

On Anthropomorphic Algorithms

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Abstract

In philosophy of mind, a recurring topic of philosophical research and popular philosophy is that of the “mind” an artificially intelligent agent might possess. A popular method for categorising typical artificially intelligent agents is John Searle’s “weak” versus “strong” AI, where he differentiates between acting intelligently (a “weak” AI) and having a mind and mental states (a “strong” AI). In this essay, an alternative method for approaching the hard problem of consciousness is presented. This is arrived at by augmenting Sloman’s concept of the space of possible minds (Sloman, 1984) through arguments made using simple yet interesting recent computing science techniques. This argument is explored by applying it as a potential solution to concrete problems of AI safety, such as the problem of Corrigibility (Soares et al., 2015) and Reward Hacking (Amodei et al., 2016). The efficacy and practical application of the technique is also assessed.

Rewrite abstract

1 Problem Outline

1.1 Existential Risk and AI Safety

Research on existential risk has increasingly turned an eye toward problems of safety regarding artificial intelligence. This research suffers some difficult challenges. For one, practical exploration of what is often termed “strong AI” — an artificially intelligent agent which has a “mind” a mental states — cannot be explored by concrete example. Rather, researchers must obliquely attack the problem by observing how minds in humans (and other conscious animals) appear to operate.

Some examples of ways to approach this problem present useful tools to the curious philosopher. Sloman, 1984 presents an approach whereby a space of possible minds is envisaged. This approach is useful when describing some of AI safety’s most interesting problems, such as the paperclip maximiser (Bostrom, 2003). The principle of the argument is that, when giving a superintelligent agent the task of making as many paperclips as it can, it will consume any and all resources present for the construction of paperclips — including, for example, iron in human blood.

Whether the paperclip maximiser problem is likely to occur is irrelevant; it shows that there are issues with the behaviour we might expect a very intel-

ligent agent to express. More recently, concrete issues in artificial intelligence safety have been identified which researchers can work on today.

An example is found in reward hacking (Amodei et al., 2016), where an agent’s behaviour might tend toward unintended end-states so as to satisfy the criteria for its fulfilment of a goal, without achieving the goal intended to be set for the agent. An example might be an agent told to tidy mess left by a child, which identifies a messy room by whether it can see objects lying on the floor. This agent may “achieve” its goals by detaching its robotic eyes. As it cannot see any objects lying on the floor, it must conclude that its goal has been achieved — but mess can still be found on the floor. This particular behaviour seems harmless, but unintended behaviour and end-states might end badly for human agents in other situations. Another example might be that of agent corrigibility (Soares et al., 2015), where an agent acting in unexpected ways might not accept corrective intervention on the part of its creators. This agent might note that a change to its goals does not help to achieve its currently assigned goal; therefore, to achieve its goal, it is inclined to ignore attempts to alter its behaviour by its creators.

1.2 A Philosophical Approach to Attacking the Problems

Existential Risk issues are often approached from a philosophical angle, shedding light on important issues and directing thought on the issue toward likely solutions. In AI safety, philosophical approaches are of paramount importance, as sufficiently advanced intelligent systems have not been developed to such a degree that their intellect might be comparable to an ordinary human mind. The empirical assessment of general intelligence might come about as techniques such as whole brain emulation become viable for humans, or as general artificial intelligence comes to the fore as computer-scientific techniques advance.

Tools like Sloman’s Space of Possible Minds¹ can be of some help in reasoning about artificial intelligence. Though Sloman doesn’t state much about practical structures of his space, thinking in terms of the “dimensions” a mind might have — its social capability, or its sense of self preservation, for example — help to reason about the differences different mind types might have. A machine might not have much reason to preserve itself, particularly when considering that what makes it conscious can presumably be backed up, or that multiple copies of it attempting to accomplish identical goals exist². Similarities and differences between minds would be geometric differences within the space; therefore, minds with similar qualities would appear closer together than others in the theoretical space, and the closer two minds were, the closer

¹For brevity and readability, Sloman’s Space of Possible Minds will be referred to as simply “Sloman’s space” through this essay.

²It’s worth noting that some theorise that sufficiently intelligent minds converge on similar properties, such as self preservation. “Instrumental Convergence” as it pertains to artificial intelligence is well explored in “The Basic AI Drives”.

their qualities would be³.

Unfortunately, reliably determining the structure and nature of Sloman's space, with little empirical work possible, and with the very nature of a "mind" a philosophical quandary, is an impossible feat today. Therefore, as a technique, Sloman's space has issues which limit how practically a philosopher might reason using it.

1.3 Introducing Anthropomorphic Algorithms

Anthropomorphic Algorithms are algorithms designed to guide an intelligent agent's behaviour in more human-like ways. Existing Anthropomorphic Algorithms include Marsh's formalism of trust (Marsh, 1994) or Eigentrust (Kamvar, Schlosser, and Garcia-Molina, 2003), which both simulate "trusting" behaviour. Indeed, trust formalisms are possibly the most widely researched anthropomorphic algorithms. Some formalisms of trust — such as Marsh's — attempt to describe the sociological and psychological factors of trust in humans, and later use this formalism to quantify trust in some way, building algorithms around the quantification such that intelligent agents might exhibit the same behaviour⁴. This means that, while their applications in computing science are broad, they have applications in other fields also — such as the social sciences. Simpler formalisms also exist, such as a formalism of bias in reasoning Armstrong, 2016, which consists of simple mathematics and requires only a few paragraphs of reasoning to fully explain.

The anthropomorphic algorithm's very existence, and the formalism of a certain behaviour across different types of minds, presents an opportunity for philosophers to make use of Sloman's space as a powerful tool in reasoning about AI safety, and about theories of mind generally. Section 2 will explore how using computational formalisms of human traits can act as a general specifier of behaviour, and allow us to reason about emergent phenomena from Sloman's space. Section 3 will explore how this thesis can be applied practically, and section 4 will explore limitations regarding the application of the theory as it presently stands, as well as future worksolving these limitations. The essay concludes in section 6 with a discussion of the theory and its implications.

Write more future work!

2 Abstracting over Sloman's space

Sloman's space can be envisaged as a literal mathematical space, where every point describes a certain mind. We can see that there is no guarantee that a subspace of human minds and a subspace of artificial minds — minds born

³The space's natural geometric properties are a useful feature of Sloman's approach. For example, one might be inclined to take the euclidean distance between two minds in the space as a naive measure of how different they are.

⁴As anthropomorphic algorithms are generally implementations of a formalism of some human behavioural trait, "anthropomorphic algorithm" and "formalism" will often be used interchangeably through this document.

from simple intelligent agents, for example — will have anything in common mathematically. Certainly, one cannot assert that numerically identical minds exist in both subspaces, as this would require them to overlap: two numerically identical minds would, by definition, occupy the same point in Sloman's space. As we can assert nothing about the structure of Sloman's space, this overlap has no guarantee.

Discuss what numerical and qualitative identity are

However, Sloman's space describes the fundamental properties of a mind; emergent phenomena are unsuitable as dimensions of the space, as they can be affected by many independent variables. Note also that these emergent phenomena can arise in the same way in different minds. One might say, "Alice and Bob are just as greedy as each other", without implying anything about fundamental traits of Alice and Bob. "Greed" is a property they share, but their greed can arise for a plethora of reasons — because greed is a phenomenon which emerges from fundamental traits of their minds.

This is true of other traits, too. For example, according to Castelfranchi & Falcone (Castelfranchi and Falcone, 2001), trust arises from an assessment of the capability and will of a trusted agent to achieve goals of the trustee. Luhmann, a sociologist, asserts that trust is a form of social risk aversion (Luhmann, 2000), while Deutsch, a psychologist, indicates that trust incorporates utility as well as risk in different ways, expressed as confidence, gambling, masochism and other behavioural tendencies (Deutsch, 1962).

Trust is a well-studied topic with a range of literature to be drawn from. More importantly to the argument at hand, it is an emergent phenomena which manifests in different ways and is born from no clear underlying properties of a mind. However, formalisms of trust — and how trusting agents behave — can be found in Castelfranchi and Falcone's work, as well as Marsh's, and plenty of others. One can assert that these formalisms describe an aspect of behaviour in humans, with the exception of some types of minds such as human sociopaths, whose behaviour one might expect to be abnormal: this is precisely their goal. Every formalism listed, however, is also an algorithmic formalism, meaning that it can be implemented so as to direct the behaviour of an intelligent agent in a more anthropomorphic way. They are therefore the canonical example of the anthropomorphic algorithm, and happen to be the most extensively studied.

A given anthropomorphic algorithm wouldn't apply to any type of mind: caveats such as sociopaths are to be expected, and so a domain — a subspace of Sloman's space — is suitable as a way to limit the scope of the formalism. Trust formalisms could then be seen as algorithms (or ordinary mathematical functions) over a subspace of minds which describe behaviour. However, from existing anthropomorphic algorithms such as trust, we can see that this domain hints toward making Sloman's space more useful philosophically: the domain of a trust formalism such as Marsh's is to apply to not only neuronormative humans, but intelligent agents, also.

The mind of an intelligent agent and a human may be markedly different, but they *can* give rise to the same emergent phenomena. Importantly, the formalisms might manifest in numerically non-identical ways: the way an in-

telligent agent computes trust is rather different to how the human brain does, even under the same formalism, due to differences in hardware and in implementation detail. However, they conform to the same description of the phenomenon they exhibit — the formalism — and should behave in the same way as a result. One might say that the minds trust in qualitatively identical ways, and follow a numerically identical formalism, with differences arising in implementation of the overarching theory.

Using anthropomorphic algorithms, then, the philosopher can assert behavioural identity between different types of minds. This abstraction over Sloman’s space means that the space’s indeterminate structure no longer prevents asserting these identities, precisely because the space — regardless of structure — allows for the definition of the domains of formalisms which describe an agent’s behaviour.

2.1 Compatibility with Theories of Mind

To a degree, this thesis depends on non-reductive physicalism: for a behaviour to apply to a domain of minds, there has to be nothing non-physical that affects that behaviour, since a non-physical functional state could affect the behaviour (by its functional definition). This means, however, that the thesis is compatible with non-reductive physicalism means that it can co-exist with existing literature on theory of mind.

Non-reductive physicalism works well as a foundation for the notion that non-biological systems — such as computers — might also have minds. For that reason, that fact that the application of anthropomorphic algorithms for behaviour specification works well with non-reductive physicalism helps to present a more whole notion of how a behaviour might arise from a computational system.

3 Applications of the Theory

The theory proposed can be applied in several ways, due to its interdisciplinary nature. Detailed below are some examples, focusing on the problem of AI Safety as an example of its utility in solving practical, concrete problems in a largely theoretical field.

3.1 Attacking Concrete AI Safety Problems

3.1.1 Reward Hacking

A list of concrete AI safety problems researchers could work on solving at present is described in Amodei et al., 2016. Among those is the problem of Reward Hacking. In Amodei et al., 2016, reward hacking is introduced as:

In “reward hacking”, the objective function that the designer [of the AI] writes down admits of some clever “easy” solution that for-

mally maximizes it but perverts the spirit of the designers intent (i.e. the objective function can be “gamed”), a generalization of the wireheading problem.

Reward hacking exists in humans. For example, some people are known to pirate software: in this instance, the objective function is to acquire software, and this is achieved through piracy. It also allows the human to acquire *more* software, as piracy uses far less resources than paying for computer programs — this is akin to the formal maximisation quoted. This circumvents the socially intended method for acquiring software, however, which is to pay for it. As a result, the developer of the software is left unsupported for the work put into creating the pirated software.

Often, humans will not pirate software and will instead pay. In some scenarios, this behaviour is made more likely through adapting the context of the goal: operating systems with easier-to-use stores for software, or tight measures for signature and checking of authenticity of a program to discourage piracy. Other humans are less inclined to pirate than other, however — there are therefore behavioural tendencies which limit the inclination of a mind to reward hack. Examples of this might include social responsibility or a decreased degree of comfort in actions which harm other agents in some way. Happily, computational comfort formalisms are already being developed (Marsh et al., 2011), and computational responsibility formalisms are currently being worked on (Wallis, 2016).

3.1.2 Corrigibility

Pay attention to this when editing! This section will catch eyes in FHL. It's important to get right, and you're writing it rather tired!

The problem of an agent’s corrigibility is defined in Soares et al., 2015 as:

We call an AI system “corrigible” if it cooperates with what its creators regard as a corrective intervention, despite default incentives for rational agents to resist attempts to shut them down or modify their preferences.

Again, analysing human behaviour regarding corrigibility allows one to see what concepts can be transplanted to an intelligent agent. One is inclined to identify traits of humans which we associate with corrigibility, so as to maximise this trait — but a formalism of incorrigible traits might be equally useful and present an alternative angle of attack.

To demonstrate: incorrigible human agents might be described as “stubborn”. Once they have a certain goal in mind, they are unlikely to shift it. The intelligent agent equivalent of this is that they appear naturally stubborn: sufficiently intelligent agents would identify that, when selecting an action which alters its utility function, the change does not help it to achieve its present goal. It is therefore unlikely to change its goal — maximally stubborn. A formalism

of stubbornness would describe this behaviour in a quantifiable way; a corrigible agent would then minimise values of its stubbornness formalism when assessing decisions.

One might note that this approach has a flaw: a human who minimises this definition of stubbornness would be easily persuaded to change their goals on a whim and for little reason. Regarding a superintelligent agent, this is evidently a serious safety risk for human actors⁵. A tempting inclination is to fix these issues with further anthropomorphic analysis: for example, a responsibility formalism might weight non-stubborn decisions against whether the change appears to discharge (or prevent the discharge) of responsibilities the intelligent agent has. The agent might assert social responsibilities and so assess negative impacts of a choice it makes, or possibly assert a high degree of responsibility to listening to its creators, but not necessarily others.

These approaches in turn have flaws and edge cases, but stray agents can be made more corrigible through these methods precisely because the theory provided allows one to specify the *behaviour* of an agent.

3.2 Anthropology

Refining and developing formalisms of behaviour of certain groups of people is a task often taken on by sociologists and psychologists (Gambetta, 1988; Luhmann, 2000). However, as these formalisms become more advanced, they permit two applications for modern anthropology.

First, anthropologists may use the formalisms to predict the behaviour of new cultures, as well as how those cultures might shift, as more socially involved artificial minds interact with human minds more frequently. Studying this is important, as the impact of minds with a subset of human traits might be significant: a greater emphasis might be put on social constructs of respect, for example, should a collection of people begin using respect as an interaction mechanism with the technology around them.

It is not unknown for technological interaction to put more — or less — emphasis on the aspects of human culture it touches. For example, modern communication techniques such as instant messaging alter the dynamic of communication: within the space of only a century or two, the expected time to wait for a response to a message has shortened from the days it took to respond to a letter to the minutes it takes to read and reply to an instant message. In turn, people's expectations about the promptness of communication alter accordingly; therefore technological innovation can have a marked and significant impact on culture. Introducing artificial minds with a subset of human traits lends a ripe field of study for anthropologists to research.

Secondly, when observing new types of culture and shifts in common cultures, anthropologists will begin to note how some fundamental human traits — rather than emergent phenomena of the ordinary human mind — shift over time. This will help to:

⁵Limitations of the theory are discussed in § 4.

1. Understand more of the nature of Sloman's space, as it pertains to the fundamental traits of a human mind
2. Understand more of the structure of the human subspace of minds, and what different types of human minds might exist

The utility of this work to the philosopher, and to AI Safety research, is that integrating empirical observations about the world allows for more informed and practical thought experiments or practical exercises in AI.

The theory then applies to anthropological study in the deeper understanding of the human mind from a cultural perspective, and a pragmatic framework by which anthropologists might note precisely what sorts of minds their field studies. It might also permit anthropological study to broaden, from humans and their culture to minds with human-like traits — observing how minds with human properties might engage with culture, and what traits affect culture in different ways. This practice has the added benefit of the anthropological study contributing to the original theory, strengthening empirical evidence which can be used in the study of AI and its safety, as well as lending practical applications to non-reductive physical theories of mind through their working in tandem with the theory presented.

3.3 Human-Computer Interaction

A better understanding of the formalism of minds does more than bolster our understanding of artificial minds: it also helps to perceive how a human operates and therefore, how interfaces between artificial minds and human minds might be better achieved.

Currently, much human-computer interaction research centres around interaction mechanisms and design for input and output devices: styluses, spherical displays, phone applications and so on. Application of this formalism-centric approach, however, would permit a human-computer interaction paradigm which centres around how “feelings” change: humans and computers might work together to build trust, or to avoid danger through a sense of fear, as these are all human behaviours which can theoretically be formalised and applied to an artificial mind.

One can imagine, for instance, an application for fitness which became increasingly “frustrated” as more calories are consumed and less exercise is done by its user. Current quantified self techniques, such as Apple's HealthKit system for iOS, collects this data and makes it available to programs. One can imagine a mobile phone operating system which nagged a user or made interaction harder as its degree of frustration increased.

Another useful but more abstract example might be trust with regards privacy: a user's phone might present fewer security barriers to accessing sensitive information when being accessed in a hospital, for example, if the data accessed was related to health. Then, sensitive medical data can be unlocked by the trust in a user or location which can be learned over time; if a hospital

shuts down and medical professionals are no longer accessing data from the location, trust in that location would dwindle just as human trust in old notions might dwindle over time also.

In the field of human-computer interaction, it's unusual for rigorous mathematical study to take place; empirical "laws" of interaction, such as Fitt's Law (Fitts, 1954), are rare. However, this theory presents an approach which, with research into the space's structure, present another mathematical and rigorous study of interaction.

4 Limitations and Solutions

As it stands, the theory proposed has several useful applications. This is not to say, however, that it presents a complete and foolproof measure of how minds might interact in Sloman's space, nor is the theory as complete as one might hope it to be. These issues, regardless of their significance presently, do not seem to be ultimately fatal to the theory. We shall explore some of these issues now, and discuss future work which will address some issues with behaviour formalisms in the coming section (??)

4.1 Incompatibility with certain Theories of Mind

One criticism of the proposed theory is that it is incompatible with some theories of mind, and relies on non-reductive physicalism, which — while a popular theory — has come under criticism with several counter arguments in the last few centuries. An argument can be made that any physicalist theory of mind is sufficient for the theory to work: all it relies on is that artificial minds belong in the space of minds with human minds. However, to permit equivalent behaviour across different Sloman subspaces, other physicalist theories such as identity theory require workarounds, because the bridge laws it relies on limit the minds that experience certain phenomena based partly on *hardware*.

Rather than detailing potential workarounds here for specific alternative theories of mind, the fact is instead acknowledged; future work can apply similar abstractions over Sloman's space to different theories of mind.

4.2 The Edge Case

As may have been noticed already, behaviour formalisms alone can't solve problems in AI safety. One factor which conspires to complicate existential risk research is the difficulty of dealing with edge cases. To demonstrate: should a general artificial intelligence be created, it is unlikely that the algorithms that create it would be contained indefinitely. This is because these technologies to create the intelligence will be rediscovered many times, and commitment to the protection of this data from the public requires potentially international collaboration. As this is typically very difficult to achieve, one cannot rely on

it. One must therefore work on the assumption that the public are likely to acquire the technologies necessary for creating their own general intelligences.

Any safety measure which is not essential for the creation of a general intelligence should therefore be neglected as a complete solution: someone is likely to leave the algorithms out of their general intelligence, and only one such instance presents a major safety risk.

Though it is tempting for this to inspire a rather bleak outlook, many processes may be discovered which may keep humanity safe from an artificial general intelligence. One such process is behaviour formalism. Behaviour formalisms present an opportunity for the constriction of possible behaviour an instance of an intelligence might exhibit, as the specification of the mind within the space of possible minds allows us to formalise anthropomorphic behaviour. Therefore, while these formalisms are not a complete solution to the issue, they are a helpful tool in an intelligence's safe construction.

4.3 Limited numbers of formalisms

One limitation of the theory as it currently stands is that, while it is valuable in its practical merits, computational formalisms of human behaviour are limited in scope currently. Formalisms of trust are plentiful, and work is done on comfort and responsibility, but the spectrum of human behaviour and experience is hard to formalise. Lots of this work has not yet been undertaken.

Over time, this limitation should lessen; regardless of the utility of the philosophical theory, formalisms as a tool for computer scientists, sociologists, and psychologists are useful and can be expected to grow in scope as a result. Therefore, this limitation is expected to be short-lived. Nevertheless, actual solutions to concrete AI problems may not be feasibly produced until a broader range of formalisms have been developed.

4.4 Formalism Accuracy

One issue with formalisms as a field of study is whether they "accurately" represent the behaviour they claim to. For example, Eigentrust (Kamvar, Schlosser, and Garcia-Molina, 2003) uses the concept of trust a metaphor to draw conclusions from reputation, which it focuses on modelling. The argument often provided in these situations is that the behaviour "formalised" is a useful metaphor for an intended outcome or perceived behaviour on the part of the algorithm. Therefore, whether it accurately represents trust is a moot point, as it has no effect on Eigentrust's efficacy in its *intended* purpose.

I propose two solutions to this issue:

1. The term "anthropomorphic algorithms" should apply specifically to behaviour formalisms which have their roots in well-defined sociology, psychology, and anthropology. In this way, researchers and AI developers should be able to distinguish between socially accurate behaviour formalisms and those derived from analogy for engineering purposes.

2. Development of rigorous formalism analysis in the fields that the formalisms originate from: this would enable a richer and more diverse range of formalisms, which is hard to produce without interdisciplinary collaboration with these social sciences and humanities subjects.

4.5 An Example: The Electric Monk

In the novel “Dirk Gently’s Holistic Detective Agency” by Douglas Adams, there is presented a character who is useful as an example of anthropomorphic algorithms at work. “The Electric Monk” is a commercial artificial intelligence which is designed for the purpose of alleviating the burden of “believing” in things from the owner, similarly to how a television recorder might “watch television” for the owner.

This Electric Monk is responsible for killing a local businessman, due to its being told to “shoot off” (as in go away) and taking the instruction literally. It then believed that it was imperative that it shoot something.

The Electric Monk is an excellent example of anthropomorphic algorithms’ strengths and weaknesses. Its limited anthropomorphism presents some utility, but its intelligence also makes it dangerous. As a result of its limited anthropomorphism, it lacks the capacity to act in guaranteed safe ways. One is inclined to explore how anthropomorphic algorithms might be applied safely in this case.

4.5.1 Incitement to act

The Electric Monk would be harmless if it was incapable of *action*. In reality, the monk’s prescribed activity — that of belief — is an action with no output, similar to a speech act⁶. An agent which need produce no output, then, might be limited such that it cannot act.

Of course, this limitation is meaningless for almost all realistic agents; certainly, intelligent agents can be made safe by neutering their ability to do anything at all, but one supposes this would strip the utility from them somewhat. How can we make agents which are incited to act safe?

One way to do this would be to observe what traits humans rely on for the safety of their actions, and to implement formalisms of those traits. So, if the Electric Monk were allowed to speak, traits humans use for safety in this case would be implemented. These traits might include responsibility for their actions, and an ethical understanding taking into account expected consequence and the net positive or negative effect of those actions. By characterising the traits humans use when acting, and implementing those traits, one can imag-

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ine that the artificial agent would be at least as safe as an equivalent human agent⁷.

4.5.2 More formalisms necessary

The Electric Monk's belief formalism is all that it acts on, but this is also the only formalism it is given; it shows little other human-like behaviour (save walking and talking). It is apparent that a single formalism might often be abused so as to permit unsafe behaviour. An emotional agent might understand happiness and sadness in human-like ways, so as to maximise happiness: this is dangerous in the same way as the paperclip maximiser.

However, Electric Monk-like agents will surely come to be in the future, particularly with the development of general intelligence. Therefore, the combination of multiple formalisms in any anthropomorphic agent seems an appropriate safety precaution. This way, an equilibrium might be reached though nuances of the behaviours' interactions together. For example, a happiness-maximising agent is effectively a utilitarian agent, but real-world utilitarians might be limited by laziness — preventing those human agents from performing tasks which are lots of effort. Effort formalisms might limit the safety issues concerning a utilitarian agent in this case.

Is this correct terminology?

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5 Discussion

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