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A polynomial Zsigmondy theorem

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ABSTRACT

We find an analogue of the primitive divisor results of Bang and Zsigmondy in polynomial rings.

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1. Introduction

A prime divisor of a term A_n of a sequence $(A_n)_{n\geqslant 1}$ in a unique factorization domain is called primitive if it divides no earlier term. The classical Zsigmondy theorem [6], generalizing earlier work of Bang [1] in the case b=1, shows that every term beyond the sixth in the sequence $(a^n-b^n)_{n\geqslant 1}$ has a primitive divisor (where a>b>0 are coprime integers). Results of this form are important in group theory and in the theory of recurrence sequences (see the monograph [2, Sect. 6.3] for a discussion and references).

Our purpose here is to consider similar questions in polynomial rings. The arguments used follow well-established lines with some modifications needed to avoid terms in the sequence where the Frobenius automorphism precludes primitive divisors. We show that every term beyond the second admits a primitive divisor. Related results for Lucas sequences and elliptic divisibility sequences in function fields have recently been found by Ingram, Mahé, Silverman, Stange, Streng [3].

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2. Polynomial analogues

Let k be a field, and consider a sequence $(F_n)_{n\geqslant 1}$ of elements of k[T]. Since k[T] is a unique factorization domain, each term of the sequence factorizes into a product of irreducible polynomials over k, so we may ask which terms have an irreducible factor which is not a factor of an earlier term. Irreducible factors with this property will be called *primitive prime divisors*. As usual, we write $\operatorname{ord}_{\pi} h$ (or $\operatorname{ord}_{p} n$) for the maximal power to which an irreducible π divides h in k[T] (or to which a rational prime p divides n in \mathbb{Z}).

The specific sequence we are interested in has $F_n = f^n - g^n$, where f, g are non-zero, coprime polynomials in k[T] which are not both units.

Lemma 2.1. If $\pi \in k[T]$ is an irreducible dividing F_n for some $n \ge 1$, then for char(k) = p > 0,

$$\operatorname{ord}_{\pi}(F_{mn}) = p^{\operatorname{ord}_{p}(m)} \operatorname{ord}_{\pi}(F_{n}),$$

and for char(k) = 0,

$$\operatorname{ord}_{\pi}(F_{mn}) = \operatorname{ord}_{\pi}(F_n),$$

for any $m \ge 1$.

Proof. We may write

$$f^n - g^n = \pi^{\operatorname{ord}_{\pi}(F_n)} Q$$

for some $Q \in k[T]$ with $\pi \nmid Q$. Write $a = \operatorname{ord}_{\pi}(F_n)$, so

$$f^{mn} = (g^n + \pi^a Q)^m = g^{mn} + \sum_{i=1}^m {m \choose i} \pi^{ai} Q^i g^{n(m-i)}.$$

Thus

$$F_{mn} = m\pi^a g^{n(m-1)} Q + \sum_{i=2}^m {m \choose i} \pi^{ai} Q^i g^{n(m-i)}.$$

We deduce that if char(k) = p > 0, then for $p \nmid m$ (or for char(k) = 0),

$$\operatorname{ord}_{\pi}(F_{mn}) = \operatorname{ord}_{\pi}(F_n).$$

Now suppose that $m = p^e k$ with e > 0 and $p \nmid k$. Then, for char(k) = p > 0,

$$f^{nm} - g^{nm} = (f^{nk} - g^{nk})^{p^e}.$$

Now $\operatorname{ord}_{\pi}(F_{nk}) = \operatorname{ord}_{\pi}(F_n)$ since $p \nmid k$, so $\operatorname{ord}_{\pi}(F_{mn}) = p^e \operatorname{ord}_{\pi}(F_n)$ as required. \square

Recall that a sequence (F_n) is a divisibility sequence if $F_r \mid F_s$ whenever $r \mid s$, and is a strong divisibility sequence if $gcd(F_r, F_s) = F_{gcd(r,s)}$ for all $r, s \ge 1$.

Proposition 2.2. The sequence $(F_n)_{n \ge 1}$ is a strong divisibility sequence.

Before we prove this we require a few subsidiary results. Recall from [4, Prop. 2.13] the following basic properties of the resultant of two homogeneous polynomials.

Proposition 2.3. Write

$$A(X, Y) = a_0 \prod_{j=1}^{n} (X - \alpha_j Y)$$

and

$$B(X, Y) = b_0 \prod_{j=1}^{m} (X - \beta_j Y)$$

for some α_i , $\beta_i \in \bar{k}$. Then

Res(A, B) =
$$a_0^n b_0^m \prod_{i=1}^n \prod_{j=1}^m (\alpha_i - \beta_j).$$

Moreover, there exists homogeneous polynomials $F_1(X,Y)$, $G_1(X,Y)$ of degree m-1 and homogeneous polynomials $F_2(X,Y)$, $G_2(X,Y)$ of degree n-1 in $\mathbb{Z}[a_0,\ldots,a_n,b_0,\ldots,b_m][X,Y]$ with the property that

$$F_1A + G_1B = \text{Res}(A, B)X^{m+n-1},$$

 $F_2A + G_2B = \text{Res}(A, B)Y^{m+n-1}.$

We now proceed with the proof of Proposition 2.2. For $c \in \mathbb{N}$ write

$$P_c(X, Y) = \frac{X^c - Y^c}{X - Y} = \sum_{i=0}^{c-1} X^{c-1-i} Y^i.$$

Lemma 2.4. Let m, n be positive coprime integers. Then $Res(P_m, P_n) = \pm 1$.

Proof. Notice that (by the definition of the resultant as a determinant)

$$Res(A, B) \in \mathbb{Z}[a_0, ..., a_n, b_0, ..., b_m];$$

moreover the statement of the lemma is independent of the characteristic, so we may work in characteristic zero without loss of generality.

By Proposition 2.3 we have $\operatorname{Res}(P_m,P_n)=\prod_{i=1}^{n-1}\prod_{j=1}^{m-1}(\zeta_n^i-\zeta_m^j)$ where ζ_d denotes any choice of primitive dth root of unity. Thus each factor in the product takes the form $\zeta_{n'}-\zeta_{m'}$ for m',n'>1 divisors of m,n respectively. Now

$$\zeta_{m'}-\zeta_{n'}=\zeta_{m'}(1-\overline{\zeta_{m'}}\zeta_{n'}),$$

and, since m', n' are coprime, $1 - \overline{\zeta_{m'}}\zeta_{n'} = 1 - \eta_{m'n'}$ for some primitive m'n'th root of unity $\eta_{m'n'}$. Since m'n' has at least two distinct prime factors, it follows from [5, Prop. 2.8] that $1 - \eta_{m'n'}$ is a unit in $\mathbb{Z}[\zeta_{m'n'}]$. Hence $\operatorname{Res}(P_m, P_n)$ is a product of units and is thus a unit. Since $\operatorname{Res}(P_m, P_n) \in \mathbb{Z}$, this means that $\operatorname{Res}(P_m, P_n) \in \{\pm 1\}$. \square

Corollary 2.5. Let f, g be coprime elements of a unique factorization domain R. Then for positive coprime integers m, n, $P_m(f,g)$, $P_n(f,g)$ are coprime.

Proof. From Proposition 2.3, we see that the ideal I of R generated by $P_m(f,g)$ and $P_n(f,g)$ contains f^{m+n-1} and g^{m+n-1} . Since f^{m+n-1} , g^{m+n-1} are coprime, it follows that $1 \in I$, and the result follows. \square

We now have all that is needed to prove strong divisibility.

Proof of Proposition 2.2. Let $d = \gcd(m, n)$. We note that

$$f^{m} - g^{m} = (f^{d} - g^{d})P_{m/d}(f^{d}, g^{d})$$

and

$$f^{n} - g^{n} = (f^{d} - g^{d})P_{n/d}(f^{d}, g^{d}).$$

Now from Corollary 2.5 we see that $P_{m/d}(f^d, g^d)$ and $P_{n/d}(f^d, g^d)$ are coprime, and the result follows. \Box

We will also make use of the following simple observation. Let K be a field, and let $\Phi_d \in K[x,y]$ denote the dth homogeneous cyclotomic polynomial. Then if n>2 and f, g are not both units of K[x], $\Phi_n(f,g)$ is not a unit of K[x]. To see this note that $\Phi_n(f,g)$ is a unit of K[x] if and only if $f-\zeta g$ is a unit for all $\phi(n)$ primitive nth roots of unity ζ . This is clearly impossible if $\phi(n)\geqslant 2$ and at least one of the polynomials f, g is a non-unit.

The preparatory results Lemma 2.1, Proposition 2.2 and the observation above combine to give a polynomial form of Zsigmondy's theorem as follows.

Theorem 2.6. Suppose $\operatorname{char}(k) = p > 0$, and let F' be the sequence obtained from $(F_n)_{n \ge 1}$ by deleting the terms F_n with $p \mid n$. Then each term of F' beyond the second has a primitive prime divisor. If $\operatorname{char}(k) = 0$, then the full sequence $(F_n)_{n \ge 1}$ has the property that all terms beyond the second have a primitive prime divisor.

Proof. Notice that

$$F_n = \prod_{d|n} \Phi_d(f, g), \tag{1}$$

and so

$$\Phi_n(f,g) = \prod_{d|n} F_d^{\mu(n/d)}$$

by Möbius inversion. Thus

$$\operatorname{ord}_{\pi}\left(\Phi_{n}(f,g)\right) = \sum_{d|n} \mu\left(\frac{n}{d}\right) \operatorname{ord}_{\pi}(F_{d}) \tag{2}$$

for any prime $\pi \in k[T]$. Suppose now that π is a prime divisor of F_n which is not primitive, so that $\pi \mid F_m$ for some m < n chosen to be minimal with that property. Then $m \mid n$ by Proposition 2.2 and

$$\operatorname{ord}_{\pi}(F_{mk}) = \operatorname{ord}_{\pi}(F_m)$$

for any k with $p \nmid k$, by Lemma 2.1. In addition, we claim that it follows that $\operatorname{ord}_{\pi}(F_c) = 0$ unless m divides c. Suppose that this were not the case. Then $\operatorname{ord}_{\pi}(F_c) > 0$ for some c with $m \nmid c$, and Proposition 2.2 yields $\pi \mid F_{\gcd(m,c)}$. However, since $m \nmid c$, $\gcd(m,c) < m$, so this contradicts the minimality of m. Thus (2) gives

$$\operatorname{ord}_{\pi}(\Phi_{n}(f,g)) = \sum_{d \mid \frac{n}{m}} \mu\left(\frac{n}{dm}\right) \operatorname{ord}_{\pi}(F_{dm})$$
$$= \sum_{d \mid \frac{n}{m}} \mu\left(\frac{n}{dm}\right) \operatorname{ord}_{\pi}(F_{m})$$
$$= \operatorname{ord}_{\pi}(F_{m}) \sum_{d \mid \frac{n}{m}} \mu\left(\frac{n}{dm}\right) = 0$$

as m < n. We deduce that any non-primitive prime divisor of F_n does not divide $\Phi_n(f,g)$. As remarked earlier, $\Phi_n(f,g)$ is non-constant for n > 2, and so $\Phi_n(f,g)$ has a prime divisor in k[T]. Therefore, as any prime divisor of $\Phi_n(f,g)$ is primitive, every term in P beyond the second has a primitive prime divisor. The proof for the characteristic zero case follows in exactly the same way. \square

We end by recording three simple observations that arise from this argument.

- 1. If $char(k) \neq 2$ and f + g is non-constant, then the second term of (F_n) has a primitive prime divisor.
- 2. Eq. (1) shows a little more: any primitive prime divisor of F_n must divide $\Phi_n(f,g)$, and so the *primitive part* (that is, the product of all the primitive prime divisors to their respective powers) of F_n is $\Phi_n(f,g)$. This gives a lower bound for the size of the primitive part F_n^* of F_n under the assumption that $\deg(f) \neq \deg(g)$:

$$\deg(F_n^*) = \phi(n) \max\{\deg(f), \deg(g)\} > n^{1-\delta} \max\{\deg(f), \deg(g)\}$$

for any $\delta > 0$ and large enough n.

3. Since $F_{pc} = (F_c)^p$ for $c \ge 1$, any term with index divisible by p fails to have a primitive prime divisor, so the terms with index divisible by p must be removed.

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