# Assignment 1

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## Exercise 1: Identifying handwritten numbers

# Question 1: Can you think of another application where automatic recognition of hand-written numbers would be useful?

An application where automatic recognition of hand-written numbers would be useful is in sorting mail/posts at central or sub-central postal facilities which allow faster sorting of packages and posts. Another application is for audits where the documents to be audited are mostly handwritten. It would be easier to use a digit recognizer to convert them to computable digits without much human effort.

#### Download mnist

```
mnist <- dataset_mnist()</pre>
```

## Data preparation

New variables called x\_train and x\_test, y\_train and y\_test to avoid overwriting the original images.

```
x_train <- mnist$train$x
y_train <- mnist$train$y
x_test <- mnist$test$x
y_test <- mnist$test$y</pre>
```

Flattening training and test data to remove spatial relationships.

```
x_train <- array_reshape(x_train, c(nrow(x_train), 784))
x_test <- array_reshape(x_test, c(nrow(x_test), 784))
dim(x_train)</pre>
```

```
## [1] 60000 784
dim(x_test)
```

```
## [1] 10000 784
```

Rescaling the above from grayscale to floating point between 0 and 1.

```
x_train <- x_train /255
x_test <- x_test/255</pre>
```

One-hot encoding the vectors in y into binary classes

```
y_train <- to_categorical(y_train, 10)
y_test <- to_categorical(y_test, 10)

# Check it out
y_train %>% as_tibble %>% head(5) %>% kable
```

$\overline{\text{V1}}$	V2	V3	V4	V5	V6	V7	V8	V9	V10
0	0	0	0	0	1	0	0	0	0

V1	V2	V3	V4	V5	V6	V7	V8	V9	V10
1	0	0	0	0	0	0	0	0	0
0	0	0	0	1	0	0	0	0	0
0	1	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	1

### Model definition

Defining, compiling and fitting the model.

```
model <- keras_model_sequential()</pre>
model %>%
 layer_dense(units = 256, input_shape = c(784)) %>%
 layer_dense(units = 10, activation = 'softmax')
summary(model)
## Layer (type)
              Output Shape
                                             Param #
## dense (Dense)
                                              200960
                         (None, 256)
## dense 1 (Dense)
                      (None, 10)
                                              2570
## Total params: 203,530
## Trainable params: 203,530
## Non-trainable params: 0
## ______
model %>% compile(
 loss = 'categorical_crossentropy',
 optimizer = optimizer_rmsprop(),
 metrics = c('accuracy')
history <- model %>% fit(
 x_train, y_train,
 batch_size =128,
 epochs = 12,
 verbose = 1,
 validation_split = 0.2
```

# Question 2: In the output text in your console, how long did each epoch take to run?

There are 12 epochs as defined in our model and on an average each epoch takes about 5s to run.

## Question 3: Plot the training history and add it to your answers

```
history %>%
  as_tibble %>%
  write_csv(path = "histories/history.csv")
```

## history <- read\_csv("histories/history.csv")</pre>

```
## Parsed with column specification:
## cols(
## epoch = col_integer(),
## value = col_double(),
## metric = col_character(),
## data = col_character()
## )
```

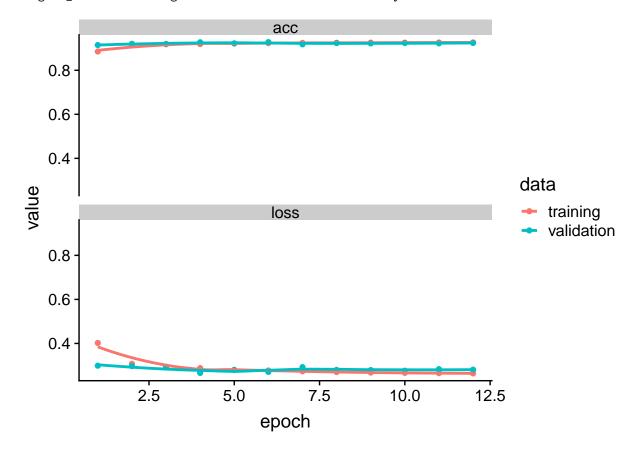
history %>% kable

epoch	value	metric	data
1	0.4021841	loss	training
2	0.3073706	loss	training
3	0.2938989	loss	training
4	0.2879153	loss	training
5	0.2801069	loss	training
6	0.2771453	loss	training
7	0.2734818	loss	training
8	0.2699873	loss	training
9	0.2677428	loss	training
10	0.2664042	loss	training
11	0.2650807	loss	training
12	0.2639824	loss	training
1	0.8852500	acc	training
2	0.9133334	acc	$\operatorname{training}$
3	0.9179792	acc	training
4	0.9189375	acc	training
5	0.9221875	acc	training
6	0.9232708	acc	training
7	0.9243125	acc	training
8	0.9246666	acc	training
9	0.9255208	acc	training
10	0.9253125	acc	training
11	0.9261875	acc	training
12	0.9265417	acc	training
1	0.2988635	loss	validation
2	0.2970077	loss	validation
3	0.2922458	loss	validation
4	0.2659858	loss	validation
5	0.2795259	loss	validation
6	0.2706185	loss	validation
7	0.2923905	loss	validation
8	0.2797627	loss	validation
9	0.2790304	loss	validation
10	0.2761676	loss	validation
11	0.2830916	loss	validation
12	0.2806991	loss	validation
1	0.9145833	acc	validation
2	0.9200833	acc	validation
3	0.9197500	acc	validation
4	0.9270833	acc	validation
5	0.9218333	acc	validation

epoch	value	metric	data
6	0.9278333	acc	validation
7	0.9178333	acc	validation
8	0.9238333	acc	validation
9	0.9220000	acc	validation
10	0.9237500	acc	validation
11	0.9222500	acc	validation
12	0.9240000	acc	validation

```
ggplot(history, aes(epoch, value, colour = data)) +
  geom_point() +
  geom_smooth(se = F) +
  facet_wrap(~metric, ncol = 1)
```

## `geom\_smooth()` using method = 'loess' and formula 'y ~ x'



Question 4: Describe how the accuracy on the training and validation sets progress differently across epochs, and what this tells us about the generalisation of the model.

From the plot above we can see that model starts off with a better accuracy on the validation set than the training set. But the training set gradually catches up to the validation set by the 4th epoch and shows a gentle increase. The accuracy on the validation data slightly dips in the last epoch, but is still similar to the training data. This indicates that the difference between the accuracy on training data and new data is small. This means that the model generalises well.

## Question 5: What values do you get for the model's accuracy and loss?

```
model %>% evaluate(
x test, y test,
 verbose = 0
) %>%
  as_tibble %>%
  write_csv("scores/score.csv")
score <- read_csv("scores/score.csv")</pre>
## Parsed with column specification:
## cols(
##
     loss = col_double(),
##
     acc = col double()
## )
score %>% kable
                                             loss
                                                      acc
                                        0.2844012
                                                   0.9232
```

# Question 6: Discuss whether this accuracy is sufficient for some uses of automatic hand-written digit classification.

Though the accuracy is not high enough for sensitive applications which require high performance and accuracy, it can be safely said that it would not be a bad choice to use the same in reducing manual work such as sorting postal codes. Because in postal codes there are various levels at which this recognizer can be checked such as the postal code, street number, house number and tied to a validator system which will raise an alarm if the letter is wrongly sorted. 1 mistake in every 10 digits[approximately] is not such a bad thing after all.

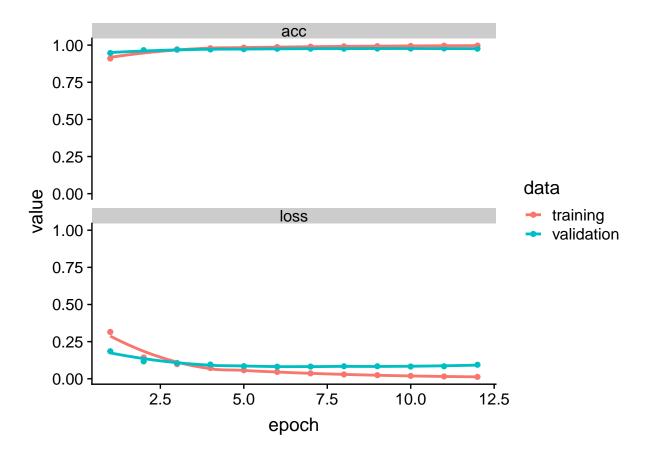
## Changing the model parameters

# Question 7: How does linear activation of units limit the possible computations this model can perform?

We cannot perform non-linear mappings from inputs to outputs. If we use linear activations here, we do not get enough information to classify the outputs correctly. All we get is a weighted average at the end [another linear function], so we may end up losing specific information. Moreover, if we need non-linear decision boundary for our classification we won't be able to achieve that with a linear activation of units.

## Question 8: Plot the training history and add it to your answers

```
historyRelu %>%
  as_tibble %>%
  write_csv(path = "histories/history_relu.csv")
historyRelu <- read_csv("histories/history_relu.csv")</pre>
## Parsed with column specification:
## cols(
     epoch = col_integer(),
##
     value = col_double(),
##
    metric = col_character(),
     data = col_character()
##
## )
ggplot(historyRelu, aes(epoch, value, colour = data)) +
  geom_point() +
  geom_smooth(se = F) +
 facet_wrap(~metric, ncol = 1)
## `geom_smooth()` using method = 'loess' and formula 'y ~ x'
```



Question 9: How does the training history differ from the previous model, for the training and validation sets? What does this tell us about the generalisation of the model?

The performance on the validation set is a lower than the performance on the trainingset. However, the history of the training and validation sets are still relatively similar. The model generalizes well, perhaps the difference between test and training performance will be a little bit larger than that of the previous model.

# Question 10: How does the new model's accuracy on test set classification differ from the previous model? Why do you think this is?

This model scores better on the test data than the previous model. This is unsurprising, the performance on the validation set was consistently higher than that of the previous model. The difference between training and test accuracy is a little bit higher, but the difference is small.

```
scoreRelu <- modelRelu %>% evaluate(
    x_test, y_test,
    verbose = 0
) %>%
    as_tibble %>%
    write_csv("scores/score_relu.csv")

scoreRelu <- read_csv("scores/score_relu.csv")

## Parsed with column specification:
## cols(
## loss = col_double(),</pre>
```

```
## acc = col_double()
## )
scoreRelu %>% kable
```

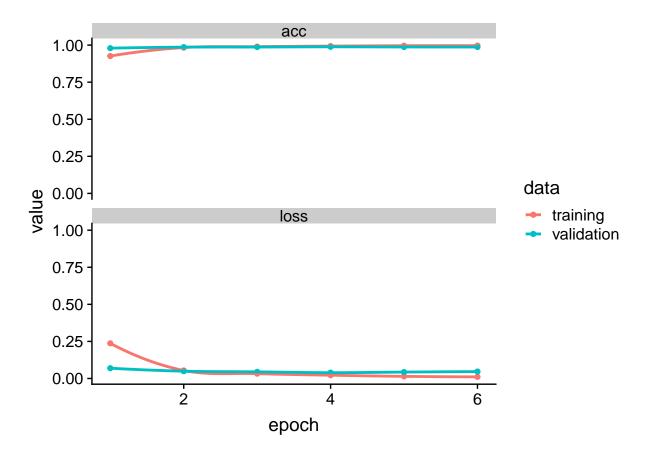
loss	acc
0.0841106	0.9763

## Deep convolutional networks

```
x_train_new = mnist$train$x
x_test_new = mnist$test$x
y_train_new = mnist$train$y
y_test_new = mnist$test$y
x_train_new <- array_reshape(x_train_new, c(nrow(x_train_new), 28, 28, 1))
dim(x_train_new)
## [1] 60000
              28
                   28
                         1
x_test_new <- array_reshape(x_test_new, c(nrow(x_test_new), 28, 28, 1))</pre>
dim(x_test_new)
## [1] 10000
              28
                   28
x_train_new = x_train_new/255
x_{test_new} = x_{test_new}/255
y_train_new <- to_categorical(y_train_new)</pre>
y_test_new <- to_categorical(y_test_new)</pre>
modelDeep <- keras_model_sequential() %>%
 layer_conv_2d(filters = 32, kernel_size = c(3,3),activation = 'relu', input_shape = c(28,28,1)) %>%
 layer_conv_2d(filters = 64, kernel_size = c(3,3),activation = 'relu') %>%
 layer_max_pooling_2d(pool_size = c(2,2)) %>%
 layer_flatten() %>%
 layer_dense(units = 128, activation = 'relu') %>%
 layer_dense(units = 10, activation = 'softmax')
summary(modelDeep)
## Layer (type)
                         Output Shape
## conv2d (Conv2D)
                               (None, 26, 26, 32)
## conv2d_1 (Conv2D)
                               (None, 24, 24, 64)
                                                         18496
## max_pooling2d (MaxPooling2D) (None, 12, 12, 64)
## flatten (Flatten)
                              (None, 9216)
## dense 4 (Dense)
                       (None, 128)
                                                      1179776
## dense 5 (Dense) (None, 10)
```

## Question 11: Plot the training history and add it to your answers

```
historyDeep %>%
  as_tibble %>%
  write_csv(path = "histories/history_deep.csv")
historyDeep <- read_csv("histories/history_deep.csv")</pre>
## Parsed with column specification:
## cols(
##
     epoch = col_integer(),
##
     value = col_double(),
##
    metric = col_character(),
     data = col_character()
ggplot(historyDeep, aes(epoch, value, colour = data)) +
  geom_point() +
  geom_smooth(se = F) +
 facet_wrap(~metric, ncol = 1)
```



Question 12: How does the training history differ from the previous model, for the training and validation sets? What does this tell us about the generalisation of the model?

The performance on the training set and the validation set are very similar for this model, so it is likely to perform similar on out of training data and training data. The validation performance is a bit lower, but it does not differ much.

## Question 13: What values do you get for the model's accuracy and loss?

```
scoreDeep <- modelDeep %>% evaluate(
    x_test_new, y_test_new,
    verbose = 0
) %>%
    as_tibble %>%
    write_csv("scores/score_deep.csv")

scoreDeep <- read_csv("scores/score_deep.csv")

## Parsed with column specification:
## cols(
## loss = col_double(),
## acc = col_double()
## )</pre>
```

loss	acc
0.0316117	0.9895

# Question 14: Discuss whether this accuracy is sufficient for some uses of automatic hand-written digit classification.

The accuracy is fairly sufficient for automatic hand-written digit classification in applications where 1 mistake in a 100 digits is doable and can be checked manually further on. For example: in postal codes there are multiple levels of check involved where there is not just a postal code, but also house number, street number etc.

# Question 15: Describe the principles of overfitting and how dropout can reduce this.

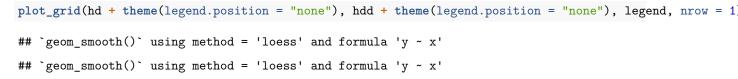
Large neural nets trained on relatively small datasets can overfit the training data. This has the effect of the model learning the statistical noise in the training data, which results in poor performance when the model is evaluated on new data, e.g. a test dataset. Out of training error increases due to overfitting. Dropout prevents overfitting due to a layer's "over-reliance" on a few of its inputs. Because these inputs aren't always present during training (i.e. they are dropped at random), the layer learns to use all of its inputs, improving generalization. What you describe as "overfitting due to too many iterations" can be countered through early stopping.

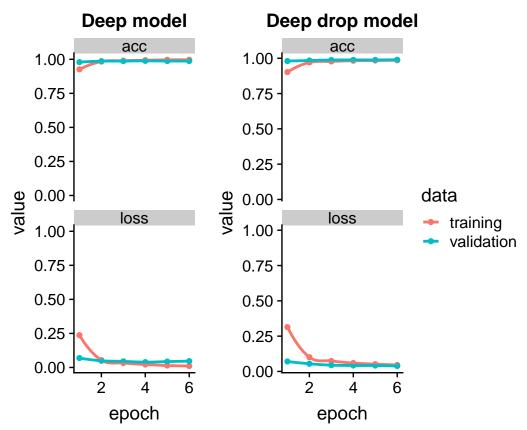
```
modelDeepDrop <- keras_model_sequential() %>%
  layer_conv_2d(filters = 32, kernel_size = c(3,3),activation = 'relu', input_shape = c(28,28,1)) %>%
  layer_conv_2d(filters = 64, kernel_size = c(3,3),activation = 'relu') %>%
  layer_max_pooling_2d(pool_size = c(2,2)) %>%
  layer_dropout(rate = 0.25) %>%
  layer_flatten() %>%
  layer_flatten() %>%
  layer_dense(units = 128, activation = 'relu') %>%
  layer_dropout(rate = 0.5) %>%
  layer_dense(units = 10, activation = 'softmax')
  summary(modelDeepDrop)
```

```
##
## Layer (type)
                  Output Shape
                                           Param #
## conv2d_2 (Conv2D)
                       (None, 26, 26, 32)
## conv2d_3 (Conv2D)
                       (None, 24, 24, 64)
                                       18496
## max_pooling2d_1 (MaxPooling2D) (None, 12, 12, 64)
## dropout (Dropout)
                        (None, 12, 12, 64)
## flatten_1 (Flatten)
                        (None, 9216)
## dense_6 (Dense)
                        (None, 128)
                                            1179776
## dropout_1 (Dropout) (None, 128)
                                         Ω
## dense_7 (Dense)
                        (None, 10)
                                            1290
```

Question 16: How does the training history differ from the previous (convolutional) model, for both the training and validation sets, and for the time taken to run each model epoch?

```
historyDeepDrop %>%
  as tibble %>%
  write_csv(path = "histories/history_deepdrop.csv")
historyDeepDrop <- read csv("histories/history deepdrop.csv")
## Parsed with column specification:
## cols(
##
     epoch = col_integer(),
   value = col_double(),
##
     metric = col_character(),
    data = col_character()
##
## )
hd <- ggplot(historyDeep, aes(epoch, value, colour = data)) +
  geom_point() +
  geom_smooth(se = F) +
  facet_wrap(~metric, ncol = 1) +
  ggtitle("Deep model")
hdd <- ggplot(historyDeepDrop, aes(epoch, value, colour = data)) +
  geom_point() +
  geom smooth(se = F) +
  facet_wrap(~metric, ncol = 1) +
  ggtitle("Deep drop model")
legend <- get_legend(hdd)</pre>
```





In the dropout model training loss stays above validation loss. In the deep model this was not the case. Training time was about 10 seconds longer on average.

# Question 17: What does this tell us about the generalisation of the two models? The models generalise well, training and validation performance are very close for both models.

# Question 18: What code did you use to define the model described here?

```
cifar10 <- dataset_cifar10()

x_train_cifar <- cifar10$train$x

x_test_cifar <- cifar10$test$x

y_train_cifar <- cifar10$train$y

y_test_cifar <- cifar10$test$y

x_train_cifar <- x_train_cifar/255</pre>
```

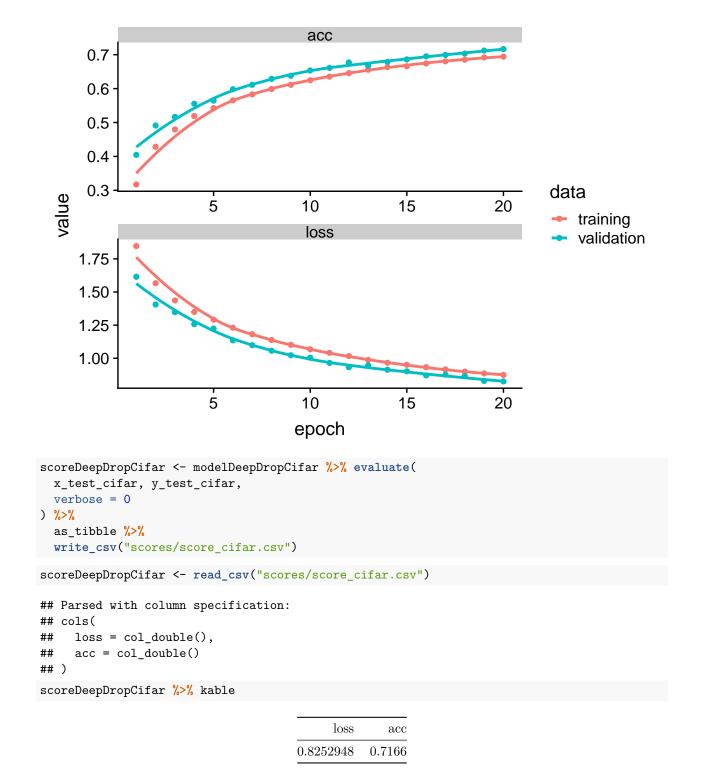
```
x_test_cifar <- x_test_cifar/255</pre>
y_train_cifar <- to_categorical(y_train_cifar, 10)</pre>
y_test_cifar <- to_categorical(y_test_cifar, 10)</pre>
modelDeepDropCifar <- keras model sequential() %>%
 layer_conv_2d(filters = 32, kernel_size = c(3,3),activation = 'relu', input_shape = c(32, 32, 3), pad
 layer conv 2d(filters = 32, kernel size = c(3,3),activation = 'relu') %>%
 layer_max_pooling_2d(pool_size = c(2,2)) %>%
 layer_dropout(rate = 0.25) %>%
 layer_conv_2d(filters = 32, kernel_size = c(3,3),activation = 'relu', padding = 'same') %>%
 layer_conv_2d(filters = 32, kernel_size = c(3,3),activation = 'relu') %>%
 layer_max_pooling_2d(pool_size = c(2,2)) %>%
 layer_dropout(rate = 0.25) %>%
 layer_flatten() %>%
 layer_dense(units = 512, activation = 'relu') %>%
 layer_dropout(rate = 0.5) %>%
 layer_dense(units = 10, activation = 'softmax')
summary(modelDeepDropCifar)
## Layer (type)
             Output Shape
## conv2d 4 (Conv2D)
                            (None, 32, 32, 32)
                            (None, 30, 30, 32)
## conv2d_5 (Conv2D)
                                                    9248
## max_pooling2d_2 (MaxPooling2D) (None, 15, 15, 32)
## dropout_2 (Dropout)
                             (None, 15, 15, 32)
## conv2d_6 (Conv2D)
                            (None, 15, 15, 32) 9248
## conv2d_7 (Conv2D)
                            (None, 13, 13, 32)
                                                    9248
## ______
## max_pooling2d_3 (MaxPooling2D) (None, 6, 6, 32)
## dropout_3 (Dropout)
                             (None, 6, 6, 32)
## flatten 2 (Flatten)
                            (None, 1152)
## dense_8 (Dense)
                             (None, 512)
                                                    590336
## dropout 4 (Dropout)
                             (None, 512)
## dense_9 (Dense)
                         (None, 10)
                                                    5130
## Total params: 624,106
## Trainable params: 624,106
## Non-trainable params: 0
## ______
modelDeepDropCifar %>% compile(
loss = 'categorical_crossentropy',
```

```
optimizer = optimizer_rmsprop(lr = 0.0001, decay = 1e-6),
    metrics = c('accuracy')
)

historyDeepDropCifar<- modelDeepDropCifar %>% fit(
    x_train_cifar, y_train_cifar,
    batch_size = 32,
    epochs = 20,
    verbose = 1,
    validation_data = list(x_test_cifar, y_test_cifar),
    validation_split = 0.2,
    shuffle = TRUE
# The data in the cifar set is not ordered.
# So setting a shuffle = TRUE is void and
# has no effect on the accuracy of the
# said model.
)
```

Question 19: Execute this model fit command. After your fitting is finished, plot the training history and put it in your answers.

```
historyDeepDropCifar %>%
  as_tibble %>%
  write_csv(path = "histories/history_cifar.csv")
historyDeepDropCifar <- read_csv("histories/history_cifar.csv")
## Parsed with column specification:
## cols(
    epoch = col_integer(),
##
   value = col_double(),
    metric = col_character(),
##
    data = col_character()
## )
ggplot(historyDeepDropCifar, aes(epoch, value, colour = data)) +
 geom_point() +
  geom_smooth(se = F) +
 facet_wrap(~metric, ncol = 1, scales = "free")
## `geom_smooth()` using method = 'loess' and formula 'y ~ x'
```



Question 20: How does the training history differ from the convolutional model for digit recognition? Why do you think this is?

The accuracy and loss function seem to take more epochs before they plateau. This is because it takes the model longer to figure out the patterns in the data. The training loss is slightly higher than the validation loss. This is also because of the addition of dropouts which adds some noise to the data to prevent overfitting.

# Question 21: How does the time taken for each training epoch differ from the convolutional model for digit recognition? Give several factors that may contribute to this difference

Each epoch took roughly 112.45 seconds on average. The network is more convoluted, and has a deeper layering than the previous models. Hence it takes longer to run.

# Question 22: Read the research paper "Performance-optimized hierarchical models predict neural responses in higher visual cortex"

Available from: http://www.pnas.org/content/pnas/111/23/8619.full.pdf. Write a short (~500 word) summary of the experimental approach and results.

#### Problem:

Diverse tunings of neurons in the inferior temporal cortex are difficult to characterize. Objective: Modelling approach to yield a quantitatively accurate model of the Inferior Temporal Cortex. The task is to find a neural network model that matches or maybe even exceeds human performance on object recognition tasks.

### Approach:

Measurement of Neural ITC responses are made on an image set consisting of 5760 photorealistic 3d images in cluttered backgrounds, which would be otherwise difficult for a vision system to recognize. This is done using electrode arrays from 168 ITC neurons. Then high throughput computation was used to evaluate other neural network models on the same image set measuring categorization performance as well as ITC neural predictivity. Categorization performance was measured on Support Vector Machines Linear Classifier and cross validation testing was done on them. To assess the neural predictivity a linear regression for each target ITC neuron site was used which was mapped to identifying a synthetic neuron built on linear weighting of the model outputs that would resemble or match that space on fixed sample images and then tested response predictions against actual neural site outputs on novel images. Models were built from large parameter space of CNN's which approximated the general retinotopic structure of the ventral system through spatial complexity through computations in any particular region of vision identical to other places. The CNN layers were stacked hierarchically to create deep neural networks.

### Results:

The steps followed show that there is optimization involved to directly guide neural mechanisms. A model with perfect neural predictivity in Inferior Temporal Cortex will exhibit high performance because the ITC itself does. The converse being true is demonstrated within a biologically plausible model class which is made by combining high throughput computational and electrophysiology techniques to explore biologically plausible hierarchical neural network models and then measure them against V4 and ITC. This is also used to show that there is a strong correlation between a model's performance on high variation object recognition and translating it to predict individual ITC neuron firings. It is also proved that top down performance thresholds directly shape the intermediary visual representations.

# Question 23: Play around with these settings and see how they affect your ability to learn classification of different data sets.

Write down what you found and how you interpret the effects of these settings. Depending on your inclination and how long the other questions took you, this may be 10 minutes work or an hour.

## Findings:

We need to classify a bunch of points based on their location in a 2d image. The data set consists of different coordinates classified as blue or orange. Our objective here is to create a neural network that, given no

prior knowledge, can figure out if a given point should be blue or orange and predicts successfully which classification it should be. We know ahead of time the correct classification for each of the points using which we will train our neural network. Starting with a dataset that we want to play with. The inputs are the x and y coordinates of each data point. So, for classification our neural network only works with these two values and they start off as equally weighted. So, each of the inputs are connected to neurons in the hidden layer by the factor of a weight, which can be adjusted/manipulated to create the learning that we want. These in turn are fed into more hidden layers or the output neurons, which will ultimately decide which classification will be predicted. Keeping in mind, this is a binary classification problem, i.e. either blue or orange. Thus, we only need a single signal in actuality which comes into the output. The thickness of the connections signify their weights.

### **Settings:**

- . Learning rate:
  - With what rate are the weights adapted? How large is the effect of the error on each weight. You don't want the learning rate to be to high; every mistake will bring about large changes in the network. If the learning rate is very low however, it takes the network longer to adapt to the feedback.
- . Activation:
  - The activation function. Defines relation between input of a neuron and the output. A linear relation leads to an increase in output equal to increase in input. ReLu, Sigmoid, or Tanh, impose certain thresholds. The input needs to exceed this threshold to lead to activation of the neuron. Sigmoid and tanh have a upper limit for the input strength to lead to activation of the neuron, in addition to the lower threshold.
- . Regularization:
  - Kind of smooths the model prediction. Reduces the variability of the model and consequently can prevent overfitting.
- . Regularization rate:
  - How much the model is regularised.
- . Problem type:
  - Classification/regression
- . Ratio of training to test data:
  - How much of the data should be used for training the model and how much should be used to test the model?
- . Noise:
  - How large should the irreducible error of the data be?
- . Batch size:
  - The number of datapoints that are used per iteration to train the network. The smaller, the more accurate, yet it takes longer.
- . Input features:
  - What features do we use to categorise the x and y coordinates?
- . Hidden layers:
  - How many hiddenlayers do we use.
- . n neurons:
  - How many neurons does each layer have?

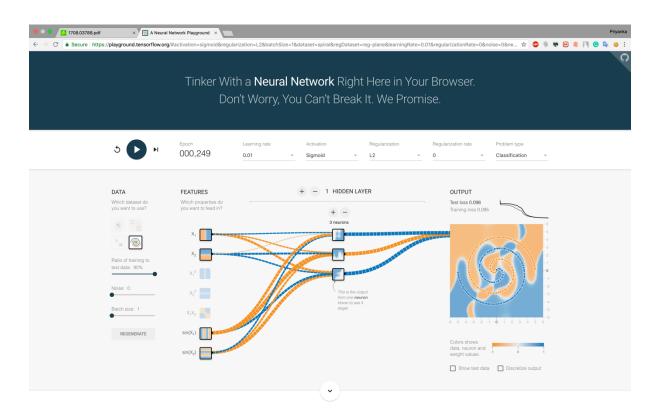


Figure 1: Spiral recognition model

# Question 24: What is the minimum you need in the network to classify the spiral shape with a test set loss of below 0.1?

- . Learning rate: 0.01 . Activation: Sigmoid . Regularization: L2 . Regularization rate: 0 (default) . Problem type: Classification . Ratio of training to test data: 90:10 . Noise: 0 (default) . Batch size: 1
- 1 [Mini batch; gives more improved accuracy but is computationally more expensive] Most of the parameters have been left as default as the question asks for the "minimum" needed in the network to classify the data. Before the 230th epoch, we have already achieved Test loss of below 0.1 as asked in the question.
- . Input features: X1, X2, Sin(X1) and Sin(X2) . Hidden layers: 1 . n neurons: 3 neurons