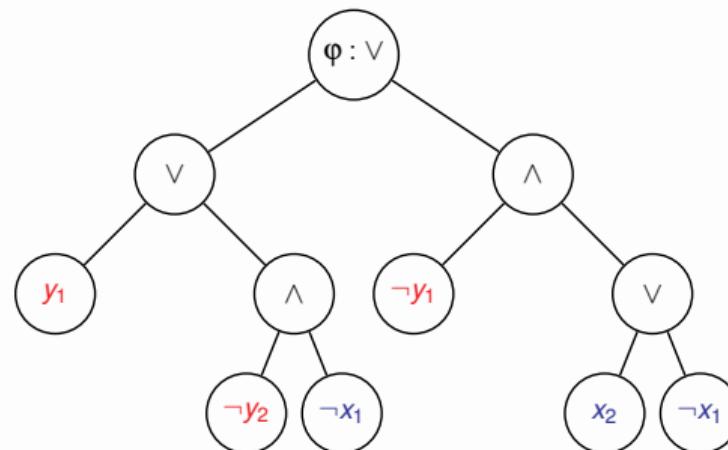
- Boolean circuit,  $\wedge$  and  $\vee$  internal nodes,  $\neg$  at leaves

# Dealing with Existential Quantification

- Compute  $\exists y_i \dots \exists y_m \varphi(\mathbf{X}, x_1, \dots x_{i-2}, y_{i-1}, y_i, \dots y_m)$ 
  - Hard in general
  - Can we use some efficiently computable approximations?

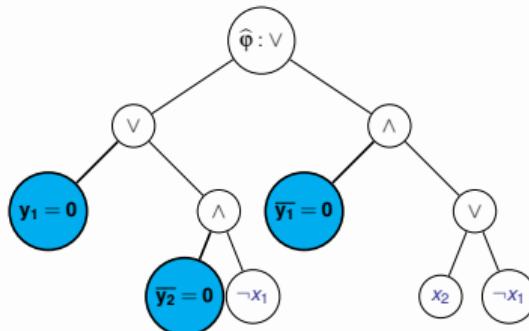
Represent  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$  as NNF DAG

- Boolean circuit,  $\wedge$  and  $\vee$  internal nodes,  $\neg$  at leaves

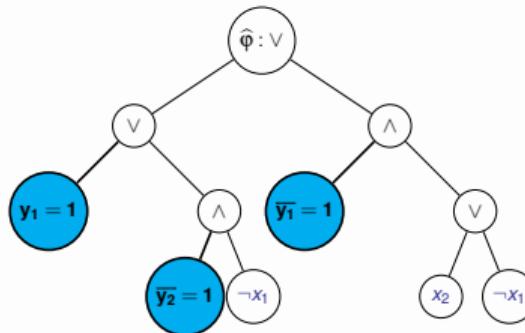


# Illustrating Approximations

Replace  $\neg y_i$  at leaves with fresh variables  $\bar{y}_i$  and call the “new” formula  $\hat{\phi}$ .

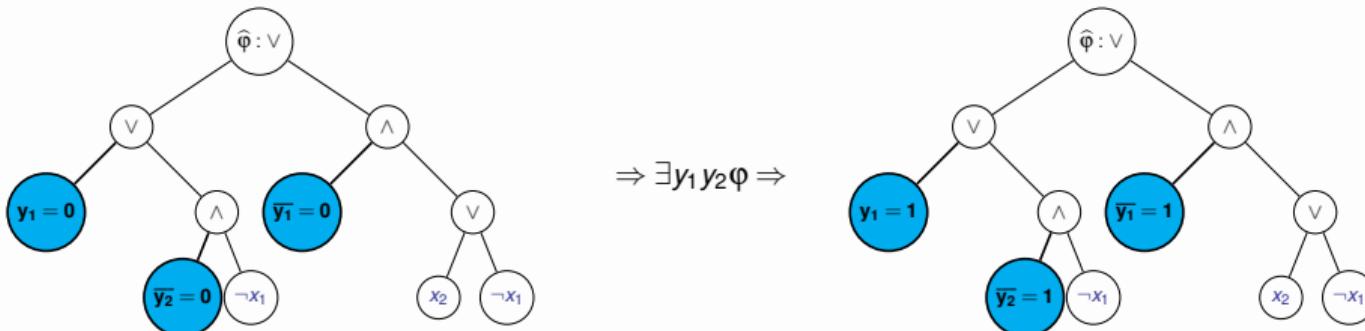


$\Rightarrow \exists y_1 y_2 \phi \Rightarrow$



# Illustrating Approximations

Replace  $\neg y_i$  at leaves with fresh variables  $\bar{y}_i$  and call the “new” formula  $\hat{\phi}$ .



- $\hat{\phi}(x_1 \dots x_n, \underbrace{0..0}_i, y_{i+1} \dots y_m, \underbrace{0..0}_i, \neg y_{i+1} \dots \neg y_m) \Rightarrow \exists y_1 \dots y_i \varphi(\dots)$
- $\hat{\phi}(x_1 \dots x_n, \underbrace{1..1}_i, y_{i+1} \dots y_m, \underbrace{1..1}_i, \neg y_{i+1} \dots \neg y_m) \Leftarrow \exists y_1 \dots y_i \varphi(\dots)$

## Checking correctness of “guess”-ed Skolem functions

Given candidate Skolem functions  $F_1, \dots, F_m$ ,

$$\text{Is } \forall \mathbf{X} (\exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi(\mathbf{X}, \mathbf{F}(\mathbf{X})) ) ?$$

## Checking correctness of “guess”-ed Skolem functions

Given candidate Skolem functions  $F_1, \dots, F_m$ ,

$$\text{Is } \forall \mathbf{X} \left( \exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi(\mathbf{X}, \mathbf{F}(\mathbf{X})) \right) ?$$

Can we avoid using a QBF solver?

## Checking correctness of “guess”-ed Skolem functions

Given candidate Skolem functions  $F_1, \dots, F_m$ ,

$$\text{Is } \forall \mathbf{X} (\exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi(\mathbf{X}, \mathbf{F}(\mathbf{X})) ) ?$$

Can we avoid using a QBF solver?

Yes, we can! [ACGKS'15]

- Propositional error formula  $\varepsilon(\mathbf{X}, \mathbf{Y}, \mathbf{Y}')$ :

$$(\varphi(\mathbf{X}, \mathbf{Y}') \wedge \bigwedge_{j=1}^m (\mathbf{Y}_j \Leftrightarrow F_j) \wedge \neg \varphi(\mathbf{X}, \mathbf{Y}))$$

## Checking correctness of “guess”-ed Skolem functions

Given candidate Skolem functions  $F_1, \dots, F_m$ ,

$$\text{Is } \forall \mathbf{X} (\exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi(\mathbf{X}, \mathbf{F}(\mathbf{X})) ) ?$$

Can we avoid using a QBF solver?

Yes, we can! [ACGKS'15]

- Propositional error formula  $\varepsilon(\mathbf{X}, \mathbf{Y}, \mathbf{Y}')$ :

$$(\varphi(\mathbf{X}, \mathbf{Y}') \wedge \bigwedge_{j=1}^m (\mathbf{Y}_j \Leftrightarrow F_j) \wedge \neg \varphi(\mathbf{X}, \mathbf{Y}))$$

- $\varepsilon$  unsatisfiable iff  $F_1, \dots, F_m$  is correct Skolem function vector

## Checking correctness of “guess”-ed Skolem functions

Given candidate Skolem functions  $F_1, \dots, F_m$ ,

$$\text{Is } \forall \mathbf{X} (\exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi(\mathbf{X}, \mathbf{F}(\mathbf{X})) ) ?$$

Can we avoid using a QBF solver?

Yes, we can! [ACGKS'15]

- Propositional error formula  $\varepsilon(\mathbf{X}, \mathbf{Y}, \mathbf{Y}')$ :

$$(\varphi(\mathbf{X}, \mathbf{Y}') \wedge \bigwedge_{j=1}^m (\mathbf{Y}_j \Leftrightarrow F_j) \wedge \neg\varphi(\mathbf{X}, \mathbf{Y}))$$

- $\varepsilon$  unsatisfiable iff  $F_1, \dots, F_m$  is correct Skolem function vector
- Suppose  $\sigma$ : satisfying assignment of  $\varepsilon$

## Checking correctness of “guess”-ed Skolem functions

Given candidate Skolem functions  $F_1, \dots, F_m$ ,

$$\text{Is } \forall \mathbf{X} (\exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi(\mathbf{X}, \mathbf{F}(\mathbf{X})) ) ?$$

Can we avoid using a QBF solver?

Yes, we can! [ACGKS'15]

- Propositional error formula  $\varepsilon(\mathbf{X}, \mathbf{Y}, \mathbf{Y}')$ :

$$(\varphi(\mathbf{X}, \mathbf{Y}') \wedge \bigwedge_{j=1}^m (\mathbf{Y}_j \Leftrightarrow F_j) \wedge \neg\varphi(\mathbf{X}, \mathbf{Y}))$$

- $\varepsilon$  unsatisfiable iff  $F_1, \dots, F_m$  is correct Skolem function vector
- Suppose  $\sigma$ : satisfying assignment of  $\varepsilon$

- $\varphi(\sigma[\mathbf{X}], \sigma[\mathbf{Y}']) = 1, \quad \sigma[\mathbf{Y}] = \mathbf{F}(\sigma[\mathbf{X}]), \quad \varphi(\sigma[\mathbf{X}], \sigma[\mathbf{Y}]) = 0$

# Checking correctness of “guess”-ed Skolem functions

Given candidate Skolem functions  $F_1, \dots, F_m$ ,

$$\text{Is } \forall \mathbf{X} (\exists \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi(\mathbf{X}, \mathbf{F}(\mathbf{X})) ) ?$$

Can we avoid using a QBF solver?

Yes, we can! [ACGKS'15]

- Propositional error formula  $\varepsilon(\mathbf{X}, \mathbf{Y}, \mathbf{Y}')$ :

$$(\varphi(\mathbf{X}, \mathbf{Y}') \wedge \bigwedge_{j=1}^m (\mathbf{Y}_j \Leftrightarrow F_j) \wedge \neg \varphi(\mathbf{X}, \mathbf{Y}))$$

- $\varepsilon$  unsatisfiable iff  $F_1, \dots, F_m$  is correct Skolem function vector
- Suppose  $\sigma$ : satisfying assignment of  $\varepsilon$ 
  - $\varphi(\sigma[\mathbf{X}], \sigma[\mathbf{Y}']) = 1$ ,  $\sigma[\mathbf{Y}] = \mathbf{F}(\sigma[\mathbf{X}])$ ,  $\varphi(\sigma[\mathbf{X}], \sigma[\mathbf{Y}]) = 0$
  - $\sigma$  is **counterexample** to the claim that  $F_1, \dots, F_m$  is a correct Skolem function vector

## Counterexample Generalization

**Recall:** Skolem functions guessed from **approximations** of

$$\exists y_{i+1} \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots \textcolor{blue}{x}_{i-1}, \textcolor{green}{y}_i, y_{i+1}, \dots y_m)$$

## Counterexample Generalization

**Recall:** Skolem functions guessed from **approximations** of

$$\exists y_{i+1} \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots \textcolor{blue}{x}_{i-1}, \textcolor{green}{y}_i, y_{i+1}, \dots y_m)$$

- Let  $\exists y_{i+1} \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{green}{Y}) \Rightarrow \Theta_i(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots \textcolor{blue}{x}_{i-1}, \textcolor{green}{y}_i)$  Over-approx

## Counterexample Generalization

**Recall:** Skolem functions guessed from **approximations** of

$$\exists y_{i+1} \dots \exists y_m \varphi(\textcolor{red}{X}, x_1, \dots x_{i-1}, \textcolor{green}{y_i}, y_{i+1}, \dots y_m)$$

- Let  $\exists y_{i+1} \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{Y}) \Rightarrow \Theta_i(\textcolor{red}{X}, x_1, \dots x_{i-1}, \textcolor{green}{y_i})$
- Let  $\delta_i = \neg \Theta_i|_{\textcolor{green}{y_i}=0}; \quad \gamma_i = \neg \Theta_i|_{\textcolor{green}{y_i}=1}$

Under-approximations

## Counterexample Generalization

**Recall:** Skolem functions guessed from **approximations** of

$$\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, x_1, \dots x_{i-1}, y_i, y_{i+1}, \dots y_m)$$

- Let  $\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \Theta_i(\mathbf{X}, x_1, \dots x_{i-1}, y_i)$
- Let  $\delta_i = \neg \Theta_i|_{y_i=0}; \quad \gamma_i = \neg \Theta_i|_{y_i=1}$
- Initial guess  $G_i(\mathbf{X}, x_1, \dots x_{i-1}) \in \{\delta_i, \neg \gamma_i\}$

## Counterexample Generalization

**Recall:** Skolem functions guessed from **approximations** of

$$\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, x_1, \dots x_{i-1}, y_i, y_{i+1}, \dots y_m)$$

- Let  $\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \Theta_i(\mathbf{X}, x_1, \dots x_{i-1}, y_i)$
- Let  $\delta_i = \neg \Theta_i|_{y_i=0}; \quad \gamma_i = \neg \Theta_i|_{y_i=1}$
- Initial guess  $G_i(\mathbf{X}, x_1, \dots x_{i-1}) \in \{\delta_i, \neg \gamma_i\}$  ... **1-sided error**
  - $G_i = \delta_i$  **cannot err** if it evaluates to 1
  - $G_i = \neg \gamma_i$  **cannot err** if it evaluates to 0

# Counterexample Generalization

**Recall:** Skolem functions guessed from **approximations** of

$$\exists y_{i+1} \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots \textcolor{blue}{x}_{i-1}, \textcolor{green}{y}_i, y_{i+1}, \dots y_m)$$

- Let  $\exists y_{i+1} \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{Y}) \Rightarrow \Theta_i(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots \textcolor{blue}{x}_{i-1}, \textcolor{green}{y}_i)$
- Let  $\delta_i = \neg \Theta_i|_{\textcolor{green}{y}_i=0}; \quad \gamma_i = \neg \Theta_i|_{\textcolor{green}{y}_i=1}$
- Initial guess  $\textcolor{red}{G}_i(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots \textcolor{blue}{x}_{i-1}) \in \{\delta_i, \neg \gamma_i\}$  ... **1-sided error**
  - $\textcolor{red}{G}_i = \delta_i$  **cannot err** if it evaluates to 1
  - $\textcolor{red}{G}_i = \neg \gamma_i$  **cannot err** if it evaluates to 0

## Generalized counterexample

Given  $\sigma \models \varepsilon(\textcolor{red}{X}, \textcolor{blue}{Y}, \textcolor{blue}{Y}')$  and  $\delta_i, \gamma_i$  for  $1 \leq i \leq m$

# Counterexample Generalization

**Recall:** Skolem functions guessed from **approximations** of

$$\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, x_1, \dots x_{i-1}, y_i, y_{i+1}, \dots y_m)$$

- Let  $\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \Theta_i(\mathbf{X}, x_1, \dots x_{i-1}, y_i)$
- Let  $\delta_i = \neg \Theta_i|_{y_i=0}; \quad \gamma_i = \neg \Theta_i|_{y_i=1}$
- Initial guess  $G_i(\mathbf{X}, x_1, \dots x_{i-1}) \in \{\delta_i, \neg \gamma_i\}$  ... **1-sided error**
  - $G_i = \delta_i$  **cannot err** if it evaluates to 1
  - $G_i = \neg \gamma_i$  **cannot err** if it evaluates to 0

## Generalized counterexample

Given  $\sigma \models \varepsilon(\mathbf{X}, \mathbf{Y}, \mathbf{Y}')$  and  $\delta_i, \gamma_i$  for  $1 \leq i \leq m$

Find function  $\mu(\mathbf{X}, x_1, \dots x_{j-1})$  for some  $j \in \{1, \dots m\}$  s.t.

# Counterexample Generalization

**Recall:** Skolem functions guessed from **approximations** of

$$\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, x_1, \dots, x_{i-1}, y_i, y_{i+1}, \dots, y_m)$$

- Let  $\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \Theta_i(\mathbf{X}, x_1, \dots, x_{i-1}, y_i)$
- Let  $\delta_i = \neg \Theta_i|_{y_i=0}; \quad \gamma_i = \neg \Theta_i|_{y_i=1}$
- Initial guess  $G_i(\mathbf{X}, x_1, \dots, x_{i-1}) \in \{\delta_i, \neg \gamma_i\}$  ... **1-sided error**
  - $G_i = \delta_i$  **cannot err** if it evaluates to 1
  - $G_i = \neg \gamma_i$  **cannot err** if it evaluates to 0

## Generalized counterexample

Given  $\sigma \models \varepsilon(\mathbf{X}, \mathbf{Y}, \mathbf{Y}')$  and  $\delta_i, \gamma_i$  for  $1 \leq i \leq m$

Find function  $\mu(\mathbf{X}, x_1, \dots, x_{j-1})$  for some  $j \in \{1, \dots, m\}$  s.t.

- $\sigma \models \mu$  ...  $\mu$  generalizes  $\sigma$

# Counterexample Generalization

**Recall:** Skolem functions guessed from **approximations** of

$$\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, x_1, \dots, x_{i-1}, y_i, y_{i+1}, \dots, y_m)$$

- Let  $\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \Theta_i(\mathbf{X}, x_1, \dots, x_{i-1}, y_i)$
- Let  $\delta_i = \neg \Theta_i|_{y_i=0}; \quad \gamma_i = \neg \Theta_i|_{y_i=1}$
- Initial guess  $G_i(\mathbf{X}, x_1, \dots, x_{i-1}) \in \{\delta_i, \neg \gamma_i\}$  ... **1-sided error**
  - $G_i = \delta_i$  **cannot err** if it evaluates to 1
  - $G_i = \neg \gamma_i$  **cannot err** if it evaluates to 0

## Generalized counterexample

Given  $\sigma \models \varepsilon(\mathbf{X}, \mathbf{Y}, \mathbf{Y}')$  and  $\delta_i, \gamma_i$  for  $1 \leq i \leq m$

Find function  $\mu(\mathbf{X}, x_1, \dots, x_{j-1})$  for some  $j \in \{1, \dots, m\}$  s.t.

- $\sigma \models \mu$  ...  $\mu$  generalizes  $\sigma$
- $\mu \Rightarrow \gamma_j \wedge \delta_j$

# Counterexample Generalization

**Recall:** Skolem functions guessed from **approximations** of

$$\exists y_{i+1} \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots \textcolor{blue}{x}_{i-1}, \textcolor{green}{y}_i, y_{i+1}, \dots y_m)$$

- Let  $\exists y_{i+1} \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{Y}) \Rightarrow \Theta_i(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots \textcolor{blue}{x}_{i-1}, \textcolor{green}{y}_i)$
- Let  $\delta_i = \neg \Theta_i|_{\textcolor{green}{y}_i=0}; \quad \gamma_i = \neg \Theta_i|_{\textcolor{green}{y}_i=1}$
- Initial guess  $\textcolor{red}{G}_i(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots \textcolor{blue}{x}_{i-1}) \in \{\delta_i, \neg \gamma_i\}$  ... **1-sided error**
  - $\textcolor{red}{G}_i = \delta_i$  **cannot err** if it evaluates to 1
  - $\textcolor{red}{G}_i = \neg \gamma_i$  **cannot err** if it evaluates to 0

## Generalized counterexample

Given  $\sigma \models \varepsilon(\textcolor{red}{X}, \textcolor{blue}{Y}, \textcolor{blue}{Y}')$  and  $\delta_i, \gamma_i$  for  $1 \leq i \leq m$

Find function  $\mu(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots \textcolor{blue}{x}_{j-1})$  for some  $j \in \{1, \dots, m\}$  s.t.

- $\sigma \models \mu$  ...  $\mu$  generalizes  $\sigma$
- $\mu \Rightarrow \gamma_j \wedge \delta_j$ 
  - $\Rightarrow \forall y_j \dots \forall y_m \neg \varphi(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots \textcolor{blue}{x}_{j-1}, y_j, y_{j+1}, \dots y_m)$

# Counterexample Generalization

**Recall:** Skolem functions guessed from **approximations** of

$$\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, x_1, \dots, x_{i-1}, y_i, y_{i+1}, \dots, y_m)$$

- Let  $\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \Theta_i(\mathbf{X}, x_1, \dots, x_{i-1}, y_i)$
- Let  $\delta_i = \neg \Theta_i|_{y_i=0}; \quad \gamma_i = \neg \Theta_i|_{y_i=1}$
- Initial guess  $G_i(\mathbf{X}, x_1, \dots, x_{i-1}) \in \{\delta_i, \neg \gamma_i\}$  ... **1-sided error**
  - $G_i = \delta_i$  **cannot err** if it evaluates to 1
  - $G_i = \neg \gamma_i$  **cannot err** if it evaluates to 0

## Generalized counterexample

Given  $\sigma \models \varepsilon(\mathbf{X}, \mathbf{Y}, \mathbf{Y}')$  and  $\delta_i, \gamma_i$  for  $1 \leq i \leq m$

Find function  $\mu(\mathbf{X}, x_1, \dots, x_{j-1})$  for some  $j \in \{1, \dots, m\}$  s.t.

- $\sigma \models \mu$  ...  $\mu$  generalizes  $\sigma$
- $\mu \Rightarrow \gamma_j \wedge \delta_j$ 
  - $\Rightarrow \forall y_j \dots \forall y_m \neg \varphi(\mathbf{X}, x_1, \dots, x_{j-1}, y_j, y_{j+1}, \dots, y_m)$
  - If  $\pi \models \mu$ , no extension of  $\pi$  satisfies  $\varphi$  ... counterexample

# Counterexample Generalization

**Recall:** Skolem functions guessed from **approximations** of

$$\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, x_1, \dots, x_{i-1}, y_i, y_{i+1}, \dots, y_m)$$

- Let  $\exists y_{i+1} \dots \exists y_m \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \Theta_i(\mathbf{X}, x_1, \dots, x_{i-1}, y_i)$
- Let  $\delta_i = \neg \Theta_i|_{y_i=0}; \quad \gamma_i = \neg \Theta_i|_{y_i=1}$
- Initial guess  $G_i(\mathbf{X}, x_1, \dots, x_{i-1}) \in \{\delta_i, \neg \gamma_i\}$  ... **1-sided error**
  - $G_i = \delta_i$  **cannot err** if it evaluates to 1
  - $G_i = \neg \gamma_i$  **cannot err** if it evaluates to 0

## Generalized counterexample

Given  $\sigma \models \varepsilon(\mathbf{X}, \mathbf{Y}, \mathbf{Y}')$  and  $\delta_i, \gamma_i$  for  $1 \leq i \leq m$

Find function  $\mu(\mathbf{X}, x_1, \dots, x_{j-1})$  for some  $j \in \{1, \dots, m\}$  s.t.

- $\sigma \models \mu$  ...  $\mu$  generalizes  $\sigma$
- $\mu \Rightarrow \gamma_j \wedge \delta_j$ 
  - $\Rightarrow \forall y_j \dots \forall y_m \neg \varphi(\mathbf{X}, x_1, \dots, x_{j-1}, y_j, y_{j+1}, \dots, y_m)$
  - If  $\pi \models \mu$ , no extension of  $\pi$  satisfies  $\varphi$  ... **counterexample**

Must ensure that  $(\mathbf{X}, G_1, \dots, G_{j-1})$  never evaluates to  $\pi$

## Repairing “guess”-ed candidate Skolem functions

- Every model of  $\mu(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots x_{j-1})$  gives a problematic combination of  $\textcolor{red}{G}_1, \dots \textcolor{red}{G}_{j-1}$  values

## Repairing “guess”-ed candidate Skolem functions

- Every model of  $\mu(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots x_{j-1})$  gives a problematic combination of  $\textcolor{red}{G}_1, \dots \textcolor{red}{G}_{j-1}$  values
- Flip  $\textcolor{red}{G}_{j-1}$  whenever  $\mu$  holds

## Repairing “guess”-ed candidate Skolem functions

- Every model of  $\mu(\text{X}, x_1, \dots x_{j-1})$  gives a problematic combination of  $G_1, \dots G_{j-1}$  values
- Flip  $G_{j-1}$  whenever  $\mu$  holds
  - Recall  $G_{j-1} \in \{\neg\gamma_{j-1}, \delta_{j-1}\}$

## Repairing “guess”-ed candidate Skolem functions

- Every model of  $\mu(\text{X}, x_1, \dots x_{j-1})$  gives a problematic combination of  $G_1, \dots G_{j-1}$  values
- Flip  $G_{j-1}$  whenever  $\mu$  holds
  - Recall  $G_{j-1} \in \{\neg\gamma_{j-1}, \delta_{j-1}\}$
  - **Only** source of error: under-approximation of  $\neg\exists y_j, \dots \exists y_m \varphi(\text{X}, x_1, \dots x_{j-2}, y_{j-1}, y_j, \dots y_m)$

## Repairing “guess”-ed candidate Skolem functions

- Every model of  $\mu(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots x_{j-1})$  gives a problematic combination of  $\textcolor{red}{G}_1, \dots \textcolor{red}{G}_{j-1}$  values
- Flip  $\textcolor{red}{G}_{j-1}$  whenever  $\mu$  holds
  - Recall  $\textcolor{red}{G}_{j-1} \in \{\neg\gamma_{j-1}, \delta_{j-1}\}$
  - **Only** source of error: under-approximation of  $\neg\exists y_j, \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots x_{j-2}, \textcolor{green}{y}_{j-1}, y_j, \dots y_m)$
  - Repair: **Expand under-approximation**
    - ▶ If  $\textcolor{red}{G}_{j-1}$  is  $\neg\gamma_{j-1}$ ,     $\gamma_{j-1} \leftarrow \gamma_{j-1} \vee \mu|_{\sigma[y_{j-1}]}$
    - ▶ If  $\textcolor{red}{G}_{j-1}$  is  $\delta_{j-1}$ ,     $\delta_{j-1} \leftarrow \delta_{j-1} \vee \mu|_{\sigma[y_{j-1}]}$

## Repairing “guess”-ed candidate Skolem functions

- Every model of  $\mu(\text{X}, \text{x}_1, \dots \text{x}_{j-1})$  gives a problematic combination of  $G_1, \dots G_{j-1}$  values
- Flip  $G_{j-1}$  whenever  $\mu$  holds
  - Recall  $G_{j-1} \in \{\neg\gamma_{j-1}, \delta_{j-1}\}$
  - Only source of error: under-approximation of  $\neg\exists y_j, \dots \exists y_m \varphi(\text{X}, \text{x}_1, \dots \text{x}_{j-2}, \text{y}_{j-1}, y_j, \dots y_m)$
  - Repair: **Expand under-approximation**
    - ▶ If  $G_{j-1}$  is  $\neg\gamma_{j-1}$ ,     $\gamma_{j-1} \leftarrow \gamma_{j-1} \vee \mu|_{\sigma[y_{j-1}]}$
    - ▶ If  $G_{j-1}$  is  $\delta_{j-1}$ ,     $\delta_{j-1} \leftarrow \delta_{j-1} \vee \mu|_{\sigma[y_{j-1}]}$

Counter-example guided repair by expanding  $\delta_i$ 's and  $\gamma_i$ 's.

## Repairing “guess”-ed candidate Skolem functions

- Every model of  $\mu(\text{X}, x_1, \dots x_{j-1})$  gives a problematic combination of  $G_1, \dots G_{j-1}$  values
- Flip  $G_{j-1}$  whenever  $\mu$  holds
  - Recall  $G_{j-1} \in \{\neg\gamma_{j-1}, \delta_{j-1}\}$
  - Only source of error: under-approximation of  $\neg\exists y_j, \dots \exists y_m \varphi(\text{X}, x_1, \dots x_{j-2}, y_{j-1}, y_j, \dots y_m)$
  - Repair: **Expand under-approximation**
    - ▶ If  $G_{j-1}$  is  $\neg\gamma_{j-1}$ ,  $\gamma_{j-1} \leftarrow \gamma_{j-1} \vee \mu|_{\sigma[y_{j-1}]}$
    - ▶ If  $G_{j-1}$  is  $\delta_{j-1}$ ,  $\delta_{j-1} \leftarrow \delta_{j-1} \vee \mu|_{\sigma[y_{j-1}]}$

Counter-example guided repair by expanding  $\delta_i$ 's and  $\gamma_i$ 's.

Expansion-based repair

## Repairing “guess”-ed candidate Skolem functions

- Every model of  $\mu(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots x_{j-1})$  gives a problematic combination of  $\textcolor{red}{G}_1, \dots \textcolor{red}{G}_{j-1}$  values
- Flip  $\textcolor{red}{G}_{j-1}$  whenever  $\mu$  holds
  - Recall  $\textcolor{red}{G}_{j-1} \in \{\neg\gamma_{j-1}, \delta_{j-1}\}$
  - Only source of error: under-approximation of  $\neg\exists y_j, \dots \exists y_m \varphi(\textcolor{red}{X}, \textcolor{blue}{x}_1, \dots x_{j-2}, \textcolor{green}{y}_{j-1}, y_j, \dots y_m)$
  - Repair: **Expand under-approximation**
    - ▶ If  $\textcolor{red}{G}_{j-1}$  is  $\neg\gamma_{j-1}$ ,  $\gamma_{j-1} \leftarrow \gamma_{j-1} \vee \mu|_{\sigma[y_{j-1}]}$
    - ▶ If  $\textcolor{red}{G}_{j-1}$  is  $\delta_{j-1}$ ,  $\delta_{j-1} \leftarrow \delta_{j-1} \vee \mu|_{\sigma[y_{j-1}]}$

Counter-example guided repair by expanding  $\delta_i$ 's and  $\gamma_i$ 's.

Expansion-based repair

Simple argument for termination – expansions can't go on forever

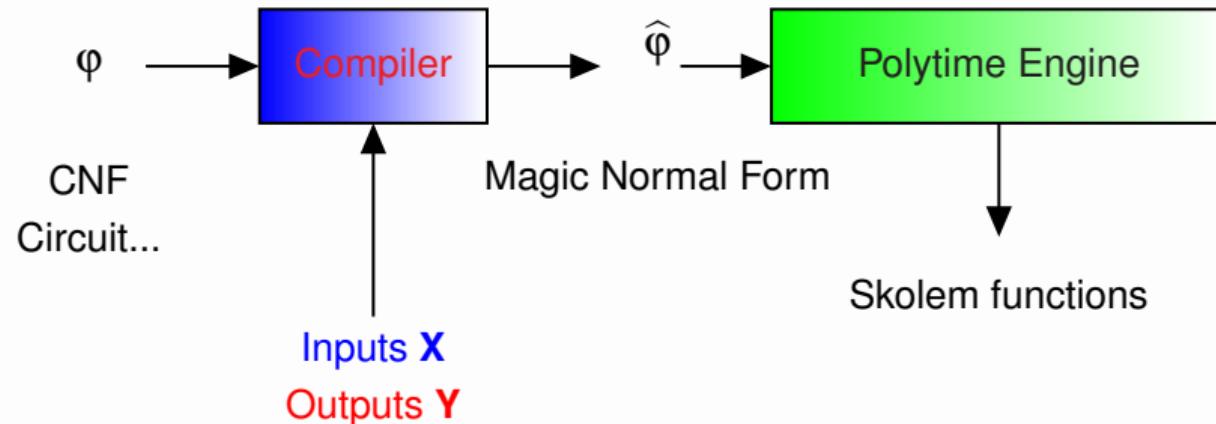
## Deep Dive 2: Knowledge compilation for Boolean Functional Synthesis

## Our Definition

... a family of approaches for addressing the intractability of **synthesis** problems. A propositional model is compiled in an off-line phase in order to support some queries in polytime.

## Our Definition

... a family of approaches for addressing the intractability of **synthesis** problems. A propositional model is compiled in an off-line phase in order to support some queries in polytime.



For solving the Skolem function synthesis problem, it suffices to

1. Generate Skolem functions for only 1-output specs
2. For multiple output case, if we can compute  $\exists y_1 \dots y_m \varphi(\mathbf{X}, \mathbf{Y})$ , then it reduces to multiple instances of the single output problem!

For solving the Skolem function synthesis problem, it suffices to

1. Generate Skolem functions for only 1-output specs
  - this is easy:  $\varphi(\mathbf{X}, 1)$  and  $\neg\varphi(\mathbf{X}, 0)$  are Skolem functions.
2. For multiple output case, if we can compute  $\exists y_1 \dots y_m \varphi(\mathbf{X}, \mathbf{Y})$ , then it reduces to multiple instances of the single output problem!

For solving the Skolem function synthesis problem, it suffices to

1. Generate Skolem functions for only 1-output specs
  - this is easy:  $\varphi(\mathbf{X}, 1)$  and  $\neg\varphi(\mathbf{X}, 0)$  are Skolem functions.
2. For multiple output case, if we can compute  $\exists y_1 \dots y_m \varphi(\mathbf{X}, \mathbf{Y})$ , then it reduces to multiple instances of the single output problem!

## Recall from the previous Deep-Dive

For solving the Skolem function synthesis problem, it suffices to

1. Generate Skolem functions for only 1-output specs
  - this is easy:  $\varphi(\mathbf{X}, 1)$  and  $\neg\varphi(\mathbf{X}, 0)$  are Skolem functions.
2. For multiple output case, if we can compute  $\exists y_1 \dots y_m \varphi(\mathbf{X}, \mathbf{Y})$ , then it reduces to multiple instances of the single output problem!

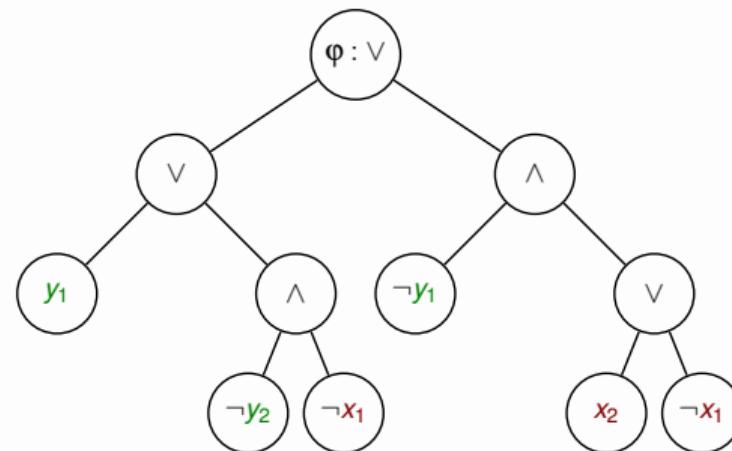
Does there exist a form of the specification where this **HARD** question is **EASY**?

## Towards a normal form for efficient synthesis

- Represent  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$  NNF DAG
  - Boolean circuit,  $\wedge$  and  $\vee$  at internal nodes,  $\neg$  only at leaves

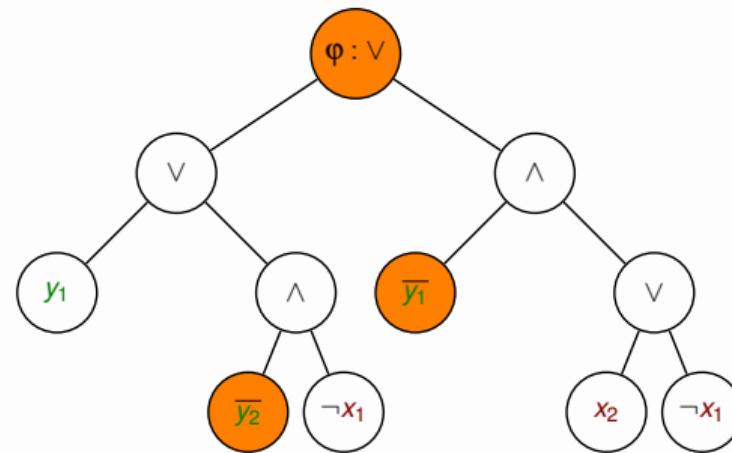
# Towards a normal form for efficient synthesis

- Represent  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$  NNF DAG
  - Boolean circuit,  $\wedge$  and  $\vee$  at internal nodes,  $\neg$  only at leaves



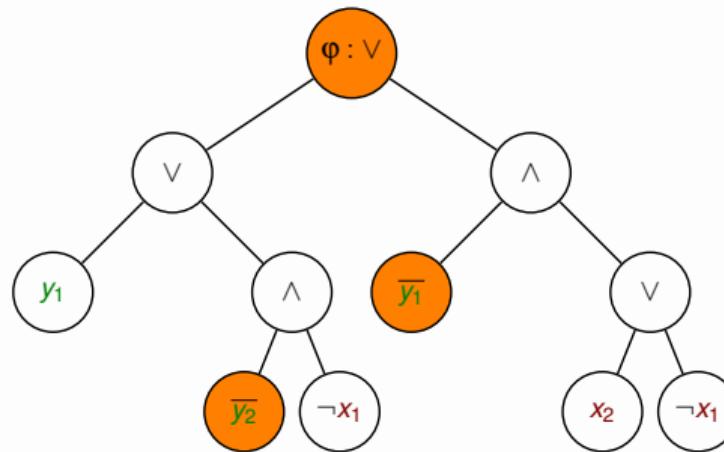
# Towards a normal form for efficient synthesis

- Represent  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$  NNF DAG
  - Boolean circuit,  $\wedge$  and  $\vee$  at internal nodes,  $\neg$  only at leaves



# Towards a normal form for efficient synthesis

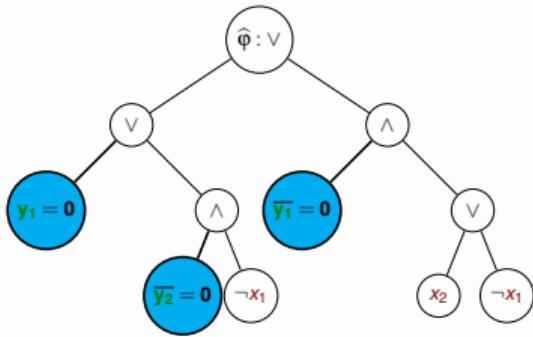
- Represent  $\varphi(x_1, \dots, x_n, y_1, \dots, y_m)$  NNF DAG
  - Boolean circuit,  $\wedge$  and  $\vee$  at internal nodes,  $\neg$  only at leaves



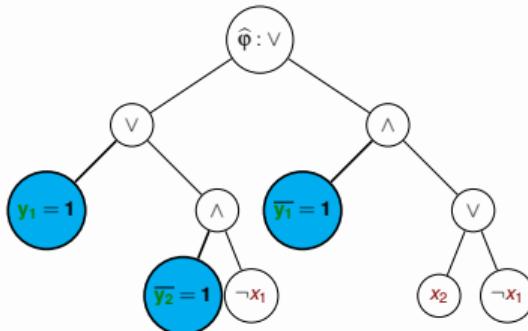
**Positive form of specification:**  $\widehat{\varphi}(\{x_1, \dots, x_n\}, \{y_1, \dots, y_m, \overline{y_1}, \dots, \overline{y_m}\})$

- Monotone w.r.t all  $y_i$  and  $\overline{y_i}$

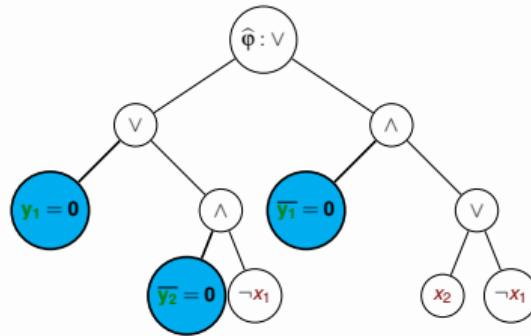
# Simple properties of the positive form $\hat{\phi}$



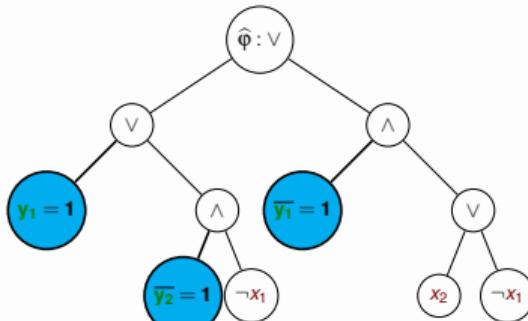
$\Rightarrow \exists y_1 y_2 \varphi \Rightarrow$



# Simple properties of the positive form $\widehat{\phi}$



$\Rightarrow \exists y_1 y_2 \phi \Rightarrow$



- $\widehat{\phi}(x_1 \dots x_n, \overbrace{0..0}^i, y_{i+1} \dots y_m, \overbrace{0..0}^i, \neg y_{i+1} \dots \neg y_m) \Rightarrow \exists y_1 \dots y_i \phi(\dots)$
- $\widehat{\phi}(x_1 \dots x_n, \overbrace{1..1}^i, y_{i+1} \dots y_m, \overbrace{1..1}^i, \neg y_{i+1} \dots \neg y_m) \Leftarrow \exists y_1 \dots y_i \phi(\dots)$

## The positive form and existential quantification

Let us take the first output:  $\exists y_1 \varphi(\textcolor{red}{X}, \textcolor{blue}{Y}) \Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$

## The positive form and existential quantification

Let us take the first output:  $\exists y_1 \varphi(\textcolor{red}{X}, \textcolor{green}{Y}) \Rightarrow \widehat{\varphi} |_{y_1=1, \textcolor{green}{y}_1=1}$  When does the reverse implication hold?

## The positive form and existential quantification

Let us take the first output:  $\exists y_1 \varphi(\textcolor{red}{X}, \textcolor{green}{Y}) \Rightarrow \widehat{\varphi} |_{y_1=1, \textcolor{green}{y}_1=1}$  When does the reverse implication hold?

- Let's ask the opposite.

## The positive form and existential quantification

Let us take the first output:  $\exists y_1 \varphi(\textcolor{red}{X}, \textcolor{green}{Y}) \Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  When does the reverse implication hold?

- Let's ask the opposite. When do we have  $\exists y_1 \varphi(\textcolor{red}{X}, \textcolor{green}{Y}) \not\Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  ?

## The positive form and existential quantification

Let us take the first output:  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  When does the reverse implication hold?

- Let's ask the opposite. When do we have  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \not\Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  ?
- Exactly when

- $\widehat{\varphi}_1 |_{y_1=1, \overline{y_1}=1} = 1$
- $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi |_{y_1=1} \vee \varphi |_{y_1=0} = 0$

## The positive form and existential quantification

Let us take the first output:  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  When does the reverse implication hold?

- Let's ask the opposite. When do we have  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \not\Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  ?
- Exactly when

- $\widehat{\varphi}_1 |_{y_1=1, \overline{y_1}=1} = 1$
- $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi |_{y_1=1} \vee \varphi |_{y_1=0} = 0$ 
  - ▶  $\varphi |_{y_1=1} \Leftrightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=0} = 0$
  - ▶  $\varphi |_{y_1=0} \Leftrightarrow \widehat{\varphi} |_{y_1=0, \overline{y_1}=1} = 0$

## The positive form and existential quantification

Let us take the first output:  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  When does the reverse implication hold?

- Let's ask the opposite. When do we have  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \not\Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  ?
- Exactly when

- $\widehat{\varphi}_1 |_{y_1=1, \overline{y_1}=1} = 1$

- $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi |_{y_1=1} \vee \varphi |_{y_1=0} = 0$

- $\varphi |_{y_1=1} \Leftrightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=0} = 0$

- $\varphi |_{y_1=0} \Leftrightarrow \widehat{\varphi} |_{y_1=0, \overline{y_1}=1} = 0$

- (By monotonicity of  $\widehat{\varphi}$  w.r.t  $y_1$  and  $\overline{y_1}$ )  $\widehat{\varphi} |_{y_1=0, \overline{y_1}=0} = 0$

## The positive form and existential quantification

Let us take the first output:  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  When does the reverse implication hold?

- Let's ask the opposite. When do we have  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \not\Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  ?
- Exactly when
  - $\widehat{\varphi}_1 |_{y_1=1, \overline{y_1}=1} = 1$
  - $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi |_{y_1=1} \vee \varphi |_{y_1=0} = 0$ 
    - ▶  $\varphi |_{y_1=1} \Leftrightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=0} = 0$
    - ▶  $\varphi |_{y_1=0} \Leftrightarrow \widehat{\varphi} |_{y_1=0, \overline{y_1}=1} = 0$
    - ▶ (By monotonicity of  $\widehat{\varphi}$  w.r.t  $y_1$  and  $\overline{y_1}$ )  $\widehat{\varphi} |_{y_1=0, \overline{y_1}=0} = 0$
- In other words, when  $\widehat{\varphi}$  “behaves like”  $y_1 \wedge \overline{y_1}$ .

# The positive form and existential quantification

Let us take the first output:  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  When does the reverse implication hold?

- Let's ask the opposite. When do we have  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \not\Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  ?
- Exactly when
  - $\widehat{\varphi}_1 |_{y_1=1, \overline{y_1}=1} = 1$
  - $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi |_{y_1=1} \vee \varphi |_{y_1=0} = 0$ 
    - ▶  $\varphi |_{y_1=1} \Leftrightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=0} = 0$
    - ▶  $\varphi |_{y_1=0} \Leftrightarrow \widehat{\varphi} |_{y_1=0, \overline{y_1}=1} = 0$
    - ▶ (By monotonicity of  $\widehat{\varphi}$  w.r.t  $y_1$  and  $\overline{y_1}$ )  $\widehat{\varphi} |_{y_1=0, \overline{y_1}=0} = 0$
- In other words, when  $\widehat{\varphi}$  "behaves like"  $y_1 \wedge \overline{y_1}$ .

So, what should we avoid?

- There are some values for the other variables s.t.,  $\widehat{\varphi} \Leftrightarrow y_1 \wedge \overline{y_1}$ .

# The positive form and existential quantification

Let us take the first output:  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  When does the reverse implication hold?

- Let's ask the opposite. When do we have  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \not\Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  ?
- Exactly when
  - $\widehat{\varphi}_1 |_{y_1=1, \overline{y_1}=1} = 1$
  - $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi |_{y_1=1} \vee \varphi |_{y_1=0} = 0$ 
    - ▶  $\varphi |_{y_1=1} \Leftrightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=0} = 0$
    - ▶  $\varphi |_{y_1=0} \Leftrightarrow \widehat{\varphi} |_{y_1=0, \overline{y_1}=1} = 0$
    - ▶ (By monotonicity of  $\widehat{\varphi}$  w.r.t  $y_1$  and  $\overline{y_1}$ )  $\widehat{\varphi} |_{y_1=0, \overline{y_1}=0} = 0$
- In other words, when  $\widehat{\varphi}$  “behaves like”  $y_1 \wedge \overline{y_1}$ .

So, what should we avoid?

- There are some values for the other variables s.t.,  $\widehat{\varphi} \Leftrightarrow y_1 \wedge \overline{y_1}$ .
- If we can avoid it, we get  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$

# The positive form and existential quantification

Let us take the first output:  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  When does the reverse implication hold?

- Let's ask the opposite. When do we have  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \not\Rightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$  ?
- Exactly when
  - $\widehat{\varphi}_1 |_{y_1=1, \overline{y_1}=1} = 1$
  - $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \varphi |_{y_1=1} \vee \varphi |_{y_1=0} = 0$ 
    - ▶  $\varphi |_{y_1=1} \Leftrightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=0} = 0$
    - ▶  $\varphi |_{y_1=0} \Leftrightarrow \widehat{\varphi} |_{y_1=0, \overline{y_1}=1} = 0$
    - ▶ (By monotonicity of  $\widehat{\varphi}$  w.r.t  $y_1$  and  $\overline{y_1}$ )  $\widehat{\varphi} |_{y_1=0, \overline{y_1}=0} = 0$
- In other words, when  $\widehat{\varphi}$  "behaves like"  $y_1 \wedge \overline{y_1}$ .

So, what should we avoid?

- There are some values for the other variables s.t.,  $\widehat{\varphi} \Leftrightarrow y_1 \wedge \overline{y_1}$ .
- If we can avoid it, we get  $\exists y_1 \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \widehat{\varphi} |_{y_1=1, \overline{y_1}=1}$

# The core simple idea: Don't be an AND gate!

We can now generalize this to more outputs

# The core simple idea: Don't be an AND gate!

We can now generalize this to more outputs

If we can avoid

- $\hat{\phi} \Leftrightarrow y_1 \wedge \overline{y_1}$  AND  $\hat{\phi}|_{y_1=1, \overline{y_1}=1} \Leftrightarrow y_2 \wedge \overline{y_2}$ .

# The core simple idea: Don't be an AND gate!

We can now generalize this to more outputs

If we can avoid

- $\hat{\phi} \Leftrightarrow y_1 \wedge \overline{y_1}$  AND  $\hat{\phi} |_{y_1=1, \overline{y_1}=1} \Leftrightarrow y_2 \wedge \overline{y_2}$ .

Then we get

- $\exists y_1, y_2 \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \hat{\phi} |_{y_1=1, \overline{y_1}=1, y_2=1, \overline{y_2}=1}$

and so on...

# The core simple idea: Don't be an AND gate!

We can now generalize this to more outputs

If we can avoid

- $\hat{\phi} \Leftrightarrow y_1 \wedge \bar{y_1}$  AND  $\hat{\phi}|_{y_1=1, \bar{y_1}=1} \Leftrightarrow y_2 \wedge \bar{y_2}$ .

Then we get

- $\exists y_1, y_2 \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \hat{\phi}|_{y_1=1, \bar{y_1}=1, y_2=1, \bar{y_2}=1}$

and so on...

## The question

- We want to ensure the positive form does not “behave” as  $y_i \wedge \bar{y_i}$  for any  $i$ .

# The core simple idea: Don't be an AND gate!

We can now generalize this to more outputs

If we can avoid

- $\hat{\phi} \Leftrightarrow y_1 \wedge \bar{y_1}$  AND  $\hat{\phi}|_{y_1=1, \bar{y_1}=1} \Leftrightarrow y_2 \wedge \bar{y_2}$ .

Then we get

- $\exists y_1, y_2 \varphi(\mathbf{X}, \mathbf{Y}) \Leftrightarrow \hat{\phi}|_{y_1=1, \bar{y_1}=1, y_2=1, \bar{y_2}=1}$

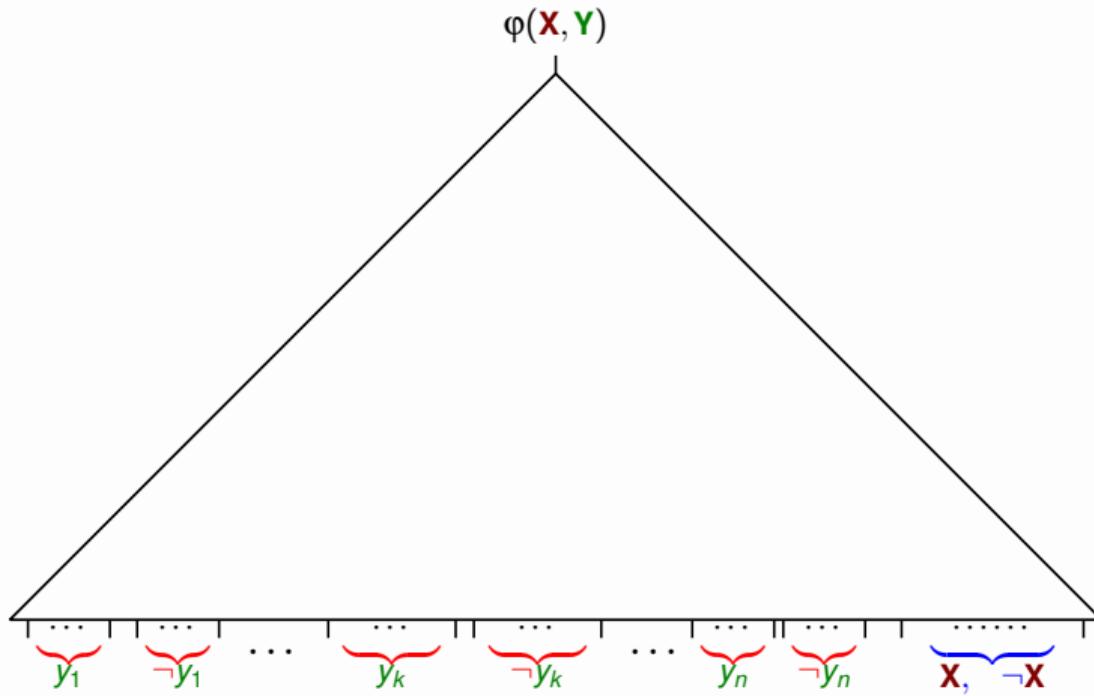
and so on...

## The question

- We want to ensure the positive form does not “behave” as  $y_i \wedge \bar{y_i}$  for any  $i$ .
- What representation of the specification  $\varphi$  ensures this?

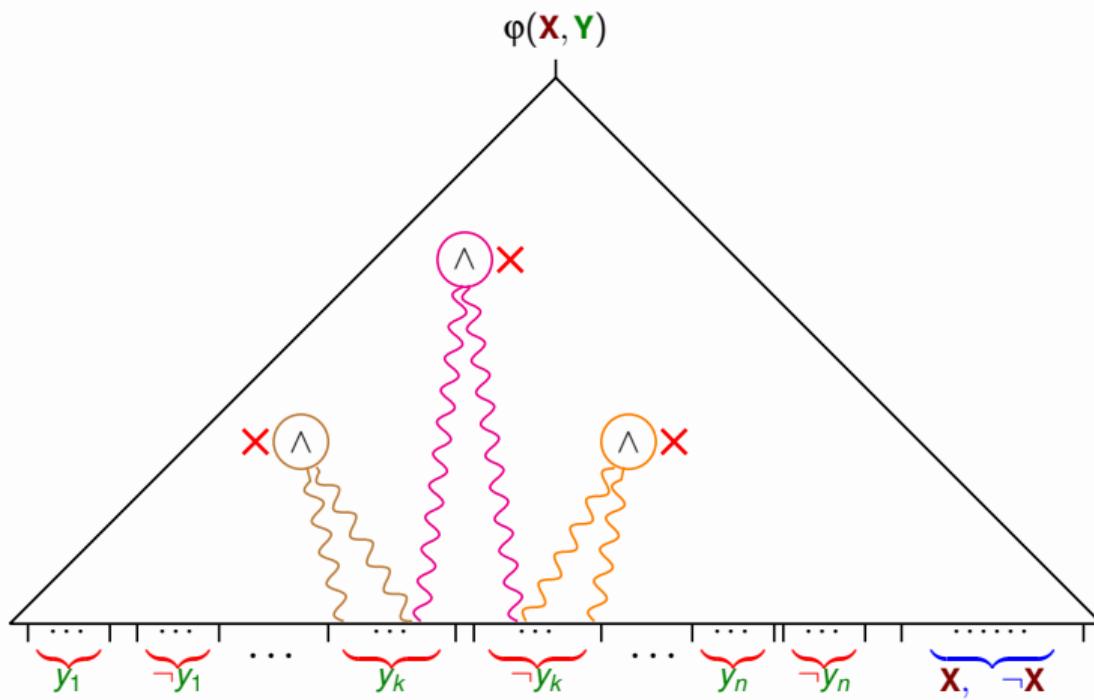
# A simple yet special Normal Form

Decomposable Negation Normal Form (DNNF): **Forbidden structure**



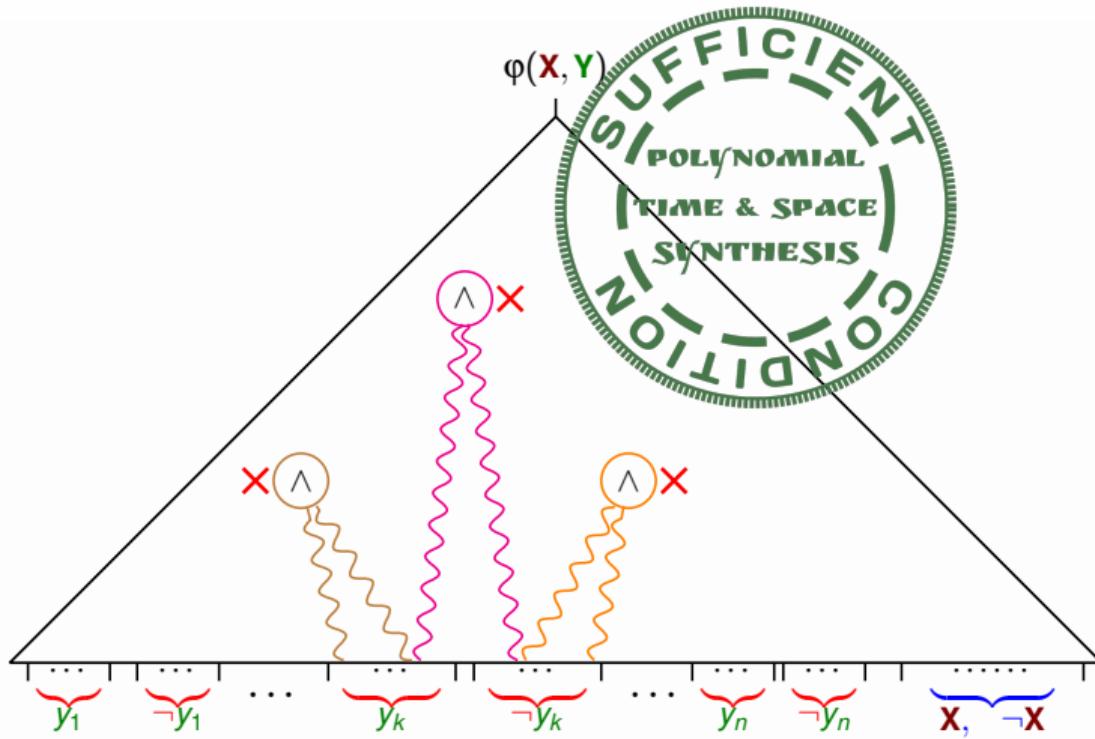
# A simple yet special Normal Form

Decomposable Negation Normal Form (DNNF): **Forbidden structure**



# A simple yet special Normal Form

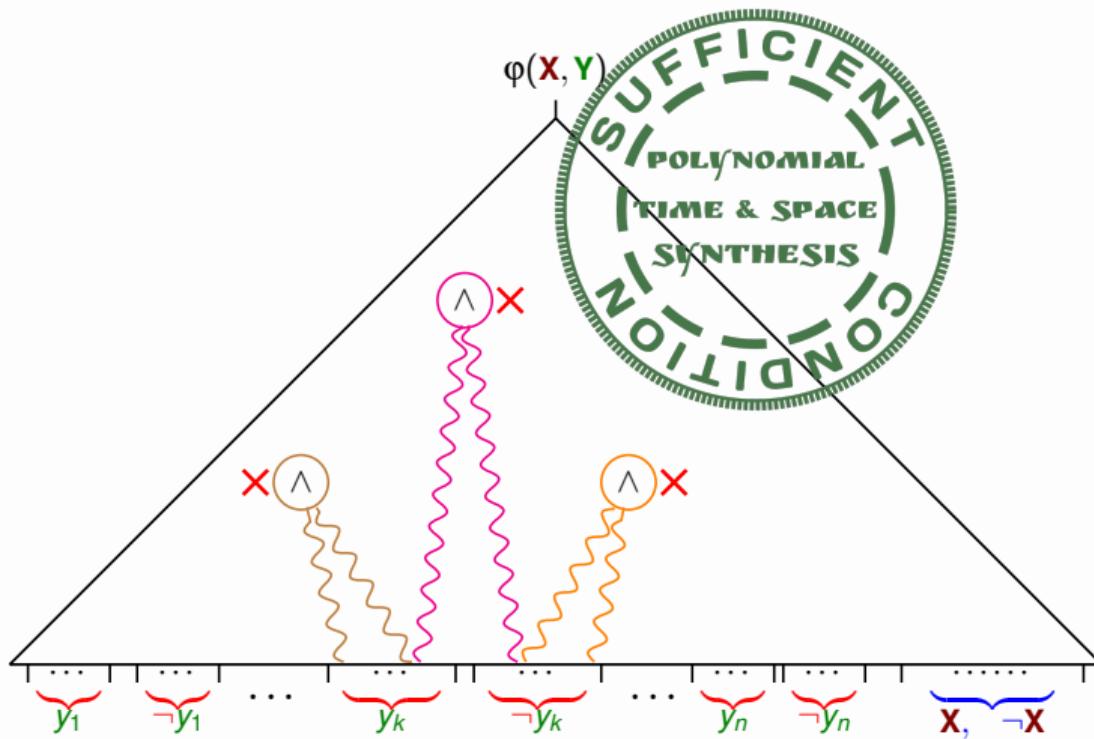
Decomposable Negation Normal Form (DNNF): **Forbidden structure**



# A simple yet special Normal Form

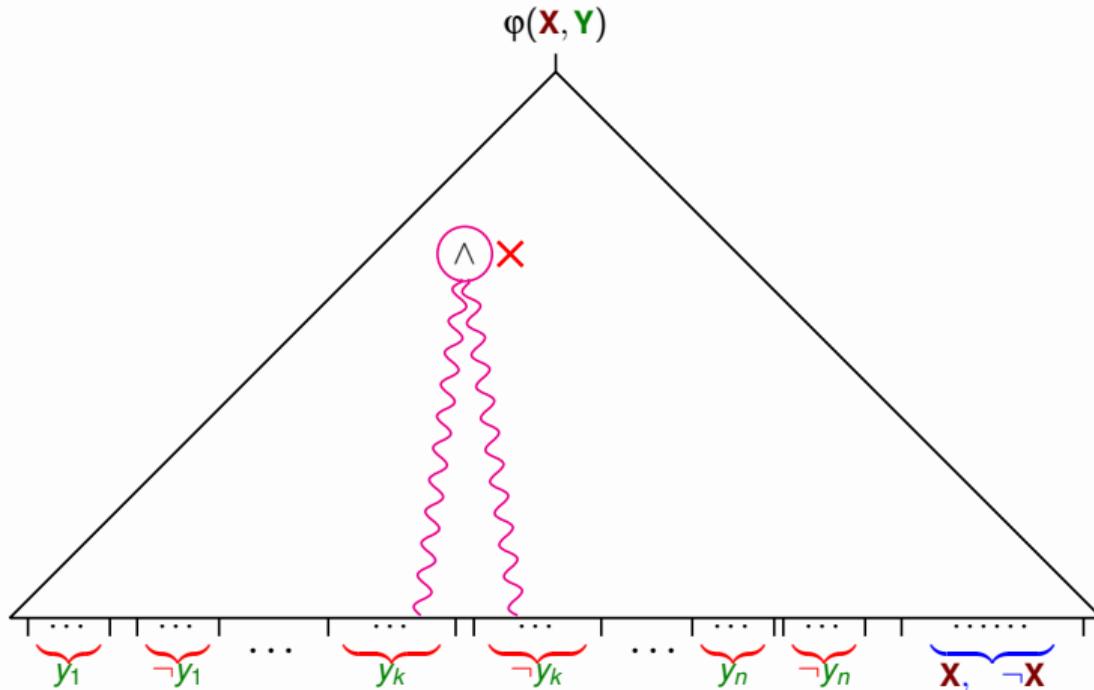
Decomposable Negation Normal Form (DNNF): **Forbidden structure**

DNNF has many other nice properties. Well-studied in the KR community!



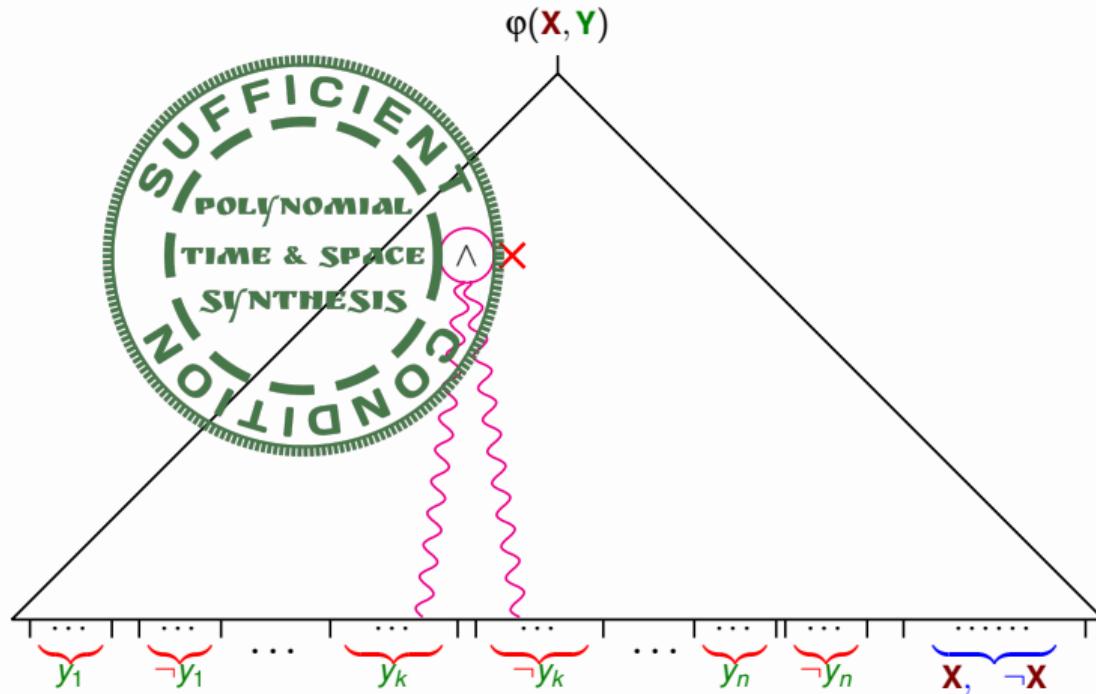
Surely, we can do better!

Weak DNNF (wDNNF): **Forbidden structure**



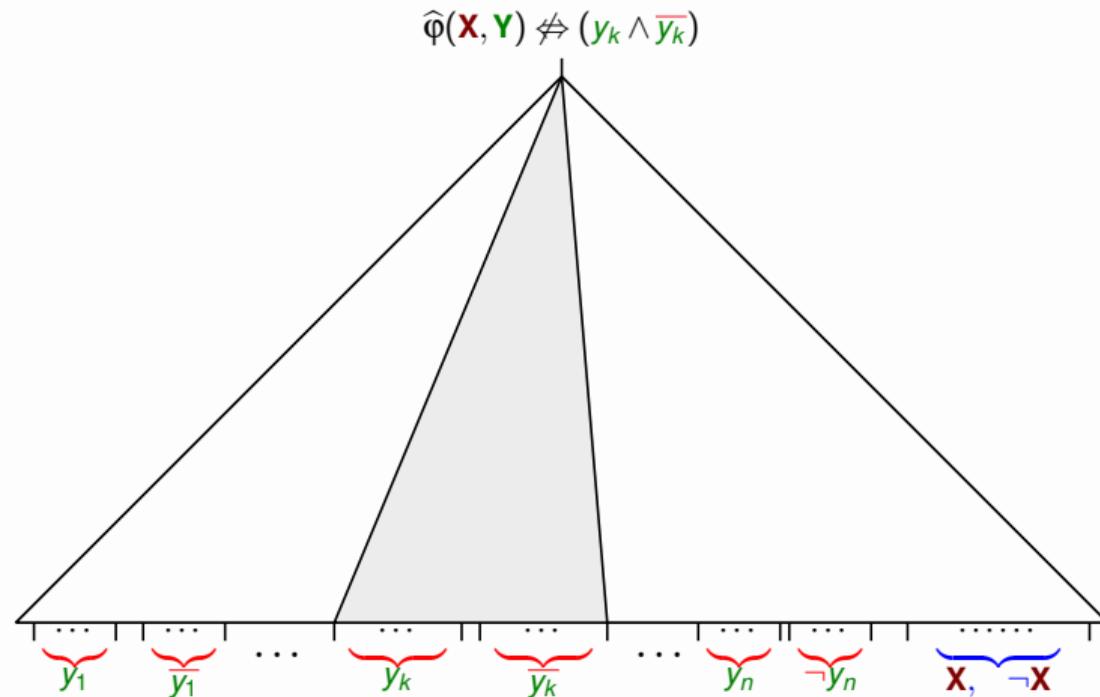
Surely, we can do better!

Weak DNNF (wDNNF): **Forbidden structure**



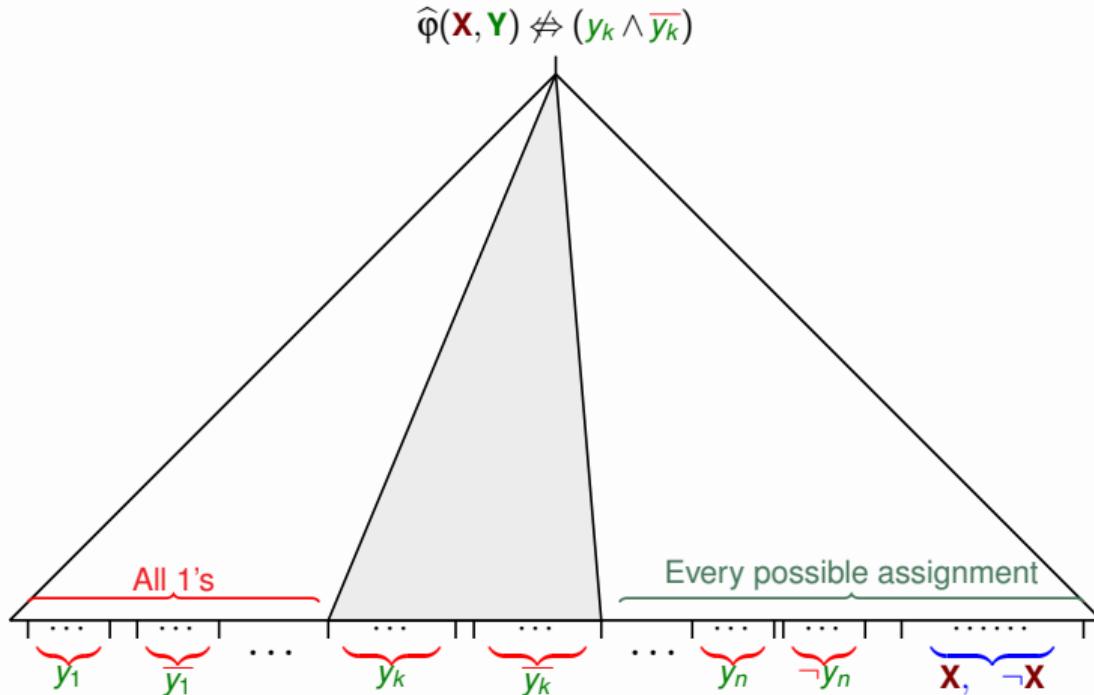
# Exploit the property of the reduct!

Synthesis Negation Normal Form (SynNNF): **Forbidden semantics**



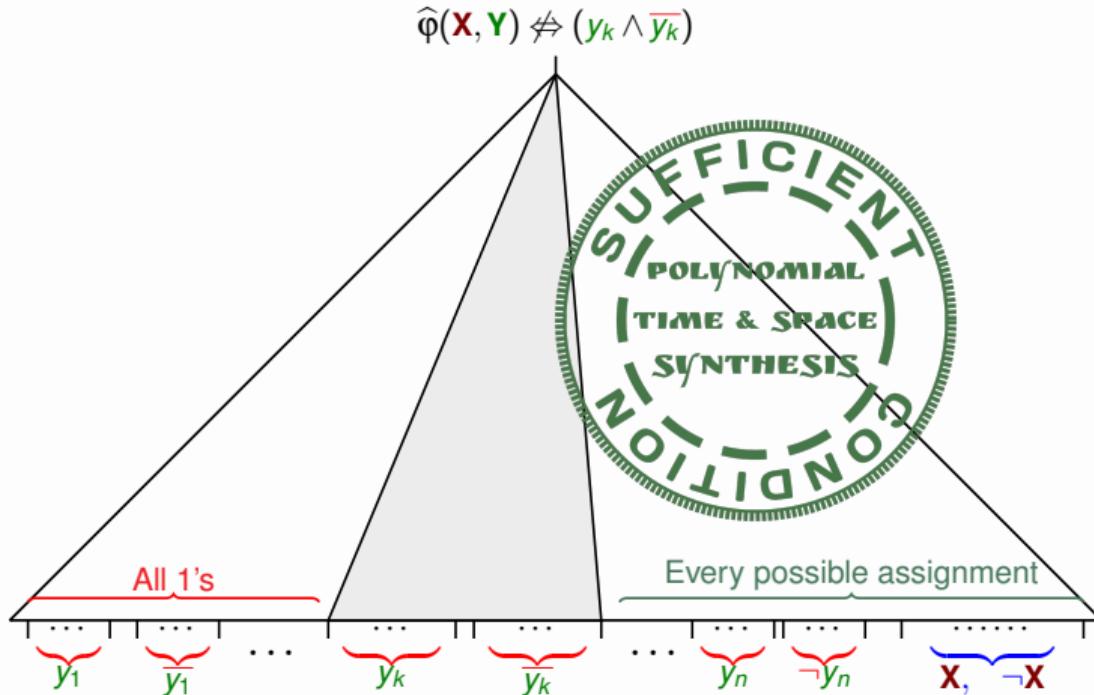
# Exploit the property of the reduct!

Synthesis Negation Normal Form (SynNNF): **Forbidden semantics**



# Exploit the property of the reduct!

Synthesis Negation Normal Form (SynNNF): **Forbidden semantics**



# SynNNF: A negation normal form for efficient synthesis

Skolem fn for  $y_i$  (in terms of  $y_{i+1}, \dots, y_m, X$ )

- $\exists y_1, \dots, y_{i-1} \varphi(X, y_1, \dots, y_{i-1}, 1, y_{i+1}, \dots, y_m)$

# SynNNF: A negation normal form for efficient synthesis

Skolem fn for  $y_i$  (in terms of  $y_{i+1}, \dots, y_m, X$ )

- $\exists y_1, \dots, y_{i-1} \varphi(X, y_1, \dots, y_{i-1}, 1, y_{i+1}, \dots, y_m)$
- Equivalently,  $\widehat{\varphi} |_{y_1=1, \overline{y_1}=1, \dots, y_{i-1}=1, \overline{y_{i-1}}=1, y_i=1, \overline{y_i}=0}$ , if  $\varphi$  in SynNNF

# SynNNF: A negation normal form for efficient synthesis

Skolem fn for  $y_i$  (in terms of  $y_{i+1}, \dots, y_m, X$ )

- $\exists y_1, \dots, y_{i-1} \varphi(X, y_1, \dots, y_{i-1}, 1, y_{i+1}, \dots, y_m)$
- Equivalently,  $\widehat{\varphi} |_{y_1=1, \overline{y_1}=1, \dots, y_{i-1}=1, \overline{y_{i-1}}=1, y_i=1, \overline{y_i}=0}$ , if  $\varphi$  in SynNNF

Poly-time/sized Skolem functions!

Skolem fn for  $y_i$  (in terms of  $y_{i+1}, \dots, y_m, X$ )

- $\exists y_1, \dots, y_{i-1} \varphi(X, y_1, \dots, y_{i-1}, 1, y_{i+1}, \dots, y_m)$
- Equivalently,  $\widehat{\varphi} |_{y_1=1, \overline{y_1}=1, \dots, y_{i-1}=1, \overline{y_{i-1}}=1, y_i=1, \overline{y_i}=0}$ , if  $\varphi$  in SynNNF

Poly-time/sized Skolem functions!

## Observations:

- Not purely structural restriction on representation of  $\varphi$

# SynNNF: A negation normal form for efficient synthesis

Skolem fn for  $y_i$  (in terms of  $y_{i+1}, \dots, y_m, X$ )

- $\exists y_1, \dots, y_{i-1} \varphi(X, y_1, \dots, y_{i-1}, 1, y_{i+1}, \dots, y_m)$
- Equivalently,  $\widehat{\varphi} |_{y_1=1, \overline{y_1}=1, \dots, y_{i-1}=1, \overline{y_{i-1}}=1, y_i=1, \overline{y_i}=0}$ , if  $\varphi$  in SynNNF

Poly-time/sized Skolem functions!

## Observations:

- Not purely structural restriction on representation of  $\varphi$
- Reminiscent of Deterministic DNNF (dDNNF)
  - For every  $\vee$  node representing  $\varphi_1 \vee \varphi_2$ , require  $\varphi_1 \wedge \varphi_2 = \perp$ .

## Comparing the Normal Forms

- Every wDNNF, DNNF circuit is also in SynNNF.
- Every FBDD, ROBDD can be compiled in linear time to SynNNF.

## Comparing the Normal Forms

- Every wDNNF, DNNF circuit is also in SynNNF.
- Every FBDD, ROBDD can be compiled in linear time to SynNNF.

SynNNF is strictly weaker/more succinct than wDNNF, DNNF, FBDD, ROBDD

## Comparing the Normal Forms

- Every wDNNF, DNNF circuit is also in SynNNF.
- Every FBDD, ROBDD can be compiled in linear time to SynNNF.

SynNNF is strictly weaker/more succinct than wDNNF, DNNF, FBDD, ROBDD

Punchline!

SynNNF is **exponentially more succinct** than DNNF/dDNNF

## Comparing the Normal Forms

- Every wDNNF, DNNF circuit is also in SynNNF.
- Every FBDD, ROBDD can be compiled in linear time to SynNNF.

SynNNF is strictly weaker/more succinct than wDNNF, DNNF, FBDD, ROBDD

Punchline!

SynNNF is **exponentially more succinct** than DNNF/dDNNF, which are themselves **exponentially more succinct** than ROBDDs/FBDD.

What more can we do?

What more can we do?

Can we get necessary & sufficient condition?

## What more can we do?

Can we get necessary & sufficient condition?

Characterizing poly-time and poly-size BFnS

Does there exist a "semantically universal" class  $\mathcal{C}^*$  of ckts s.t.:

P1 : BFnS is poly-time for  $\mathcal{C}^*$

## What more can we do?

Can we get necessary & sufficient condition?

Characterizing poly-time and poly-size BFnS

Does there exist a "semantically universal" class  $\mathcal{C}^*$  of ckts s.t.:

P1 : BFnS is poly-time for  $\mathcal{C}^*$

P2 : For every class  $\mathcal{C}$  of ckts:

1. BFnS is poly-time for  $\mathcal{C}$  iff  $\mathcal{C}$  compiles to  $\mathcal{C}^*$  in poly-time.

# What more can we do?

Can we get necessary & sufficient condition?

Characterizing poly-time and poly-size BFnS

Does there exist a "semantically universal" class  $\mathcal{C}^*$  of ckts s.t.:

P1 : BFnS is poly-time for  $\mathcal{C}^*$

P2 : For every class  $\mathcal{C}$  of ckts:

1. BFnS is poly-time for  $\mathcal{C}$  iff  $\mathcal{C}$  compiles to  $\mathcal{C}^*$  in poly-time.
2. BFnS is poly-size for  $\mathcal{C}$  iff  $\mathcal{C}$  compiles to poly-size ckts in  $\mathcal{C}^*$

# What more can we do?

Can we get necessary & sufficient condition?

Characterizing poly-time and poly-size BFnS

Does there exist a "semantically universal" class  $\mathcal{C}^*$  of ckts s.t.:

P1 : BFnS is poly-time for  $\mathcal{C}^*$

P2 : For every class  $\mathcal{C}$  of ckts:

1. BFnS is poly-time for  $\mathcal{C}$  iff  $\mathcal{C}$  compiles to  $\mathcal{C}^*$  in poly-time.
2. BFnS is poly-size for  $\mathcal{C}$  iff  $\mathcal{C}$  compiles to poly-size ckts in  $\mathcal{C}^*$

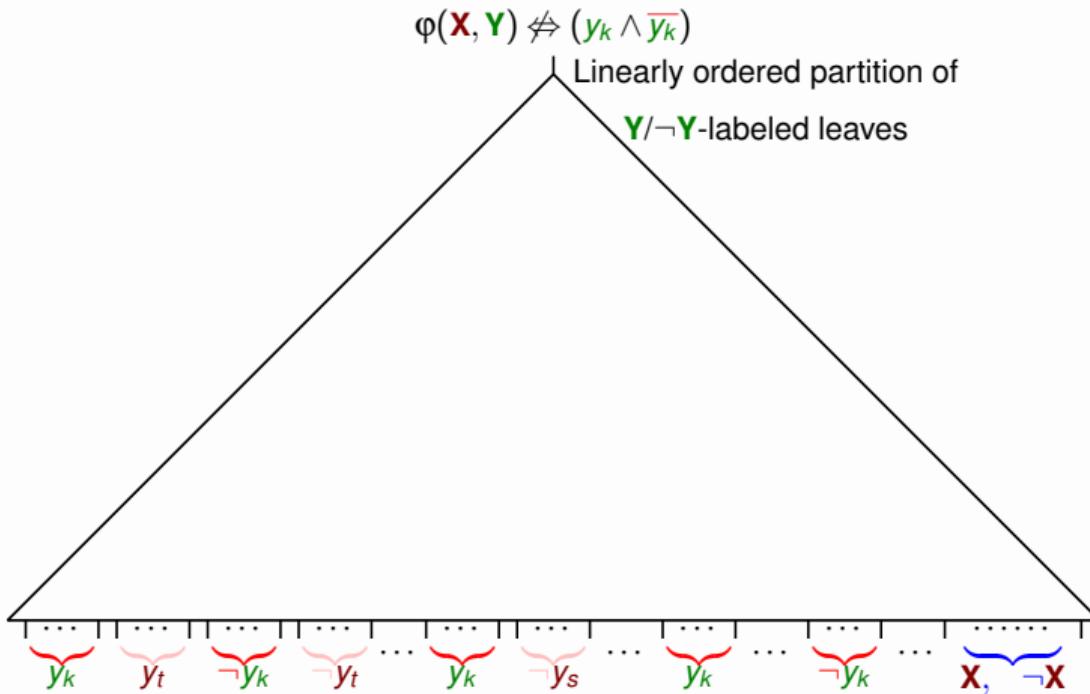
Surprise!

Yes, there exists such a class!

Subset-And-Unrealizable Normal Form (SAUNF)

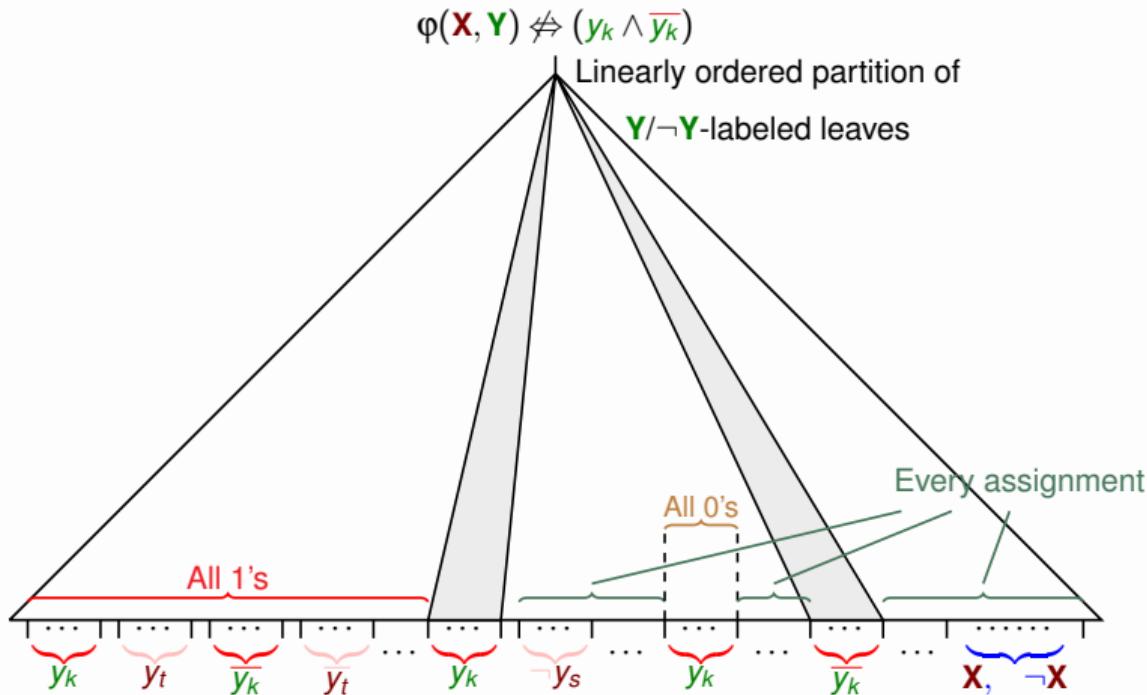
# SAUNF: A Very Special Normal Form

Generalizing **forbidden semantics** of SynNNF



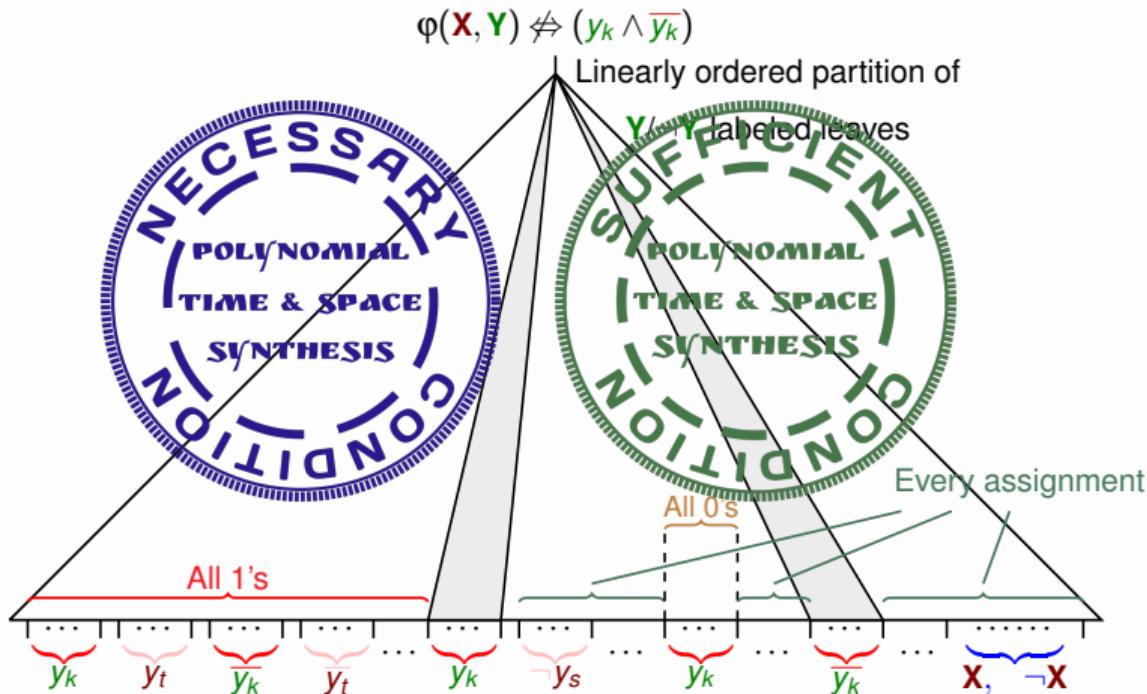
# SAUNF: A Very Special Normal Form

Generalizing **forbidden semantics** of SynNNF



# SAUNF: A Very Special Normal Form

Generalizing **forbidden semantics** of SynNNF



### Checking if a given specification is in SynNNF/SAUNF

- Is Co-NP complete, given linearly ordered variables/partition of **Y**-labeled leaves

## More properties and structure

### Checking if a given specification is in SynNNF/SAUNF

- Is Co-NP complete, given linearly ordered variables/partition of  $\textcolor{red}{Y}$ -labeled leaves
- Is Co-NP hard and in  $\Sigma_2^P$ , otherwise.

### Compiling from CNF to SynNNF/SAUNF

- Algorithms and Prototype implementations exist. (e.g., C2Syn)
- Worst-case exponential-time and space
  - Unavoidable due to hardness results

## More properties and structure

### Checking if a given specification is in SynNNF/SAUNF

- Is Co-NP complete, given linearly ordered variables/partition of  $\textcolor{red}{Y}$ -labeled leaves
- Is Co-NP hard and in  $\Sigma_2^P$ , otherwise.

### Compiling from CNF to SynNNF/SAUNF

- Algorithms and Prototype implementations exist. (e.g., C2Syn)
- Worst-case exponential-time and space
  - Unavoidable due to hardness results

### Algorithms for compositions and operations

Given  $\varphi_1(\textcolor{red}{X}, \textcolor{blue}{Y})$  and  $\varphi_2(\textcolor{red}{X}, \textcolor{blue}{Y})$  in SynNNF/SAUNF

- Computing  $\varphi_1 \vee \varphi_2$  in SynNNF/SAUNF takes constant time.

## More properties and structure

### Checking if a given specification is in SynNNF/SAUNF

- Is Co-NP complete, given linearly ordered variables/partition of  $\textcolor{red}{Y}$ -labeled leaves
- Is Co-NP hard and in  $\Sigma_2^P$ , otherwise.

### Compiling from CNF to SynNNF/SAUNF

- Algorithms and Prototype implementations exist. (e.g., C2Syn)
- Worst-case exponential-time and space
  - Unavoidable due to hardness results

### Algorithms for compositions and operations

Given  $\varphi_1(\textcolor{red}{X}, \textcolor{blue}{Y})$  and  $\varphi_2(\textcolor{red}{X}, \textcolor{blue}{Y})$  in SynNNF/SAUNF

- Computing  $\varphi_1 \vee \varphi_2$  in SynNNF/SAUNF takes constant time.
- Computing  $\varphi_1 \wedge \varphi_2$  can take super-polynomial time.

## More properties and structure

### Checking if a given specification is in SynNNF/SAUNF

- Is Co-NP complete, given linearly ordered variables/partition of  $\textcolor{red}{Y}$ -labeled leaves
- Is Co-NP hard and in  $\Sigma_2^P$ , otherwise.

### Compiling from CNF to SynNNF/SAUNF

- Algorithms and Prototype implementations exist. (e.g., C2Syn)
- Worst-case exponential-time and space
  - Unavoidable due to hardness results

### Algorithms for compositions and operations

Given  $\varphi_1(\textcolor{red}{X}, \textcolor{blue}{Y})$  and  $\varphi_2(\textcolor{red}{X}, \textcolor{blue}{Y})$  in SynNNF/SAUNF

- Computing  $\varphi_1 \vee \varphi_2$  in SynNNF/SAUNF takes constant time.
- Computing  $\varphi_1 \wedge \varphi_2$  can take super-polynomial time.
- Existential quantification is easy.

## Some takeaways

- Nice normal forms exist for Boolean Functional Synthesis!

## Some takeaways

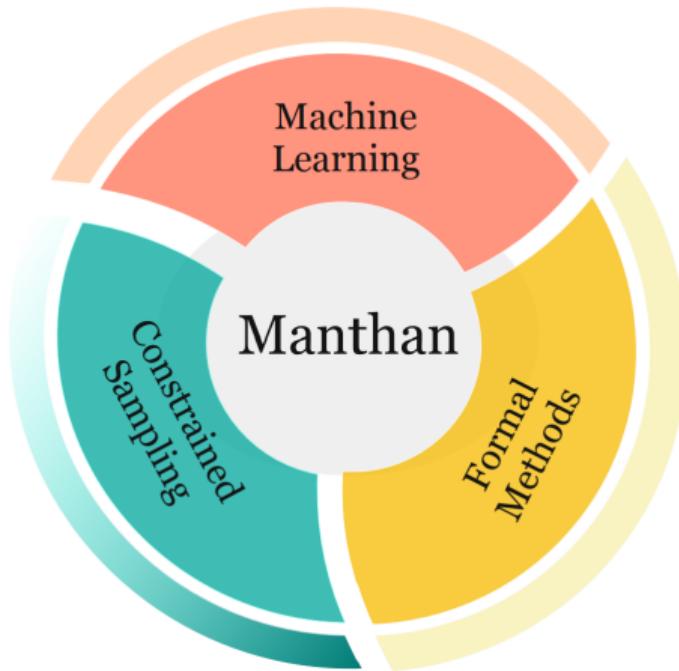
- Nice normal forms exist for Boolean Functional Synthesis!
- Knowledge representations and compilation is key.

## Some takeaways

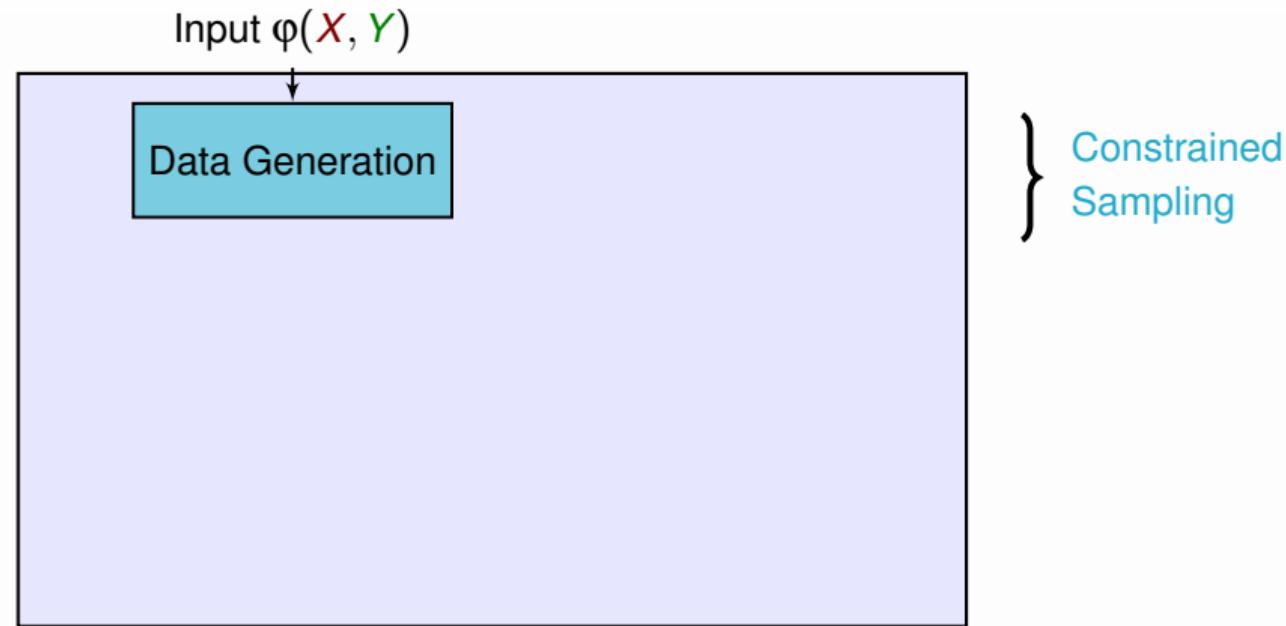
- Nice normal forms exist for Boolean Functional Synthesis!
- Knowledge representations and compilation is key.
- Explains performance of existing tools on some benchmarks.

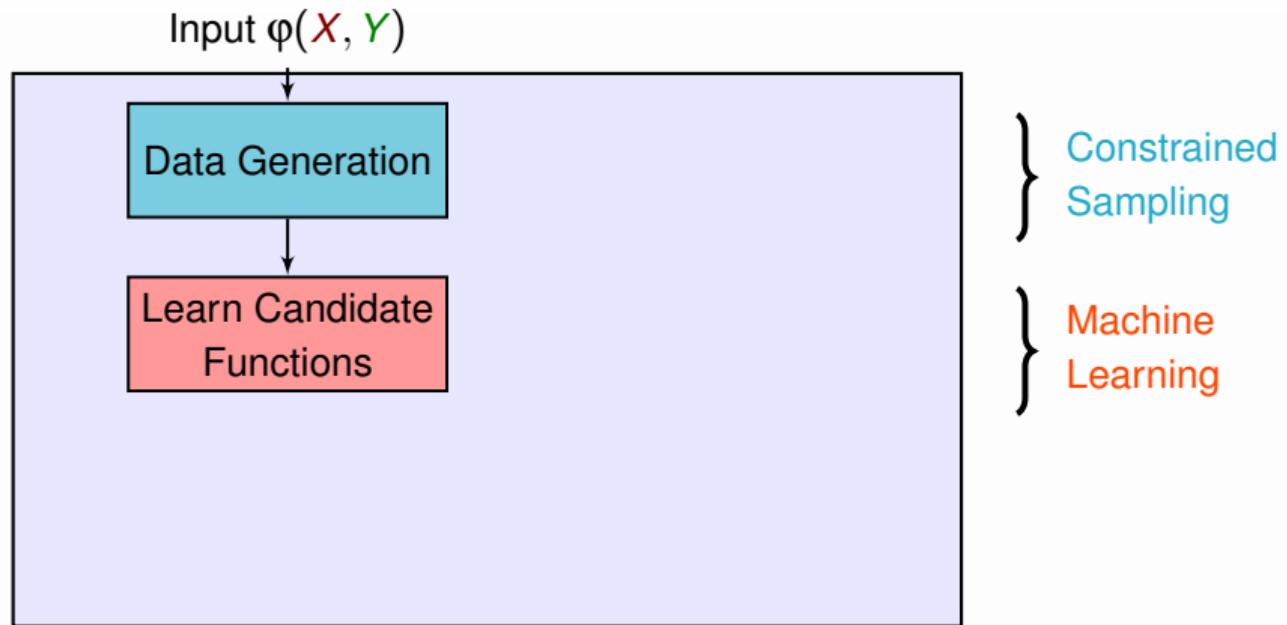
## Some takeaways

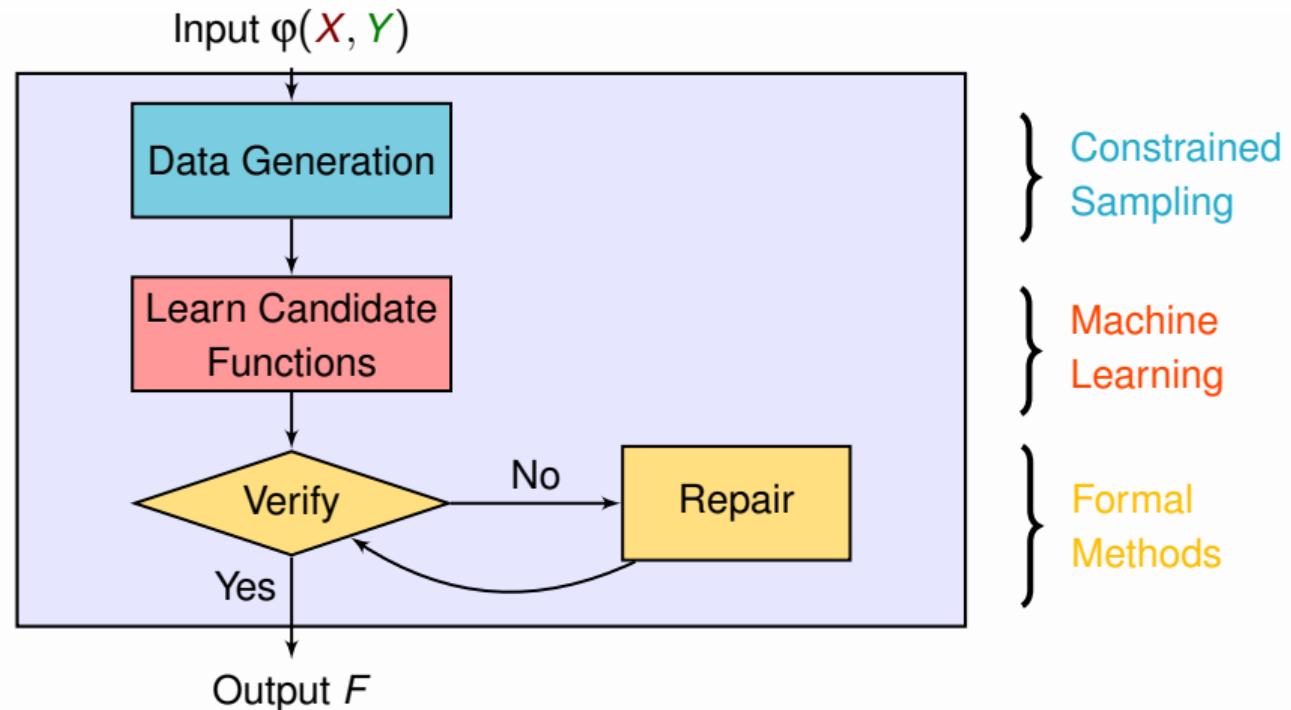
- Nice normal forms exist for Boolean Functional Synthesis!
- Knowledge representations and compilation is key.
- Explains performance of existing tools on some benchmarks.
- More in concluding remarks...



A data-driven approach for Skolem function synthesis







# Data Generation

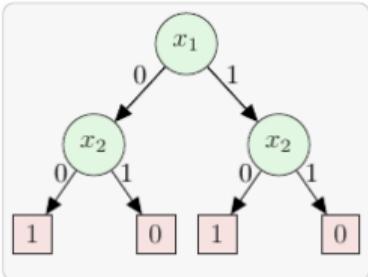
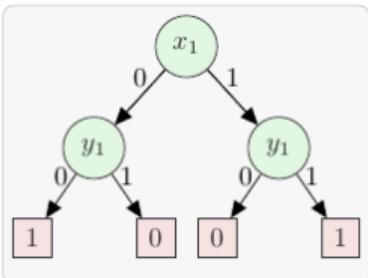
## Standing on the Shoulders of Constrained Samplers

$$\varphi(x_1, x_2, y_1, y_2) \longrightarrow \begin{array}{r} \begin{array}{cccc} \hline & x_1 & x_2 & y_1 & y_2 \\ \hline 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \\ \hline \end{array} \end{array}$$

# Learn Candidate Functions

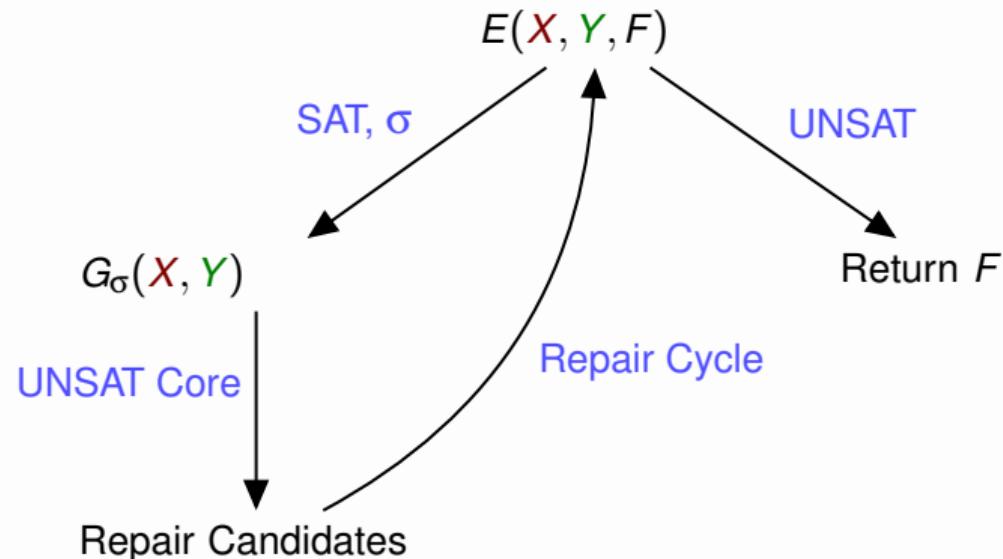
## Taming the Curse of Abstractions via Learning with Errors

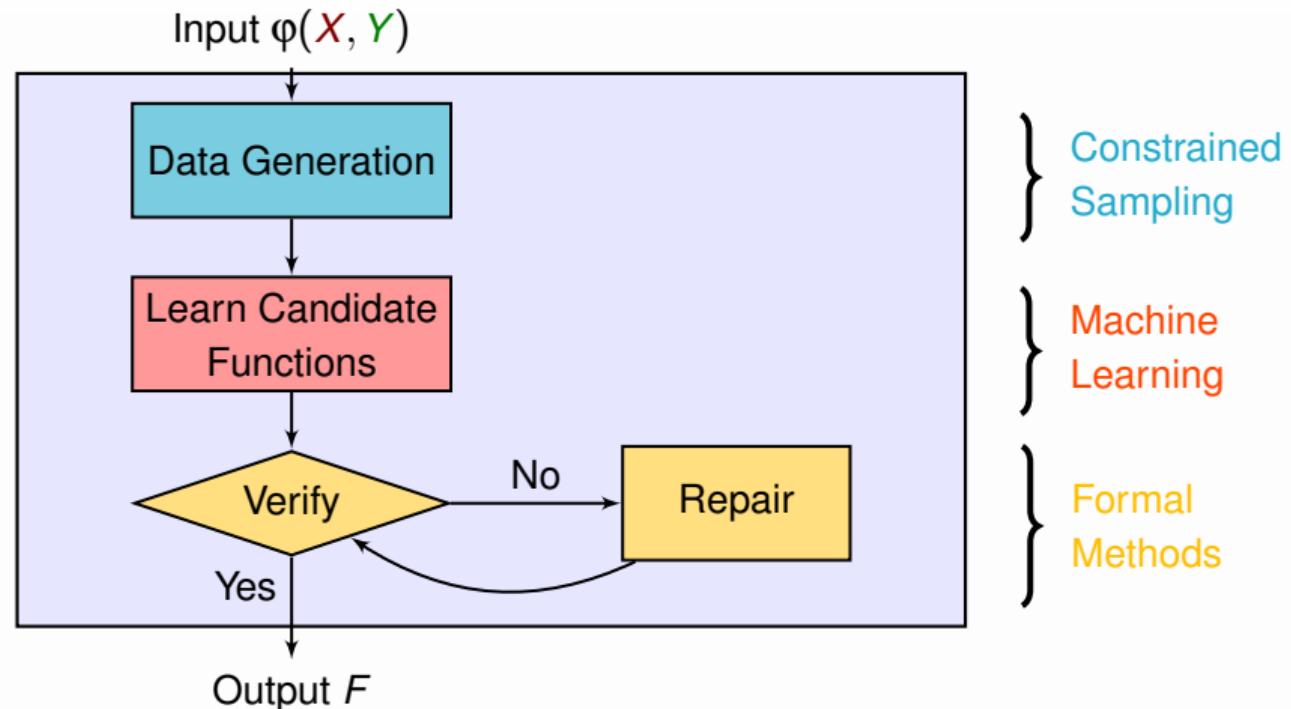
$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	0
0	1	0	1
1	0	1	1
1	1	0	0


$$p_1 := (\neg x_1 \wedge \neg x_2),$$
$$p_2 := (x_1 \wedge \neg x_2)$$
$$f_1 = \begin{cases} 1 & \text{if } p_1 \text{ then 1} \\ \text{elif } p_2 \text{ then 1} \\ \text{else 0} \end{cases}$$

$$p_1 := (\neg x_1 \wedge \neg y_1),$$
$$p_2 := (x_1 \wedge y_1)$$
$$f_2 = \begin{cases} 1 & \text{if } p_1 \text{ then 1} \\ \text{elif } p_2 \text{ then 1} \\ \text{else 0} \end{cases}$$

# Repair of Approximations

Reaping the Fruits of Formal Methods Revolution





## Data Generation

Potential Strategy: Randomly sample satisfying assignment of  $\varphi(\textcolor{red}{X}, \textcolor{green}{Y})$ .

Challenge: Multiple valuations of  $y_1, y_2$  for same valuation of  $x_1, x_2$ .

## Data Generation

Potential Strategy: Randomly sample satisfying assignment of  $\varphi(X, Y)$ .

Challenge: Multiple valuations of  $y_1, y_2$  for same valuation of  $x_1, x_2$ .

$$\varphi(x_1, x_2, y_1, y_2) : (x_1 \vee x_2 \vee y_1) \wedge (\neg x_1 \vee \neg x_2 \vee \neg y_2)$$

$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	0/1
0	1	0/1	0/1
1	0	0/1	0/1
1	1	0/1	0

## Data Generation

$$\varphi(x_1, x_2, y_1, y_2) : (x_1 \vee x_2 \vee y_1) \wedge (\neg x_1 \vee \neg x_2 \vee \neg y_2)$$

$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	0/1
0	1	0/1	0/1
1	0	0/1	0/1
1	1	0/1	0

Uniform Sampler

$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	1
0	1	0	1
1	0	0	1
1	1	0	0

$$\varphi(x_1, x_2, y_1, y_2) : (x_1 \vee x_2 \vee y_1) \wedge (\neg x_1 \vee \neg x_2 \vee \neg y_2)$$

$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	0/1
0	1	0/1	0/1
1	0	0/1	0/1
1	1	0/1	0

Uniform Sampler 

$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	1
0	1	0	1
1	0	0	1
1	1	0	0

- Possible Skolem functions:
  - $f_1(x_1, x_2) = \neg(x_1 \vee x_2)$
  - $f_2(x_1, x_2) = \neg(x_1 \wedge x_2)$

$$\varphi(x_1, x_2, y_1, y_2) : (x_1 \vee x_2 \vee y_1) \wedge (\neg x_1 \vee \neg x_2 \vee \neg y_2)$$

$x_1$	$x_2$	$y_1$	$y_2$		$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	0/1		0	0	1	1
0	1	0/1	0/1	Uniform Sampler	0	1	0	1
1	0	0/1	0/1		1	0	0	1
1	1	0/1	0		1	1	0	0

- Possible Skolem functions:

$$\begin{array}{llll}
 - f_1(x_1, x_2) = \neg(x_1 \vee x_2) & f_1(x_1, x_2) = \neg x_1 & f_1(x_1, x_2) = \neg x_2 & f_1(x_1, x_2) = 1 \\
 - f_2(x_1, x_2) = \neg(x_1 \wedge x_2) & f_2(x_1, x_2) = \neg x_1 & f_2(x_1, x_2) = \neg x_2 & f_2(x_1, x_2) = 0
 \end{array}$$

# Data Generation

$$\varphi(x_1, x_2, y_1, y_2) : (x_1 \vee x_2 \vee y_1) \wedge (\neg x_1 \vee \neg x_2 \vee \neg y_2)$$

$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	0/1
0	1	0/1	0/1
1	0	0/1	0/1
1	1	0/1	0

Magical Sampler 

$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	0
0	1	1	0
1	0	1	0
1	1	1	0

- Possible Skolem functions:

- $f_1(x_1, x_2) = \neg(x_1 \vee x_2)$     $f_1(x_1, x_2) = \neg x_1$     $f_1(x_1, x_2) = \neg x_2$     $f_1(x_1, x_2) = 1$
- $f_2(x_1, x_2) = \neg(x_1 \wedge x_2)$     $f_2(x_1, x_2) = \neg x_1$     $f_2(x_1, x_2) = \neg x_2$     $f_2(x_1, x_2) = 0$

## Weighted Sampling to Rescue

- $W : X \cup Y \mapsto [0, 1]$
- The probability of generation of an assignment is proportional to its weight.

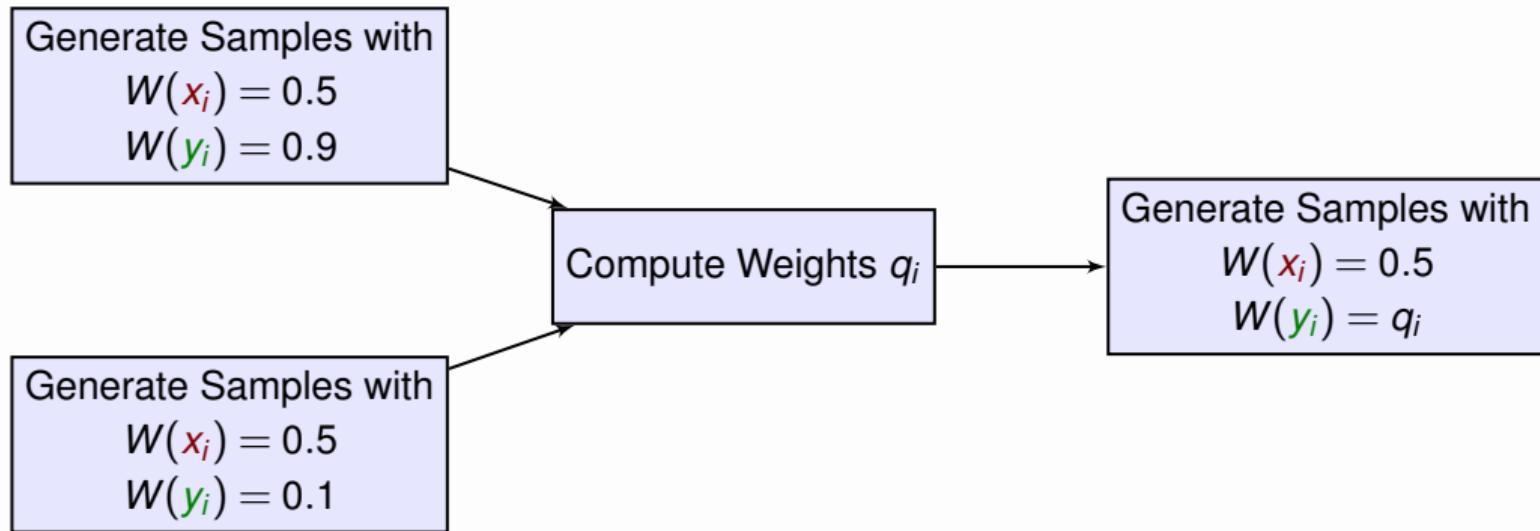
$$W(\sigma) = \prod_{\sigma(z_i)=1} W(z_i) \prod_{\sigma(z_i)=0} (1 - W(z_i))$$

- Example:  $W(x_1) = 0.5 \quad W(x_2) = 0.5 \quad W(y_1) = 0.9 \quad W(y_2) = 0.1$   
 $\sigma_1 = \{x_1 \mapsto 1, x_2 \mapsto 0, y_1 \mapsto 0, y_2 \mapsto 1\}$

$$W(\sigma_1) = 0.5 \times (1 - 0.5) \times (1 - 0.9) \times 0.1 = 0.0025$$

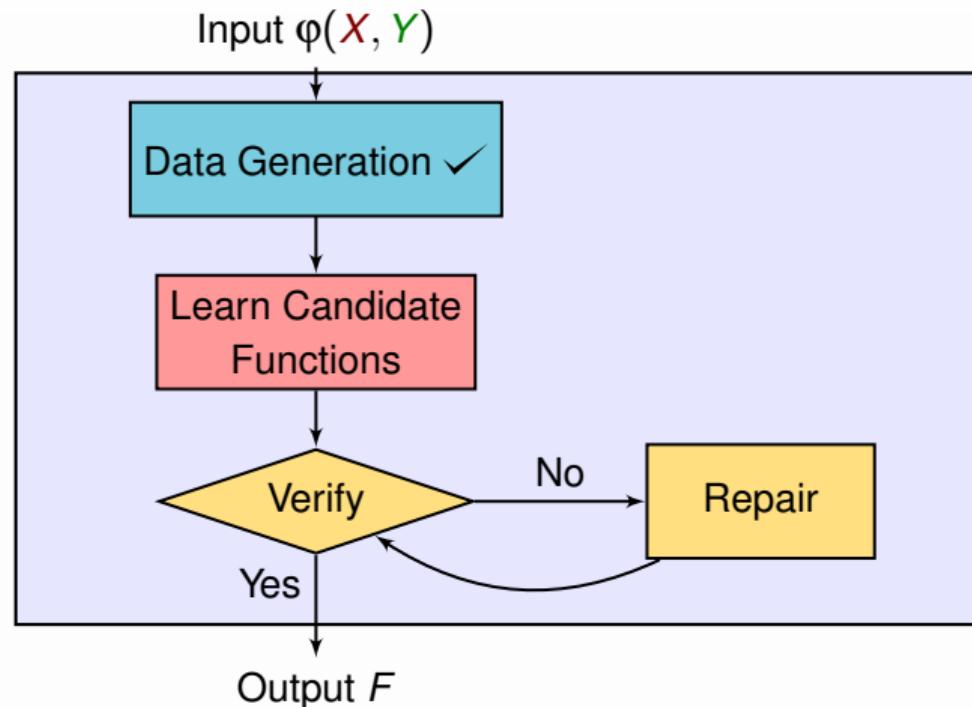
- Uniform sampling is a special case where all variables are assigned weight of 0.5.

## Data Generation



# Different Sampling Strategies

- Knowledge representation based techniques  
(Yuan, Shultz, Pixley, Miller, Aziz 1999)  
(Yuan, Aziz, Pixley, Albin, 2004)  
(Kukula and Shipley, 2000)  
(Sharma, Gupta, Meel, Roy, 2018)  
(Gupta, Sharma, Meel, Roy, 2019)
- Hashing based techniques  
(Chakraborty, Meel, and Vardi 2013, 2014, 2015)  
(Soos, Meel, and Gocht 2020)
- Mutation based techniques  
(Dutra, Laeufer, Bachrach, Sen, 2018)
- Markov Chain Monte Carlo based techniques  
(Wei and Selman, 2005)  
(Kitchen, 2010)
- Constraint solver based techniques  
(Ermon, Gomes, Sabharwal, Selman, 2012)
- Belief networks based techniques  
(Dechter, Kask, Bin, Emek, 2002)  
(Gogate and Dechter, 2006)



## Learn Candidate Function: Decision Tree Classifier

$$\varphi(x_1, x_2, y_1, y_2) : (x_1 \vee x_2 \vee y_1) \wedge (\neg x_1 \vee \neg x_2 \vee \neg y_2)$$

- To learn  $y_2$

- Feature set: valuation of  $x_1, x_2, y_1$
- Label: valuation of  $y_2$
- Learn decision tree to represent  $y_2$  in terms of  $x_1, x_2, y_1$

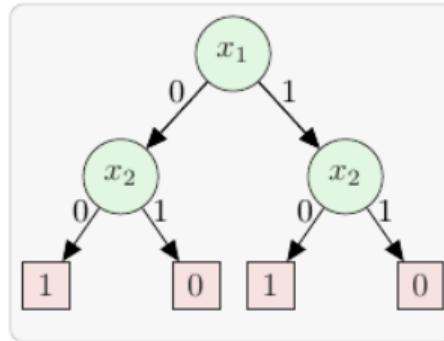
- To learn  $y_1$

- Feature set: valuation of  $x_1, x_2$
- Label: valuation of  $y_1$
- Learn decision tree to represent  $y_1$  in terms of  $x_1, x_2$

$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	0
0	1	0	1
1	0	1	1
1	1	0	0

# Learning Candidate Functions

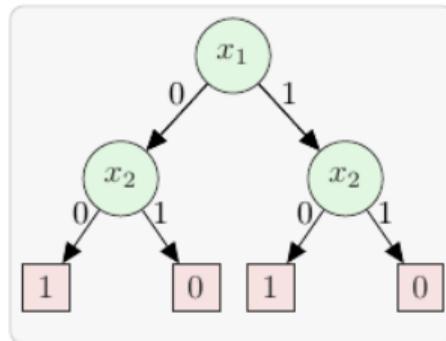
$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	0
0	1	0	1
1	0	1	1
1	1	0	0



$p_1 := (\neg x_1 \wedge \neg x_2)$ ,  
 $p_2 := (x_1 \wedge \neg x_2)$   
 $f_1 = \begin{cases} 1 & \text{if } p_1 \text{ then 1} \\ \text{elif } p_2 \text{ then 1} \\ \text{else 0} \end{cases}$

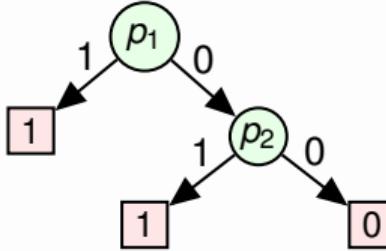
# Learning Candidate Functions

$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	0
0	1	0	1
1	0	1	1
1	1	0	0



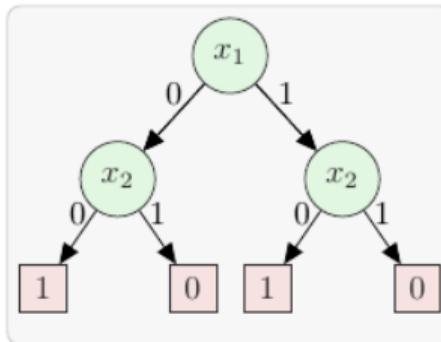
$p_1 := (\neg x_1 \wedge \neg x_2)$ ,  
 $p_2 := (x_1 \wedge \neg x_2)$   
 $f_1 = \begin{cases} 1 & \text{if } p_1 \text{ then 1} \\ \text{elif } p_2 \text{ then 1} \\ \text{else 0} \end{cases}$

Can reorder  $p_1, p_2$   
Learning one level decision list



# What Kind of Learning

$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	0
0	1	0	1
1	0	1	1
1	1	0	0



$p_1 := (\neg x_1 \wedge \neg x_2)$ ,  
 $p_2 := (x_1 \wedge \neg x_2)$   
 $f_1 = \text{if } p_1 \text{ then 1}$   
 $\text{elif } p_2 \text{ then 1}$   
 $\text{else 0}$

Learning without Error

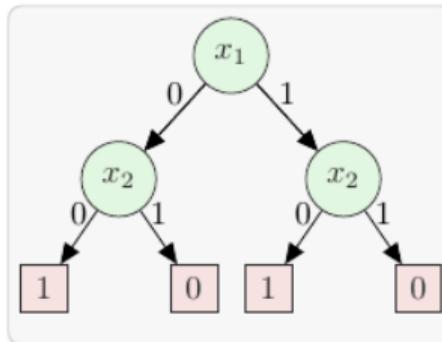
Every row is a solution of  $\varphi(X, Y)$

Learning with Errors

The data is only a subset of solutions.

# What Kind of Learning

$x_1$	$x_2$	$y_1$	$y_2$
0	0	1	0
0	1	0	1
1	0	1	1
1	1	0	0



```
 $p_1 := (\neg x_1 \wedge \neg x_2),$   
 $p_2 := (x_1 \wedge \neg x_2)$   
 $f_1 = \text{if } p_1 \text{ then 1}$   
 $\text{elif } p_2 \text{ then 1}$   
 $\text{else 0}$ 
```

Learning without Error

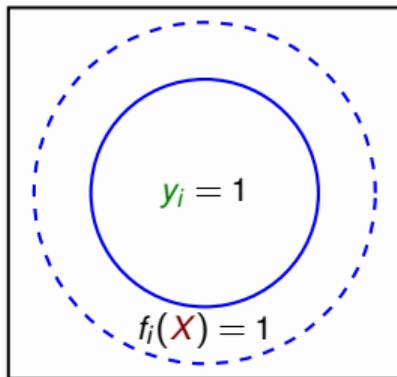
Every row is a solution of  $\varphi(X, Y)$

Learning with Errors

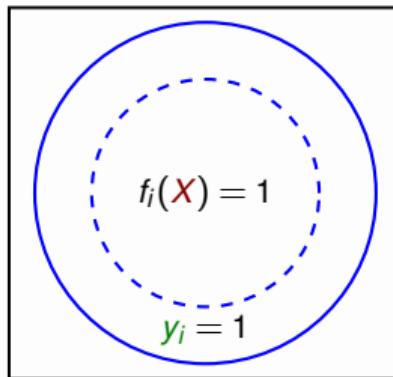
The data is only a subset of solutions.

Learn with Errors: Approximations not Abstractions

# Abstraction vs Approximation

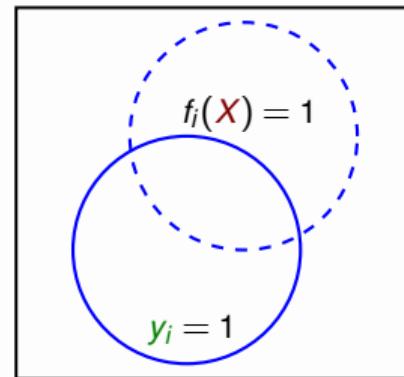


$y_i \rightarrow f_i(X)$



$f_i(X) \rightarrow y_i$

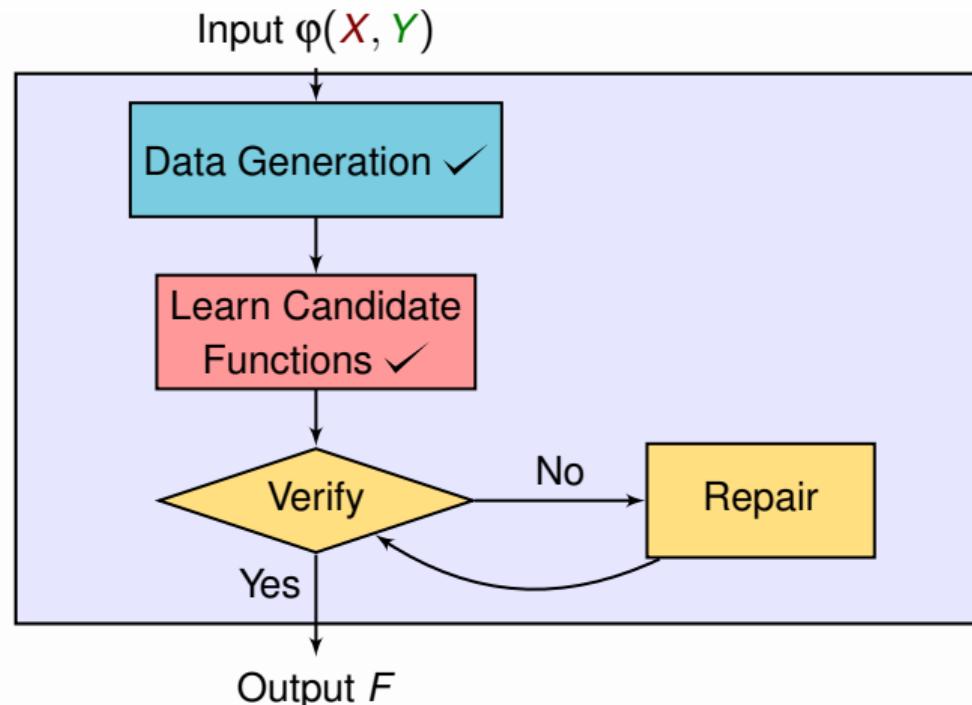
Abstraction



Approximation

$y_i = 1, f_i(X) = 0$

$y_i = 0, f_i(X) = 1$



## Verification of Candidate Functions

$$E(\textcolor{red}{X}, \textcolor{green}{Y}, \textcolor{brown}{Y}') := \varphi(\textcolor{red}{X}, \textcolor{green}{Y}) \wedge \neg \varphi(\textcolor{red}{X}, \textcolor{brown}{Y}') \wedge (\textcolor{brown}{Y}' \leftrightarrow F(\textcolor{red}{X}))$$

(JSCTA'15)

- If  $E(\textcolor{red}{X}, \textcolor{green}{Y}, \textcolor{brown}{Y}')$  is UNSAT:  $\exists \textcolor{green}{Y} \varphi(\textcolor{red}{X}, \textcolor{green}{Y}) \equiv \varphi(\textcolor{red}{X}, F(\textcolor{red}{X}))$ 
  - Return  $F$
- If  $E(\textcolor{red}{X}, \textcolor{green}{Y}, \textcolor{brown}{Y}')$  is SAT:  $\exists \textcolor{green}{Y} \varphi(\textcolor{red}{X}, \textcolor{green}{Y}) \not\equiv \varphi(\textcolor{red}{X}, F(\textcolor{red}{X}))$ 
  - Let  $\sigma \models E(\textcolor{red}{X}, \textcolor{green}{Y}, \textcolor{brown}{Y}')$  be a counterexample to fix.

## Repair Candidate Identification

$$E(\textcolor{red}{X}, \textcolor{green}{Y}, \textcolor{brown}{Y}') := \varphi(\textcolor{red}{X}, \textcolor{green}{Y}) \wedge \neg \varphi(\textcolor{red}{X}, \textcolor{brown}{Y}') \wedge (\textcolor{brown}{Y}' \leftrightarrow F(\textcolor{red}{X}))$$

$\sigma \models E(\textcolor{red}{X}, \textcolor{green}{Y}, \textcolor{brown}{Y}')$  be a counterexample to fix.

- Let  $\sigma := \{\textcolor{red}{x}_1 \mapsto 1, \textcolor{red}{x}_2 \mapsto 1, \textcolor{green}{y}_1 \mapsto 1, \textcolor{green}{y}_2 \mapsto 1, \textcolor{brown}{y}'_1 \mapsto 0, \textcolor{brown}{y}'_2 \mapsto 0\}$ .
- Potential repair candidates: All  $\textcolor{green}{y}_i$  where  $\sigma[\textcolor{green}{y}_i] \neq \sigma[\textcolor{brown}{y}'_i]$ .

## Repair Candidate Identification

$$E(\textcolor{red}{X}, \textcolor{green}{Y}, \textcolor{brown}{Y}') := \varphi(\textcolor{red}{X}, \textcolor{green}{Y}) \wedge \neg \varphi(\textcolor{red}{X}, \textcolor{brown}{Y}') \wedge (\textcolor{brown}{Y}' \leftrightarrow F(\textcolor{red}{X}))$$

$\sigma \models E(\textcolor{red}{X}, \textcolor{green}{Y}, \textcolor{brown}{Y}')$  be a counterexample to fix.

- Let  $\sigma := \{\textcolor{red}{x}_1 \mapsto 1, \textcolor{red}{x}_2 \mapsto 1, \textcolor{green}{y}_1 \mapsto 1, \textcolor{green}{y}_2 \mapsto 1, \textcolor{brown}{y}'_1 \mapsto 0, \textcolor{brown}{y}'_2 \mapsto 0\}$ .
- Potential repair candidates: All  $\textcolor{green}{y}_i$  where  $\sigma[\textcolor{green}{y}_i] \neq \sigma[\textcolor{brown}{y}'_i]$ .
- $\varphi(\textcolor{red}{X}, \textcolor{green}{Y})$  is Boolean Relation.
  - So it can be  $\hat{\sigma} = \{\textcolor{red}{x}_1 \mapsto 1, \textcolor{red}{x}_2 \mapsto 1, \textcolor{blue}{y}_1 \mapsto 0, \textcolor{green}{y}_2 \mapsto 1, \textcolor{brown}{y}'_1 \mapsto 0, \textcolor{brown}{y}'_2 \mapsto 0\}$
  - We would not repair  $f_1$ .

## Repair Candidate Identification

$$E(\textcolor{red}{X}, \textcolor{green}{Y}, \textcolor{brown}{Y}') := \varphi(\textcolor{red}{X}, \textcolor{green}{Y}) \wedge \neg \varphi(\textcolor{red}{X}, \textcolor{brown}{Y}') \wedge (\textcolor{brown}{Y}' \leftrightarrow F(\textcolor{red}{X}))$$

$\sigma \models E(\textcolor{red}{X}, \textcolor{green}{Y}, \textcolor{brown}{Y}')$  be a counterexample to fix.

- Let  $\sigma := \{\textcolor{red}{x}_1 \mapsto 1, \textcolor{red}{x}_2 \mapsto 1, \textcolor{green}{y}_1 \mapsto 1, \textcolor{green}{y}_2 \mapsto 1, \textcolor{brown}{y}'_1 \mapsto 0, \textcolor{brown}{y}'_2 \mapsto 0\}$ .
- Potential repair candidates: All  $y_i$  where  $\sigma[y_i] \neq \sigma[y'_i]$ .
- $\varphi(\textcolor{red}{X}, \textcolor{green}{Y})$  is Boolean Relation.
  - So it can be  $\hat{\sigma} = \{\textcolor{red}{x}_1 \mapsto 1, \textcolor{red}{x}_2 \mapsto 1, \textcolor{blue}{y}_1 \mapsto 0, \textcolor{green}{y}_2 \mapsto 1, \textcolor{brown}{y}'_1 \mapsto 0, \textcolor{brown}{y}'_2 \mapsto 0\}$
  - We would not repair  $f_1$ .
- MaxSAT-based Identification of *nice counterexamples*:
  - Hard Clauses  $\varphi(\textcolor{red}{X}, \textcolor{green}{Y}) \wedge (\textcolor{red}{X} \leftrightarrow \sigma[\textcolor{red}{X}])$ .
  - Soft Clauses  $(\textcolor{green}{Y} \leftrightarrow \sigma[\textcolor{brown}{Y}'])$ .
- Candidates to repair:  $\textcolor{green}{Y}$  variables in the violated soft clauses

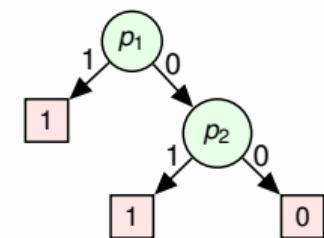
## Repairing Approximations

- $\sigma = \{x_1 \mapsto 1, x_2 \mapsto 1, y_1 \mapsto 0, y_2 \mapsto 1, y'_1 \mapsto 0, y'_2 \mapsto 0\}$ , and we want to repair  $f_2$ .
- Potential Repair: If  $\underbrace{x_1 \wedge x_2 \wedge \neg y_1}_{\beta=\{x_1, x_2, \neg y_1\}}$  then  $y_2 = 1$
- Would be nice to have  $\beta = \{x_1, x_2\}$  or even  $\beta = \{x_1\}$
- Challenge: How do we find small  $\beta$ ?
  - $G_\sigma(X, Y) := \varphi(X, Y) \wedge x_1 \wedge x_2 \wedge \neg y_1 \wedge \neg y_2$
  - $\beta :=$  Literals in UNSAT Core of  $G_\sigma(X, Y)$

## Repair: Adding Level to Decision List

- Candidates are from one level decision list:
  - Say we have paths  $p_1, p_2$  with the leaf node label as 1.
  - Learned decision tree: If  $p_1$  then 1, elif  $p_2$  then 1, else 0.
  - $p_1, p_2$  can be reordered.

Can reorder  $p_1, p_2$  {

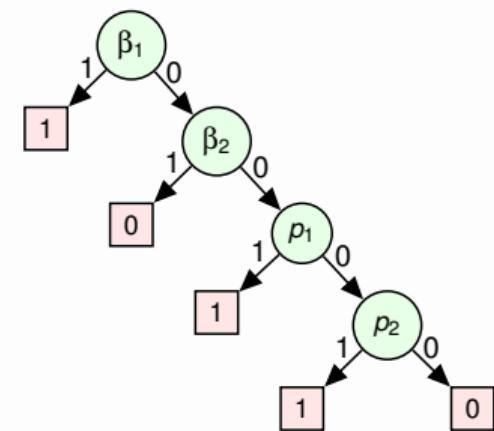


## Repair: Adding Level to Decision List

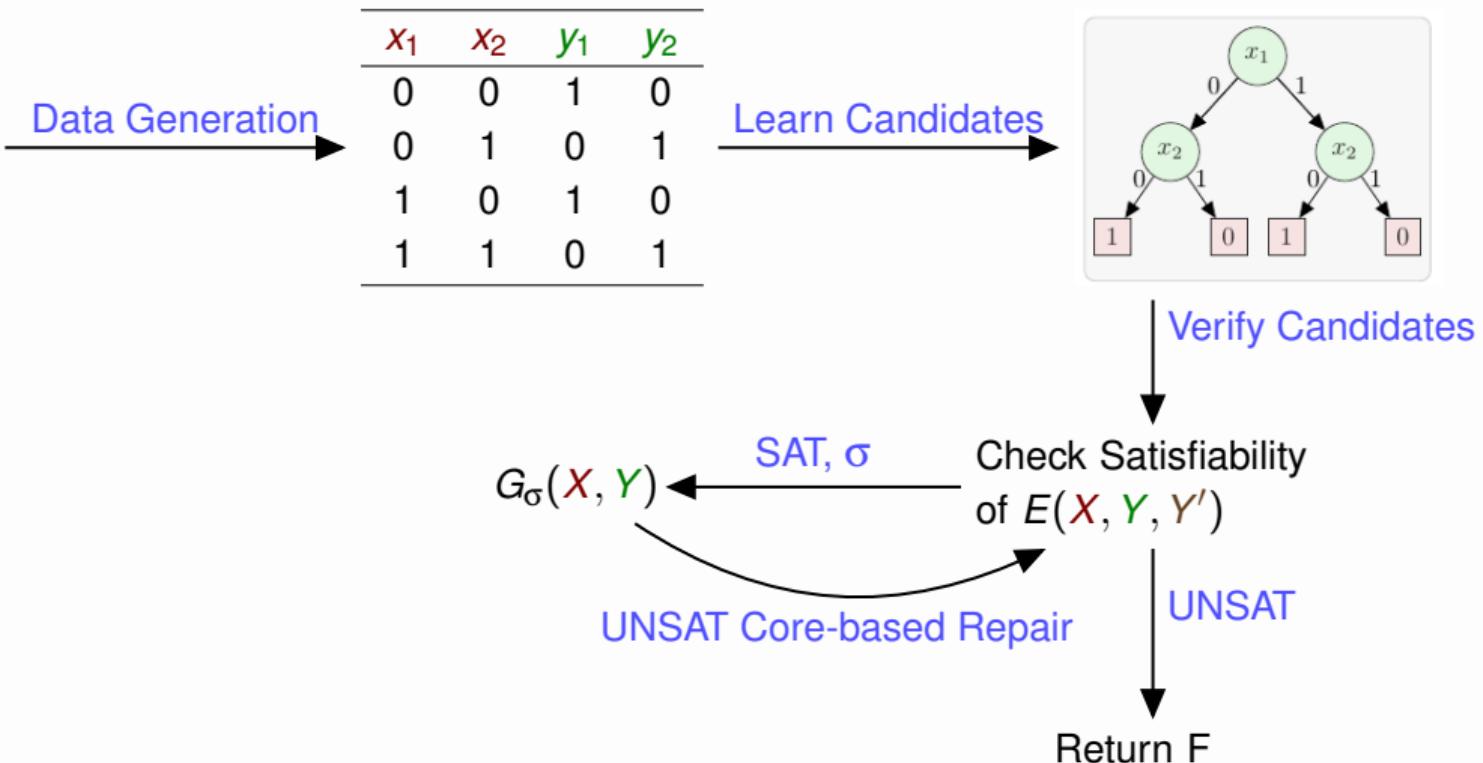
- Candidates are from one level decision list:
  - Say we have paths  $p_1, p_2$  with the leaf node label as 1.
  - Learned decision tree: If  $p_1$  then 1, elif  $p_2$  then 1, else 0.
  - $p_1, p_2$  can be reordered.
- Suppose in repair iterations, we have learned: If  $\beta_1$  then 1, ...  $\beta_2$  then 0  
.....
- $\beta_1$  and  $\beta_2$  can be reordered.
- From one-level decision list to two-level decision list.

Can reorder  $\beta_1, \beta_2$  {

Can reorder  $p_1, p_2$  {



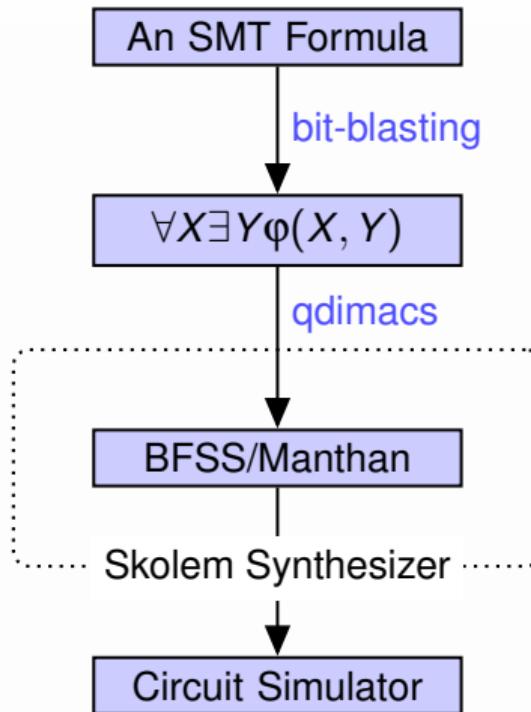
$\varphi(X, Y)$   
 $X = \{x_1, x_2\}$   
 $Y = \{y_1, y_2\}$



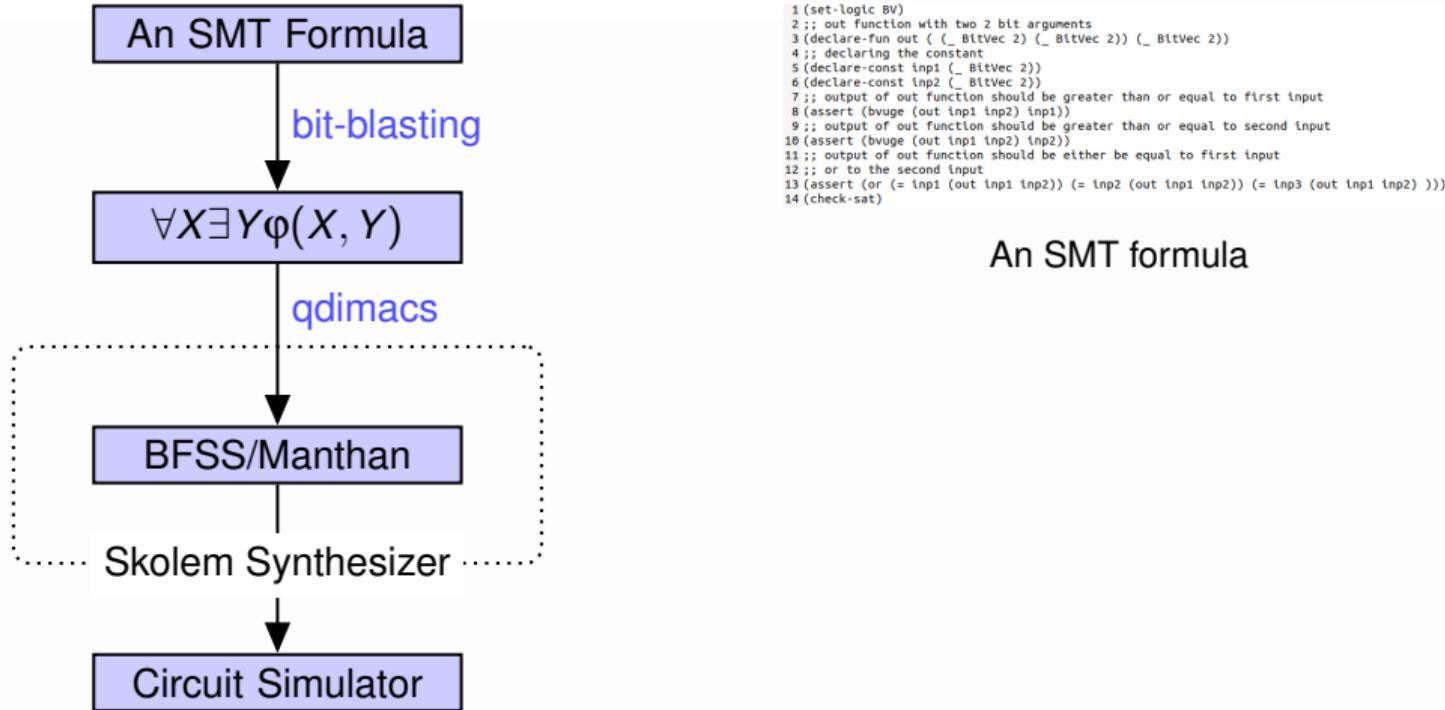
# Outline

- 1 Formal Problem Statement
- 2 Application Domains
- 3 Theoretical Hardness and Practical Algorithms
- 4 Deep Dives
- 5 Tool Demos and Experimental Results
- 6 Conclusion and the Way Forward

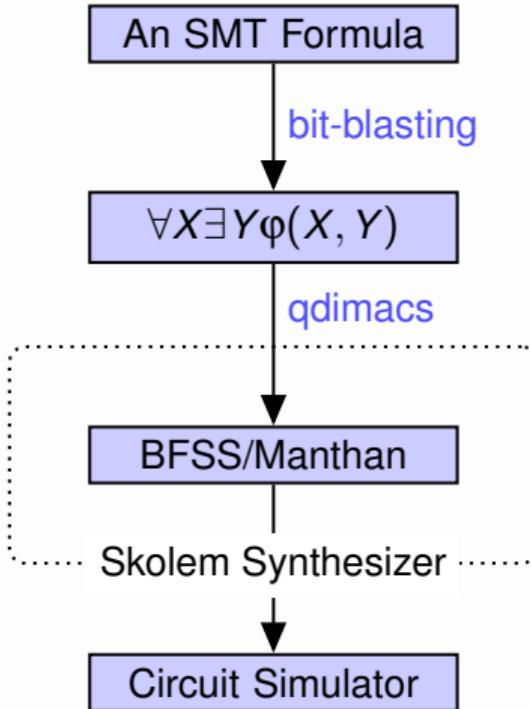
# Tool Demo: Pipeline



# Tool Demo: Pipeline



# Tool Demo: Pipeline



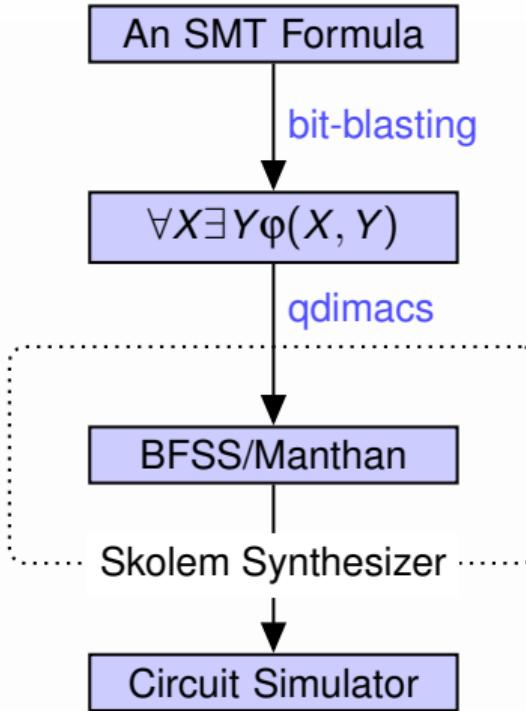
```
1 (set-logic BV)
2 ; out function with two 2 bit arguments
3 (declare-fun out ( _ BitVec 2) (_ BitVec 2)) (_ BitVec 2))
4 ; declaring the constant
5 (declare-const inp1 (_ BitVec 2))
6 (declare-const inp2 (_ BitVec 2))
7 ; output of out function should be greater than or equal to first input
8 (assert (bvuge (out inp1 inp2) inp1))
9 ; output of out function should be greater than or equal to second input
10 (assert (bvuge (out inp1 inp2) inp2))
11 ; output of out function should be either be equal to first input
12 ; or to the second input
13 (assert (or (= inp1 (out inp1 inp2)) (= inp2 (out inp1 inp2)) (= inp3 (out inp1 inp2) )))
14 (check-sat)
```

An SMT formula

```
1 p cnf 12 32
2 a 3 5 7 8 0
3 e 1 2 4 6 9 10 11 12 0
4 1 -2 0
5 3 1 0
6 2 -3 -1 0
7 4 -5 0
8 1 -5 0
9 4 1 0
10 -2 6 0
11 7 6 0
12 2 -7 -6 0
13 4 -8 0
14 -8 6 0
15 4 6 0
16 -4 -8 -9 0
```

Qdimacs formula

# Tool Demo: Pipeline

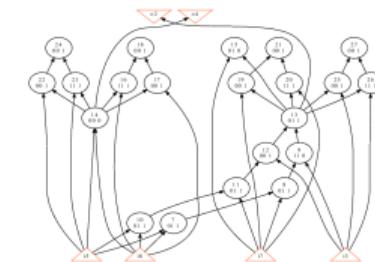


```
1 (set-logic BV)
2 ;; out function with two 2 bit arguments
3 (declare-fun out ( _ BitVec 2) (_ BitVec 2)) (_ BitVec 2))
4 ;; declaring the constant
5 (declare-const inp1 (_ BitVec 2))
6 (declare-const inp2 (_ BitVec 2))
7 ;; output of out function should be greater than or equal to first input
8 (assert (bvuge (out inp1 inp2) inp1))
9 ;; output of out function should be greater than or equal to second input
10 (assert (bvuge (out inp1 inp2) inp2))
11 ;; output of out function should be either be equal to first input
12 ;; or to the second input
13 (assert (or (= inp1 (out inp1 inp2)) (= inp2 (out inp1 inp2)) (= inp3 (out inp1 inp2))))
14 (check-sat)
```

An SMT formula

```
1 p cnf 12 32
2 a 3 5 7 8 0
3 e 1 2 4 6 9 10 11 12 0
4 1 -2 0
5 3 1 0
6 2 -3 -1 0
7 4 -5 0
8 1 -5 0
9 4 1 0
10 -2 6 0
11 7 6 0
12 2 -7 -6 0
13 4 -8 0
14 -8 6 0
15 4 6 0
16 -4 -8 -9 0
```

Qdimacs formula



Synthesized Skolem function

# Outline

- 1 Formal Problem Statement
- 2 Application Domains
- 3 Theoretical Hardness and Practical Algorithms
- 4 Deep Dives
- 5 Tool Demos and Experimental Results
- 6 Conclusion and the Way Forward

- Functional Synthesis is a fundamental problem with wide variety of applications
  - program synthesis, games and planning, circuit repair
- Long history of work that has sought to push the scalability envelope
- An exciting and diverse set of approaches
  - Knowledge compilation
  - Guess, check, and repair
- Promise of scalability: Out of 609 benchmarks
  - 2018 247 solved
  - 2019 280 solved
  - 2020 356 solved
  - 2021 509 solved

## Where do we go from here?

1. Benchmarks
2. Notion of Quality
3. Beyond Single Functions
4. Beyond Propositional Logic

Promise of scalability: Out of 609 benchmarks

2018 SOTA 247 solved

2019 SOTA 280 solved

2020 SOTA 356 solved

2021 SOTA 509 solved

B. Cook, 2022: Virtuous cycle in Automated Reasoning: ...application areas drives more investment in foundational tools, while improvements in the foundational tools drive further applications. Around and around.

## Future Directions II: Search for Optimal Functions

- The current formulation allows the solver to find an arbitrary functions
- Opportunity to formalize the notion of quality
- Smaller size?
- Uses gates of particular type?

- Enumeration of functions: Knowledge compilation
- Uniform sampling of functions: randomized strategies
- Counting of functions

- Past twenty years: Development of solvers with satisfiability modulo theory solvers
  - Capable of handling theories such as string, bitvectors, linear real arithmetic
- Lifting synthesis techniques to SMT
  - Knowledge compilation
  - Machine Learning techniques for SMT learning
  - Repair techniques

## Additional Slides

### A Quick Aside

Many questions required solving QBF.  
But how do we go from QBF to 2-QBF?

### A Quick Aside

Many questions required solving QBF.

But how do we go from QBF to 2-QBF?

Two simple ways

### 1. QBF to 2-QBF by repeated substitutions of Skolem functions!

1. Remove inner most quantifier alternation.
  - E.g., if  $\Psi = \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \varphi$ , consider 2-QBF formula  $\Psi' = \forall \textcolor{red}{X}_1 \forall \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \varphi$
2. Substitute Skolem function.
  - Synthesize Skolem fns  $F$  for  $\textcolor{green}{Y}_2$  in terms of  $\textcolor{red}{X}_1, \textcolor{green}{Y}_1, \textcolor{red}{X}_2$ . Let  $\varphi_1 = \varphi[\textcolor{green}{Y}_2 \mapsto F]$ .

## 1. QBF to 2-QBF by repeated substitutions of Skolem functions!

1. Remove inner most quantifier alternation.
2. Substitute Skolem function.

- E.g., if  $\Psi = \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \varphi$ , consider 2-QBF formula  $\Psi' = \forall \textcolor{red}{X}_1 \forall \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \varphi$
- Synthesize Skolem fns  $F$  for  $\textcolor{green}{Y}_2$  in terms of  $\textcolor{red}{X}_1, \textcolor{green}{Y}_1, \textcolor{red}{X}_2$ . Let  $\varphi_1 = \varphi[\textcolor{green}{Y}_2 \mapsto F]$ .
- Observe:  $\Psi \equiv \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \varphi_1$

## 1. QBF to 2-QBF by repeated substitutions of Skolem functions!

1. Remove inner most quantifier alternation.
  - E.g., if  $\Psi = \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \varphi$ , consider 2-QBF formula  $\Psi' = \forall \textcolor{red}{X}_1 \forall \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \varphi$
2. Substitute Skolem function.
  - Synthesize Skolem fns  $F$  for  $\textcolor{green}{Y}_2$  in terms of  $\textcolor{red}{X}_1, \textcolor{green}{Y}_1, \textcolor{red}{X}_2$ . Let  $\varphi_1 = \varphi[\textcolor{green}{Y}_2 \mapsto F]$ .
3. Use Double Negation
  - Observe:  $\Psi \equiv \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \varphi_1 \equiv \exists \textcolor{red}{X}_1 \forall \textcolor{green}{Y}_1 \exists \textcolor{red}{X}_2 \neg \varphi_1$

### 1. QBF to 2-QBF by repeated substitutions of Skolem functions!

1. Remove inner most quantifier alternation.
  2. Substitute Skolem function.
  3. Use Double Negation
  4. Continue
- E.g., if  $\Psi = \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \varphi$ , consider 2-QBF formula  $\Psi' = \forall \textcolor{red}{X}_1 \forall \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \varphi$
  - Synthesize Skolem fns  $F$  for  $\textcolor{green}{Y}_2$  in terms of  $\textcolor{red}{X}_1, \textcolor{green}{Y}_1, \textcolor{red}{X}_2$ . Let  $\varphi_1 = \varphi[\textcolor{green}{Y}_2 \mapsto F]$ .
  - Observe:  $\Psi \equiv \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \varphi_1 \equiv \exists \textcolor{red}{X}_1 \forall \textcolor{green}{Y}_1 \exists \textcolor{red}{X}_2 \neg \varphi_1$
  - Synthesize Skolem fns  $G$  for  $\textcolor{red}{X}_2$ , let  $\varphi_2 = \neg \varphi_1[\textcolor{red}{X}_2 \mapsto G]$ .

## 1. QBF to 2-QBF by repeated substitutions of Skolem functions!

1. Remove inner most quantifier alternation.
2. Substitute Skolem function.
3. Use Double Negation
4. Continue

- E.g., if  $\Psi = \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \varphi$ , consider 2-QBF formula  $\Psi' = \forall \textcolor{red}{X}_1 \forall \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \varphi$
- Synthesize Skolem fns  $F$  for  $\textcolor{green}{Y}_2$  in terms of  $\textcolor{red}{X}_1, \textcolor{green}{Y}_1, \textcolor{red}{X}_2$ . Let  $\varphi_1 = \varphi[\textcolor{green}{Y}_2 \mapsto F]$ .
- Observe:  $\Psi \equiv \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \varphi_1 \equiv \exists \textcolor{red}{X}_1 \forall \textcolor{green}{Y}_1 \exists \textcolor{red}{X}_2 \neg \varphi_1$
- Synthesize Skolem fns  $G$  for  $\textcolor{red}{X}_2$ , let  $\varphi_2 = \neg \varphi_1[\textcolor{red}{X}_2 \mapsto G]$ .
- Then:  $\Psi \equiv \exists \textcolor{red}{X}_1 \forall \textcolor{green}{Y}_1 \varphi_2 \equiv \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \neg \varphi_2$  which is in 2-QBF

## 1. QBF to 2-QBF by repeated substitutions of Skolem functions!

1. Remove inner most quantifier alternation.
2. Substitute Skolem function.
3. Use Double Negation
4. Continue

- E.g., if  $\Psi = \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \varphi$ , consider 2-QBF formula  $\Psi' = \forall \textcolor{red}{X}_1 \forall \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \varphi$
- Synthesize Skolem fns  $F$  for  $\textcolor{green}{Y}_2$  in terms of  $\textcolor{red}{X}_1, \textcolor{green}{Y}_1, \textcolor{red}{X}_2$ . Let  $\varphi_1 = \varphi[\textcolor{green}{Y}_2 \mapsto F]$ .
- Observe:  $\Psi \equiv \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \varphi_1 \equiv \exists \textcolor{red}{X}_1 \forall \textcolor{green}{Y}_1 \exists \textcolor{red}{X}_2 \neg \varphi_1$
- Synthesize Skolem fns  $G$  for  $\textcolor{red}{X}_2$ , let  $\varphi_2 = \neg \varphi_1[\textcolor{red}{X}_2 \mapsto G]$ .
- Then:  $\Psi \equiv \exists \textcolor{red}{X}_1 \forall \textcolor{green}{Y}_1 \varphi_2 \equiv \forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \neg \varphi_2$  which is in 2-QBF

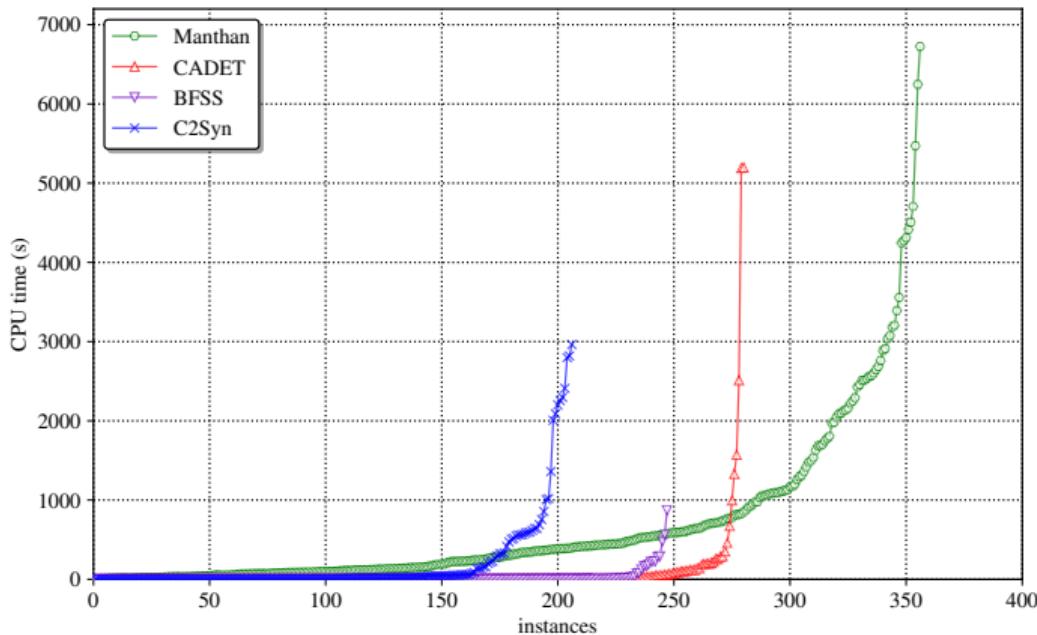
## 2. QBF to Dep-QBF by exploiting dependencies!

Every QBF formula is equivalent to a (2-)dep-QBF formula!

E.g.,  $\forall \textcolor{red}{X}_1 \exists \textcolor{green}{Y}_1 \forall \textcolor{red}{X}_2 \exists \textcolor{green}{Y}_2 \forall \textcolor{red}{X}_3 \exists \textcolor{green}{Y}_3 \varphi \equiv \forall \textcolor{red}{X}_1 \forall \textcolor{red}{X}_2 \forall \textcolor{red}{X}_3 \exists^{\{x_1\}} \textcolor{green}{Y}_1 \exists^{\{x_1, y_1, x_2\}} \textcolor{green}{Y}_2 \exists^{\{x_1, y_1, x_2, y_2, x_3\}} \textcolor{green}{Y}_3$ .

- 609 Benchmarks from:
  - QBFEval competition (<http://www.qbflib.org/>)
  - Arithmetic functions (Tabajara, Vardi,'2017)
  - Disjunctive decomposition (Akshay et al. '2017)
  - Factorization(Akshay et al. '2017)
- Compared among different state-of-the-art tools:
  - CADET ( Rabe et al.'2019)
  - C2Syn (Chakraborty et al.' 2019)
  - BFSS (Akshay et al. '2018)
  - Manthan (Golia et al.' '2020,'2021).
- Timeout: 7200 seconds.

# Experimental Evaluations: SOTA'20



---

C2Syn  
206

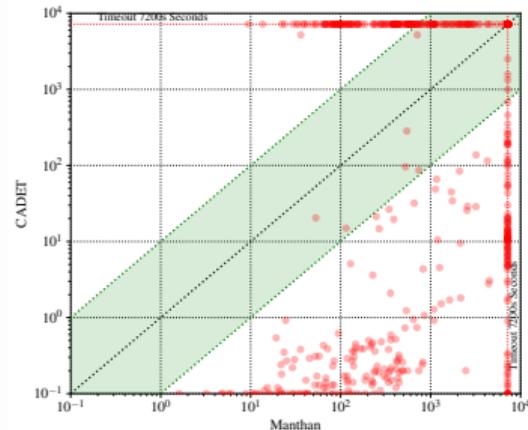
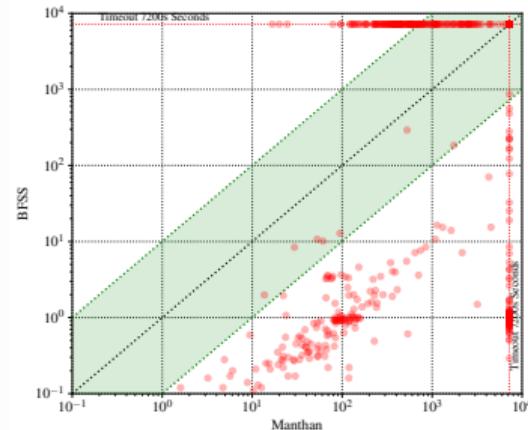
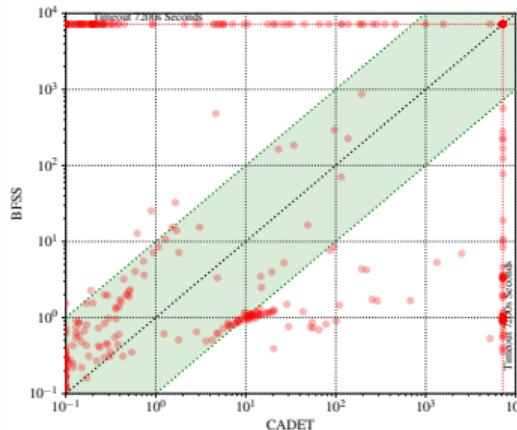
BFSS  
247

CADET  
280

Manthan  
356

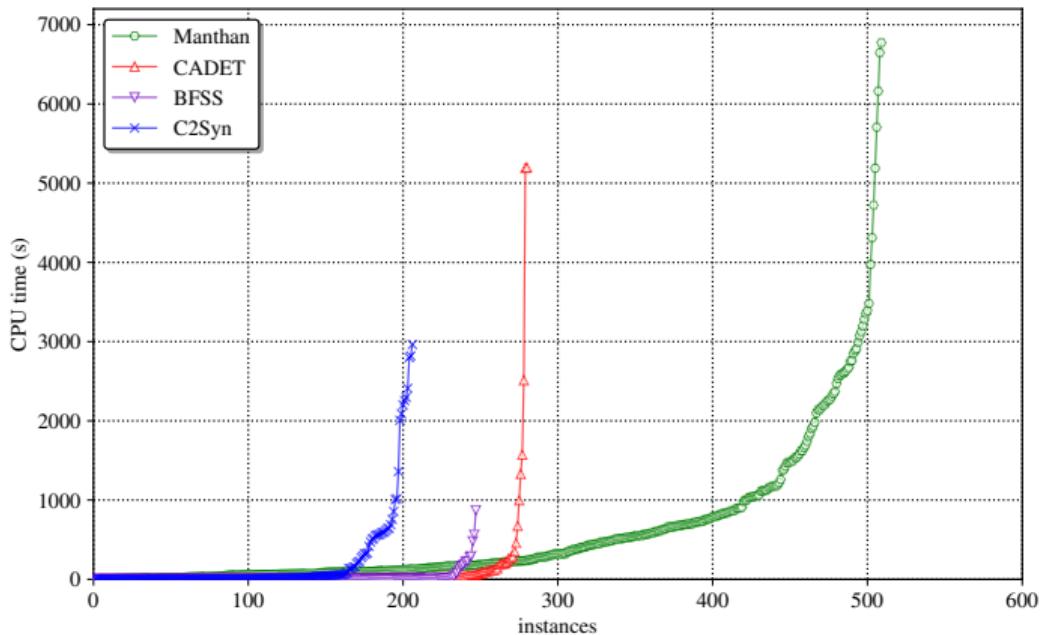
---

# Experimental Evaluations: SOTA'20



- $BFSS \setminus CADET = 67$
- $CADET \setminus BFSS = 100$
- $BFSS \setminus Manthan = 85$
- $Manthan \setminus BFSS = 194$
- $CADET \setminus Manthan = 111$
- $Manthan \setminus CADET = 187$

# Experimental Evaluations: SOTA'21



---

C2Syn  
206

BFSS  
247

CADET  
280

Manthan  
509