

# Chapter 1: Preliminaries

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## 1 Introduction

This section lays out the foundation of a finite, capacity-based system:

- We introduce observer states and environment states, each of which is strictly finite.
- We define a capacity function that determines how fine-grained probabilities can be.
- We describe patterns and features, each of which is also finite, and show how to assign discrete probability increments.
- We specify consistency conditions (the axioms) that keep the system in a well-defined finite realm.
- Finally, we show how update mechanisms allow us to move from one observer–environment joint state to another, again staying within finite increments.

### **Explanation:**

Think of this section as setting up the “data types” and basic operations for your program. In a computer implementation, each finite set (e.g., observer states, environment states, patterns, features) corresponds to arrays or lists of fixed size. The capacity function acts as a parameter controlling resolution—similar to how you might set a “precision” variable in numerical code. This foundational layer ensures that all further operations are computed using only finite, well-defined data structures.

## 2 Finite Sets and Basic Objects

### 2.1 Observer States

- **Sort:** `ObsState`.
- **Interpretation:** Each element  $a \in \text{ObsState}$  is a possible “observer state.”
- **Finite Requirement:** `ObsState` must be a finite set in any concrete model:

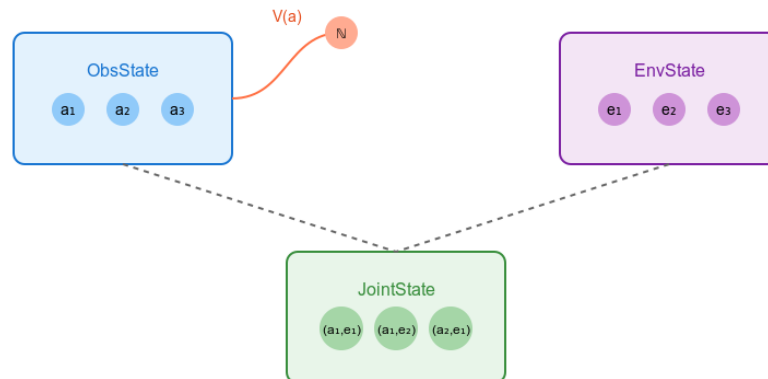
**Definition:**  $a \in \text{ObsState} \Rightarrow$  “ $a$  is an observer state.”

#### In code:

You would typically implement `ObsState` as an array or list with a fixed number of entries. This guarantees that loops over observer states terminate after a known number of iterations, ensuring all operations remain finite.

#### IT Analogy:

If you’re coding a system with various user contexts or modes, then `ObsState` might store these modes in a short array or dictionary (no infinite expansions needed).



### 2.2 Environment States

- **Sort:** `EnvState`.
- **Interpretation:** Each element  $e \in \text{EnvState}$  is a discrete environment condition.
- **Finite Requirement:** `EnvState` is also finite.
- **Definition:**  $e \in \text{EnvState} \Rightarrow$  “ $e$  is an environment state.”

**In code:**

Environment states should be stored in a fixed-size data structure (like a list or an enumerated type) so that any operations (such as state transitions) stay within known limits.

**IT Analogy:**

Think “deployment environment” or “server config,” each enumerated as a finite set. No unbounded environment states are permitted.

**2.3 Joint System States**

- **Pairing:** If  $a \in \text{ObsState}$  and  $e \in \text{EnvState}$ , we can speak of the pair  $(a, e)$ .
- Optionally, define a notational sort:

$$\text{JointState} = \text{ObsState} \times \text{EnvState}.$$

Since it is a Cartesian product of two finite sets,  $\text{JointState}$  is also finite.

**Implementation:**

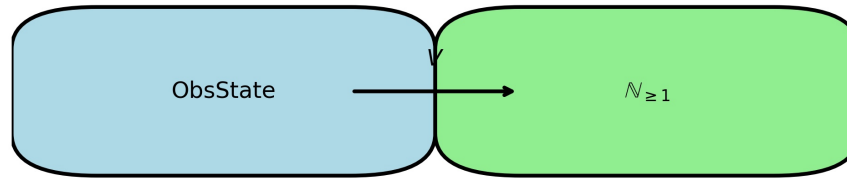
A typical grains-coded system might store the current state as  $(\text{current\_observer}, \text{current\_environment})$ —both small integer IDs, for instance.

**Explanation:**

The joint state  $(a, e)$  can be implemented as a tuple or record. In code, combining two finite arrays (for observers and environments) yields a finite Cartesian product, much like nested loops over finite sets.

**3 Observer Capacity and Associated Parameters****3.1 Capacity Function**

- **Symbol:**  $V(a)$ .
- **Domain:**  $V : \text{ObsState} \rightarrow \mathbb{N}_{\geq 1}$ .
- **Meaning:** For each observer state  $a$ , we have a “capacity”  $V(a)$  — akin to bits of precision or levels of detail.



$V(a)$ : Capacity of observer state  $a$  (bits of precision / levels of detail)

### Explanation:

The capacity function  $V(a)$  acts like a lookup table for each observer state, controlling how fine-grained probability increments can be. In an object-oriented setting, each observer might have a `capacity` field that influences subsequent calculations.

### IT Perspective:

Capacity might represent how finely observer state  $a$  can store grains-coded fractions or distances. Higher capacity implies smaller increments.

## 3.2 Capacity and Fineness of Probability

We typically define an integer function  $N(a)$  tied to  $V(a)$ . For instance, we might set

$$N(a) = 2^{V(a)} \quad \text{or} \quad N(a) = 10^{V(a)}.$$

The system demands a non-decreasing property:

$$\forall a, a' : (V(a') > V(a)) \implies N(a') \geq N(a).$$

Hence, if the observer's capacity vantage grows from  $a$  to  $a'$ , the denominator  $N(a')$  for grains-coded fractions also grows (or at least doesn't shrink).

### Explanation:

This ensures that an observer with higher capacity can represent probabilities with finer increments. In code, if capacity is upgraded, probability values are recalculated using a larger denominator  $N(a)$ —akin to moving from a 10-bit to a 16-bit fixed-point scheme.

### Practical Implementation:

One might generate an array of discrete values from 0 to 1 in steps of  $1/N(a)$ , then use it to validate or discretize probabilities throughout the system.

### 3.3 Defining $N(a)$

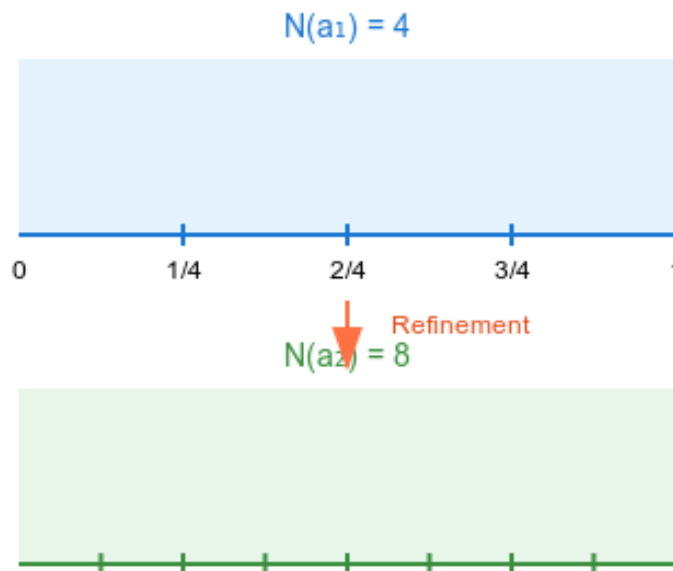
- **Function Symbol:**  $N : \text{ObsState} \rightarrow \mathbb{N}_{\geq 1}$ .
- **Interpretation:** For example, if  $N(a) = 10$ , then valid increments are

$$\left\{ \frac{0}{10}, \frac{1}{10}, \dots, 1 \right\}.$$

- The larger  $N(a)$  is, the smaller each grains-coded step  $\frac{1}{N(a)}$  becomes.

#### Practical Implementation:

You might store `ncap[a] = 100` to indicate that observer state  $a$  has a denominator of 100 grains. The code then interprets all probabilities as integer counts out of 100.



#### Explanation:

This relationship between  $V(a)$  and  $N(a)$  is crucial for representing higher precision in a finite manner. As  $V(a)$  increases,  $N(a)$  increases, providing finer increments for probability assignments, while still remaining countable and finite.

## 4 Probability Assignments and Patterns

### 4.1 Patterns

- **Sort:** Pattern.
- The set `Pattern` is finite.
- **Example:** A “pattern” might be an event label or a recognized shape.

#### Explanation:

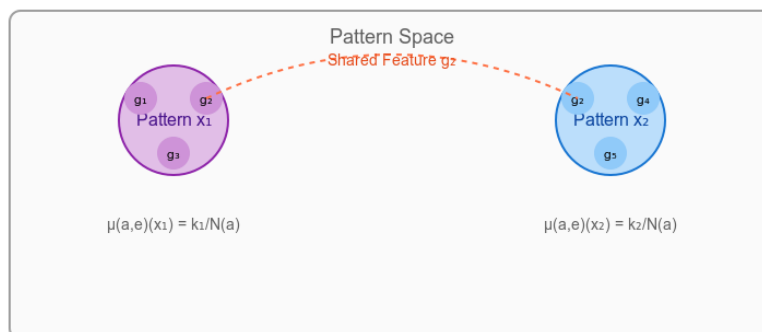
Patterns function as labels or categories. In a program, they could be implemented as keys in a dictionary or as small enumerations suitable for classification tasks.

### 4.2 Features of a Pattern

- **Sort:** Feature.
- For each pattern  $x \in \text{Pattern}$ , define a finite subset  $\text{Feat}(x) \subseteq \text{Feature}$ .
- The predicate  $\text{FeatureOf}(x, g)$  means “feature  $g$  is associated with pattern  $x$ .”

#### Explanation:

Each pattern has a finite list of features. For instance, you could map a pattern ID to an array of feature IDs in an object or dictionary, ensuring finite looping over features.



### 4.3 Probability Measure $\mu(a, e)(x)$ at a Joint State

The grains-coded measure  $\mu(a, e)(x)$  provides a discrete probability representation adapted to the observer’s current capacity. As capacity increases, the measure can handle finer probability increments while remaining discrete.

- **Predicate:**  $\text{Mu}(a, e, x, \alpha)$ .
- **Meaning:** “At joint state  $(a, e)$ , the grains-coded measure of pattern  $x$  is  $\alpha$ .”
- **Domain:**  $\alpha \in \left\{0, \frac{1}{N(a)}, \dots, 1\right\}$ .
- Every measure is stored as  $\frac{k}{N(a)}$ .

### Implementation:

One could use a 3D data structure keyed by  $(a, e, x)$ , storing an integer for each fraction  $\frac{k}{N(a)}$ . This avoids floating-point errors.

### Code Snippet (grain\_probability.py):

```
from collections import defaultdict
Mu = defaultdict(int)

def set_probability(a, e, x, k, capacity):
    """
    Mu[a,e,x] = k, meaning grains-coded fraction k/capacity.
    """
    Mu[(a,e,x)] = k
```

Hence, “the measure of  $x$  at  $(a, e)$ ” is an integer  $k$  out of capacity  $N(a)$ . See `grain_probability.py` for a complete demonstration.

## 4.4 Feature Distributions $p_x(a, e)$

- **Predicate:**  $\text{Px}(a, e, x, g, \beta)$ .
- **Domain:**  $\beta \in \left\{0, \frac{1}{N(a)}, \dots, 1\right\}$ .
- Interpreted as “the probability of feature  $g$  within pattern  $x$  is  $\beta$ .”

Once a pattern’s probability is set, sub-probabilities can be assigned to each feature in grains-coded fractions. This ensures arithmetic remains predictable and discrete, akin to fixed-point logic.

### Hierarchical Probability:

One could define  $\mu(a, e)(x)$  as the total measure for  $x$ , then decompose it among features  $g \in \text{Feat}(x)$  with grains-coded sub-fractions summing exactly to  $\mu(a, e)(x)$ . No continuous distribution is needed — purely grains-coded.

## 5 Consistency Conditions for Probability

### 5.1 Discrete Probability Values (Axiom A1)

$$\forall a, e, x, \alpha : \text{Mu}(a, e, x, \alpha) \implies \alpha \in \left\{ \frac{k}{N(a)} \mid k = 0, \dots, N(a) \right\}.$$

No real continuum is permitted; only grains-coded fractions at capacity  $N(a)$ .

**In code:**

When assigning probabilities, a validation function should confirm that each value is one of these discrete increments, preventing floating-point contamination.

### 5.2 Feature Distribution Increments (Axiom A2)

$$\forall a, e, x, g, \beta : \text{Px}(a, e, x, g, \beta) \implies \beta \in \left\{ \frac{k}{N(a)} \mid k = 0, \dots, N(a) \right\}.$$

All feature probabilities are grains-coded. Generally, we also require:

$$\sum_{g \in \text{Feat}(x)} \beta_g = \mu(a, e)(x).$$

**Explanation:**

This ensures all feature-level probabilities share the same discrete granularity. Functions that alter feature probabilities must re-scale or normalize to remain within the set.

### 5.3 Capacity Monotonicity (Axiom A3)

$$\forall a, a' : (V(a') > V(a)) \implies N(a') \geq N(a).$$

Higher capacity vantage means at least the same or greater denominator—no infinite leaps or real values appear.

**Explanation:**

In practice, upgrading to a higher resolution mode triggers integer arithmetic for re-scaling. This can be crucial in preventing conflicts or floating-range expansions.

## 6 Environment Signals and Constraints

### 6.1 Signal Sets

- **Symbol:**  $\text{SignalSet}(e)$ .
- Each environment state  $e$  returns a finite set of signals or constraints.

**Implementation:**



```
SignalSet = {
    "envA": {"signalA", "signalB"},
    "envB": {"signalX", "signalY", "signalZ"}
}
```

**Explanation:**

This lookup returns a finite set of constraints for each environment. In code, it is used to determine which patterns remain valid.

**6.2 Compatibility of Patterns**

- **Predicate:**  $\text{Compat}(x, e)$ .
- **Meaning:** “Pattern  $x$  is consistent with environment  $e$ .”

Often coded as:

$$\text{Compat}(x, e) \leftrightarrow \left( \forall g : \text{FeatureOf}(x, g) \rightarrow g \in \text{SignalSet}(e) \right).$$

**Explanation:**

In code, this function checks if every feature of  $x$  is within  $\text{SignalSet}(e)$ . It’s effectively a filter for deciding which patterns apply in the current environment.

**6.3 State Changes**

- If environment transitions from  $e$  to  $e'$ ,  $\text{SignalSet}(e)$  changes to  $\text{SignalSet}(e')$ .
- Patterns with nonzero measure re-check  $\text{Compat}(x, e')$ .

**Implementation:**

```
def update_environment(old_e, new_e, Mu, capacity):
    for x in all_patterns:
        k = Mu[(current_a, old_e, x)]
        if not Compat(x, new_e, feature_map):
            Mu[(current_a, new_e, x)] = 0
        else:
            Mu[(current_a, new_e, x)] = k
```

**Explanation:**

Whenever the environment changes, we re-validate patterns. This mirrors event-driven approaches, re-checking conditions upon each state update.

## 7 Update Mechanisms

### 7.1 Update Functions $U_\mu$ and $U_p$

We define functions that map probabilities or feature distributions from one joint state  $(a, e)$  to another  $(a', e')$ . For instance:

$$U_\mu : \{0, \frac{1}{N(a)}, \dots, 1\} \times \text{ObsState} \times \text{EnvState} \times \text{ObsState} \times \text{EnvState} \rightarrow \{0, \frac{1}{N(a')}, \dots, 1\}.$$

These functions describe how probabilities transform as both observer and environment change, preserving grains-coded increments rather than continuous intervals.

**Explanation:**

When environment or observer states change, the system re-checks patterns. This parallels event-driven logic.

**IT Implementation:**

For instance, if  $(a, e)$  transitions to  $(a', e')$ , one might do:

```
def grains_transition(old_val, old_a, new_a):
    if new_a_capacity % old_a_capacity != 0:
        raise ValueError("Incompatible capacities")
    factor = new_a_capacity // old_a_capacity
    new_val = old_val * factor
    return new_val
```

By performing integer arithmetic, we scale probabilities from one capacity to the next while staying discrete.

### 7.2 Mapping Between Increments

$$\forall \alpha \in \left\{ \frac{k}{N(a)} \right\} : U_\mu(\alpha, a, e, a', e') \in \left\{ \frac{k'}{N(a')} : k' = 0, \dots, N(a') \right\}.$$

No real-number or partial fraction issues—only integer transformations.

### 7.3 Finite Step Updates

Given that `ObsState`, `EnvState`, `Pattern`, `Feature` are all finite, each update step is local and discrete—no infinite recursion or limit approach. The grains-coded logic ensures every operation remains integer-based.

Every update is a finite, local operation. In code, it means no risk of non-termination; each update runs over a known, bounded set of values.

## 8 Summary of Section 1

By defining:

1. Finite sorts `ObsState`, `EnvState`, `Pattern`, `Feature`,
2. Function symbols  $\mu$ ,  $p_x$ ,  $N(a)$ ,
3. Predicates  $\text{Compat}(x, e)$ ,  $\text{FeatureOf}(x, g)$ ,
4. Axiom schemas (A1–A3) restricting probabilities and features to grains-coded increments,

we create a finite framework for storing states, signals, patterns, and discrete probabilities. No infinite sets or real numbers—only integer-based denominators that can expand when capacity increases. This provides a blueprint for your data structures and algorithms, with each entity finite and each function operating on discrete values. Code typically uses strict type definitions and bounded loops for guaranteed feasibility.

### Practical Code Illustrations:

#### 1. Storing Discrete Probabilities (`grain_probability.py`):

```
Mu = {}

def set_probability(a, e, x, k, capacity):
    """
    Store grains-coded measure for pattern x at (a,e).
    k is the integer grains count out of 'capacity'.
    """
    Mu[(a,e,x)] = k

def get_probability(a, e, x, capacity):
    """
    Return grains-coded fraction k/capacity as a float for inspection
    or usage. Not recommended for critical logic to avoid floating issues,
    but fine for debugging.
    """
    k = Mu.get((a,e,x), 0)
    return k / capacity
```

Hence, “the measure of  $x$  at  $(a, e)$ ” is  $\frac{k}{\text{capacity}}$ .

## 2. Denominator Embedding (embed\_grains\_fraction(...)):

```
def embed_grains_fraction(old_k, old_capacity, new_capacity):
    if new_capacity % old_capacity != 0:
        raise ValueError("new_capacity not a multiple of old_capacity")
    factor = new_capacity // old_capacity
    new_k = old_k * factor
    return new_k, new_capacity
```

Demonstrates exact fraction scaling when refining capacity.

## 3. Signals and Compatibility:

```
SignalSet = {
    "envA": {"sig1", "sig2"},
    "envB": {"sigX"},
}

def is_compatible(x, e, feature_map):
    """
    Check if pattern x's features are in SignalSet[e].
    feature_map[x] = set of features for pattern x.
    """
    return feature_map[x].issubset(SignalSet[e])
```

## 4. Local Updates:

```
def update_distribution(a, old_e, new_e, capacity, grains_map):
    for x in grains_map:
        k = Mu.get((a, old_e, x), 0)
        if not is_compatible(x, new_e, grains_map):
            Mu[(a, new_e, x)] = 0
        else:
            Mu[(a, new_e, x)] = k
```

## Takeaways:

- The grains-coded approach ensures that each distribution or feature measure is an integer  $k$  out of capacity.
- All environment or observer state transitions remain purely discrete, referencing a known capacity.

**Next Steps:**

- Section 2 introduces stability and geometric notions (distance, loops) in grains-coded form.
- Section 3 covers capacity refinement from  $N(a)$  to  $N(a')$  exactly, referencing the embedding snippet.
- Additional sections tackle algebraic structures (fields, vector spaces), topological ideas (loops, polygons), error measures, and finite complexity bounds.

With Section 1 in place, you have a clear definition of states, patterns, capacities, and grains-coded probabilities, as well as a glimpse of how to store and update them in code. This foundation supports all further grains-coded expansions and advanced geometry.