

# **COL:750/7250**

## **Foundations of Automatic Verification**

**Instructor: Priyanka Golia**

Course Webpage



<https://priyanka-golia.github.io/teaching/COL-750-COL7250/index.html>

# Announcements

- Assignment 1 has been released. The deadline is 31st January, end of day (EoD).
- Please reach out to the TAs (Raj) if you have any questions related to the assignment.
- A Piazza class has been created. Please post all your doubts there.

# DP algorithm for SAT Solving (Martin Davis - Hilary Putnam 1960)

1. Start with  $F_{CNF}$
2. For every clause C in  $F_{CNF}$  that either contains both  $l$  and  $\neg l$  or has pure literal do:
  1.  $F_{CNF} \leftarrow remove\_from\_formula(C, F_{CNF})$
3.  $F_{CNF} \leftarrow \text{UnitPropagation}(F_{CNF})$
4. If  $F_{CNF}$  is empty
  1. Return SAT
5. If  $F_{CNF}$  has empty clause then
  1. Return UNSAT
6. Pick a literal  $l$  that occurs with both polarities in  $F_{CNF}$ .
  1.  $F_{CNF} \leftarrow Resolution(C, l, F_{CNF})$
7. For every clause C that contains  $l$  or  $\neg l$  do :
  1.  $F_{CNF} \leftarrow remove\_from\_formula(C, F_{CNF})$

# DPLL algorithm (Davis -Putnam-Logemann-Loveland 1960)

Complete and Sound algorithm & takes linear space in worst case.

Still the basis of SAT solver

zChaff Solver – efficient implementation of DPLL.

Won test of time award at CAV 2001.

# Notations

Partial Model: subset of elements of  $\text{Vars}(F)$  maps to  $\{0,1\}$

Under partial model  $m$ ,

A literal  $l$  is True if  $m(l) = 1$

A literal  $l$  is False if  $m(l) = 0$

Otherwise:

$l$  is unassigned.

Example:  $F = (x_1 \vee x_2 \vee \neg x_3); m = \{x_1 \mapsto 1, x_3 \mapsto 1\}$

$x_1$  is True,  $x_2$  is unassigned,  $x_3$  is False.

# Notations

Partial Model: subset of elements of  $\text{Vars}(F)$  maps to  $\{0,1\}$

Under partial model  $m$ ,

Clause  $C$  is True if there is a  $l \in C$ , such that  $l$  is True.

Clause  $C$  is False if for each literal  $l \in C$ ,  $l$  is False

Otherwise:

$C$  is unassigned.

Example:  $m = \{x_1 \mapsto 1, x_3 \mapsto 1\}$

$$C = (x_1 \vee x_2 \vee x_3) - \text{True}$$

$$C = (\neg x_1 \vee x_2 \vee \neg x_3) - \text{Unassigned}$$

$$C = (\neg x_1 \vee \neg x_3) - \text{False}$$

# Notations

Partial Model: subset of elements of  $\text{Vars}(F)$  maps to  $\{0,1\}$

Under partial model  $m$ ,

$F_{CNF}$  is True if for each  $C \in F_{CNF}$ ,  $C$  is True.

$F_{CNF}$  is False if there is a  $C \in F_{CNF}$  such that  $C$  is False

Otherwise:

$F_{CNF}$  is unassigned.

Unit Clause (updated):  $C$  is a unit clause under partial model  $m$  if there is exactly one literal  $l$  in  $C$  which is unassigned, and rest all literals of  $C$  are False.

Example:  $C = (x_1 \vee \neg x_3 \vee \neg x_2)$ ;  $m = \{x_1 \mapsto 0, x_2 \mapsto 1\}$   $C$  is unit clause under  $m$ .

# DPLL algorithm (Davis -Putnam-Logemann-Loveland 1960)

1. Maintains a partial model, initially  $\emptyset$
2. Assign unassigned variables either 0 or 1
  1. (Randomly one after the other)
3. Sometime forced to make a decision due to unit clause

# DPLL algorithm (Davis -Putnam-Logemann-Loveland 1960)

$$F = (x_1 \vee \neg x_2) \wedge (\neg x_1 \vee x_2 \vee \neg x_3)$$

Initially  $m$  is  $\emptyset$

Pick a variable, say  $x_3$ , and assign it a Boolean value, say 1. Partial model  $m = \{x_3 \mapsto 1\}$

$C_1 : (x_1 \vee \neg x_2)$  unassigned.

$C_2 : (\neg x_1 \vee x_2 \vee \neg x_3)$  unassigned.

Pick another variable, say  $x_1$ , and assign it a Boolean value, say 0.

Partial model  $m = \{x_1 \mapsto 0, x_3 \mapsto 1\}$

$C_1 : (x_1 \vee \neg x_2)$  Unit clause, forced decision  $(x_2 \mapsto 0)$

$C_2 : (\neg x_1 \vee x_2 \vee \neg x_3)$  True.

$m = \{x_1 \mapsto 0, x_2 \mapsto 0, x_3 \mapsto 1\}$  and  $m \models F$

# DPLL algorithm (Davis -Putnam-Logemann-Loveland 1960)

1. Maintains a partial model, initially  $\emptyset$
2. Assign unassigned variables either 0 or 1
  1. (Randomly one after the other)
3. Sometime forced to make a decision due to unit clause

What to do if  $F$  is False under partial model  $m$ ?

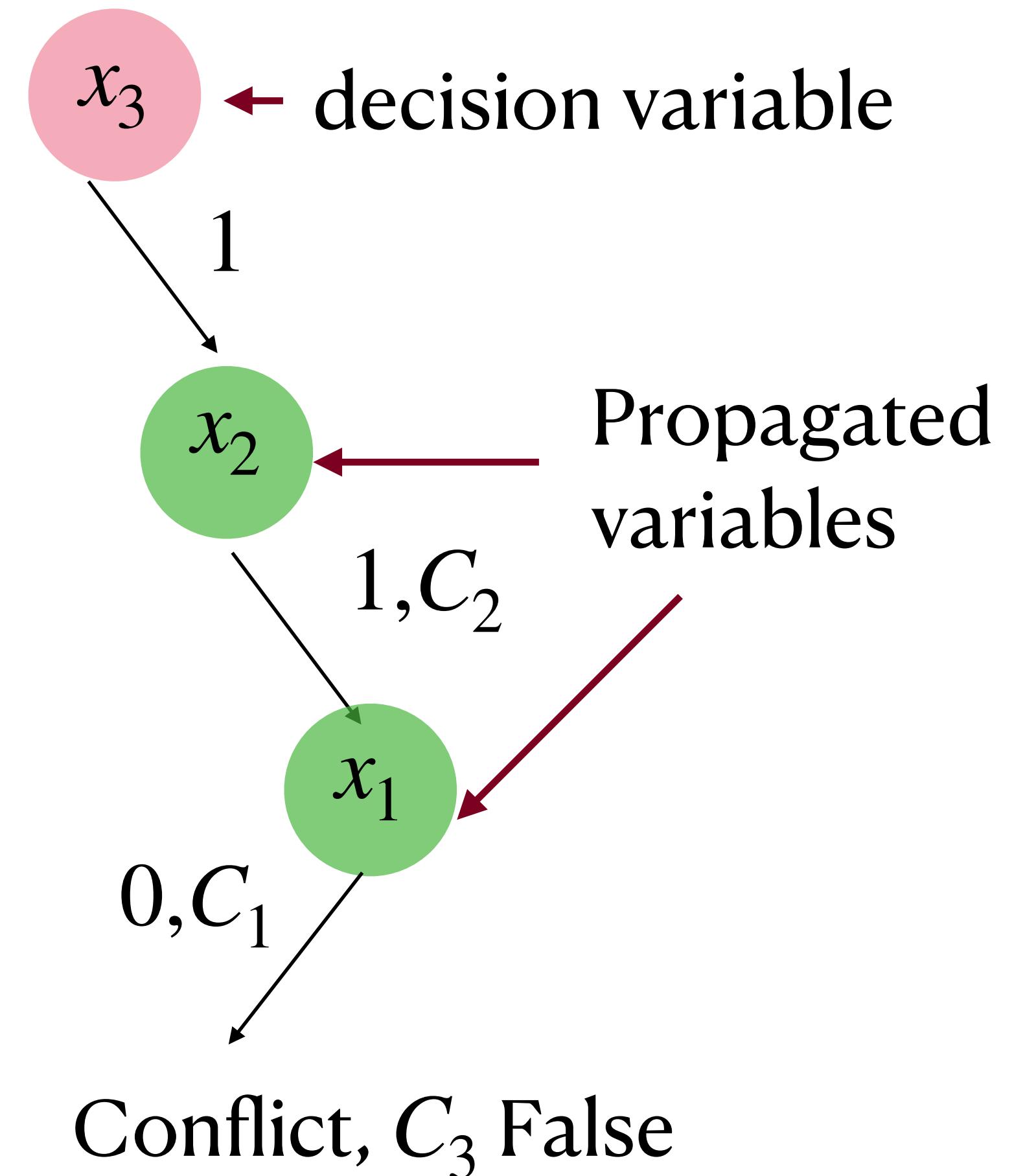
# Backtracking

$$F = (\neg x_1 \vee \neg x_2 \vee \neg x_3) \wedge (\neg x_3 \vee x_2) \wedge (\neg x_3 \vee x_1)$$

Pick a variable, say  $x_3$ , and assign it a Boolean value, say 1.

Partial model  $m = \{x_3 \mapsto 1\}$

$(\neg x_3 \vee x_2) \wedge (\neg x_3 \vee x_1)$  – unit clauses



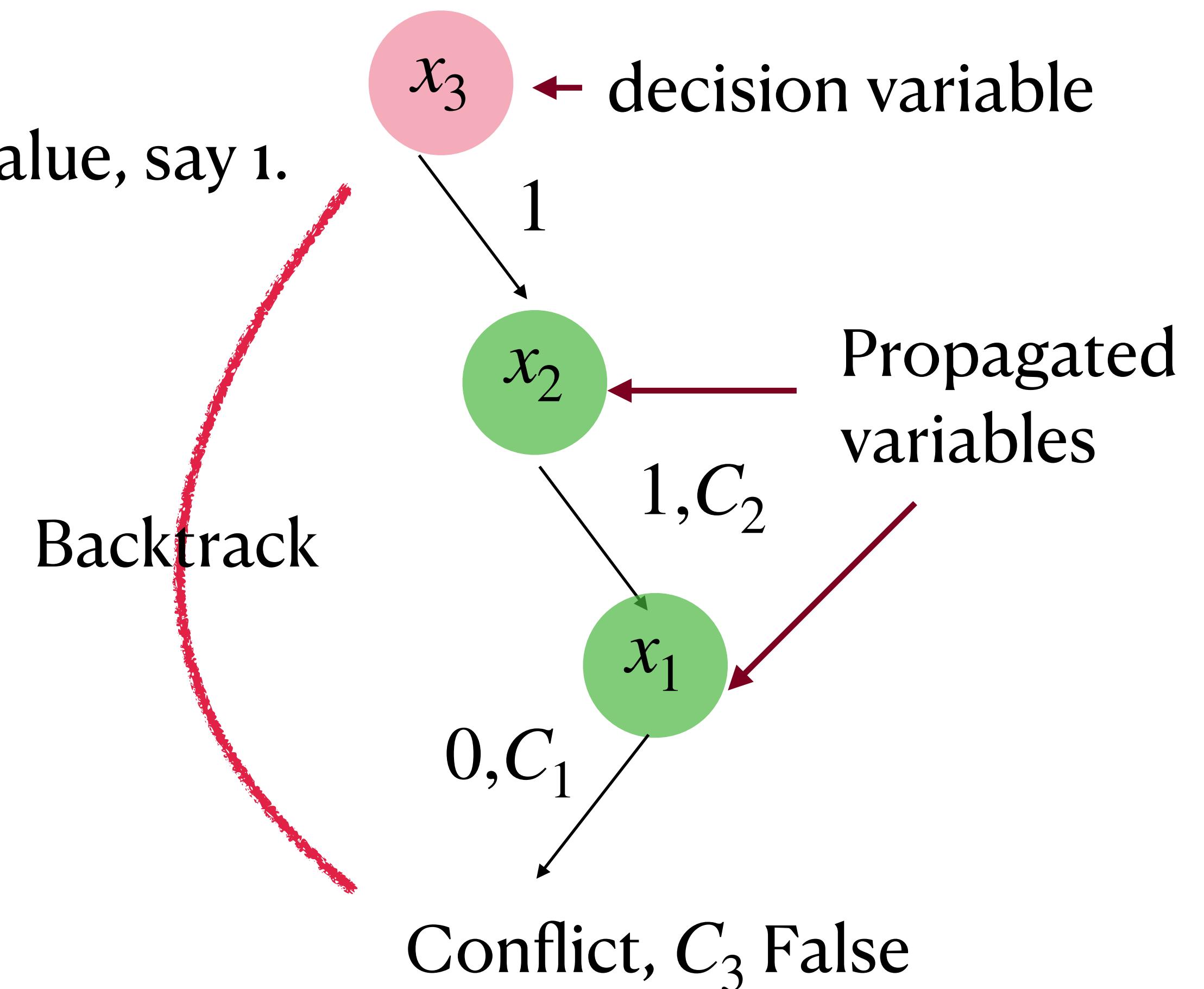
# Backtracking

$$F = (\neg x_1 \vee \neg x_2 \vee \neg x_3) \wedge (\neg x_3 \vee x_2) \wedge (\neg x_3 \vee x_1)$$

Pick a variable, say  $x_3$ , and assign it a Boolean value, say 1.

Partial model  $m = \{x_3 \mapsto 1\}$

$(\neg x_3 \vee x_2) \wedge (\neg x_3 \vee x_1)$  – unit clauses



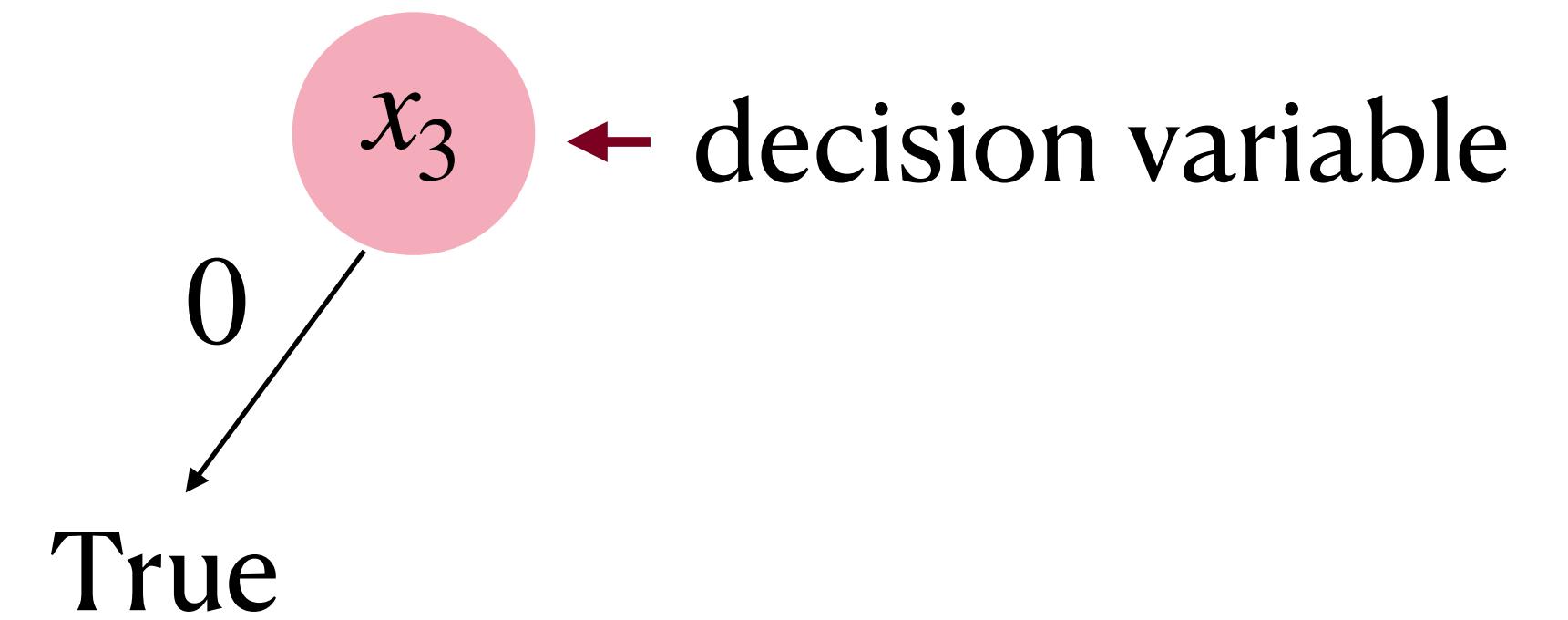
# Backtracking

$$F = (\neg x_1 \vee \neg x_2 \vee \neg x_3) \wedge (\neg x_3 \vee x_2) \wedge (\neg x_3 \vee x_1)$$

Backtrack to last decision, and change the polarity.

Partial model  $m = \{x_3 \mapsto 0\}$

All clauses are True, hence F is True



# DPLL algorithm (Davis -Putnam-Logemann-Loveland 1960)

$DPLL(F, m = \emptyset) \{$

1. If  $F$  is True under  $m$  then Return SAT
2. If  $F$  is False under  $m$  then Return UNSAT
3. If there is a unit literal  $l$  under  $m$  then Return  $DPLL(F, m[l \mapsto 1])$
4. If there is a unit literal  $\neg l$  under  $m$  then Return  $DPLL(F, m[l \mapsto 0])$

Backtracking at  
conflict

Unit Propagation

Choose an unassigned variable  $p$ , and random bit  $b \in \{0,1\}$

5. If  $DPLL(F, m[p \mapsto b]) == \text{SAT}$  then Return SAT

Else Return  $DPLL(F, m[p \mapsto 1 - b])$

}

# DPLL algorithm (Davis -Putnam-Logemann-Loveland 1960)

1. Maintains a partial model, initially  $\emptyset$
2. Assign unassigned variables either 0 or 1
  1. (Randomly one after the other)
3. Sometime forced to make a decision due to unit clause

DPLL run consists of

- Decision
- Unit propagation
- Backtracking

$$C_1 = (\neg p_1 \vee p_2)$$

$$C_2 = (\neg p_1 \vee p_3 \vee p_5)$$

$$C_3 = (\neg p_2 \vee p_4)$$

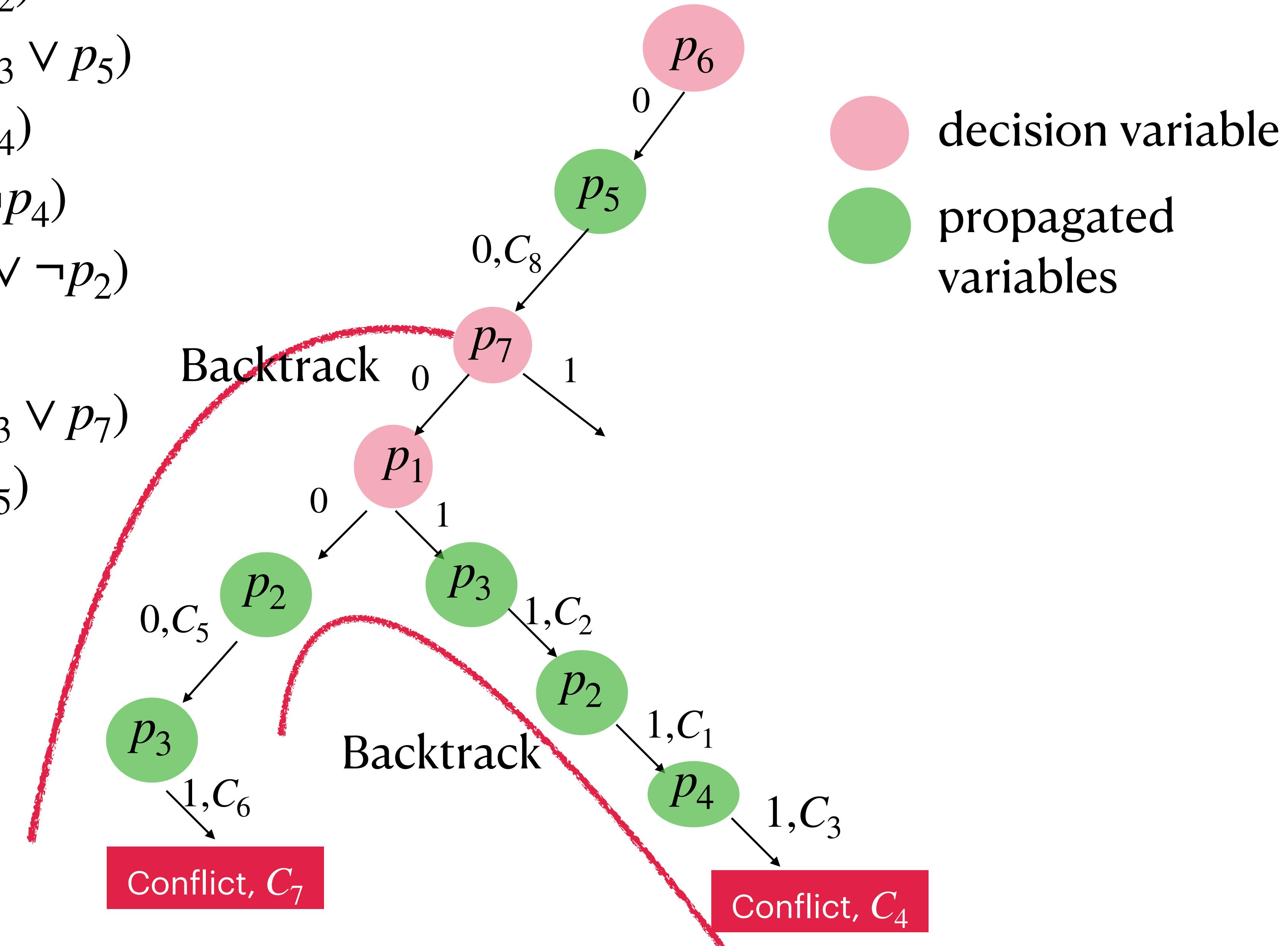
$$C_4 = (\neg p_3 \vee \neg p_4)$$

$$C_5 = (p_1 \vee p_5 \vee \neg p_2)$$

$$C_6 = (p_2 \vee p_3)$$

$$C_7 = (p_2 \vee \neg p_3 \vee p_7)$$

$$C_8 = (p_6 \vee \neg p_5)$$



# CDCL: Conflict Driven Clause Learning

An optimization of DPLL:

As we decide and propagate, we can observe the run, and avoid unnecessary backtracking.

Construct a data structure to avoid unnecessary backtracking.

Implication Graph.

$$C_1 = (\neg p_1 \vee p_2)$$

$$C_2 = (\neg p_1 \vee p_3 \vee p_5)$$

$$C_3 = (\neg p_2 \vee p_4)$$

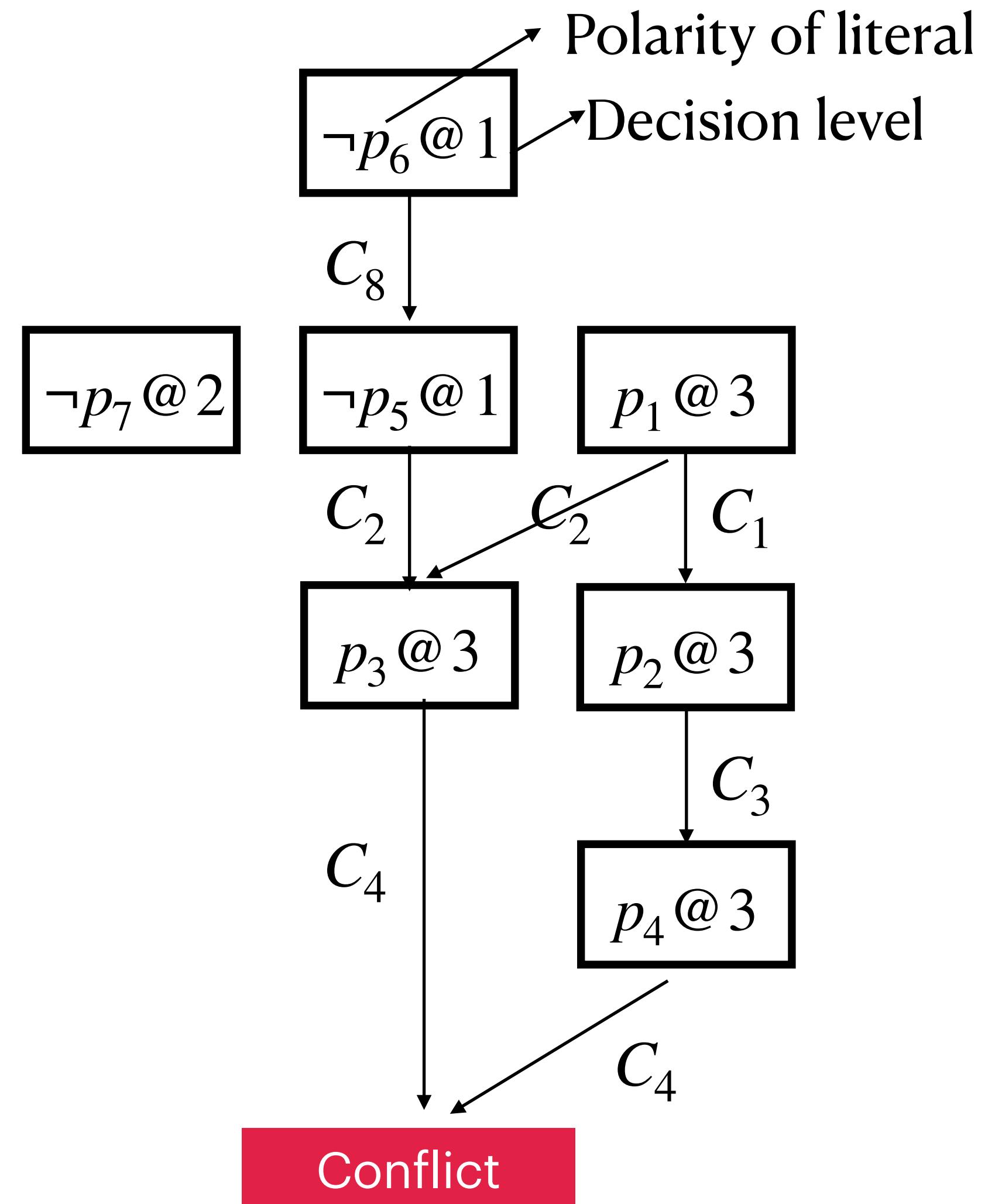
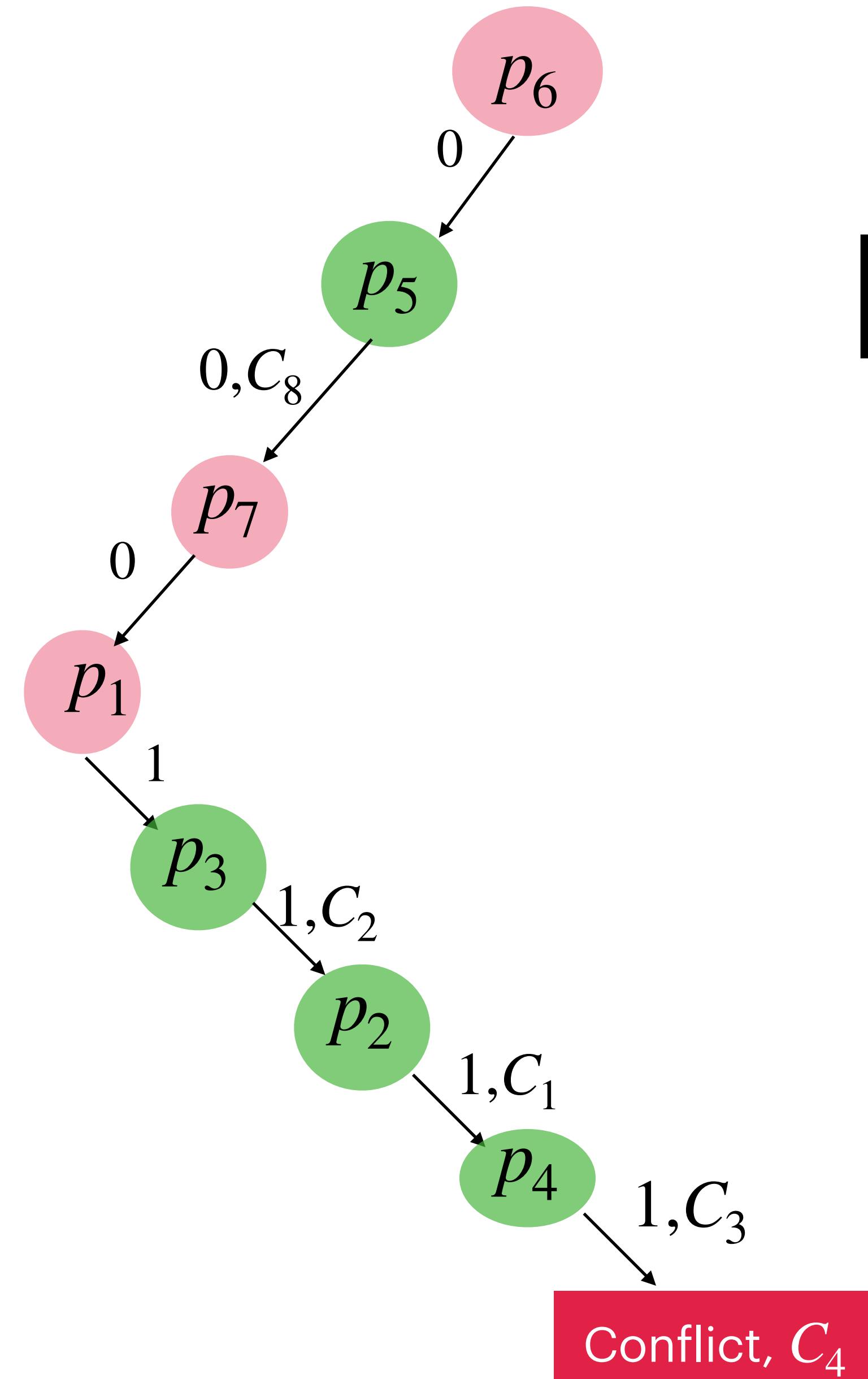
$$C_4 = (\neg p_3 \vee \neg p_4)$$

$$C_5 = (p_1 \vee p_5 \vee \neg p_2)$$

$$C_6 = (p_2 \vee p_3)$$

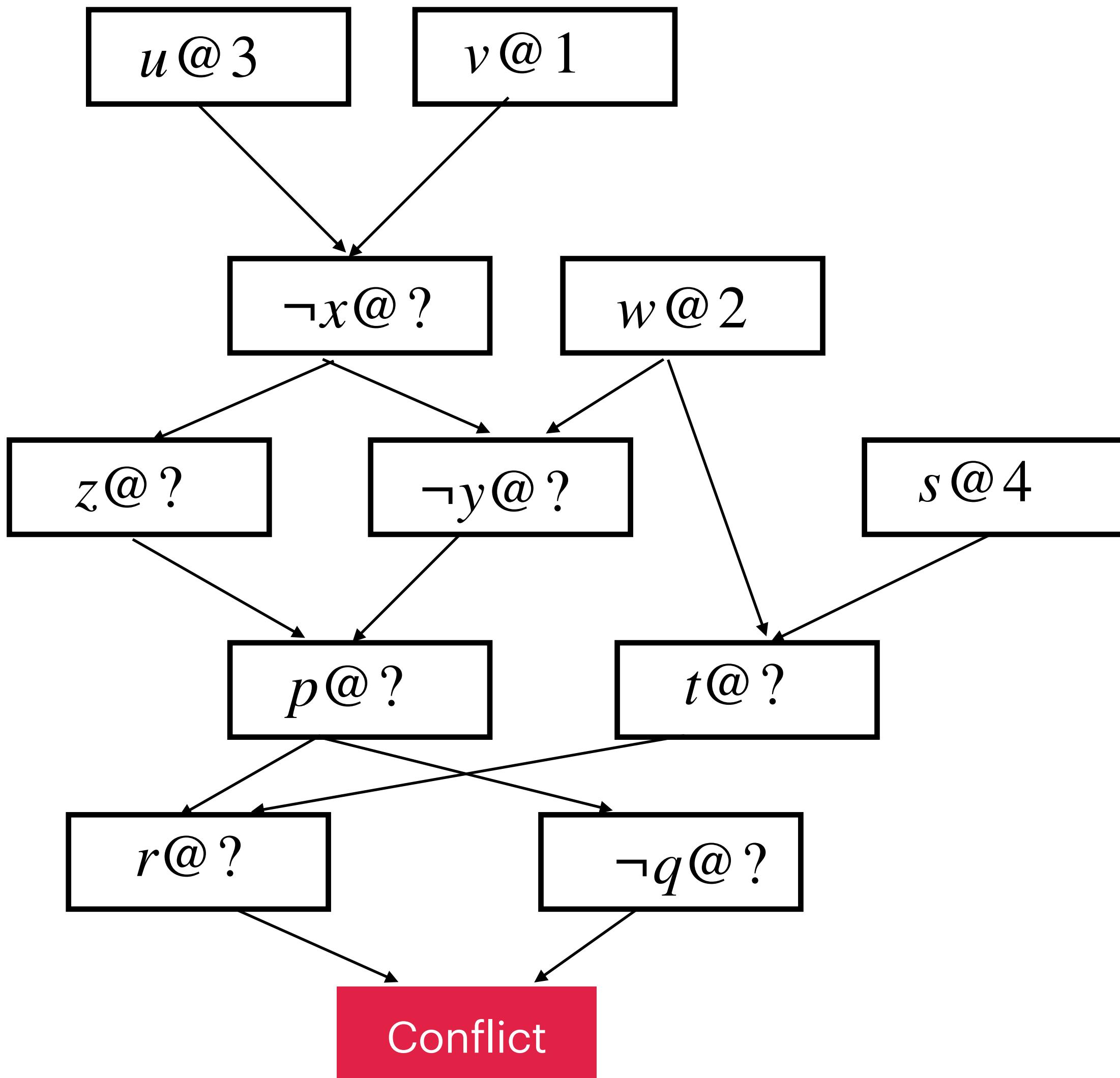
$$C_7 = (p_2 \vee \neg p_3 \vee p_7)$$

$$C_8 = (p_6 \vee \neg p_5)$$



Implication Graph.

# Assign decision level at every node in implication graph



$$C_1 = (\neg p_1 \vee p_2)$$

$$C_2 = (\neg p_1 \vee p_3 \vee p_5)$$

$$C_3 = (\neg p_2 \vee p_4)$$

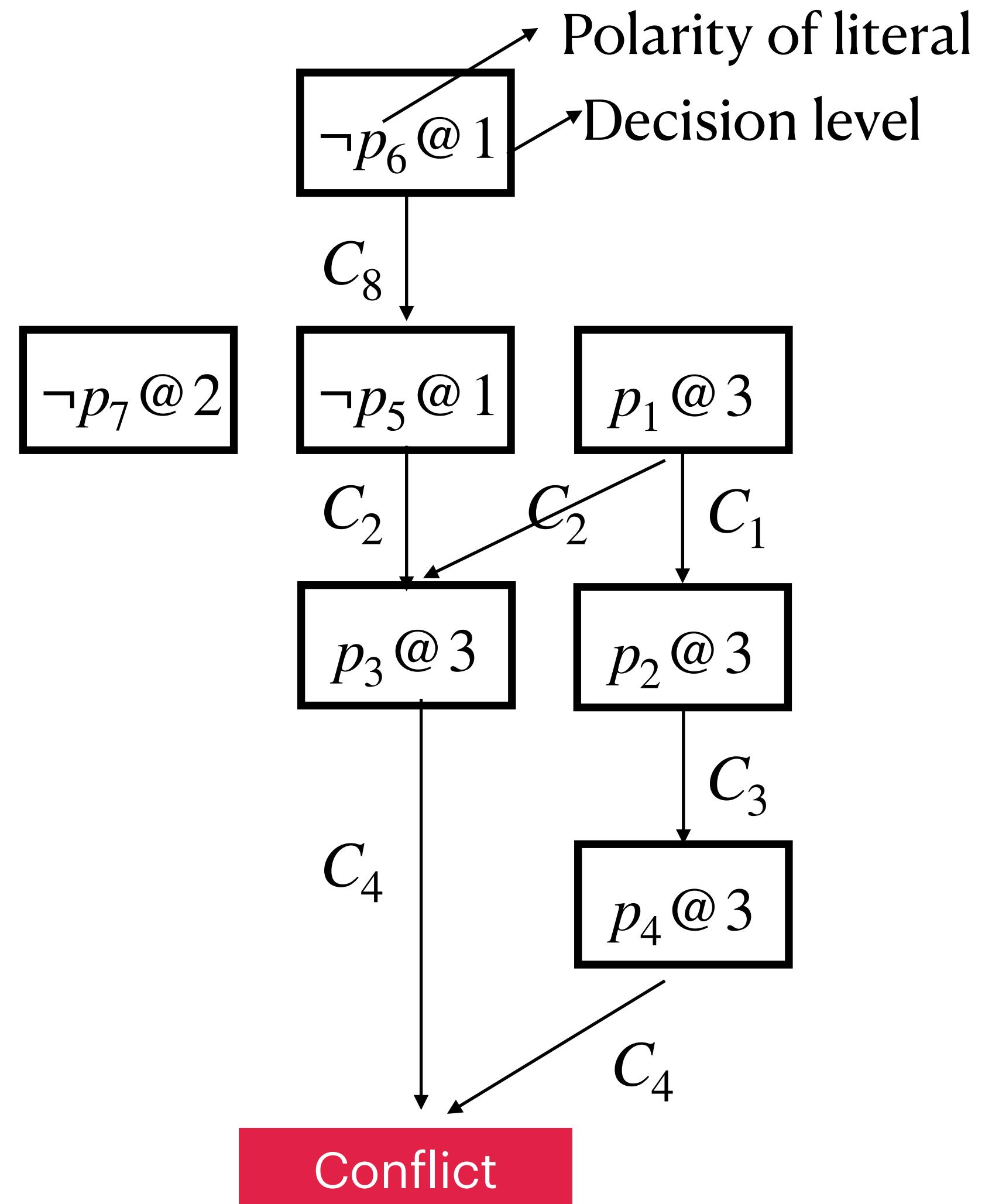
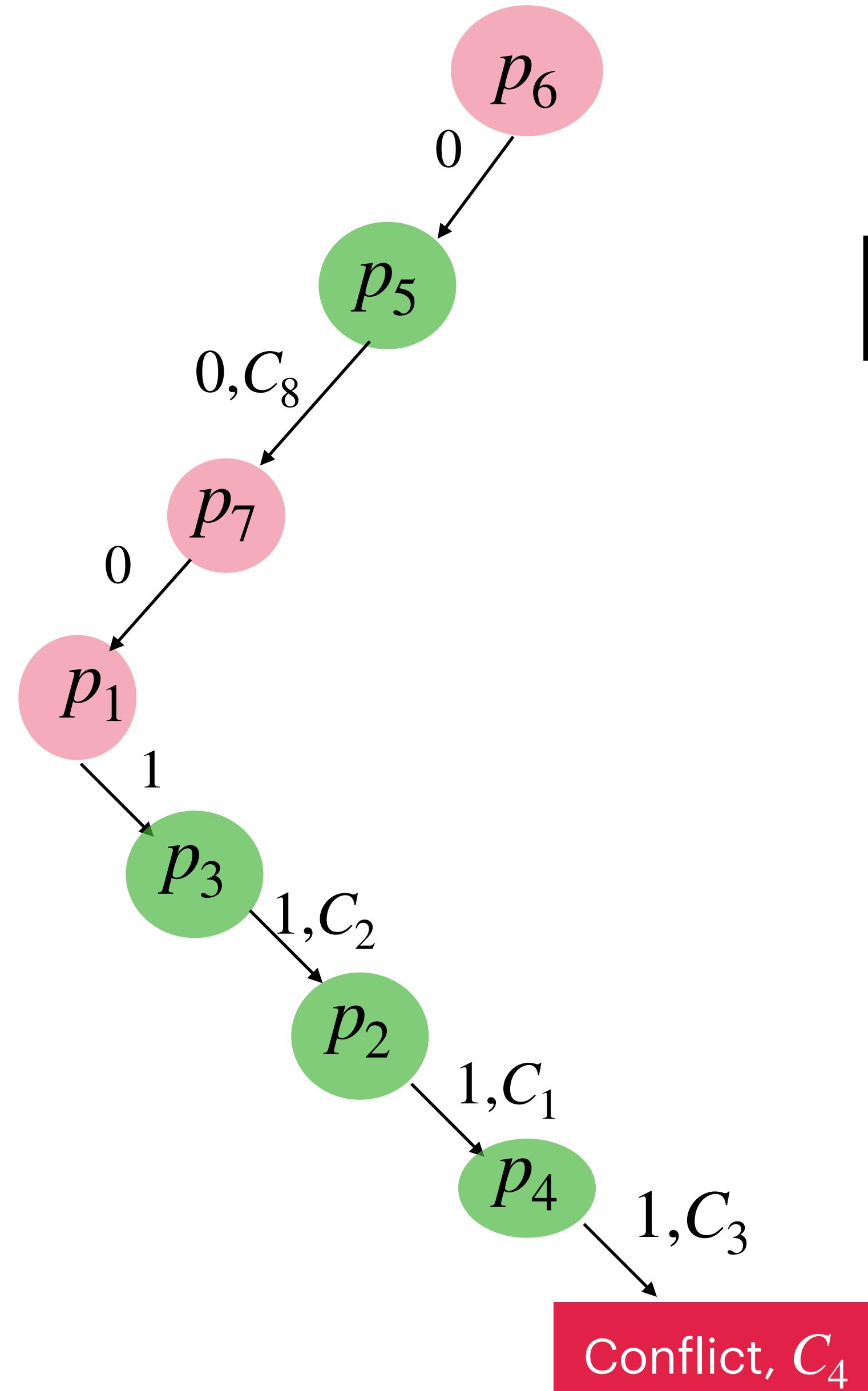
$$C_4 = (\neg p_3 \vee \neg p_4)$$

$$C_5 = (p_1 \vee p_5 \vee \neg p_2)$$

$$C_6 = (p_2 \vee p_3)$$

$$C_7 = (p_2 \vee \neg p_3 \vee p_7)$$

$$C_8 = (p_6 \vee \neg p_5)$$



Implication Graph.

# Conflict Clause

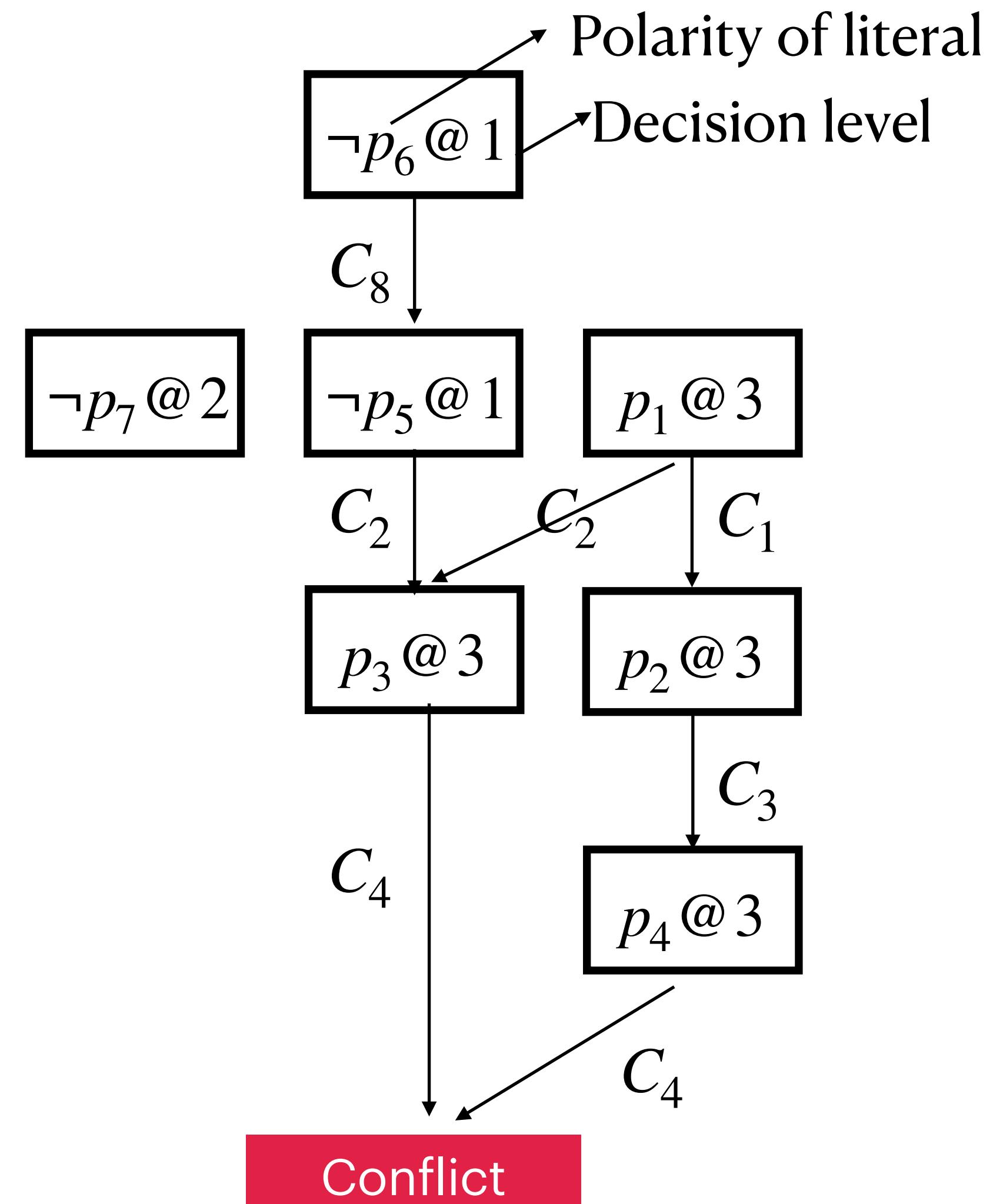
The clause of the negations of the causing decisions is called conflict clause.

Mistake:  $p_6 = 0$  and  $p_1 = 1$

Conflict clause:  $\neg(\neg p_6 \wedge p_1) \equiv p_6 \vee \neg p_1$

$$\left. \begin{array}{l} m(p_6) = 0, m(p_7) = 1, m(p_1) = 1 \\ m(p_6) = 0, m(p_1) = 1 \end{array} \right\}$$

This will never  
be tried again!



Implication Graph.

**CDCL: Conflict Driven Clause Learning**

$$C_1 = (\neg p_1 \vee p_2)$$

$$C_2 = (\neg p_1 \vee p_3 \vee p_5)$$

$$C_3 = (\neg p_2 \vee p_4)$$

$$C_4 = (\neg p_3 \vee \neg p_4)$$

$$C_5 = (p_1 \vee p_5 \vee \neg p_2)$$

$$C_6 = (p_2 \vee p_3)$$

$$C_7 = (p_2 \vee \neg p_3 \vee p_7)$$

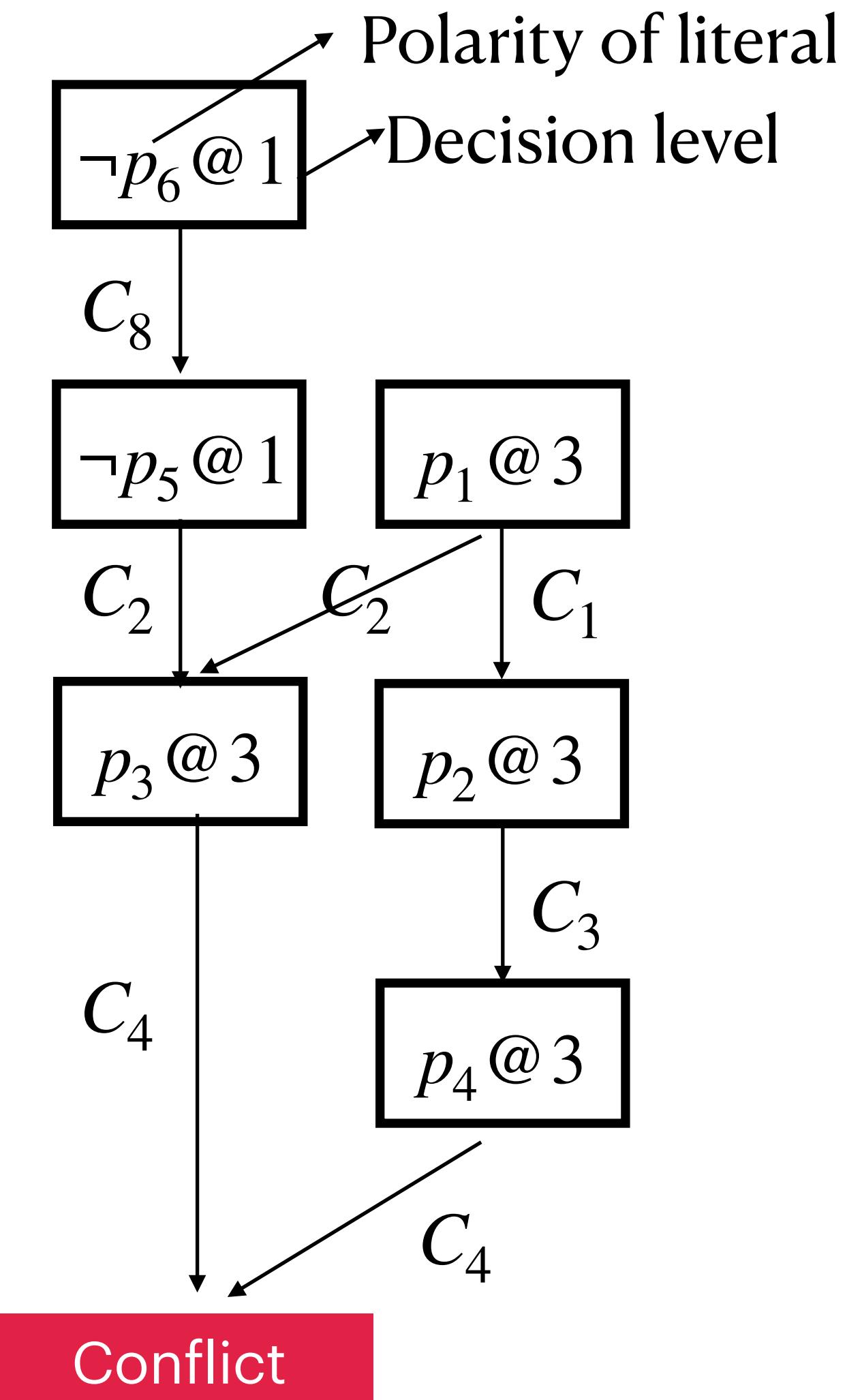
$$C_8 = (p_6 \vee \neg p_5)$$

$$C_9 = (p_6 \vee \neg p_1)$$

Added a new clause!  
Where should we backtrack?

Backtrack to second largest  
decision in the conflict clause.

Here we should backtrack to  
decision level 1.



Implication Graph.

$$C_1 = (\neg p_1 \vee p_2)$$

$$C_2 = (\neg p_1 \vee p_3 \vee p_5)$$

$$C_3 = (\neg p_2 \vee p_4)$$

$$C_4 = (\neg p_3 \vee \neg p_4)$$

$$C_5 = (p_1 \vee p_5 \vee \neg p_2)$$

$$C_6 = (p_2 \vee p_3)$$

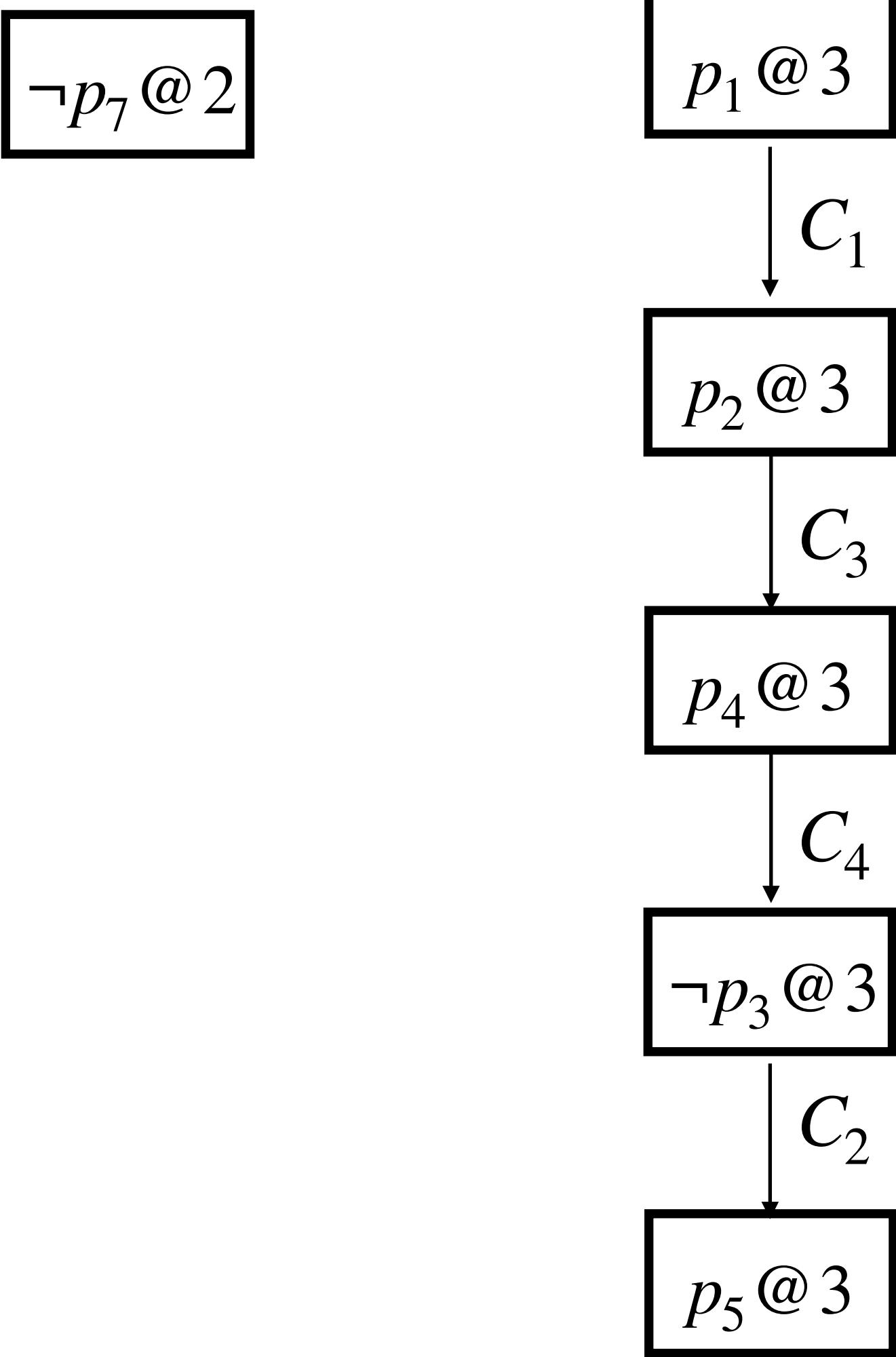
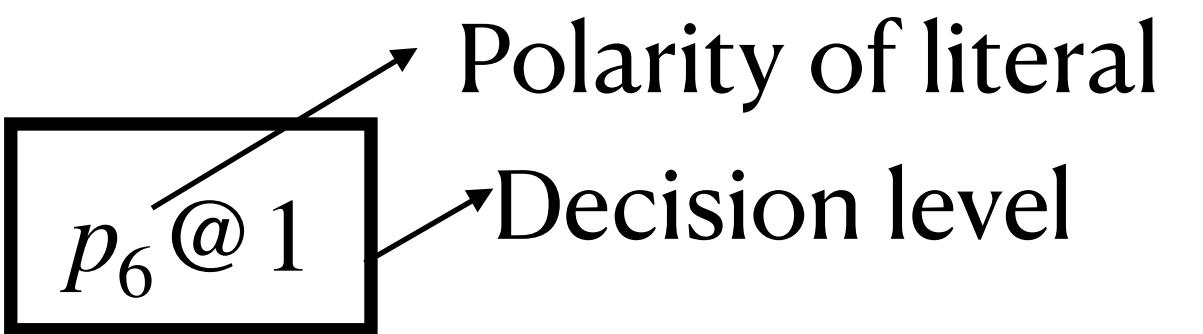
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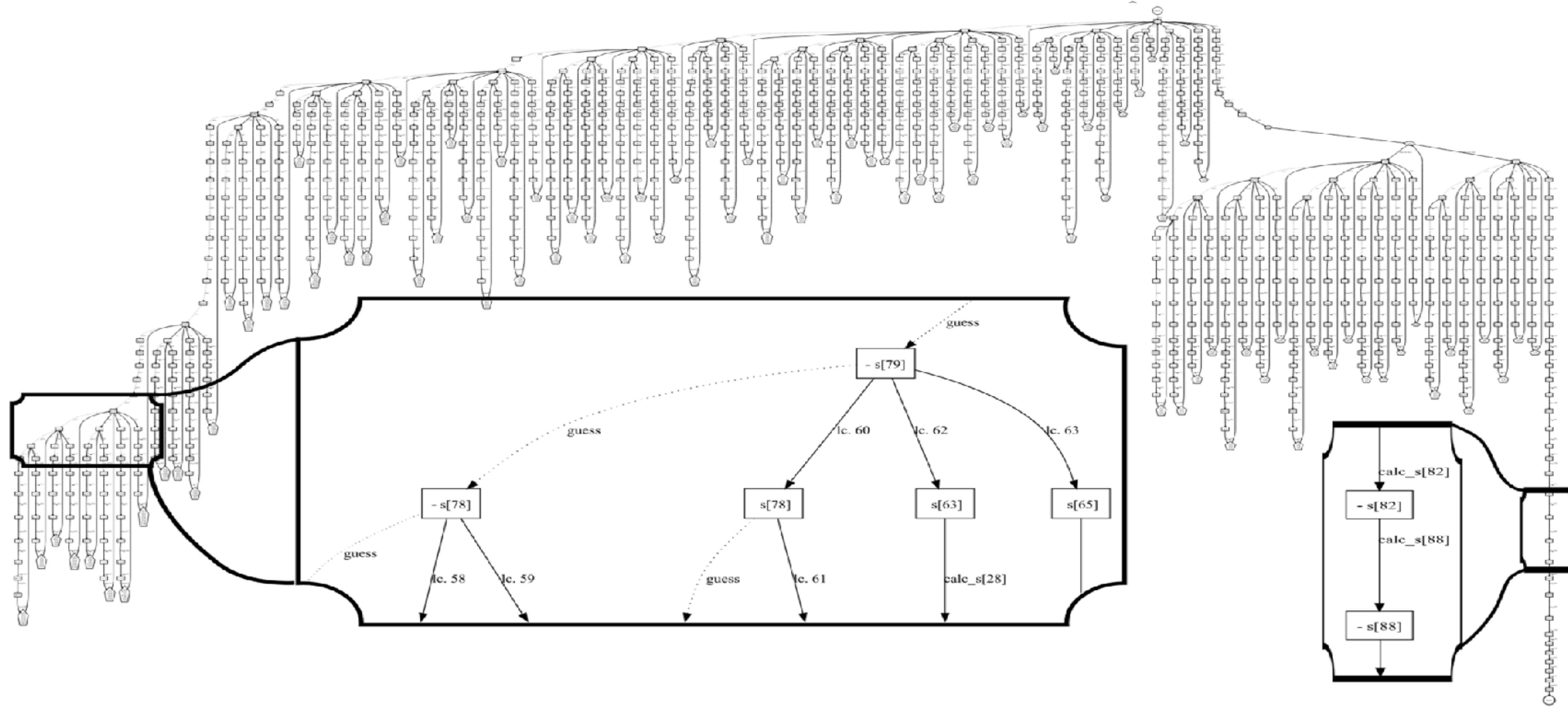
Here we should backtrack to decision level 1.

Implication Graph.



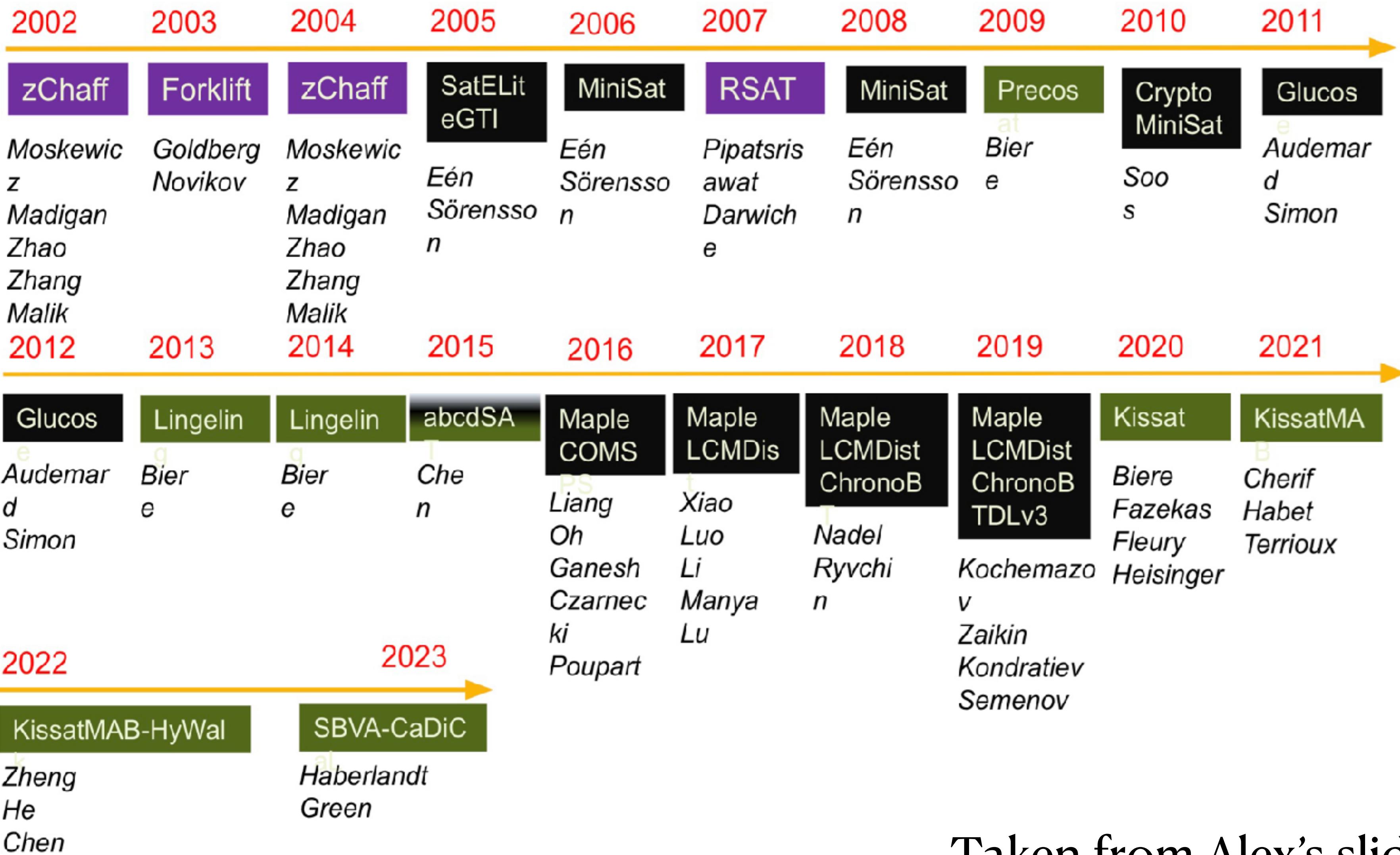
# CDCL: Conflict Driven Clause Learning

1. UnitPropagation( $m, F$ ): applies unit propagation and extends  $m$ .
2. Decide( $m, F$ ): choose an unassigned variable in  $m$  and assign it a Boolean value.
3. AnalyzeConflict( $m, F$ ): returns a conflict clause learned using implication graph, and a decision level upto which the solver needs to backtrack.



Taken from Mate Soos's slides.

# SAT Competition & Race Winners (CNF & Appl. & Seq. & Non-incr. & All-inst.)



Taken from Alex's slides.

# CDCL: Conflict Driven Clause Learning

1. UnitPropagation( $m, F$ ): applies unit propagation and extends  $m$ .
2. Decide( $m, F$ ): choose an unassigned variable in  $m$  and assign it a Boolean value.

Heuristics: which variables to pick, what value to assign?

3. ClauseLearning( $m, F$ ): returns a conflict clause learned using implication graph, and a decision level upto which the solver needs to backtrack.

Heuristics: how to learn a small conflict clause and unto which level to backtrack?

# **Heuristics: how to learn a small conflict clause and upto which level to backtrack?**

AnalyzeConflict( $m, F$ ): some choices of clauses are found to be better than others.

## **Notations:**

UIP (Unique Implication Point)

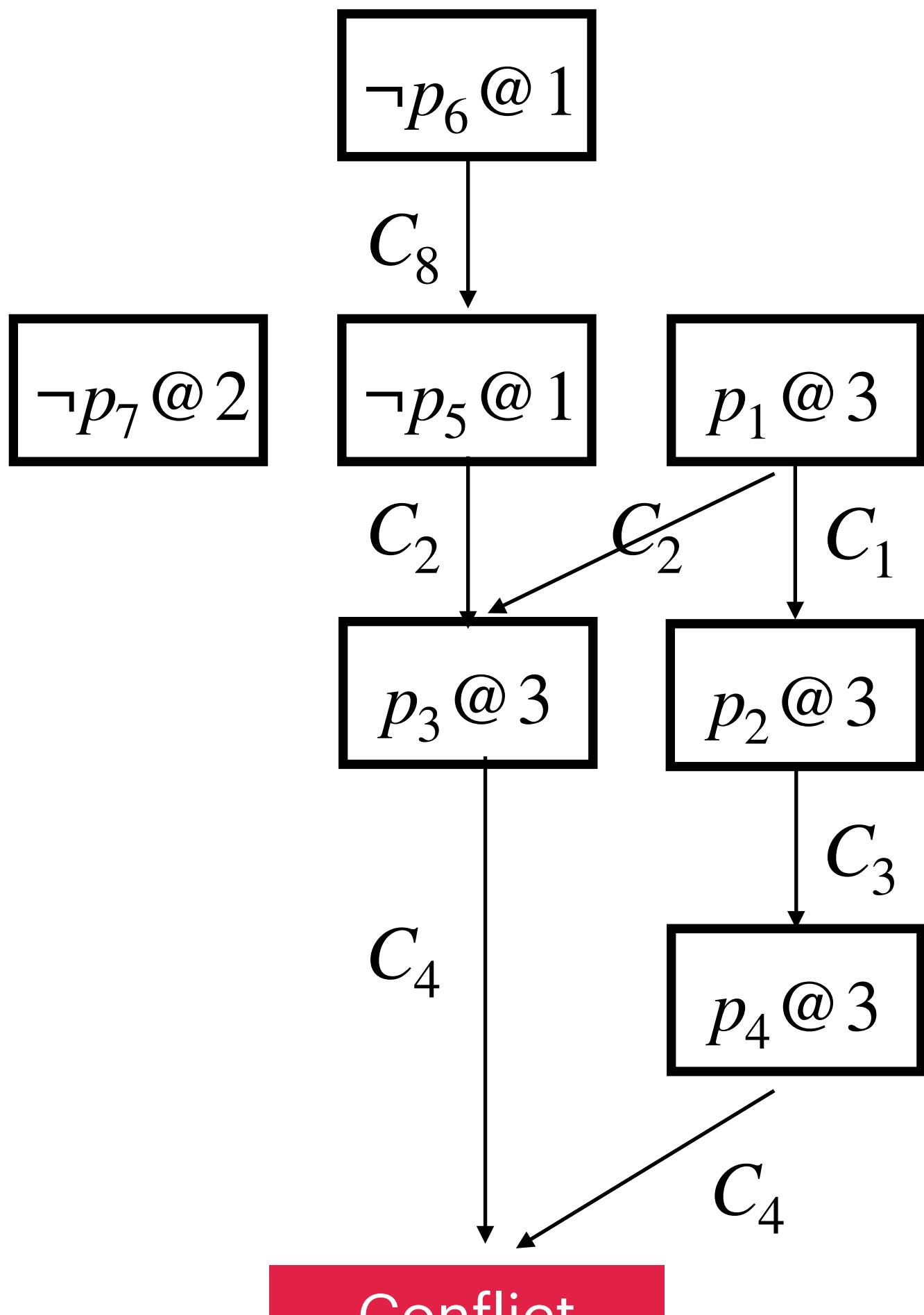
In an implication graph, node “ $l @ d$ ” is a UIP at decision level  $d$  if “ $l @ d$ ” occurs in each path from  $d^{th}$  decision literals to the conflict.

UIP points: In an implication graph, node “ $l @ d$ ” is a UIP at decision level  $d$  if “ $l @ d$ ” occurs in each path from  $d^{th}$  decision literals to the conflict.

UIP @ level 1:

UIP @ level 2:

UIP @ level 3:



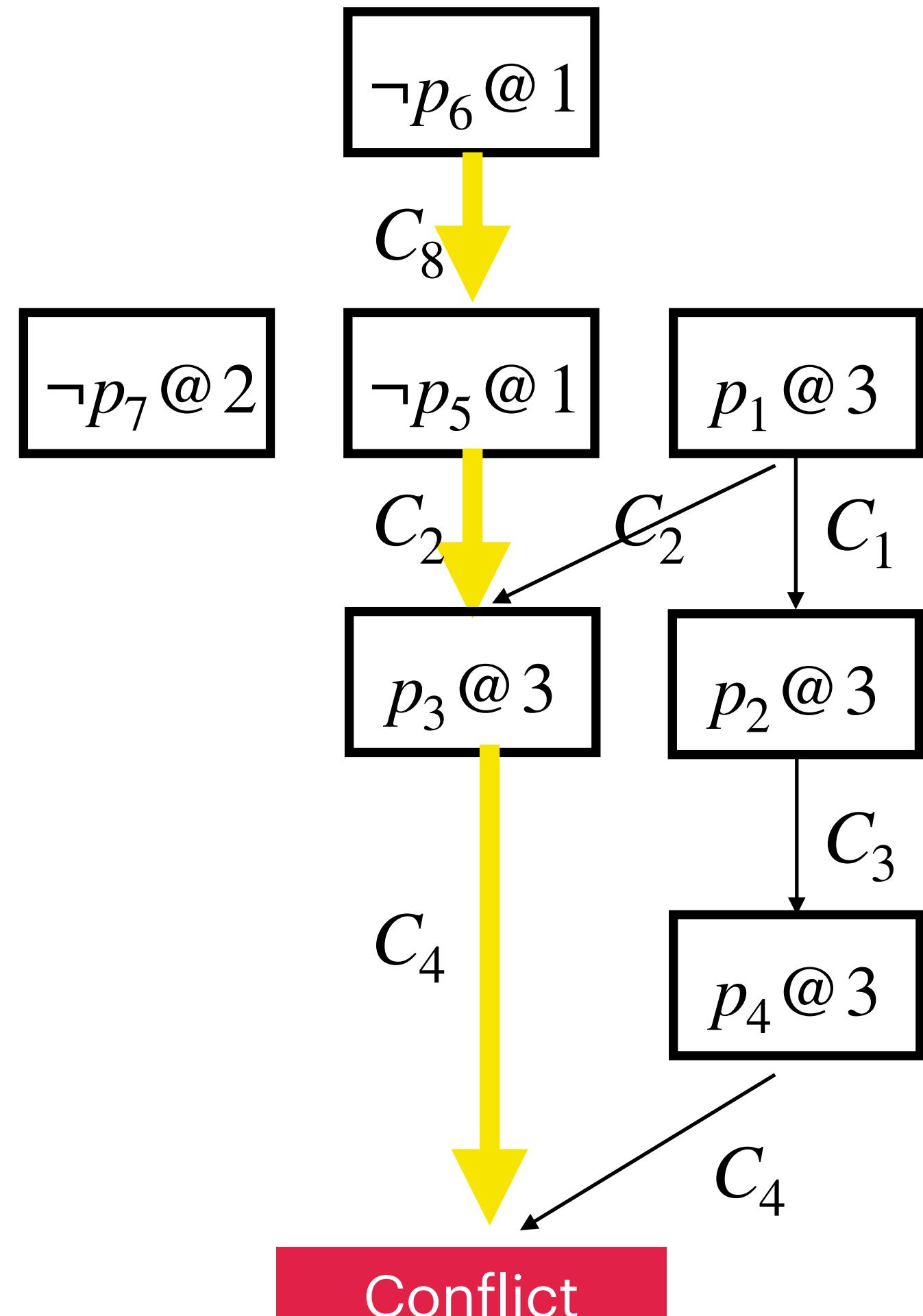
Implication Graph.

UIP points: In an implication graph, node “ $l @ d$ ” is a UIP at decision level  $d$  if “ $l @ d$ ” occurs in each path from  $d^{th}$  decision literals to the conflict.

UIP @ level 1:  $\neg p_6 @ 1, \neg p_5 @ 1$

UIP @ level 2:

UIP @ level 3:



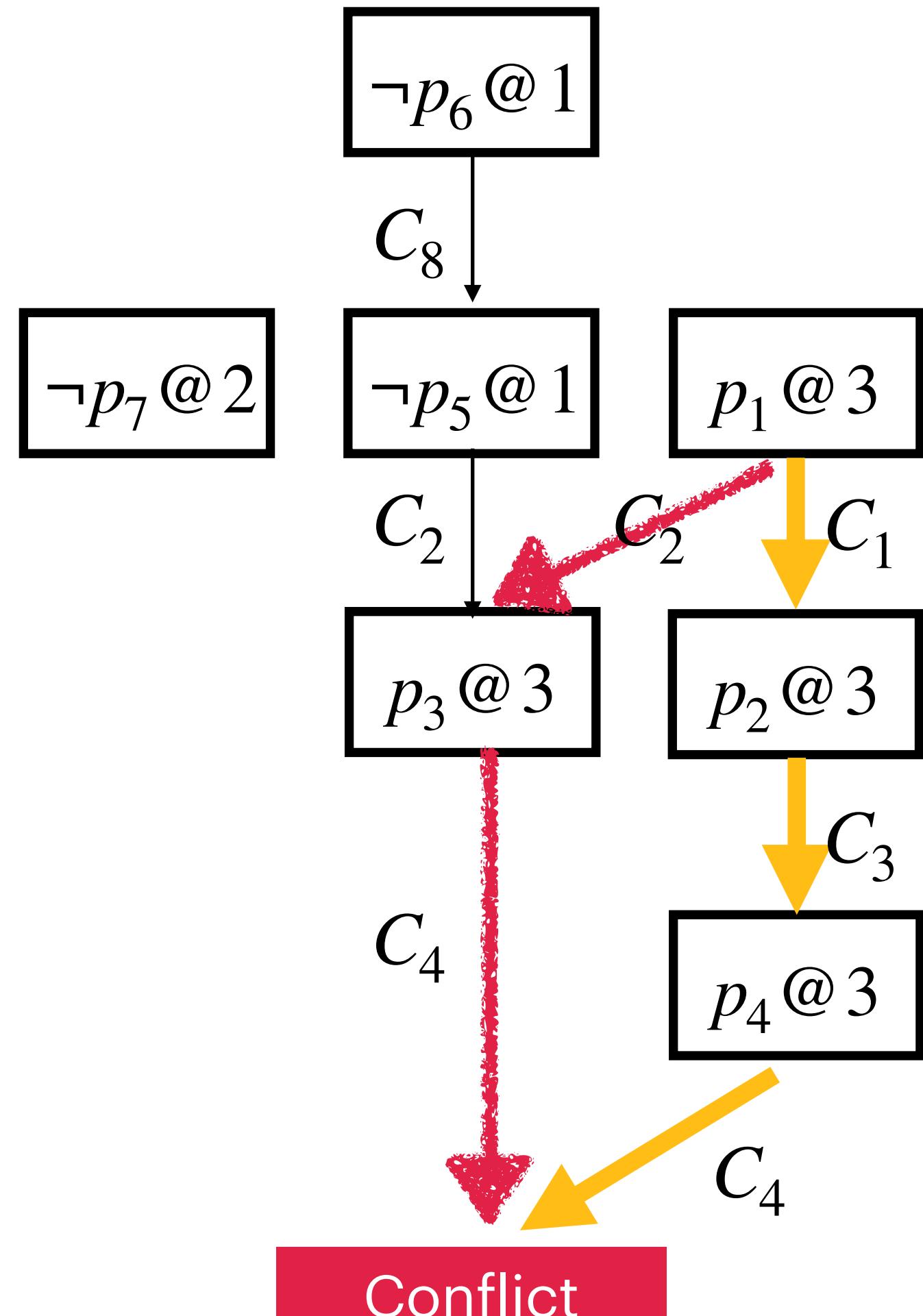
Implication Graph.

UIP points: In an implication graph, node “ $l @ d$ ” is a UIP at decision level  $d$  if “ $l @ d$ ” occurs in each path from  $d^{th}$  decision literals to the conflict.

UIP @ level 1:  $\neg p_6 @ 1, \neg p_5 @ 1$

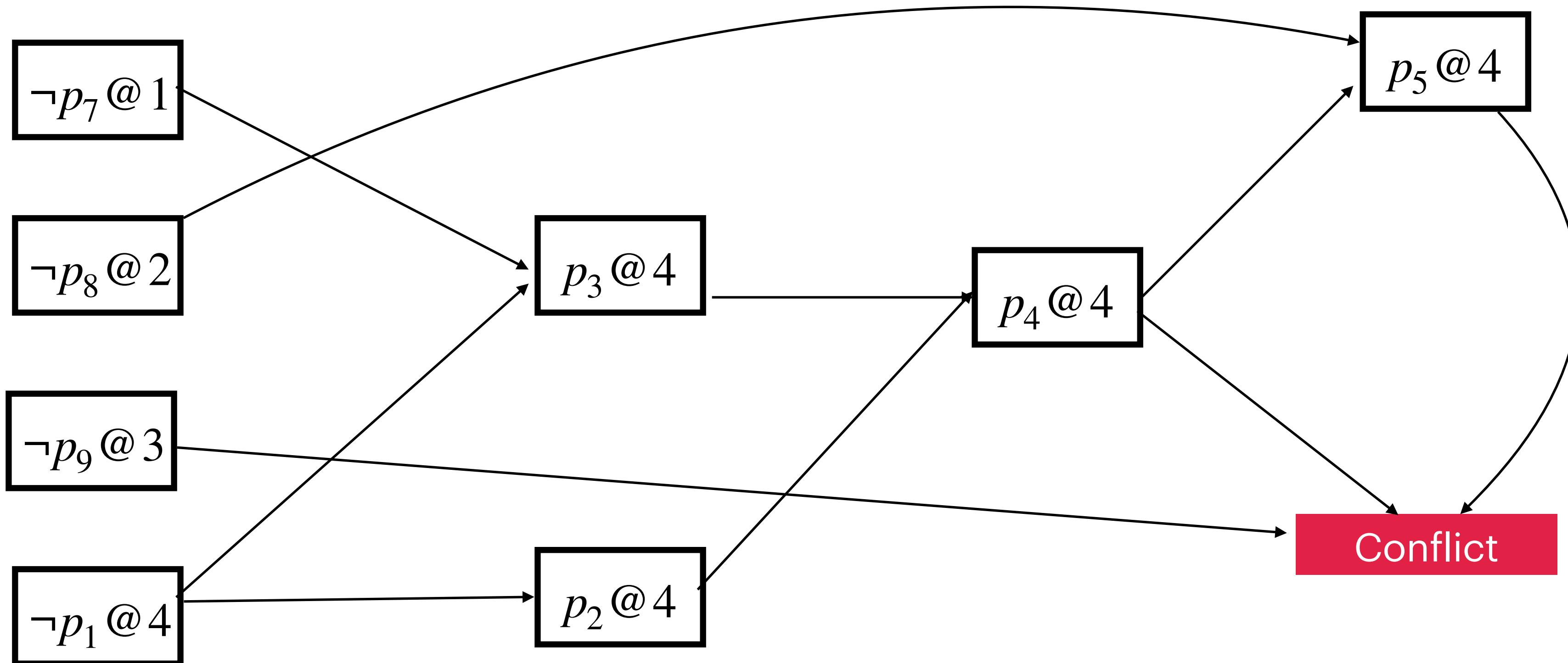
UIP @ level 2:

UIP @ level 3:  $p_1 @ 3$



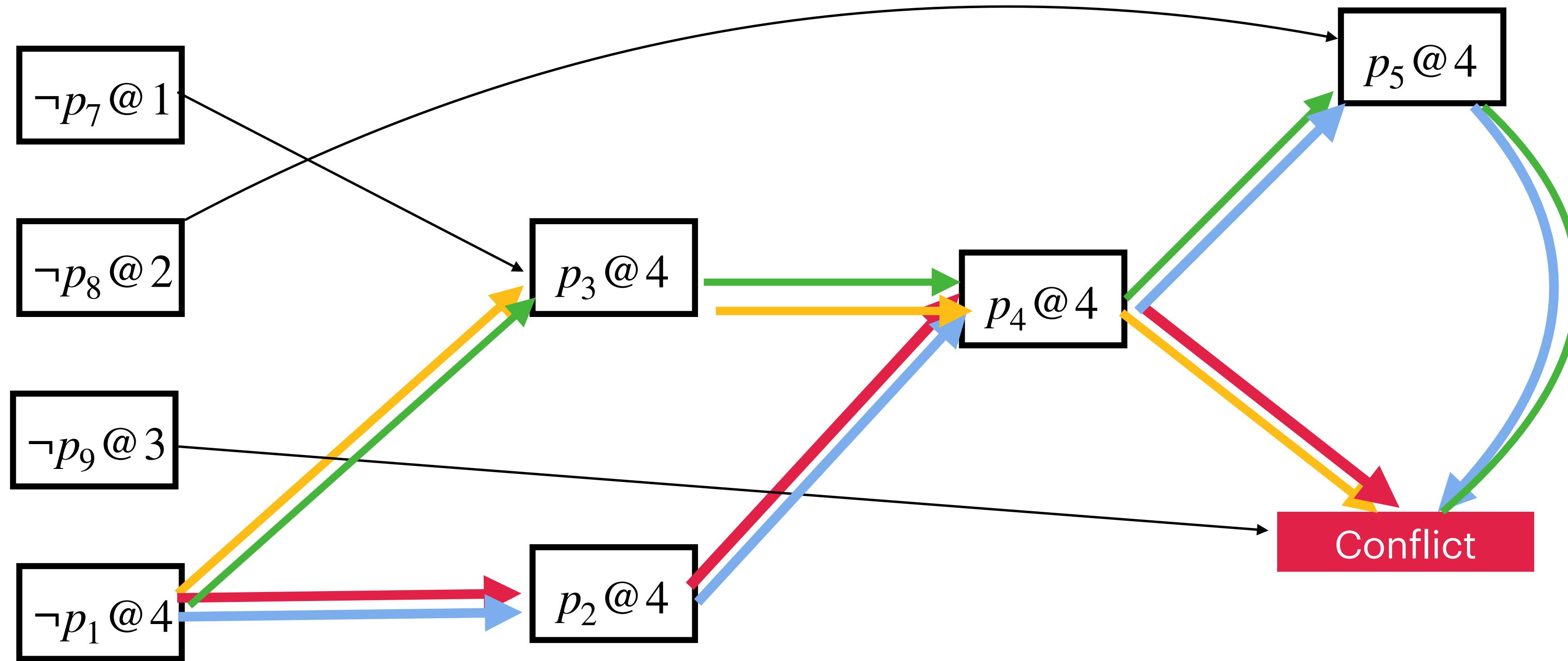
Implication Graph.

UIP points: In an implication graph, node “ $l @ d$ ” is a UIP at decision level  $d$  if “ $l @ d$ ” occurs in each path from  $d^{th}$  decision literals to the conflict.



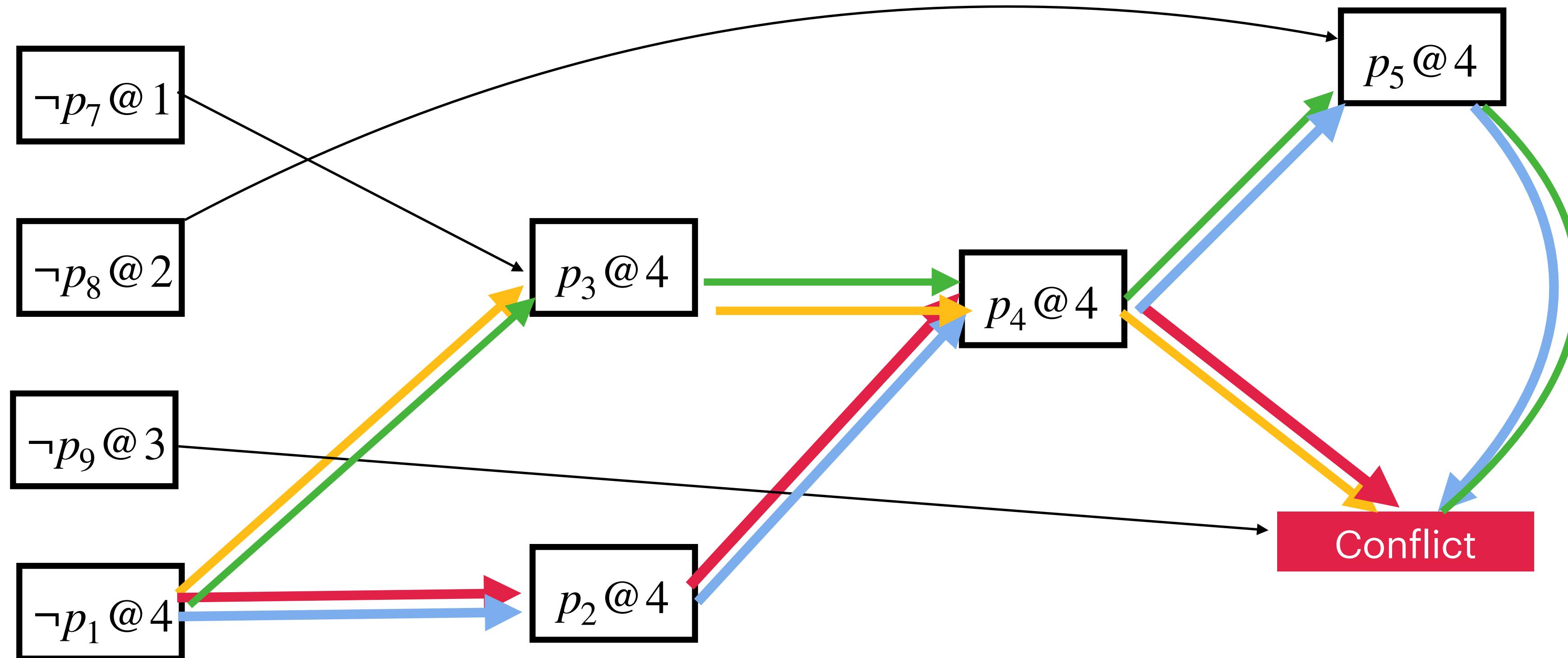
UIP @ 4 = ???

UIP points: In an implication graph, node “ $l @ d$ ” is a UIP at decision level  $d$  if “ $l @ d$ ” occurs in each path from  $d^{th}$  decision literals to the conflict.



UIP @ 4 = ???

UIP points: In an implication graph, node “ $l @ d$ ” is a UIP at decision level  $d$  if “ $l @ d$ ” occurs in each path from  $d^{th}$  decision literals to the conflict.



$$\text{UIP } @ 4 = \neg p_1 @ 4, p_4 @ 4$$

First UIP Point:  
 $p_4 @ 4$

Last UIP Point:  
 $\neg p_1 @ 4$

## **UIP cuts to analyze conflicts:**

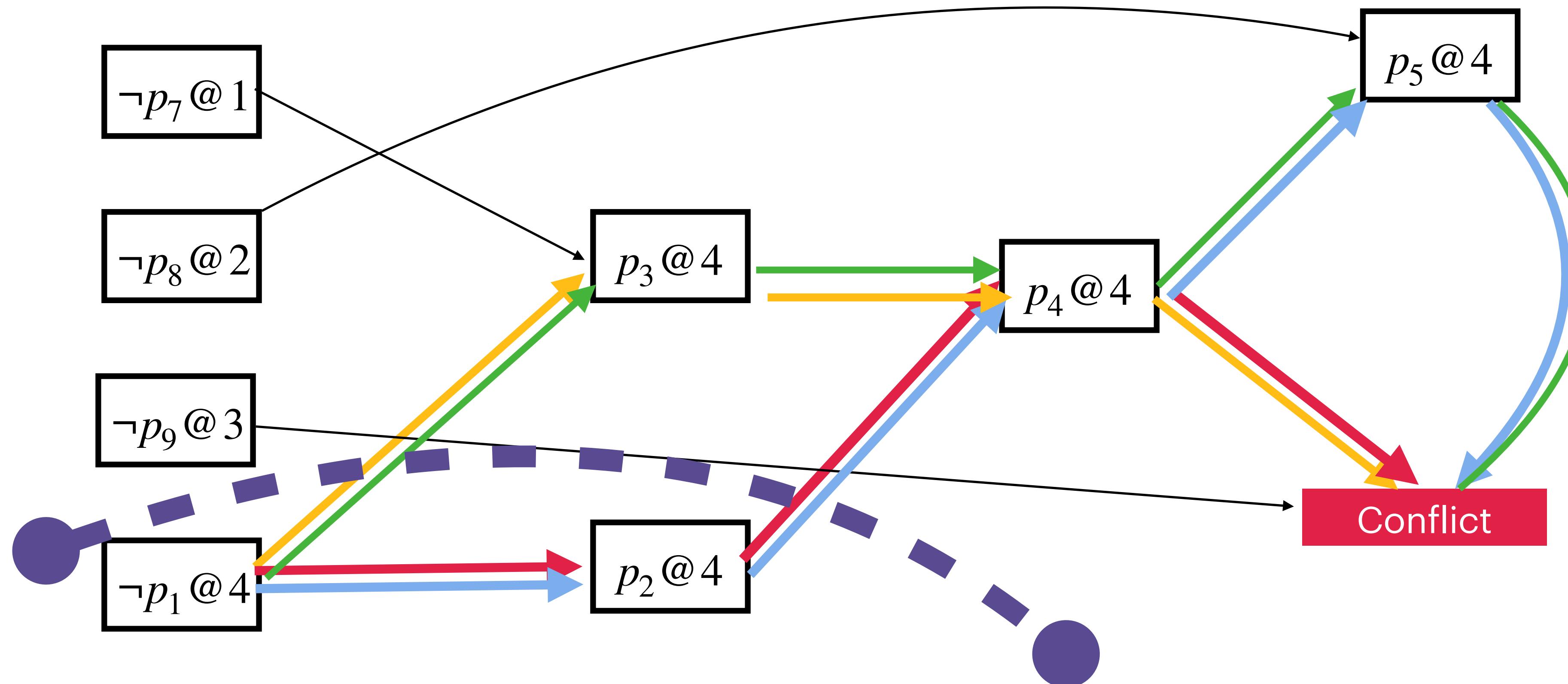
If  $l$  is UIP, then corresponding UIP cut is  $(A, B)$  of the implication graph.

Where,

$B$  contains all the successors of  $l$  from which there is a path to conflict.

$A$  contains the rest.

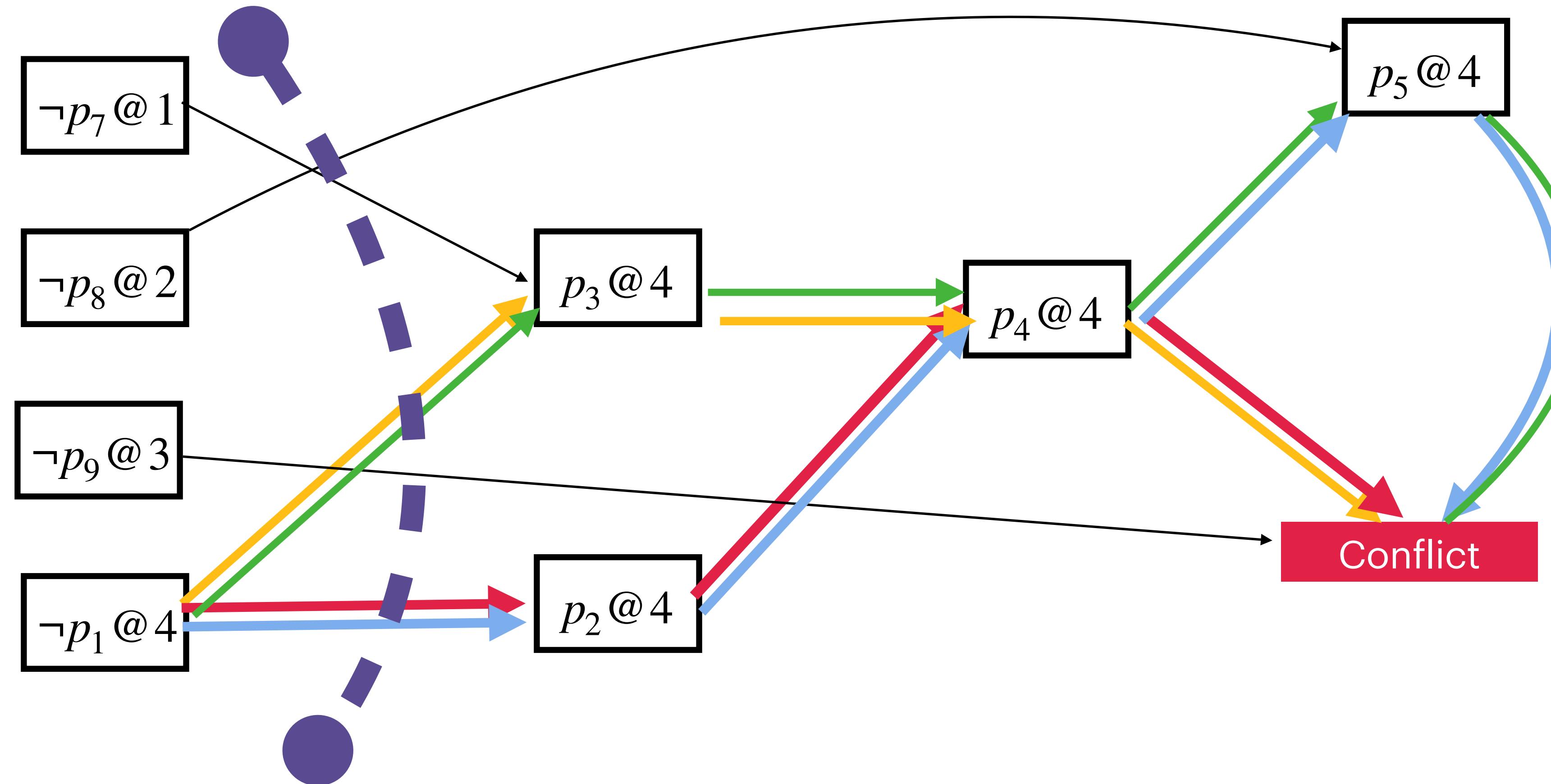
**UIP cuts to analyze conflicts:** If  $l$  is UIP, then corresponding UIP cut is  $(A, B)$  of the implication graph, where  $B$  contains all the successors of  $l$  from which there is a path to conflict, and  $A$  contains the rest.



UIP @ 4 =  $\neg p_1 @ 4, p_4 @ 4$

Is it a UIP cut?

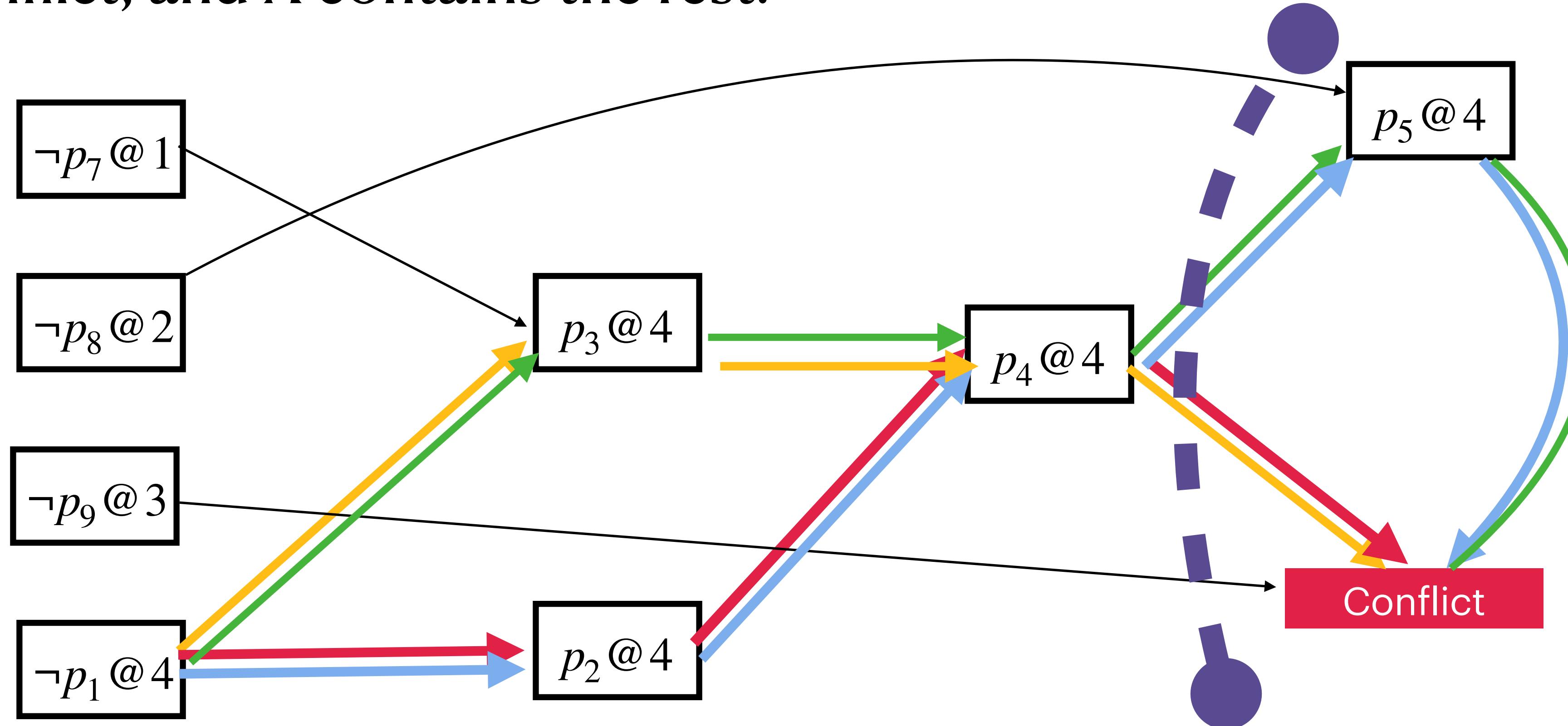
**UIP cuts to analyze conflicts:** If  $l$  is UIP, then corresponding UIP cut is  $(A, B)$  of the implication graph, where  $B$  contains all the successors of  $l$  from which there is a path to conflict, and  $A$  contains the rest.



UIP @ 4 =  $\neg p_1 @ 4, p_4 @ 4$

Is it a UIP cut? Yes, with respect to  $\neg p_1 @ 4$

**UIP cuts to analyze conflicts:** If  $l$  is UIP, then corresponding UIP cut is  $(A, B)$  of the implication graph, where  $B$  contains all the successors of  $l$  from which there is a path to conflict, and  $A$  contains the rest.



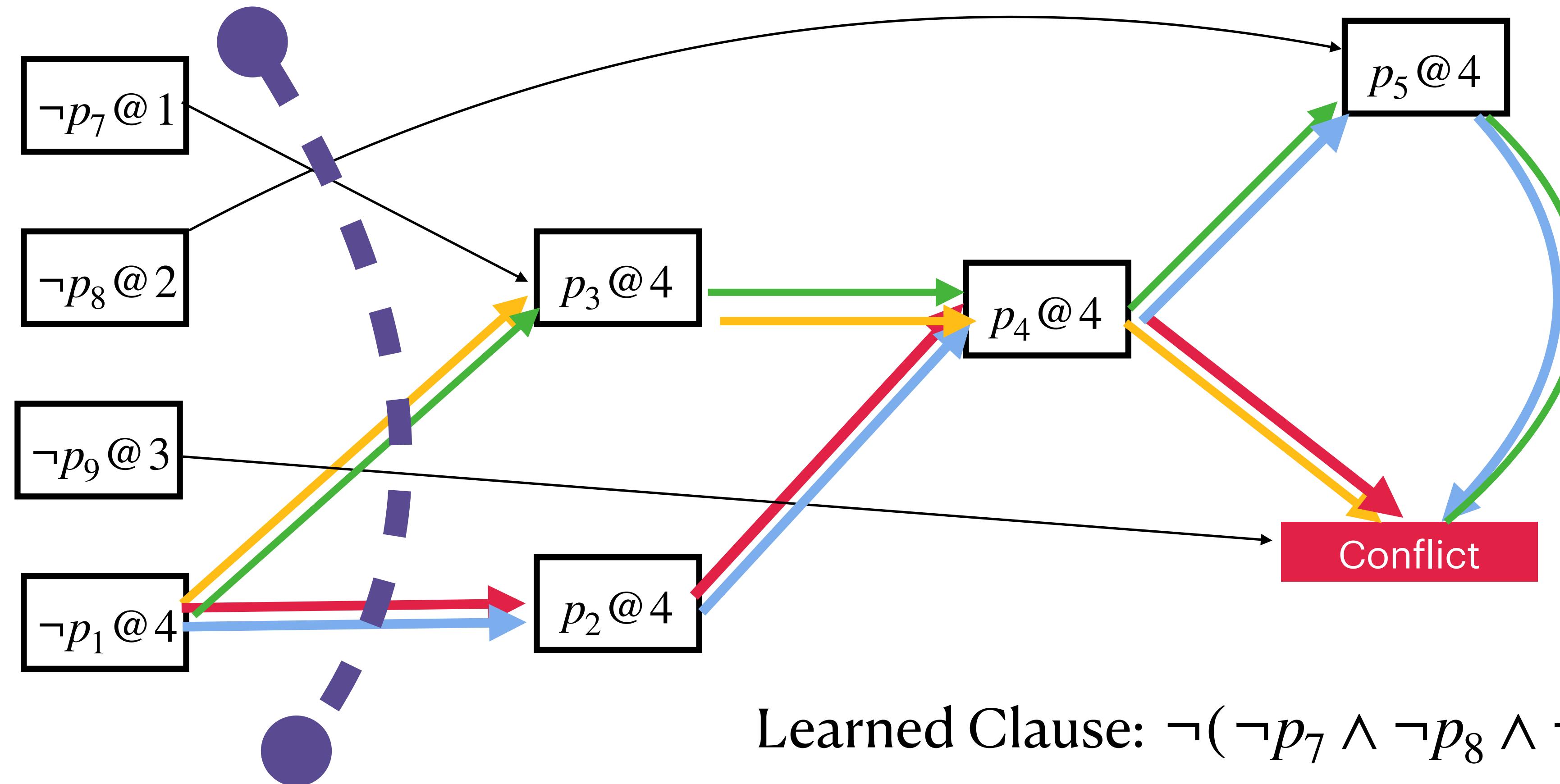
$$\text{UIP } @ 4 = \neg p_1 @ 4, p_4 @ 4$$

Is it a UIP cut?

Yes, with respect to  $p_4 @ 4$

# Learned Conflict Clause from UIP cut

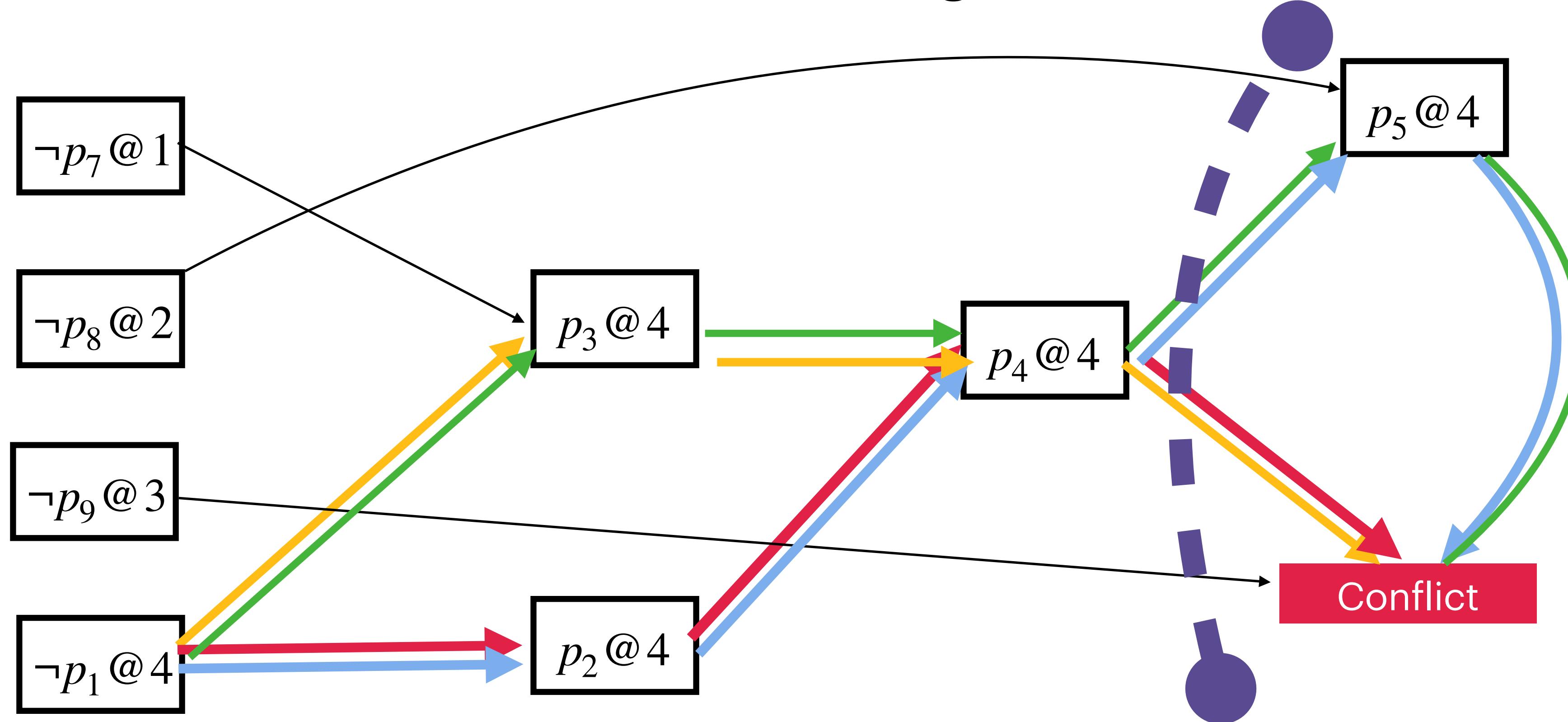
The literals on the A side of the cut, which have an edge directed from A to B, form a clause. These literals are then negated and combined into a disjunction.



$$\text{UIP } @4 = \neg p_1 @4, p_4 @4$$

# Learned Conflict Clause from UIP cut

The literals on the A side of the cut, which have an edge directed from A to B, form a clause. These literals are then negated and combined into a disjunction.



$$\text{UIP } @ 4 = \neg p_1 @ 4, p_4 @ 4$$

$$\text{Learned Clause: } \neg(\neg p_8 \wedge p_4 \wedge \neg p_9)$$