

Imperial College London

Department of Electrical and Electronic Engineering

Final Year Project Report 2014

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## Abstract

Certain neurological medical disorders require continuous monitoring to fully understand and diagnose. Examples of medical interest include epilepsy, syncope, multiple sclerosis, migraines, strokes, Parkinson's and Alzheimer's disease. Electroencephalography (EEG) is the recording of electrical activity along the scale, resulting from ionic current flows within the neurons of the brain and is useful for both diagnostic and monitoring such aforementioned conditions. Monitoring brain activity can help physicians understand certain characteristics, triggers, and the severity of the disorder. It may be possible to gauge the regions of the brain where the condition is originating and if the patient is a suitable candidate for treatment.

However, symptoms from neurological disorders often appear sporadically and with little to no warning. Seizures vary from minutes to years apart, and sometimes are not realised or detected without proper equipment. Hospitalising patients for long periods of time is a costly option, and in such circumstances the patient could remain in hospital indefinitely. Such circumstances lend themselves to an ambulatory system, where an outpatient can be monitored continuously without discomfort or hospitalisation, improving quality of life while decreasing costs.

With the emergence of low power wireless technologies coupled with portable devices such as tablets and phones, it is a natural technological step to bring care and monitoring outside of the hospital. Through leveraging low energy radio capable platforms, i.e. smartphones and tablets, in the context of an Ambulatory Electroencephalogram (AEEG), it is possible to empower the patient to inexpensively take health care into their own home and out of the hospital. This project looks at maximising transmission of EEG signals over the emerging wireless technology, Bluetooth Low Energy (BLE). Further, while this project is targeted at EEG signals, there is no reason why this research and technology cannot be applied to other signals and systems, examples including glucose monitoring, electrocardiography and spirometers.

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## 1 Acknowledgements

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## 2 Introduction

### 2.1 Problem Landscape and Motivation

Neurological disorders and their sequelae are estimated at present to affect up to one seventh of the world's population, a figure which currently stands at 1 billion. With the ever increasing life expectancy and decreasing (relative) fertility rates, the age demographic has shifted towards an ageing population. This has caused a growth in neurological disorders such as Parkinson's, multiple sclerosis, Alzheimers and other dementias. From the 2012 report published by the World Health Organisation (WHO)[17], the societal costs of dementia for the United Kingdom totals £23 billion, a figure that matches the costs of cancer (£12 billion), heart disease (£8 billion) and stroke (£5 billion). Similarly a 2010 Swedish paper published that the costs of dementia (50 billion SEK) was higher than that of depression, stokes and alcohol abuse (32.5, 12.5, 21-30 billion SEK respectively)[16]. With neurological disorders constituting to approximately 12

EEGs are used extensively for detecting, characterising and monitoring brain activity related to the aforementioned diseases. Examples applications include diagnosing patients regularly losing consciousness with syncopy; measuring the effectiveness of medication for patients currently diagnosed with epilepsy[8]; deciding whether the patient is a candidate for removal of the cortex part associated with the disorder[18]. Unfortunately many neurological disorder symptoms occur sporadically with little to no indication of an impending event, such as in the cause of epilepsy a seizure. In some circumstances the patient could remain in hospital indefinitely, however symptoms (e.g. seizures) can be seconds to years apart, and sometimes are not realised or detected without proper equipment. The diagnosis, monitoring and treating many of these diseases is currently a costly procedure due to the resource requirements (staff, time and equipment). Common practices include hospitalising and monitoring patients between 24 hours and a week. Such circumstances lend themselves to an ambulatory system, where an outpatient can be monitored continuously without discomfort or hospitalisation, thus improving quality of life and social welfare (e.g. no sick days off work).

Since the arrival of BLE in smartphones in 2012, the vast majority of smart phones are "Bluetooth Smart Ready" (incorporating BLE technology). This is a relatively new technology capable of (very) low power communication for applications requiring a low data rate. This low power consumption means devices can have long lifetimes. While other low power wireless options exist such as ANT or ZigBee, BLE is the only popular radio technology being manufactured into smart phones and tablet devices. In comparison, (classic) Bluetooth power consumption is typically 1 to 2 orders of magnitude higher than BLE.

Through leveraging widely popular and familiar smart phone devices with this new technology, in the context of an AEEG, it is possible to empower the patient to inexpensively move their health care out of the hospital and into the home. By coupling cheap low power sensors and radios, with powerful ubiquitous consumer technology, it is possible not only to cheaply and efficiently monitor patients, improve the quality of life for patients but also help physicians further their understanding of neurological disorders. Further, when combined with data-mining or so called big-data analytics novel insights may reveal new understanding and ultimately better forms of treatment.

## 2.2 Existing Technologies and Products

Popular EEG products on the market that have already shown integration with consumer electronics as well as research include Emotiv Systems' EEG headset. The device retails at \$750 (approximately £450 at the time of writing), sporting 14 saline sensors, gyroscopes for positioning and a lithium battery capable of delivering up to 12 hours of continuous use.



Figure 1: Emotiv System's EEG Neuroheadset

NeuroSky have released EEG products, the MindWave and MindWave mobile. The foremost operates a proprietary radio system in the 2.4 GHz band, at a datarate of 250 kbit/s, a baudrate of 57,600 and 10 meter range. It is also noted that there is a 5



Figure 2: NeuroSky MindWave Mobile EEG Headset

Away from specifically EEG, the consumer fitness sector is being targeted quite strongly, and many devices already exist that utilise lower power technologies to act as gateways for real-time data logging. For example, there already exists a competitive market between heartbeat monitors, cadence monitors and pedometers. These ‘activity trackers’ use low power electronics and radios to log user’s activities and update the user in real time with activity information through the user’s phone or smart watch. Popular products on the market at the time of writing include the Fitbit, Fuelband and Jawbone, which all make use of the BLE technology to connect to smartphones.

### 2.3 Project End Goals

Currently, the Imperial College Circuit and System’s group has a wired EEG measurement device. The wired connection between the EEG sensors and a fixed computer serverly restricts patient’s mobility and hence are impracticable for long periods of use. This project will explore using BLE technology for electrocengraphy, and build a prototype system capabale of interfacing with an analouge front to transmit EEG data to a portable device such as a tablet or smart phone. The project will explore the maximum throughput of such a device, the energy requirements and attempt to quantify the overall suitability of BLE to EEG montoring .

Idealistic requirements of the project include

- Running time of atleast 12 hours
- Channel resolution of 8 bits (albeit number of channels undefined)
- A weight of less than 7 grams
- 10 meter range
- BLE wireless technology
- Ability to communicate with a smart phone or tablet
- Real time update of signals

### 2.4 Structure

The project is organised as the following. An overview of EEG signals and BLE technology is presented, giving background where it is believed revelant and of value to the rest of the project. It should be noted that these sections are not a exhaustive description of EEG signals nor BLE. The report will then move onto preliminary resarch, where the technology is evaluated and the components are discussed in detail (including risks) and a selection made for the prototype. A desired specifications is then presented from the components chosen. The implementation section documents and discusses building, optimising and any issues found while developing the prototype. The results section lists the project findings, followed by a thorough evaluation of these results. A projec wide conclusion can then be found where BLE’s suitability for the application is discussed along with the limitations of the current system, and suggested future work for project extension or further interesting reseearch as a

result of this project. Lastly, a section is reserved for final remarks for comments that don't formally fit elsewhere into the report.

## 3 Theory and Technology

### 3.1 Electroencephalography

Different freqs

### 3.2 Bluetooth Low Energy

The original Bluetooth, hereforth referred to as Bluetooth Classic (BTC), was initially conceived as the solution to wired communication over short distances (typically less than 100m). The original specification had an air over-the-air rate of 1MBps, though this has increased to around 3 MBps in the latest version of BTC. Similarly, BLE has an over-the-air rate of 1MBps. Despite the odd realisation that BLE, a much newer technology, has the same over the air rate of last the first incarnation of BTC, the maximum theoretical throughput of BTC is 700kBps, compared to less than 250kBps for BLE - roughly one third of the maximum throughput BTC was capable of (the latest version of BTC brings the disparity to one ninth). While intuitively it may seem that BLE is a less efficient technology, BLE can be orders of magnitudes more efficient than BTC in particular use cases.

Applications where BLE excels in are ones where communication between two devices is only required intermittently, and the volume of information sent is small. An example would be a thermometer in a greenhouse connected by radio to a visual display unit inside the home. Temperature changes at a rate slow enough that it is only necessary to check the temperature every 10 minutes. Once every 10 minutes the radio thermometer device can wake up take a measurement, send a notification of a measurement then return to a deep sleep. BLE does this much better than BTC, taking only a few milliseconds to connect. BTC takes between a few hundred milliseconds to several seconds to reconnect. While both millisecond orders of magnitude and second orders of magnitude are small when compared to an order of magnitude of minutes, over time it adds up to a significant amount, and BLE devices can last many years of a small, single coin cell. BLE is excellent for applications which involve small episodic transmission of data. In the scenario described a BTC system would have a lifetime of approximately 100 days from a typical 3v lithium cell. Off the same cell, a BLE system would have a lifetime of many years. In fact, in this scenario the BLE system lifetime can be extended further as BLE can support connectionless communication, whereby it simply wakes up and transmits the thermometer state to any device that's listening without the need for acknowledgement.

The reason the reconnection times are much faster for BLE is as far as the communication devices are concerned, they never disconnect. Rather in the BLE protocol, the devices agree to meet a specified periods known as connection interval (CI). The devices are free to perform any operations in the mean time, though typically enter a state of hibernation. In many scenarios between two radios, one device will be much more power conscious. In the example above, the battery powered device in the greenhouse would typically be the power conscious device while the visual display unit inside the home will likely be powered from the grid, and have no concern as to its power consumption. In these situations, the power conscious device is defined to be the slave and the remaining device to be the master.

The slave device also has the ability to skip connection intervals. That is, the slave to skip a

upto a predetermined number of connection intervals, known as the slave latency. While the master must always check back to see if the slave has sent anything, the slave, if it has nothing to send, doesn't need to wake up. For example, if the slave latency is 120, and the connection interval is 1000ms, then the slave is not obliged to communicate with the master for upto 2 minutes, despite the master having to check every 1000ms. If the slave is not able to make contact with the master after 2 minutes, then the master will begin counting the number of times the slave has missed the obliged connection period (that is the CI multiplied by the time slave latency). If this value reaches above a certain threshold (a typically recommended value of 6), the master will consider the slave disconnected, and be required to go through a connection process again[UNLESS USING BROADCASTING TO SEND DATA]. Continuing with the scenario, the slave will be considered disconnected if it hasn't made contact with the master after 12 minutes.

Another contribution to reduced power over BTC is the reduction in the number of channels used in communication. BTC, BLE along with other wireless technologies that operate in the 2.4GHz ISM band such as a WiFi and ZigBee all make use of spread spectrum techniques to achieve a sufficient level of noise immunity. That is, the available bandwidth is split (spread) into smaller channels and radios communicate between one another using a pre-determined channel hop sequence. As the number of channels decreases, the channel band size grows requiring less accurate and complex modulation hardware, hence decreasing power consumption. BTC originally used 79 channels, and BLE reduces this to 39.

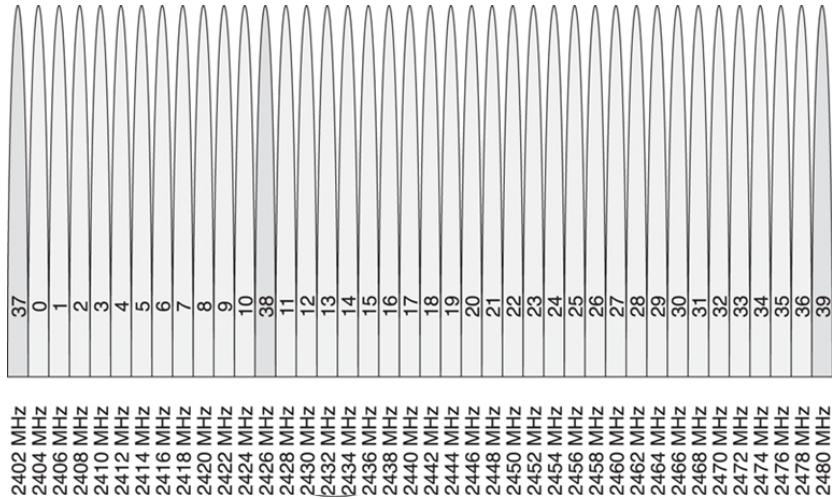


Figure 3: BLE channels (advertisement channels render darker)

The number of channels dedicated to advertising has also decreased, meaning less time is spent searching for discoverable devices. The advertisement channels have been specifically chosen not to interfere with the common WiFi channels. Finally, the radio characteristics are very dependent on temperature. Complex mechanisms are used to compensate and recalibrate on-the-fly radio parameters. Due to the episodic nature of BLE the radio does not come under such thermal extremes. All these design changes have positive hardware ramifications. The reduced complexity of BLE means reduced hardware requirements (notably memory), in turn reducing the leakage current.

BTC was designed with the idea that it would be used to do many common jobs, and hence particular configurations were built into it. In BTC, these configurations are known as profiles. Exam-

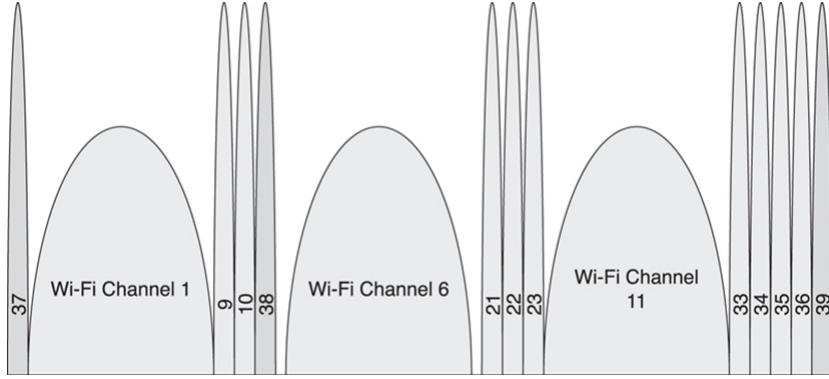


Figure 4: BLE channels with WiFi channels overlaid

ple profiles include the audio distribution profile (A2DP), which is used in by many Bluetooth product manufacturers to allow a device, such as a phone to interact with an audio system, such as in a car. Another example would be the serial port profile (SPP), meant to emulate the highly popular and robust RS-232 serial standard for data transfer (recall that BTC was concieved as a solution to wires). This is all built into what is known as the Bluetooth stack – a software framework that interacts between the physical layer and the application layer<sup>1</sup>.

BLE also makes use of this paradigm but is often superficially depicted as BTC operating at lower speeds and power consumption. It is not currently compatible with BTC and there are no plans for it to be. Like BTC, the BLE architecture has 3 over-arching parts: Application, Host and Controller. The controller, simply put, is the radio and related hardware controllers and the application the use case, which could be a cadence monitor, thermometer or even an electroencephalogram. It is the host controller interface (HCI), commonly known as the “stack” that provides the necessary software to enable the application layer to communicate with the radio (see Figure 5).

In an attempt to be economical with time and space components of the stack deemed irrelevant will not be discussed further here. For example a description of the security manager is not relevant as this project is not concerned with sending data over encrypted links. Similarly, the Logical Link Control and Adaption Protocol, while used extenivesly in all radio communication will not be discussed in detail as it doesn't provide any insight into maximising throughput or minimising power.

In BTC profiles were diverse and large enough to warrant chip designers releasing tailored chips to perform well for a specific profile, i.e. a chip supporting A2DP may contain coder/decoder (CODEC) hardware for real time audio streaming. In BLE the profile framework is far lighter. BLE's profiles are all built ontop of the Generic Attribute Profile (GATT), which in turn is built upon the Attribute Protocol (ATT), a protocol optimised to run on BLE devices. Attributes are an umbrella term, being the atomic unit of data communicated between BLE devices. Profiles are hierarchical constructions of attributes, in the top down order of profile, service, characteristic and descriptors, as shown in Figure 6. A BLE device implements atleast one profile, Generic Access Profile (GAP) along one more which is typically the purpose of the device. GAP contains important information such the the device name and prefered connection properties. This second profile can be one of the standard profiles as defined by the SIG group or a bespoke profile for the application, such as the

<sup>1</sup>Depending on what level of the stack one is working, master can take the names central or client, while slave can take peripheral or server.

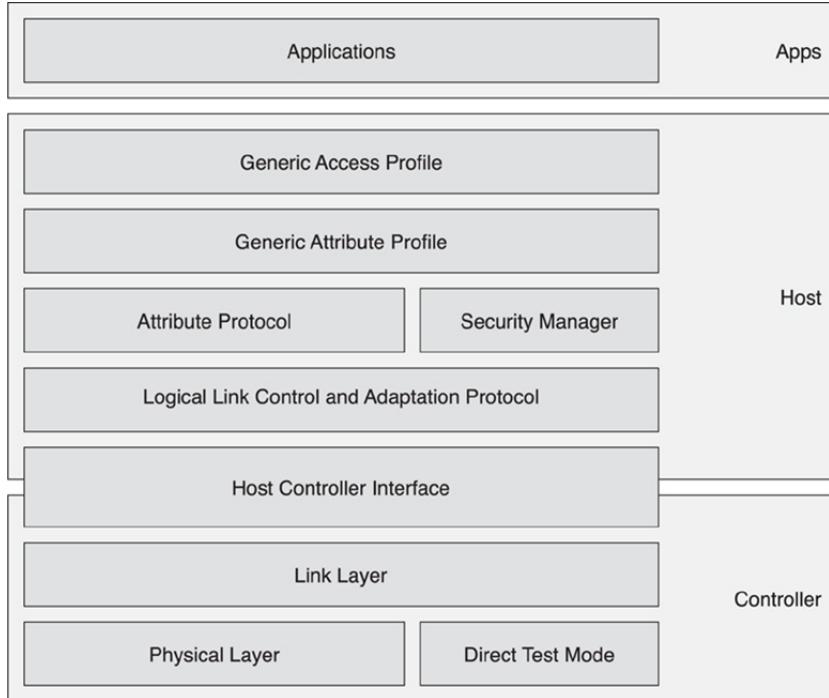


Figure 5: BLE Architecture

case for a EEG. Popular, SIG defined examples of BLE profiles include the heart rate profile (HRP), health thermometer profile (HTP) and even a glucose profile (GLP) with room for many more to be incorporated into the core BLE Special Interests Group (SIG) defined GATT specifications.

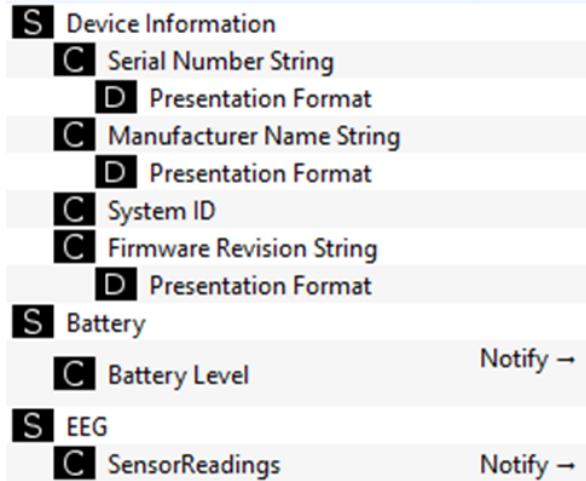


Figure 6: Example GATT profile consisting of 12 attribute - 3 services, 6 characteristics and 3 descriptors.

Attributes represent information about state. In the case of the greenhouse, the state would include the measured temperature. A suitable profile name which encapsulates the state is "thermometer", which contains the services "device information", "battery service", and "thermometer". As in figure 6, the greenhouse thermometer service may contain the same device information and battery services, but replace the "EEG" service with the thermometer service, and the "SensorReadings" service with temperature. The other profile, GAP, will contain the attributes which define how BLE unit

discover and establish connection to one another. This includes the device name, perhaps "greenhouse thermometer", along with the preferred slave connection properties, e.g. a connection interval of 4 seconds, with a slave latency of 150 (10 minute window). All this information is contained within the GATT database, and shared as needed to other BLE units.

When communicating attributes, there are four data operations available. Read and write typically require one device to access the others characteristic. In the case of the greenhouse, the house device would request to read the thermometer. Notification and indications differ to read and write, in that the device(s) after information subscribes to the changes of state of the characteristics. For example, when greenhouse slave device wakes up, if the thermometer measurement changed, it will send a notification or indication alert the master device inside the house. The former methods can be thought of as synchronous means of communication while the latter asynchronous. Notifications differ from indications in that indications require a application level acknowledgment. That is, the indication is bubbled up to the user code, which then either accepts or rejects the indications. Notifications are acknowledged near the bottom of the BLE stack, verifying correct receipt and message integrity. Therefore notifications are suitable for higher throughput applications. As shown in figure 6 shows notifications are operation configured for battery and EEG sensor readings. In this example, whenever the battery level changes, any devices subscribed to the characteristic battery level will receive a notification.

In BTC the network topology used pico-nets, whereby one device has one master, but could be a master of another device. BLE operates a simpler network topology, star, whereby a device can either be a master or slave, but not both. The master has the responsibility of organising itself between the slaves. If one slave requires large amounts of bandwidth, it may impact the quality of service encountered by the other devices.

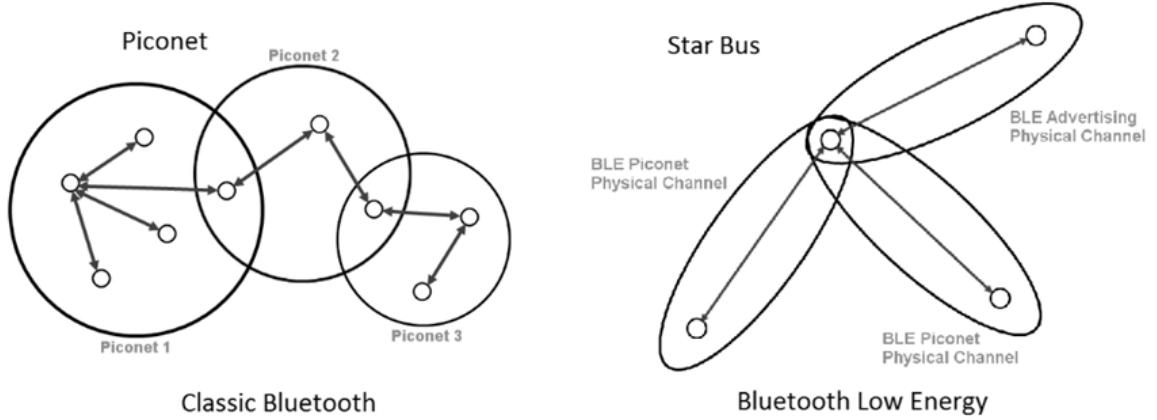


Figure 7: Bluetooth Network Topologies

Both BLE and BTC devices move through generic system states. The same abstract view can be applied to both technologies and is shown in. Note that depending on the role of the device, the state moves right (master) or left (slave) from standby. It may appear confusing to have another state for scanning which can only move into the standby state, but this is useful for searching and discovering devices with no commitment to connecting. Such a use case might be suitable for devices that intermittently broadcast small amounts of information. Assuming that the master BLE device is

in the initiating state, searching for a connectable device, and at the same time the BLE slave is in the advertising stage, periodically broadcasting information using advertisement packets; the two devices will find one another and may initiate a connection request.

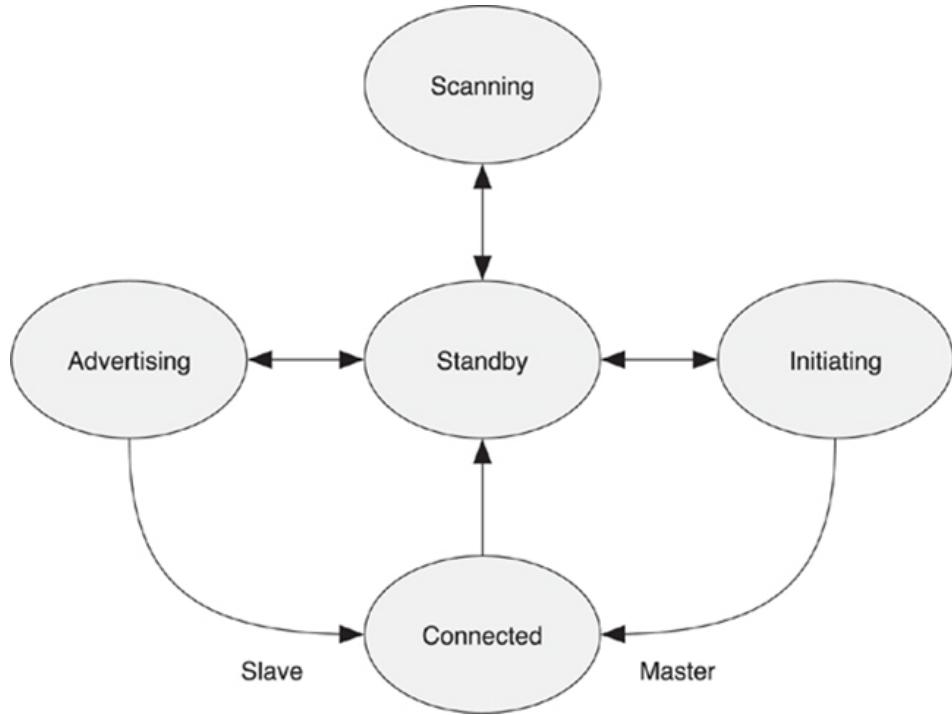


Figure 8: Device State Transition Diagram

BLE is principally composed of two types of packets, advertisement (Figure 9) and data (Figure 10). Both packet types vary in length dependent on the payload but share a 32 bit advertising access address field, a 24 bit Cyclic Redundancy Check (CRC) field, an 8 bit header and an 8 bit length field which defines the Packet Data Unit (PDU) size (the last 2 field are often collectively called the header field). Advertisement PDUs range from 0 to 29 bytes (232bits), meaning the total packet size can vary between 72 and 320 bits. The over-the-air data rate is 1Mbit/s, meaning advertisement packets are transmitted at a rate between 72 and  $320\mu\text{s}$  (a single bit is transmitted every  $1\mu\text{s}$ ). In addition to the access code and CRC fields, data packets consists of an 8 bit preamble and a PDU varying between 0 and 27 bytes (4 of these bytes are reserved for encryption). Hence, the packet length varies from 80bits to 296 bits (328 including encryption).

Figure 11 shows a connection between two devices being initiated. The first packet shown is an indirect advertisement packet, available to all listening BLE devices. The second packet is a connection request from the master device, communicating in the payload its address, the address to establish a connection with, and random access address, a connection interval length, the hop sequence, channel map, the connection timeout, slave latency and other things. Here the advertisement packets are rendered in green, the data in (predominantly) yellow (the magenta also represent a type of data packet)

- The channel map bit pattern corresponds to a contiguous stream of 37 ones, corresponding to all 37 channels being operational (the master has no reason to prevent transmission).

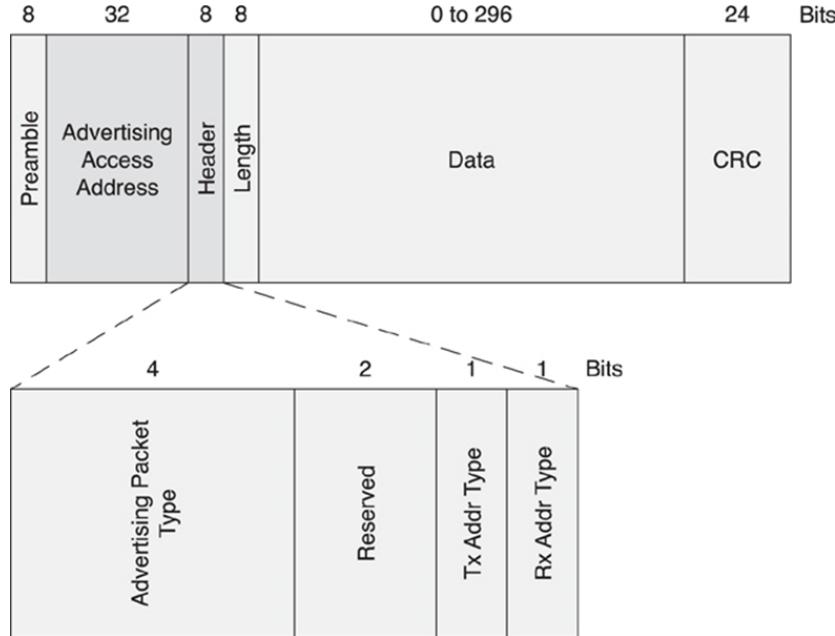


Figure 9: BLE Advertisement packet

Img advertisement

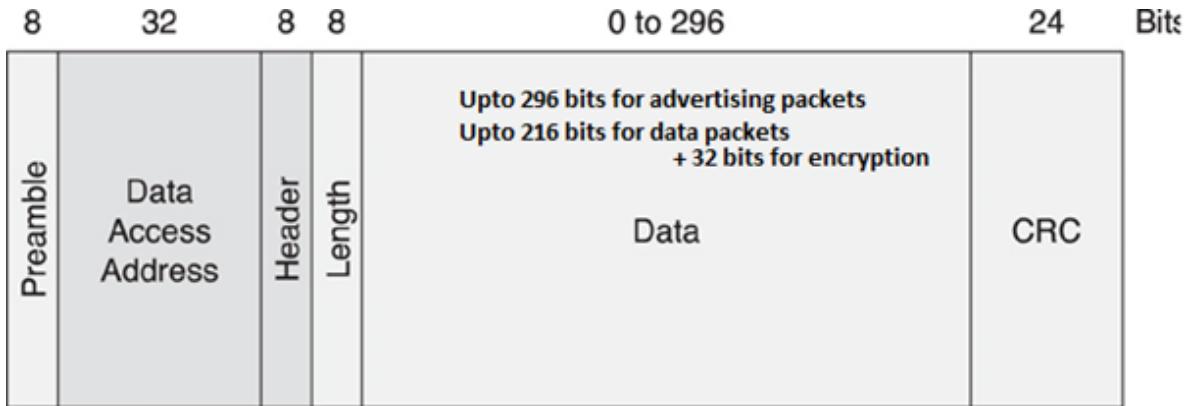


Figure 10: BLE Data packet

- The hop byte indicates between each connection event (CE) how many channels the device pair will increment. From packet 242 onwards, the channel map increments every CI (2 packets/30ms).
- The access address is a randomly chosen number (by the master) which acts as a identifier for a connection between two devices.
- The interval of 0x18 (24 decimal) represents the CI length in units of 1.25ms. Therefore 0x18 represents 30ms between subsequent CE. Between each master to slave directional data packet (every 2 packets), the total sum of the time is  $30000\mu\text{s}$

It is highlighted that (at least initially) the slave interval is 0, meaning the slave should wake up every CE. In packets numbers 243 and 244, the slave and master respectively have nothing to send, and simply acknowledge on another with an empty PDU. The protocol realises flow control through the the lazy acknowledgment of Sequenc Number (SN) and Next Expected Sequence Number (NESN)

bits, embedded into the header of every data channel.

P.nbr.	Time (us)	Channel	Access Address	Adv PDU Header			AdvData	AdvData			RSSI (dBm)	FCS
				Type	Taskid	Fcid		Type	Taskid	Fcid		
240	+27449 =5973196	0x25	0x888B8E6	0	0	0	0	0	0	0	0x87B366	-38
P.nbr.	Time (us)	Channel	Access Address	Adv PDU Type	Type	Taskid	Adv PDU Header	AdvA	AdvA	AdvA	CRC	RSSI (dBm)
241	+350 =5974146	0x25	0x888B8E6	ADV_CONNECT REQ	5	1	0x57796CE54B36	ImIA	0x52999C13	0x52999C13	CRC Init	LLData (Part 1)
P.nbr.	Time (us)	Channel	Access Address	Adv PDU Type	Type	Taskid	Adv PDU Length	AdvA	0x52999C06	0x52999C06	WinSize	LLData (Part 1)
242	+6474 =6000620	0x16	0x8A9AC13	ADV_CONNECT CNTL	3	0	0x57796CE54B36	Data Header	0x0013	0x0013	WinOffset	LLData (Part 2)
P.nbr.	Time (us)	Channel	Access Address	Adv PDU Type	Type	Taskid	Adv PDU Control	LLID	NESN	SN	LL Version Ind	LLData (Part 2)
243	+279 =6000899	0x16	0x8A9AC13	DATA	1	0	0	LLID	NESN	SN	SubVerInd	Hop SCA
P.nbr.	Time (us)	Channel	Access Address	Adv PDU Type	Type	Taskid	Empty PDU	LLID	NESN	SN	VersionInd	0x0008
244	+29722 =6030521	0x12	0x8A9AC13	DATA	1	0	0	LLID	NESN	SN	CompId	Hop SCA
P.nbr.	Time (us)	Channel	Access Address	Adv PDU Type	Type	Taskid	Empty PDU	LLID	NESN	SN	SubVerInd	0x0008
245	+230 =6030551	0x12	0x8A9AC13	DATA	1	0	0	LLID	NESN	SN	VersionInd	0x0008
P.nbr.	Time (us)	Channel	Access Address	Adv PDU Type	Type	Taskid	Empty PDU	LLID	NESN	SN	Encryption Req	0x0008
246	+29770 =6030521	0x12	0x8A9AC13	DATA	1	0	0	LLID	NESN	SN	Band	IVs
P.nbr.	Time (us)	Channel	Access Address	Adv PDU Type	Type	Taskid	Empty PDU	LLID	NESN	SN	Encryption Req	0x0008
247	+415 =6061036	0x12	0x8A9AC13	DATA	1	0	0	LLID	NESN	SN	EDIV	0x0008
P.nbr.	Time (us)	Channel	Access Address	Adv PDU Type	Type	Taskid	Empty PDU	LLID	NESN	SN	SubVerInd	0x0008
248	+29535 =6090621	0x18	0x8A9AC13	DATA	1	0	0	LLID	NESN	SN	VersionInd	0x0008
P.nbr.	Time (us)	Channel	Access Address	Adv PDU Type	Type	Taskid	Empty PDU	LLID	NESN	SN	Encryption Req	0x0008
249	+231 =6090552	0x18	0x8A9AC13	DATA	1	0	0	LLID	NESN	SN	Band	IVs
											CRC	RSSI (dBm)
											FCS	OK

Figure 11: BLE connection initialisation

While explained for completeness, advertisement and packets used in the initial establishment of a connection are of no concern to this project since they do not contribute to data throughput and once a connection has been established, do not contribute to the power consumption of the device. Hence, their contribution is removed from measurements as it is assumed the long term amortised cost is zero. The (incomplete) connection initialisation process shown in Figure 11 is known as "Just Works" pairing.

As previously mentioned, to achieve high throughputs notifications are used. To achieve the maximum throughput, it is desirable to send notifications as fast as possible. However, data cannot be sent out without flow control, and all notification packets must first be received then acknowledged before the next packet is sent. When a packet is sent, there is a mandatory  $150\mu s$  inter-frame period. For the maximum throughput the connection receiving device would just acknowledge the data in an 80 bit acknowledgment response. Another  $150\mu s$  frame would occur between this responding device and a transmitting device, bringing the total time up to:

$$296\mu s + 150\mu s + 80\mu s + 150\mu s = 676\mu s$$

This is shown graphically in Figure 12 (encryption is enabled). The maximum duty cycle is obtained when encryption is used

$$\frac{328\mu s + 80\mu s}{708\mu s} \approx 55.6\%$$

which is relatively low when compared radio technology duty cycles, e.g. BTC. The  $150\mu s$  inter frame period exists to prevents the silicon from heating to excessively, preventing power consuming hardware being required to recalibrate the radio.

From the figure it is possible to calculate the upper bound for the number of packets that can be transmitted per second is

$$\frac{1000000\mu s}{676\mu s} \approx 1479.29 \text{ packets/second}$$

Of the 37 bytes (296 bits) sent for a notification packet, 27 of them are known as the data payload. Even if encryption is not used, 4 bytes of the 27 are required for the L2CAP header use. The remaining 23 bytes are quotes as the available application bytes.

$$1479.29 \frac{\text{packets}}{\text{s}} \times 23 \times 8\text{bits} \approx 270\text{kbp/s}$$

While a lot of literate quoteCITATION PLEASE] this as the maximum usable data rate of this 23 bytes 3 bytes are required to identify the command type (notification) through an op-code (1 bytes) and which attribute it belongs to through an attribute handle (2 bytes). This means that only 20 bytes of the original 27 reserved for the application are usable, reducing the upper bound for throughput to

$$1479.29 \frac{\text{packets}}{\text{s}} \times 20 \times 8\text{bits} \approx 236.7\text{kbp/s}$$

Here forth, the usable payload of a "notification" or "data packet" is 20 bytes. When aiming for either low power and/or high efficiency, it is important fill the usable payload with as much data as possible, as every notification packet sent has a fixed cost of 80 bits associated with it. TALK ABOUT PCK EFFICIENCY

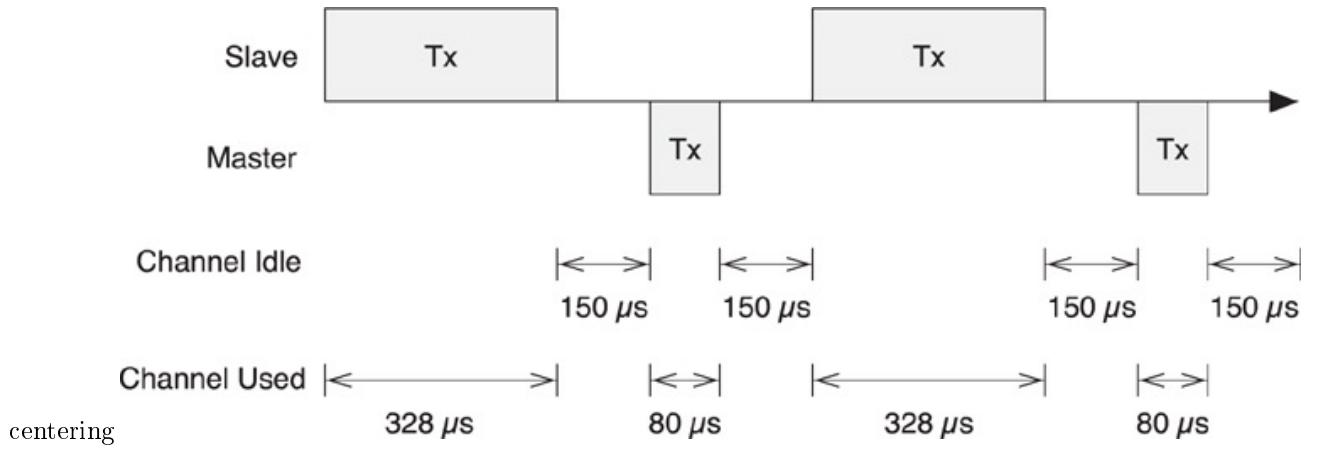


Figure 12: BLE's packet flow for maximum throughput

The BLE specification defines no maximum

The BLE protocol was not designed for high throughput applications, but rather for low latency episodic communication devices transferring small data payloads of representing device state. The energy per bit is low compared other popular technologies, and should not be used as the bottom-line metric for performance. Rather, a holistic metric of the whole system energy consumption for a specific use case will form the basis of the performance metric in this report.

## 4 Preliminary Research

This chapter deals with evaluating the BLE devices to choose a device most suitable for a maximising throughput and EEG signals for an AEEG system. This chapter goes on to evaluate and select AEEG ancillary components required for the prototype.

### 4.1 Radio Evaluation

There are a number of competing companies in the BLE consumer space. The most popular chip manufacturers at the time of evaluation are Texas Instruments (TI), Nordic Semiconductor (NS) and CSR, although other manufacturers exist, they normally design combo-wireless technology chips, e.g. Broadcom, which only offers WiFi, BT and BLE combined system on chip (SoC). These chips are not suitable for this project due to their complexity, packaging and intend application - the typical use case of such combo-chips are in high powered devices such as tablets, smart phones and notebooks.

TI provided an inexpensive BLE packet sniffer which was used extensively throughout this project.



Figure 13: TI's BLE packet sniffer

As discussed in the previous section, to achieve the highest throughput values, the BLE communication method used is notifications. Notification data packets can carry a usable payload of up to 20 bytes.

After sourcing

#### 4.1.1 nRF8001

The first radio tested was NS's nRF8001, selected due to the data sheet claiming it was the lowest power consuming device. The reason for this was because the chip only contained a controller and host layer. The application layer must be provided by an external micro controller, which is a large amount of effort to write. Fortunately a hobbyist open source project[10] was available that provided the necessary code to get limited connectivity up and running. Figure ?? shows the prototyping setup used to measure the performance of the nrf8001 microchip.

Using a shunt resistor, the measured peak current was approximately 14.5mA, which correlates with the figure found in the data sheet (14.6mA). This was at a

The ATMega328 consumes approximately XmA, however for the remainder. As the device was hardware limited to only 1 packet per connection event further development was abandoned.

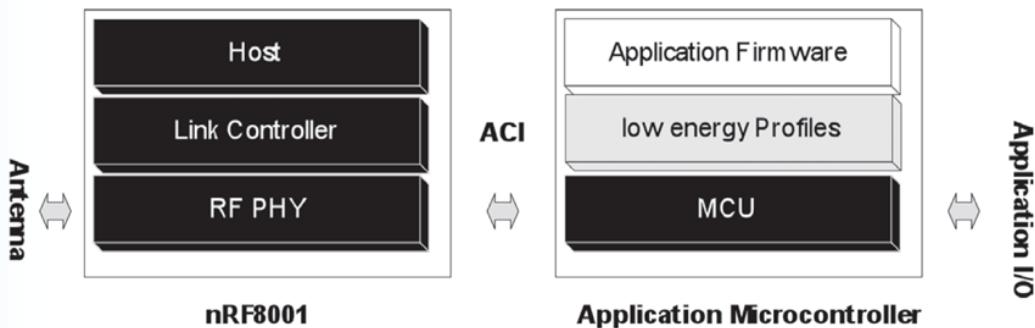


Figure 14: nRF8001 application block diagram

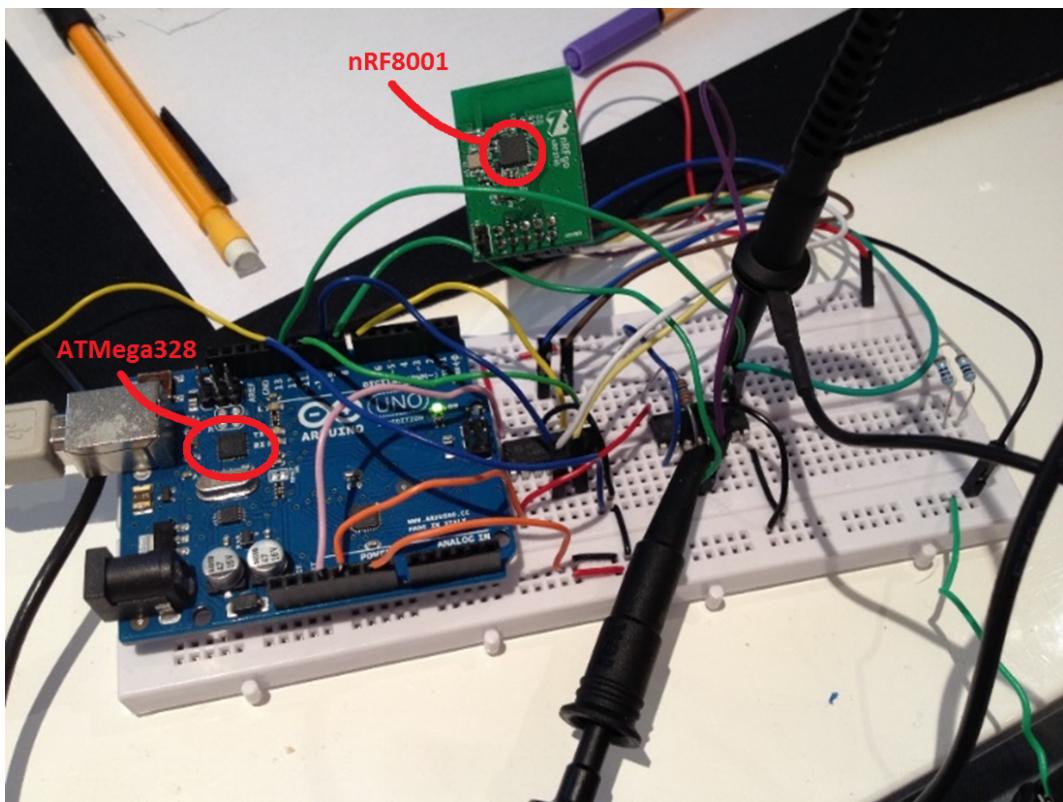


Figure 15: Arduino Uno development board acting hosting the application layer for the nRF8001 radio. Level shifters were required for interfacing with the low-power chip

P.nbr. 978	Time (us) +28396 =28442594	Direction M->S	Data Type Empty PDU	Data Header LLID NESN SN MD PDU-Length 1 1 0 0 0	L2CAP Header L2CAP-Length ChanId 0x0017 0x0004	Opcode AttHandle AtvValue 0x1B 0x0017 02 02 02 02 02 02 02 02 02 02 02 02 02 02 02 02	ATT_Handle_Value_Notify
P.nbr. 979	Time (us) +230 =28442524	Direction S->M	Data Type L2CAP-S	Data Header LLID NESN SN MD PDU-Length 2 0 1 0 27	L2CAP Header L2CAP-Length ChanId 0x0017 0x0004	Opcode AttHandle AtvValue 0x1B 0x0017 02 02 02 02 02 02 02 02 02 02 02 02 02 02 02 02	ATT_Handle_Value_Notify
P.nbr. 980	Time (us) +230 =28472594	Direction M->S	Data Type Empty PDU	Data Header LLID NESN SN MD PDU-Length 1 0 0 0 0	L2CAP Header L2CAP-Length ChanId 0x0017 0x0004	Opcode AttHandle AtvValue 0x1B 0x0017 03 03 03 03 03 03 03 03 03 03 03 03 03 03 03 03	ATT_Handle_Value_Notify
P.nbr. 981	Time (us) +230 =28472524	Direction S->M	Data Type L2CAP-S	Data Header LLID NESN SN MD PDU-Length 2 1 0 0 27	L2CAP Header L2CAP-Length ChanId 0x0017 0x0004	Opcode AttHandle AtvValue 0x1B 0x0017 03 03 03 03 03 03 03 03 03 03 03 03 03 03 03 03	ATT_Handle_Value_Notify
P.nbr. 982	Time (us) +29771 =28502395	Direction M->S	Data Type Empty PDU	Data Header LLID NESN SN MD PDU-Length 1 1 0 0 0	L2CAP Header L2CAP-Length ChanId 0x0017 0x0004	Opcode AttHandle AtvValue 0x1B 0x0017 04 04 04 04 04 04 04 04 04 04 04 04 04 04 04 04	ATT_Handle_Value_Notify
P.nbr. 983	Time (us) +230 =28502325	Direction S->M	Data Type L2CAP-S	Data Header LLID NESN SN MD PDU-Length 2 0 1 0 27	L2CAP Header L2CAP-Length ChanId 0x0017 0x0004	Opcode AttHandle AtvValue 0x1B 0x0017 04 04 04 04 04 04 04 04 04 04 04 04 04 04 04 04	ATT_Handle_Value_Notify
P.nbr. 984	Time (us) +29772 =28532597	Direction M->S	Data Type Empty PDU	Data Header LLID NESN SN MD PDU-Length 1 0 0 0 0	L2CAP Header L2CAP-Length ChanId 0x0017 0x0004	Opcode AttHandle AtvValue 0x1B 0x0017 05 05 05 05 05 05 05 05 05 05 05 05 05 05 05 05	ATT_Handle_Value_Notify
P.nbr. 985	Time (us) +230 =28532827	Direction S->M	Data Type L2CAP-S	Data Header LLID NESN SN MD PDU-Length 2 1 0 0 27	L2CAP Header L2CAP-Length ChanId 0x0017 0x0004	Opcode AttHandle AtvValue 0x1B 0x0017 05 05 05 05 05 05 05 05 05 05 05 05 05 05 05 05	ATT_Handle_Value_Notify
P.nbr. 986	Time (us) +29770 =28562597	Direction M->S	Data Type Empty PDU	Data Header LLID NESN SN MD PDU-Length 1 1 0 0 0	L2CAP Header L2CAP-Length ChanId 0x0017 0x0004	Opcode AttHandle AtvValue 0x1B 0x0017 06 06 06 06 06 06 06 06 06 06 06 06 06 06 06 06	ATT_Handle_Value_Notify
P.nbr. 987	Time (us) +229 =28562526	Direction S->M	Data Type L2CAP-S	Data Header LLID NESN SN MD PDU-Length 2 0 1 0 27	L2CAP Header L2CAP-Length ChanId 0x0017 0x0004	Opcode AttHandle AtvValue 0x1B 0x0017 06 06 06 06 06 06 06 06 06 06 06 06 06 06 06 06	ATT_Handle_Value_Notify

Figure 16: Packet-sniffed connection between Apple iPhone 4s and nrf8001. Notification rate 1 packet every 30ms. Some fields removed due to page space constraints

Initially, the device was configured to send a large amount of notification within a single CE and at the lowest CI. The master device was an Apple iPhone 4S, and it was found the total throughput was 5328 bit/s or 2.3

As the device can only send 1 packet per CI, to achieve the highest throughput, the device must be run at the smallest connection interval of 7.5ms. At this interval the upper bound for throughput becomes

$$\frac{1000}{7.5} \times 20 \text{ bytes} \approx 2666 \text{ byte/s}$$

With 16 channels at an 8 bit resolution, the highest frequency measurable (according to Nyquist condition) is

$$\frac{12666 \text{ byte/s}}{16} \times \frac{1}{2} \approx 83 \text{ Hz}$$

A maximum support frequency running a solo channel is approximately 1328 Hz.

#### 4.1.2 nRF51822

Another NS product tested was the nRF51822. Information on the support packets per connection event was also not present in the datasheet[14]. This device was found capable of receiving up to 6 packets per second, and this was verified by an engineer[11]. While a marked improvement over the nRF8001, this meant that the device was only capable of operating at 60

#### 4.1.3 CSR1010

Through direct contact with CSR engineers, it was reported a particular single mode BLE radio device, the CSR1010, was measured reaching 250kbps

*... the theoretical maximum throughput for a single BLE connection using a much bandwidth as possible is around 300kbps at the air interface. The usable application data rate is somewhat less, but 80kbps should be possible (Using ATT packets with a 23-byte MTU should provide approximately 200kbps application data rate, if my maths is correct).*

*However, in practise you are limited by what other activities each end of the link is doing. In particular smart-phones (as one end of the BLE link) will likely severely restrict the BLE throughput to account for other Bluetooth activities (such as eSCO links) and co-existence with WiFi etc. But if you controller both ends of the link (e.g. single-mode BLE devices at both ends) then it should be easier to get close to the maximum theoretical rate. [sic]*

[sic]

In the first paragraph the engineer is treating the full 27 bytes in the data payload as the application data. This number should of come from

$$\frac{1000000\mu s}{676\mu s} \times 27 < 320 \text{ kbps}$$

320 to 300 is a generous approximation, it is believed that the engineer actually rounded a smaller number, 305, which was calculated by including an unnecessary 32 bit message integrity code (MIC), used only when encryption is enabled:

$$\frac{1000000\mu s}{708\mu s} \times 27 \approx 305 \text{ kbps} \approx 300 \text{ kbps}$$

Many literature pieces and training materials on BLE make this assumption, including those on the SIG website [4] [1].

In the second paragraph the engineer is highlighting some important facets of BLE devices, and that is although one end of the link is determined by the lower of the two device's throughputs. The engineer cites smart phones as such devices that restrict the link capability. This was seen in the nRF8001 - the iPhone 4S could not handle a connection interval less than 30ms. Combined with that the nRF8001 stack couldn't transmit more than one packet per connection interval on average, the maximum throughput achievable by this device was cut by 4.

When asked how to show the math behind the numbers achieved

*While testing our uEnergy silicon (CSR1010) at HCI level I can achieve 250kbps throughput using a dedicated test application (i.e. data generated on-chip). HCI packets are 27 bytes, so to get my earlier number I simply did (20/27) \* 250 to get an application-level data rate (27 bytes minus 4 bytes L2CAP header minus 3 bytes ATT header, leaving 20 bytes for application in each packet).*

*I haven't tested raw throughput at the application level, but as our ATT protocol runs on stack alongside the HCI/controller level, it should not see any additional processing overheads. [sic]*

The HCI is the component between the host and controller layers shown in Figure 5. The engineer is saying that data throughput should not be affected by any high-level stack activity (any stack congestion, if existing, shouldn't affect throughput). Although the engineer has given no comment on latency (data will take time to propagate up the stack).

CSR supplied a development kit consisting of provided a development board (CNS10004V5D) and Universal Serial Bus (USB) dongle. The CSR1010 is part of CSR's new  $\mu$ Energy product line, for which CSR have developed their own compiler and integrated development environment (IDE) known as xIDE. For initial throughput testing, an example health thermometer application was used. From exploring and understanding the main features of the operating system abstraction layer (OSAL), it was immediately possible to increase the number of notification packets sent per connection interval from 1 to 8.

The standard mechanism provided in the example, is for every connection interval a confirmation of the first acknowledgement is raised in the application layer. This is used in the program as a point of reference for application layer timers, so schedule the next wake up time, etc. This mechanism provides visibility at the CE level, but not at the notification packet level - if many notifications are sent and acknowledged, this method doesn't provide any information that the CE may have room for more notifications. This is a problem when large throughputs are desired, as it appears the buffer queue is 8 packets in size. When more than 8 are added to the queue, they are ignored, causing data loss. Hence, through the naive method, whereby notifications are placed into the queue before or after the start of the CE, upto a maximum of 8 notifications are correctly sent in order. While it is possible to continuously flood the stack (buffer queues) with more notification requests, without knowing if the stack is capable of dealing with them, data may be lost. This mechanism is visualised in Figure 17.

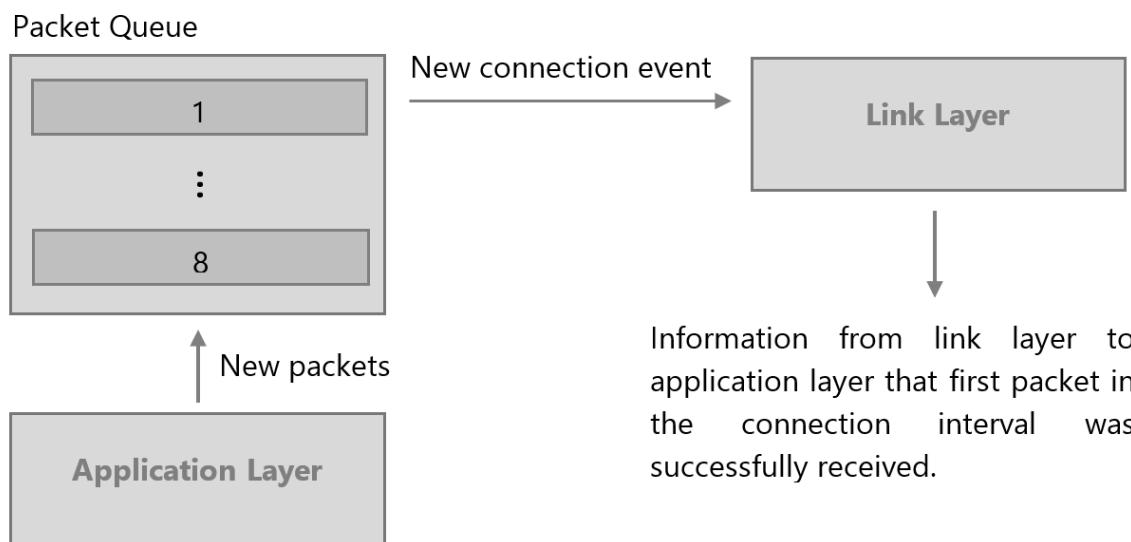


Figure 17: Notification of the first packet

An experiment to test throughput was set up whereby each connection event sends 8 notifications per connection event with the same payload, bar one byte which increments with the connection event. The output can be seen in Figure 18.

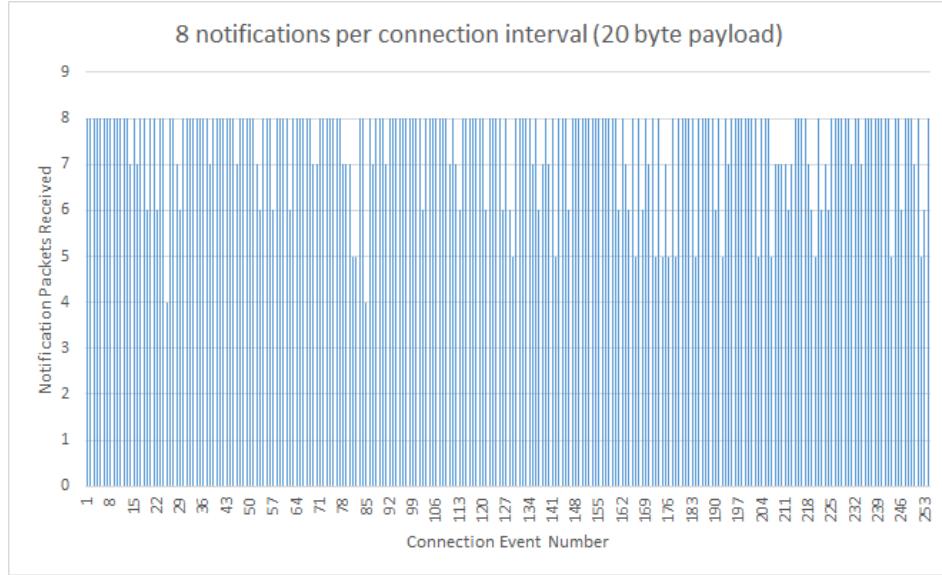


Figure 18: Queuing of 8 packets being lost

From the experiment above, the conclusion was that the link layer stack became flooded with notification requests. Had the requests been accepted into the stack, then they would of been transmitted. If they failed to arrive at their destination, then the flow would of caused a negative acknowledgment, and the packet would of been retransmitted. Provided the notification made it through the link layer stack, it should appear in the output.

After greater exploration of the software system framework, the application programming interface (API) and software mechanisms, it's possible use the message pump to signal whenever a packet is acknowledged. Upon receiving this signal, the device can then dispatch a subsequent packet. Figure 19 shows such a mechanism.

The experiment was repeated again, this time sending a new notification every time the previous one was acknowledged using the message pump signal. The results are shown in Figure 20

A capture of the notification data was taken over a period of approximately 46 seconds. Figure 21 shows part of the capture.

In the 46.060 second period a total of 55286 notification packets were captured, bringing the average throughput to

$$\frac{55286}{46.060} = 1200.30395137 \text{ packets/s}$$

For the 7.5ms CI used, the number of CE is 133.333 and the number of packets per connection interval is

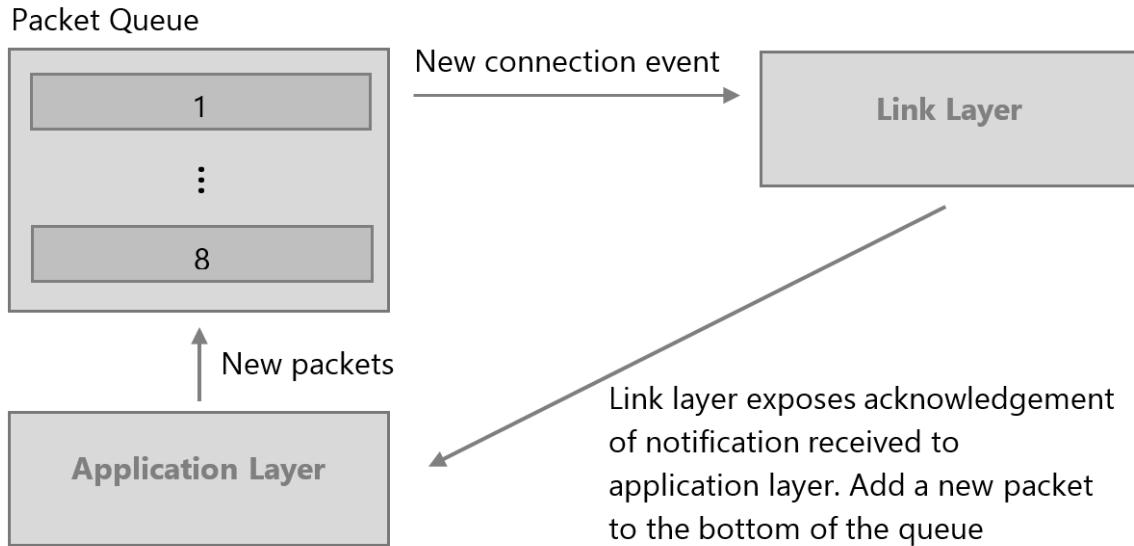


Figure 19: Upon packet acknowledgment

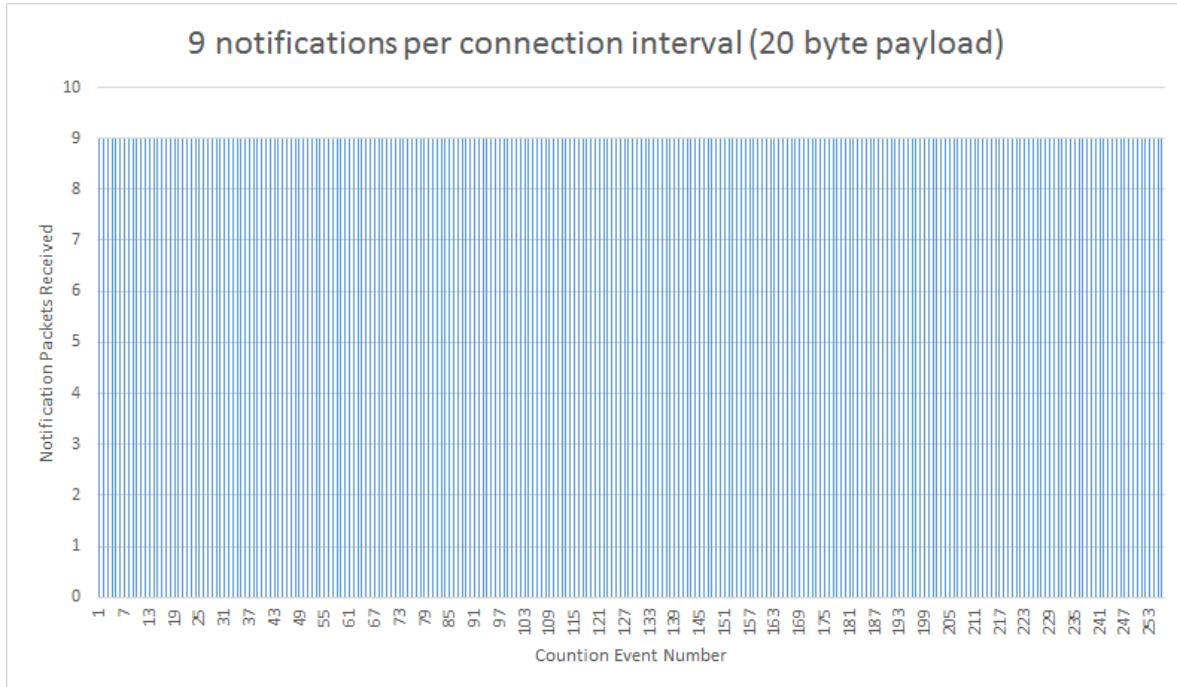


Figure 20: 9 packets being received per connection interval when through acknowledgment feedback mechanism

$$\frac{1200.30395137}{133.3333} = 9.0027 \text{ packets/s}$$

Therefore 9 packets per connection interval at 7.5ms. This corresponding to a throughput of 192kbps or over 80% of the theoretical maximum. A comprehensive explanation of the code used to achieved this is provided in the later section ??.

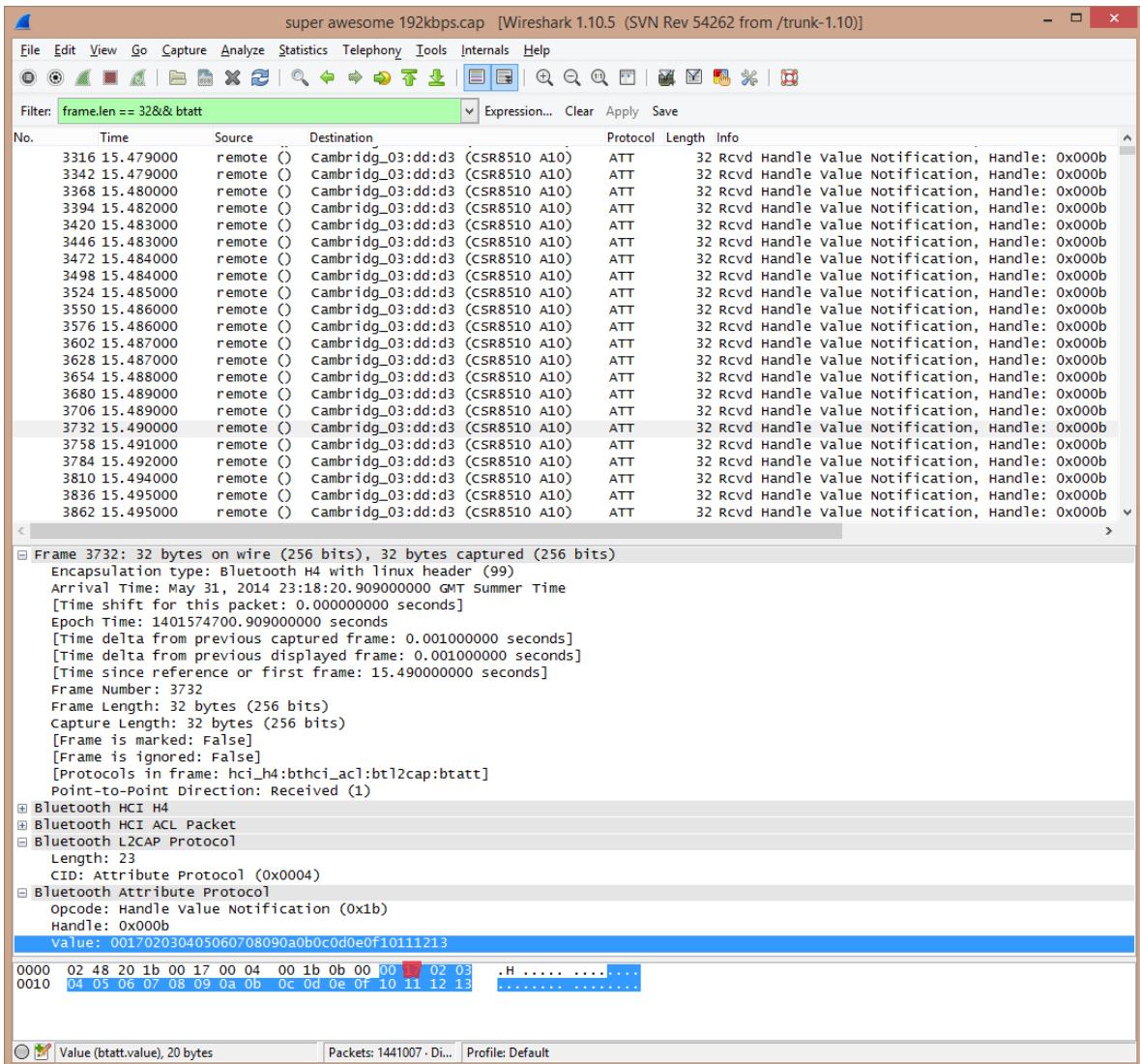


Figure 21: Packet capture. 20 byte data payload (blue) and connection event counter variable highlighted (red). Resolution of time stamps is to the nearest millisecond

#### 4.1.4 CC2540 and CC2541

TI's CC2541 is part of the sensor tag package - a BLE one of the first, and arguably the most popular [need to CITE?] development kits. The CC2540 differs in that it's range and power usage are increased, though it's throughput is theoretically the same.

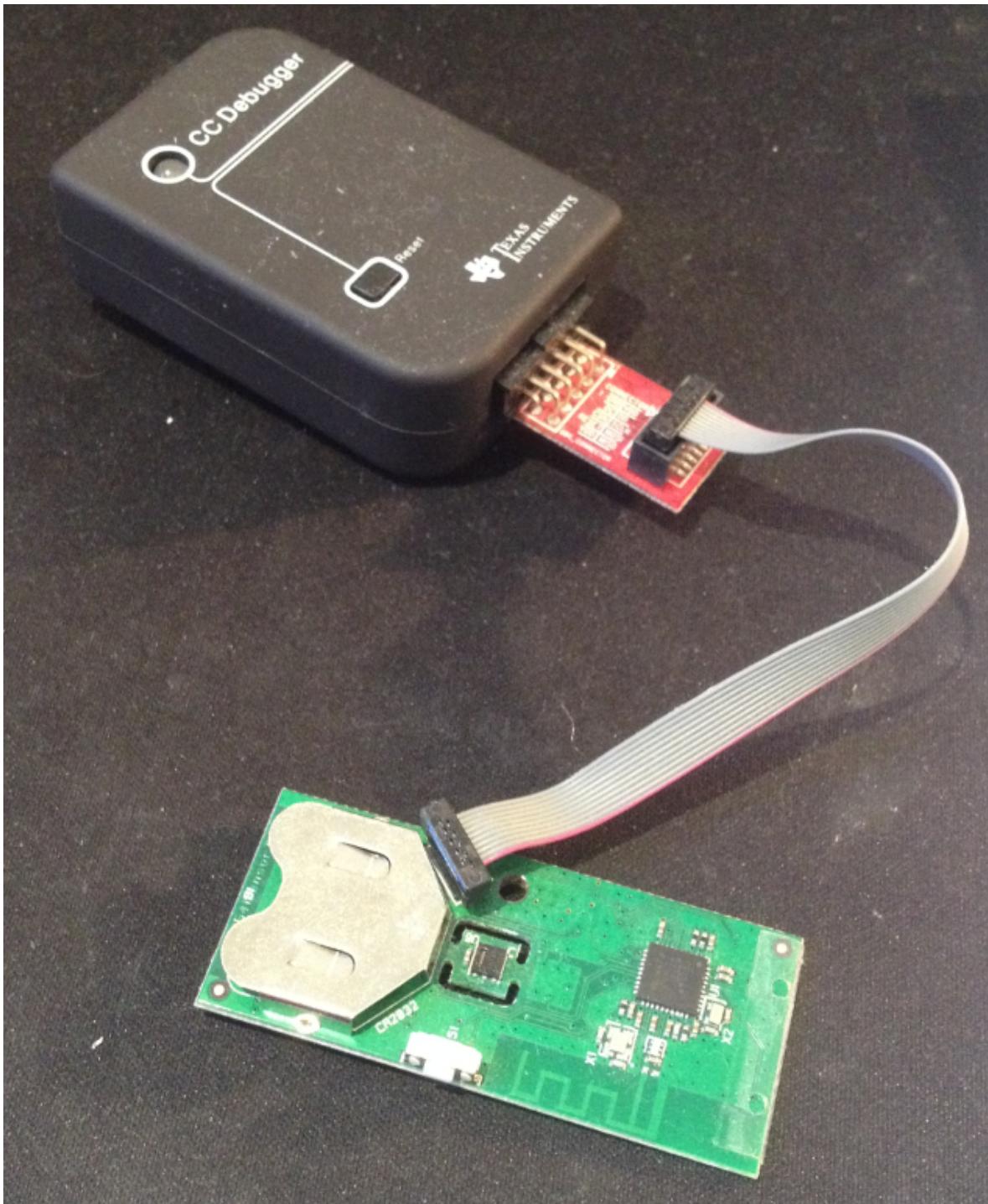


Figure 22: SensorTag hardware (contains CC2541) and programmer

Again, the associated white papers did not document the packet throughput per connection

event. Through contact with a TI employee [CITE], there is a hardware limitation of 4 buffers, limiting only 4 packets per connection event (each buffer contains 1 packet). Each buffer is 128 bytes in length, while BLE data packets can be a maximum of 41 bytes. Through using an exposed firmware (software) switch it was possible to increase the throughput slightly to achieve 5 packets per connection event by refilling the buffers within the connection event, once the packet has been link layer acknowledged. Again, this was discovered through a Texas instrument employee. This increased the average packets per connection event to approximately 4.6, bringing the total throughput between 10-12.3k byte/s.

The CC2541 (and also CC2540) is a full SoC - a programmable microcontroller (MCU) is packages together with the radio hardware. This has certain economical advantages, as common hardware and footprint is shared between the radio and MCU. However, the Nordic company Chipcon who originally developed the hardware (Texas Instruments has since acquired them), relied on the Swedish tool chain provider, IAR, for the development tool suite and chain. TI still relies up this tool software suite, haven't not invested in their own facilities to develop for the platform. A single software license is approximately 2000 USD. While IAR do offer a code-limited version, it is too small to contain all the necessary libraries. IAR also provide a 30 day trial, which is what is currently being used for evaluation, but proceeding with this chip for the prototype may be economically impossible.

For the measured packet throughput, for 16 channels running simultaneously, at 8 bit resolution, the highest achievable detectable frequency is approximately 384 Hz.

The observant reader may notice that the BLE sniffer from TI is actually the CC2540 chip, which is reported as limited to between 4 and 5 packets per CE. However, when loaded with the firmware as a sniffer, it is capable of sniffing many more packets than this. After contacting a TI employee about this disparity, they reported that the sniffer uses a completely different stack:

*The sniffer is capable of listening to any number of packets during a single connection event.*

*Note that the CC254x sniffer SW is completely different than the CC254x stack.*

The engineer highlights that the software used on the sniffer is completely different than that used on the normal CC254x stack - this is presumably means the sniffer runs a reduced/highly specific operating system, to reduce overheads associated with a more generic system. This may mean the hardware is capable of sending large numbers of notifications per CE but either no supporting firmware or a acMCU powerful enough can drive the chip to these operational levels.

## 4.2 Batteries

There are many different battery technologies available. For the device a small, low profile low footprint, a lightweight battery is required. Given that BLE can consume upto 15mA at peak current draw, taking this as the upper bound means that for 12 hours of continuous use batteries with capacities of 180mAh should be considered. Although the radio will dominate, other parts of the circuit such as the Microprocessor will consume a small amount of power, so this figure is arbitrarily upped to 200mAh. Note that peak current is an unfair way to measure current consumption in BLE, however it is being used here as a worst case scenario.

Two batteries technologies which satisfy these requirements are Lithium-ion (the popular

CR2032 is typically advertised as an energy source with many BLE modules) or Zinc-air batteries with capacities of 600mAh cheaply available. Common voltages are 1.4V to 1.65V (radios require between 1.8 -3.6V). However the batteries are light, less than 2g each (one CR2032 weighs, on average, over 3g), therefore they can be combined in series to provide a suitable voltage and a large capacity (over 1Ah). Further, this high voltage will allow the effective radio range of the BLE device to increase. If a Zinc-air cell exhibits damage, unlike most technologies, no dangerous chemicals are present. The downside to zinc-air batteries is that they must be vented to an oxygen environment (cannot be hermetically sealed) which in turn causes them to lose their charge over time relatively quick once exposed to air. Although the AEEG use case requirements will require the device to have lifetime of hours to days, not years.

Two Zinc-air batteries weighing in at 1.9g each, can supply over 1000mAh with a combined series voltage of 2.8V. In the worst case of BLE drawing 15mA, the battery lifetime is approximately 3 days. Recall 15mA is the peak current and BLE will never continuously draw this current, hence the lifetime of the device should be greater, depending on the throughput. acBLE was designed that current is never continuously consumed, and between radio events, the battery has recovery periods, extending the useful life of the battery.

Nordic semiconductor provides a battery lifetime calculator. Using a typical Lithium-Ion battery of 220mAh at a 7.5ms connection interval, yields a lifetime of 163hours. This makes sense as the current average current consumption is slightly above 1.3mA and the capacity of the battery is 220mA. Accuracy of this model will require verification in the power analysis of the AEEG, but the simulation looks sensible. Figure 23 shows a notification simulation of the EEG service being sent continuously every 7.5ms. This model is only for 1 notification per connection event. If

$$\frac{7.5ms}{0.676ms} = 11.094 \text{ notification packets per connection interval} \frac{163 \text{ hours for 1 notification per connection interval}}{11.094 \text{ packets per connection interval}} \approx 14.7$$

This should be an overestimation of the power requirements, as there is a fixed cost payed for waking the MCU, which would be amortised for higher throughputs. Further in the model above the idle time contributes to the power consumption, but at full throughput, there will be little idle time. Finally, running at full throughput is not necessarily the end used case. Running at lesser throughputs will have a dramatic effect on the power consumption.

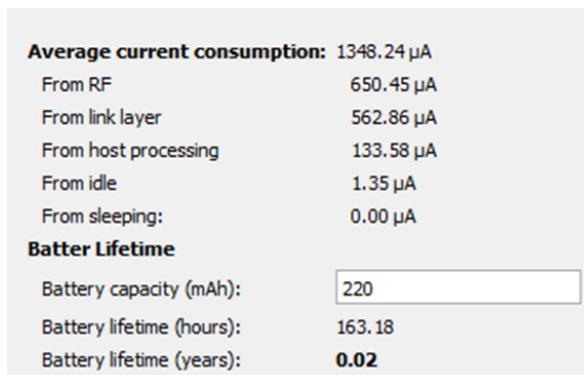


Figure 23: Power consumption and lifetime calculator

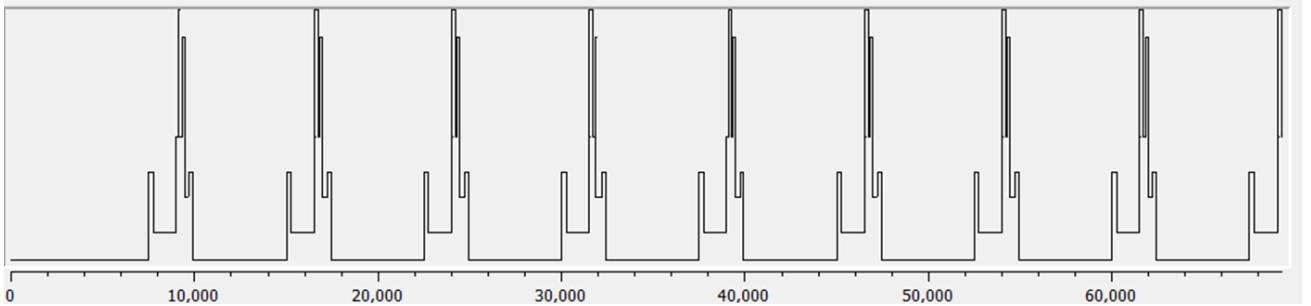


Figure 24: nRF8001 waveform (1 transmission per connection event)

Figure 23 - Simulation plot of notifications being sent For 2 zinc-air batteries of 500mAh capacities, this model predicts the device lifetime under nominal operation begins to reach 10 days. An intelligent estimate to how this module is generated is linked to the throughputs calculated at the start of the Radios section. As each bit represents 1 micro second it is very easy to integrate for set parameters the time over time the current profiles to achieve an estimate of power usage. Max drain current is another important concept to consider. Batteries can only safely deliver a maximum amount of current. If the battery is stressed to deliver more current than it is rated, it will degrade the overall lifetime of the battery.

#### 4.3 Microcontroller

There are a couple of options here. Often radios are provided as a system on chip (SoC), whereby the microchip contains not just the radio but also a fully functional microcontroller unit (MCU). Often these devices will consume more power than just the host and controller, but the system as a whole will typically consume more power overall if the application is run on an off chip MCU.

Figure 15 - SoC (a) and a typical 2 chip solution (b) (Heydon, 2012) It really comes down to the application, as having the application on an off-chip MCU may mean that power is saved overall as other features such as ADCs, SPI interfaces and other peripherals can be utilised and integrated into the application without having to run a separate application on both the external MCU and radio chip, which leads to increased overhead, complexity and power.

For development purposes the Arduino family of development boards, which sport many different processors seem like an excellent for development. These processors have many features such as GPIO, inbuilt ADCs, dedicated SPI pins, etc. Further, there is an extensive documentation, large knowledge base and a very active online forum. Of particular interest are the earlier Arduino models which utilise the very low power Atmel 8-bit family of processors. A further plus is that these processors are available in both DIP and SMT packages, meaning they are suitable breadboard prototyping. The ATMega328 seems like a particularly good choice, as a balance between support, power and simplicity.

Currently, Texas Instrument's SensorTag have been investigated along with Nordic semiconductors nRF8001. The former is a SoC solution while the latter only implements the host and controller layers. Implementing an application layer is no small feat, and fortunately it was possible to make

contact with someone who has developed libraries to drive the chip using an Arduino microcontroller. Please see the section titled Experimentation and Current for more information.

Currently the general feeling is towards using an Arduino micro controller simply due to the ease and rapid nature of development. Some components (an particular ADC and the nRF8001) have been tested and confirmed to work with the device.

#### 4.4 Memory

A hard specification is the real time update of the EEG signals. Long term storage is not considered real time. NAND flash chips Further, high capacity memory modules come in small packages, some requiring factory assembly.

An option to achieve large storage capacity without difficult packages is to use popular SD cards. SD cards, and the variation, microSD cards are extremely easy to communication and an experiment was performed with an Arduino to discover power , However, during reading and writing the current consumption is between 25 to 100mA. From reviewing [san disk cite], a write can require 250ms. Assuming data is written in blocks, the average current consumption attributed by the NAND is 2.5mA.

#### 4.5 Analogue to Digital Converter

Acquisition of EEG signals from the front end consists of using an analogue to digital converter (ADC). Many BLE SoC contain ADCs, though, for example the CSR1010 are only capable to gathering 700 samples per second. Operating the ADC requires a high speed controller. For high acquisition rates (outside the standard operating range of EEG signals), the MCU will likely need to remain in an idle (active) state. For example, it takes the CSR1010 2.2ms to power up from a deep sleep state, meaning for acquisition rates over 450Hz, the chip would be required to remain in an idle (active) state as powering down to a lower power would miss samples.

The CSR1010 has an idle current of approximately than 1mA at 3.3V. The Arduino Uno micro controller is the ATMega328P. While the ATMega328's claims to consume only 0.2mA at 1.8V, this occurs when the device is clocked at 1MHz. This speed may not be sufficient to run the application layer with the responsiveness required, and the MCU is normally clocked at either 8MHz or 16 for most applications. Increasing the clock rate increases the current consumption. For the radio circuit and battery constrains, the expected voltage is expect to be 3V. While a voltage regulator can be used, there will be losses in conversion. Further power is required for running the hardware to enable communication between the radio and ADC. Running the ATMega328 at the same clock rate as the CSR1010, at 3.3Vs the report active (idle) current consumption is

The ATMega328 contains inbuilt ADCs capable of suitably high sample rates, however it was found running these increases the current consumption by atleast 1.5mA.

All BLE chips tested without the application layer, was found to exhibit a low throughput. Given this, the only BLE radios worth running already contain a full SoC, whereby the MCU is

capable of communicating with an off-chip ADC. This and the power, complexity (communication and technology) and footprint size of using multiple active components, it was decided the best course of action would be to use an off-chip ADC connected to the BLE SoC.

The most obvious and popular protocols for interfacing with an external ADC are Serial Peripheral Interface (SPI) and I2C. The microchip MCP3008 has a resolution of 10 bits and uses the SPI protocol to interface. While confirmed to work with the ATMega328 MCU during preliminary prototyping (a dual in-line package (DIP) package was available), neither the CSR1010 nor the CC2541 support native SPI, containing no generic SPI driver libraries either. The protocol would have to be created in the application layer through modification of the programmable input/output (PIO) pins, commonly known as "bit banging". Achievable throughputs would not be known until implemented, leading to a development risk in the meantime.

The CSR1010 supports I2C natively along with provided a generic driver at the application layer. The most suitable device found was the Analogue Devices' AD7997 10bit 8 channel I2C ADC. The device can operate at different I2C speed, 100KHz and 400KHz. While there is the risk of interface issues, the level is less than that of the MCP3008, and believed tolerable. It is also highlighted that the correct working of the ADC is not crucial to the project's success. This, and the fact that no analogue front end is available.

## 4.6 Analogue Frontend

The biopotentials measured on the scalp required analogue filters and amplifiers to extract useful information. Ultimately the ADCs should interface with this frontend. At the time of writing, the circuits and systems group at Imperial College have recently taped out a full custom silicon analogue front-end design for manufacture, however these chips will not be available for use before the project deadline. Therefore no analogue front-end electronics will be present on the prototype board.

## 4.7 Component Selection

The most important decision for this project was the choice of radio device. While the CC254x showed promise as with enough hardware and firmware understanding, it may mean the device could approach the theoretical limit. Infact, recently (after initial testing) a technical article was created on TI's website [9] showing evidence of the device being capable of reaching high throughputs in league with other competing devices. However considering the time, complexity and uncertainty that it can reach throughputs greater than competing devices and the unavailability of an inexpensive tool chain renders this device undesirable. The CSR1010 is supplied with a free tool chain and IDE, as CSR engineers confirmed experiments with the device operating with high throughputs. The package of the radios were both similar, being of roughly the same size quad flat no-leads package (QFN) type, which while reported outside the department's PCB production capabilities, was still considered of sufficiently large for in-house prototyping. Therefore the CSR1010 was the chosen device for the prototype.

Considering the requirement for real time throughput, footprint, manufacturing and assembly

challenges, power consumption and added design complexity, there will be no use of NAND memory in the immediate prototypes, except that used internally inside a MCU or externally as a bootloader (i.e. CSR1010 device requires a small external storage unit which contains the program code, loaded at power on time).

For the EEG signal acquisition, use of an off-board ADC module, the AD7997 seems the most sensible choice due to power and overall time and complexity minimisation. The prototype will contain two devices to achieve 16 channels and interface with the MCU (CSR1010) over the I2C bus. The choice of power source is still open between Lithium-ion and Zinc-air. To achieve longer lifetimes, it's most Zinc-air is the final choice as the device's energy source.

The final component selection for the EEG prototype is

- 2 xAD7997 - 8bit I2C ADC (two are required for 16 channels)
- CSR1010 single mode BLE device
- 2 x P675 Zinc Air batteries. Batteries of capacity 620mAh are readily available and inexpensive (two are required in series to achieve an operable voltage)
- AT24C512C-SSHD 512K Serial EEPROM flash for the CSR1010's non-volatile storage

The application will be developed on a tablet, due to the expected ease of development over other platforms. It is difficult to source either an Android and iPhone device capable of many notifications per CI, little information available and costly. The target platform is the Surface Pro, running Windows 8.1. While the Surface Pro contains an internal multi-mode wireless chip, BLE capable, it is not known how well this will fare for higher throughputs. CSR provided a dongle, which after preliminary testing, appears to be able to achieve high throughputs. This is another reason for using the tablet, as it contains a USB port. Further, developing for Windows 8.1 on a tablet is similar to developing for Windows Phone 8, hence limited effort is required to port the application to Microsoft Windows smartphone.

## 5 Specification

## **6 Implementation**

### **6.1 Hardware**

A challenging part of this project developing hardware. It was desired that the device should be small and light so it can be sewn into a EEG hat and worn by the user with no discomfort. To achieve a small size components were placed within close proximity of each other, and at angled orientations (tesselated)

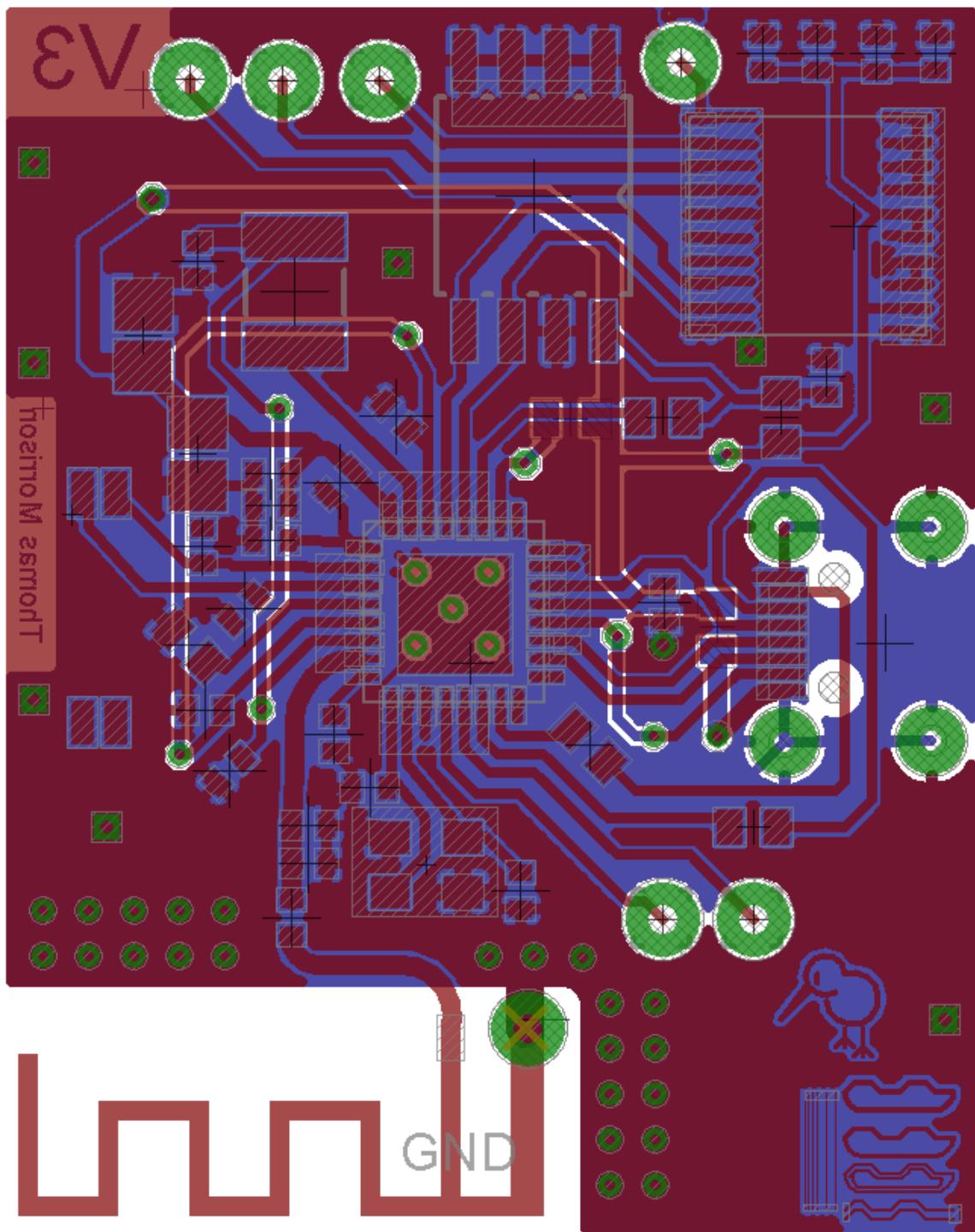


Figure 25: First PCB Design

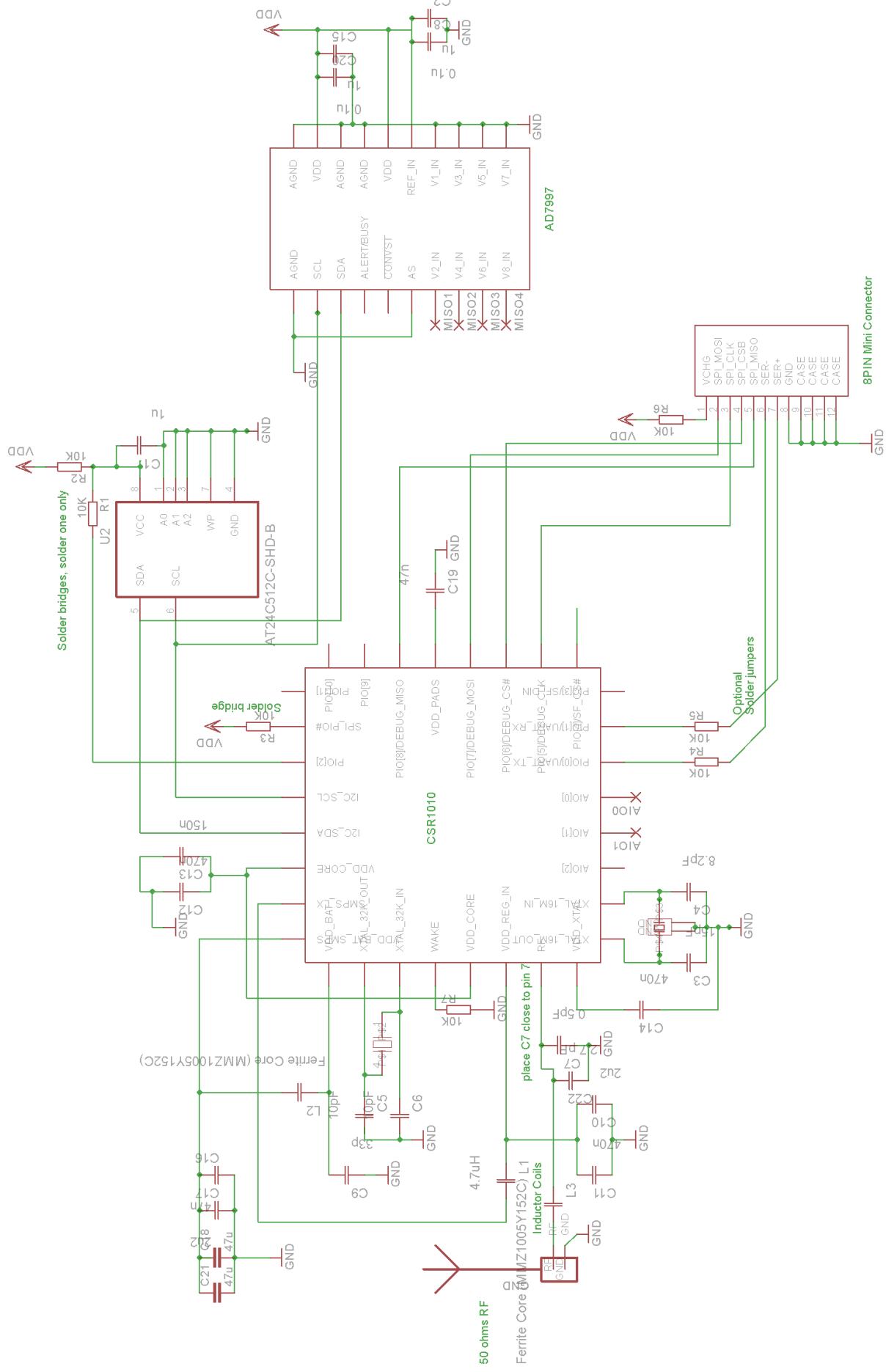


Figure 26: Schematic

The board was assembled by hand, and reflowed in a suitable oven

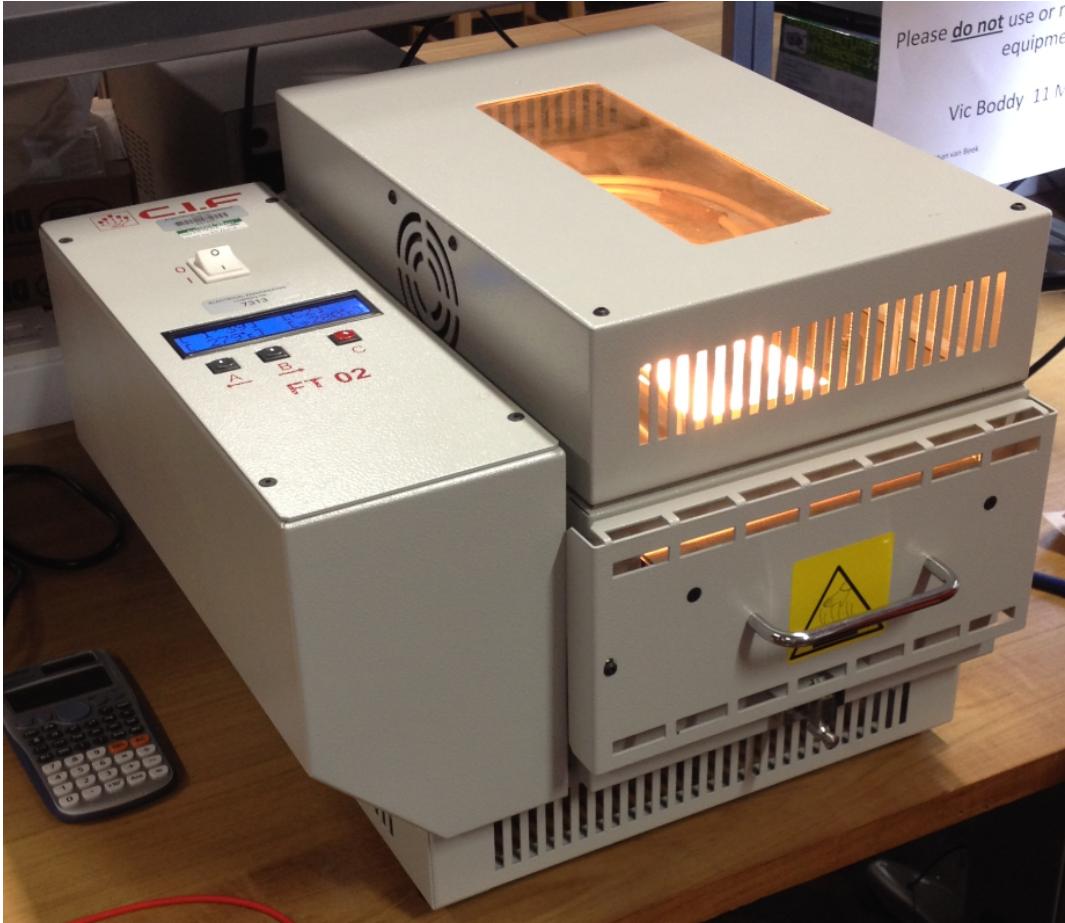


Figure 27: Reflow oven

To test both the process capability and variation, lines of decreasing thickness along with convex shapes were used . Total size was 26.5mm by 33.75mm

The first iteration of the board. Due to the process capabilities and materials used, the radio frequency traces were not impedance matched, and the device range was limited to approximately 7 meters within a building or 15 meters line of sight (LOS).

Unfortunately, due to the size and complexity of some of the components, the oven did not give perfect results. Around the active component packets, the solder resist was removed to allow access for a soldering iron to "touch up" when the oven reflow failed to perform.

The second design added connections for Zinc-air battery holders, another ADC, channel and debug pads/test points, reduced weight and better RF properties. Further, the final design was produced in a professional off-site PCB house, making use of plated through hole (PTH) technology.

## 6.2 Firmware

## 6.3 Tablet Application



Figure 28: First (working) PCB iteration

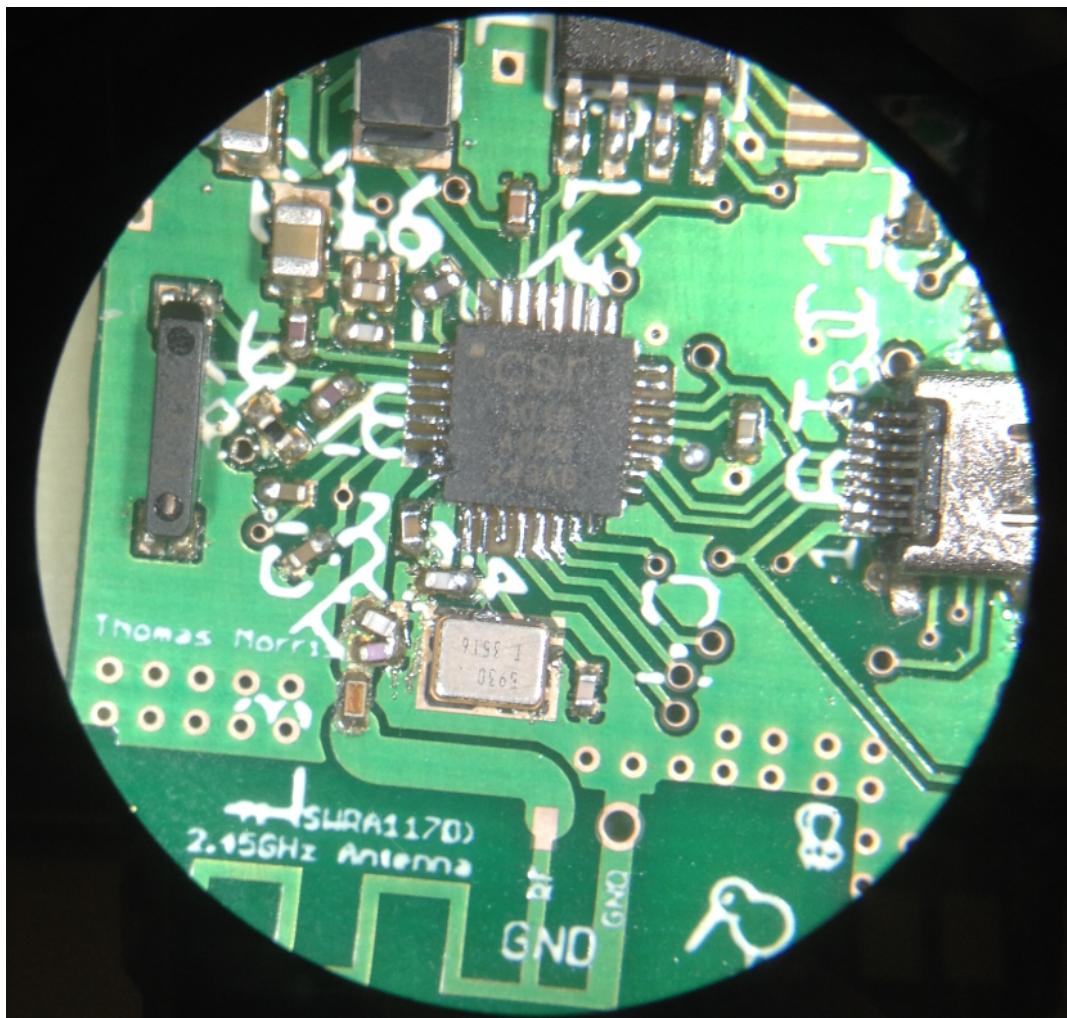


Figure 29: QFN touch up with a iron. Solder resist purposefully removed around small active packages, and straight tracks used to encourage solder capillary action

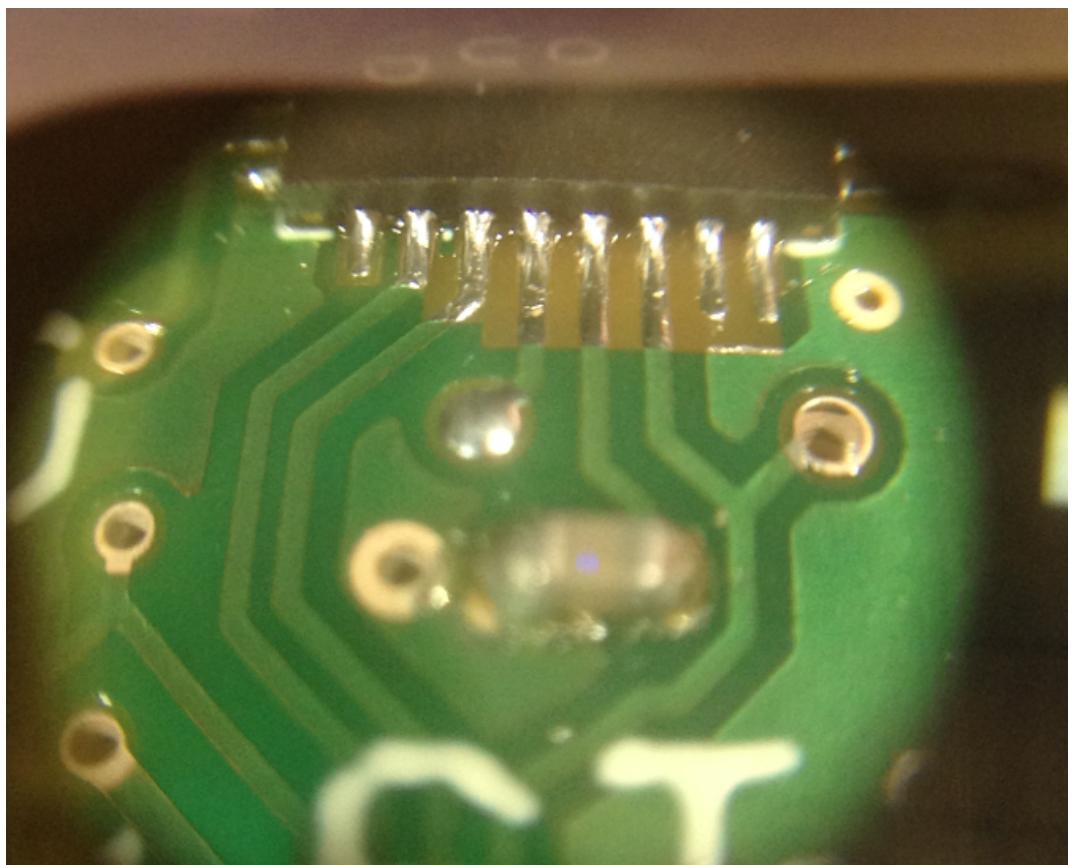


Figure 30: High magnification, shallow depth of field. Pads on side of QFN used to

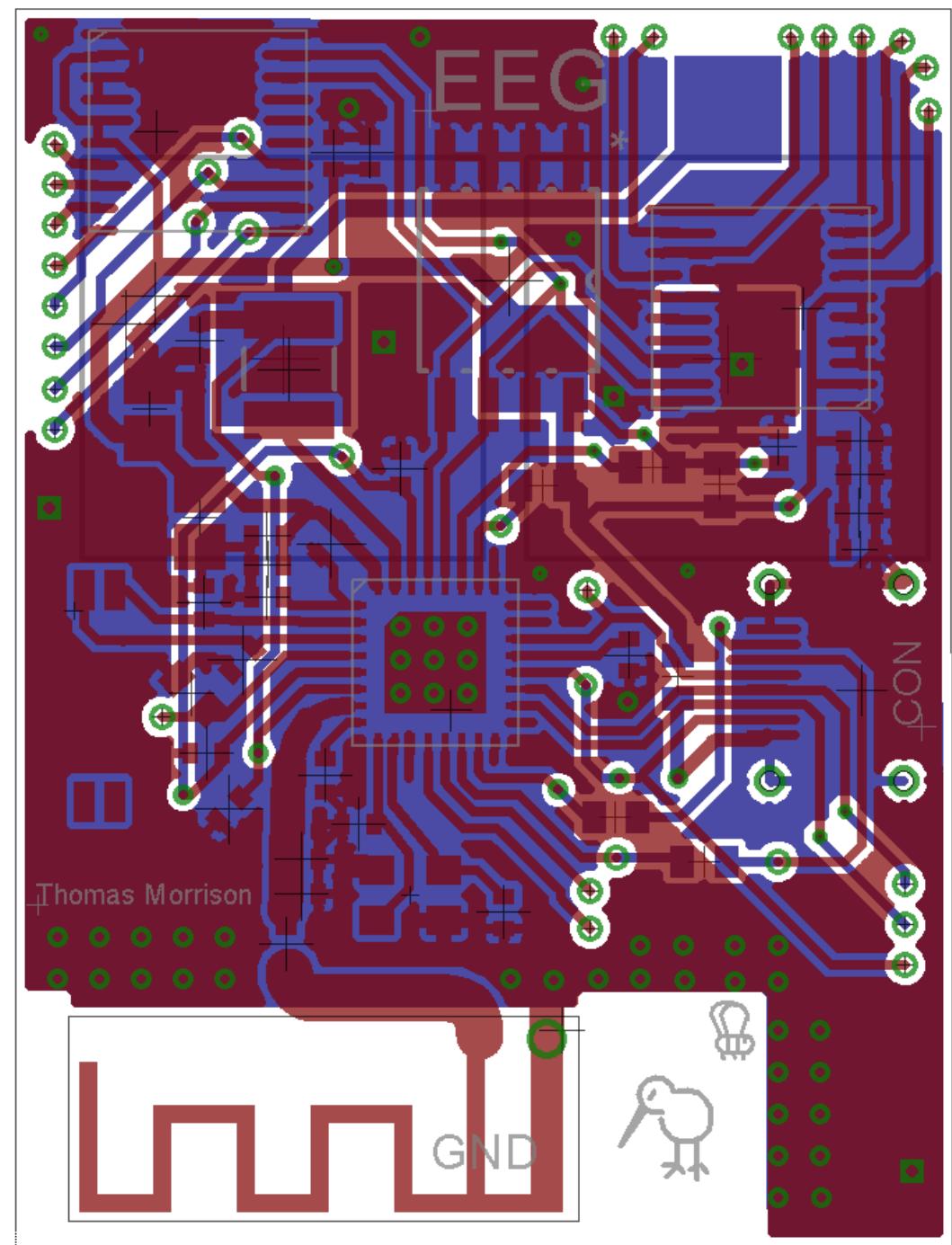


Figure 31: Final PCB Design

## 7 Results

Weighing in at a total of

## 8 Evaluation

### 8.1 Throughput

In the sole pursuit of maximizing throughput, the idea to try and pack as many notifications into a CE is a superficial tackit. Taking the smallest CI of 7.5ms, upto  $\frac{7500}{676} = 11.094675$  packets can fit into this interval. This 0.094675 remainder may seem small, and indeed equates to only 13 packets lost of the maximum throughput within a second, thus reducing the total throughput by less than 1

$$\frac{1250 \times x}{676} - \lfloor \frac{1250 \times x}{676} \rfloor$$

where 1250 is the CI unit, x the value, 676 the round-trip time for a maximum size data packet. A connection interval must be between 7.5ms and 4000ms long, in incremental steps of 1.25ms. Therefore x must exist in the range of 6 to 3200. This can be visually depicted as

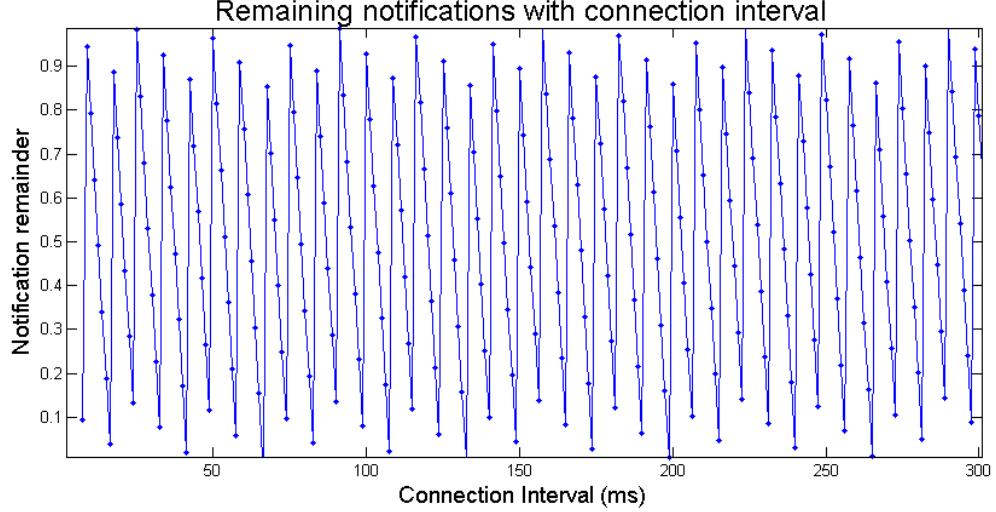


Figure 32: Remainder of packets unabel to fit into CI

However, this is simply the plot of the remainder, and for higher CI there will be more packets per CE and therefore while the remainder may be bigger, the throughput may still be higher than a smaller CI with a smaller remainder. That is, the throughput is the number of CEs in a given time frame multiplied by the number of packets per CE. Factoring this in, the description changes to

$$\frac{1}{1250\mu s \times x} \times \lfloor \frac{1250\mu s \times x}{676\mu s} \rfloor \times 160bits$$

This produces an expression for the maximum throughput against CI, shown graphically below

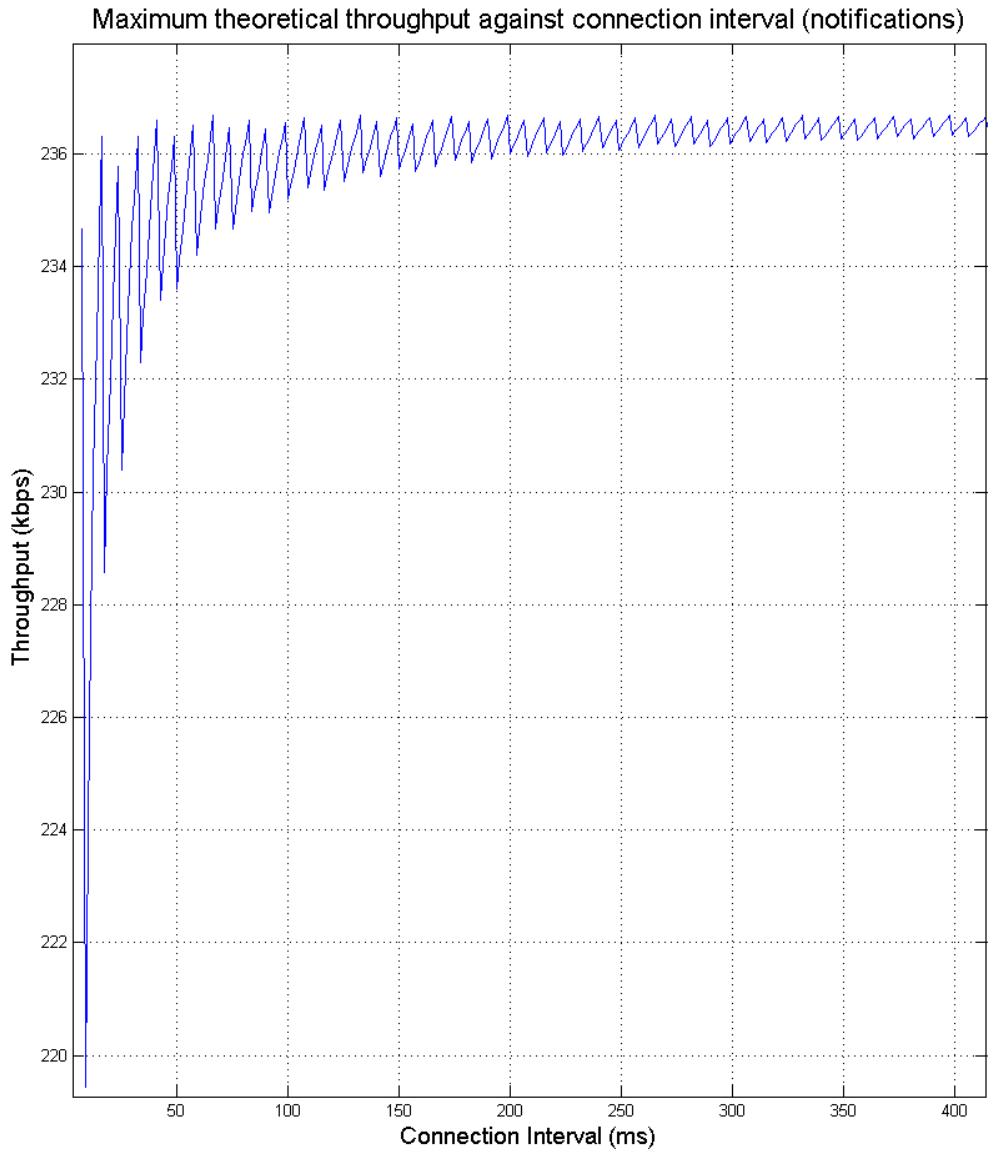


Figure 33: Throughput varying with connection interval

The throughput tends asymptotically to the maximum of 236.686 kbps, and min to max throughput is above 7

As the CI the chance for RF interference increases (e.g. a CRC error). The BLE specifications states that once a transmission error occurs during transmission, the CE should stop and wait until the next CE. Hence, there exists a trade off between the CI and risk of premature link termination. This is demonstrated in the

Some devices only allow so many packets per CE, constraining the system further, and in such cases, having a smaller CE is of benefit. The iPhone was observed to allow, on average only 6 packets per CE and limit the CI to a minimum of 30ms. From the Apple developer guidelines, it is

possible to reduce this interval to 20ms.

Mathematically this finds the points where

A test harness was created to investigate the relationship between throughput and connection interval and compare it against the theoretical maximum.

Track /feedback for optimumum

## 8.2 Power Analysis

To measure the power usage of the system a 10 ohm shunt resistor was inserted between the board's  $V_{ss}$  and ground. Scope leads were then attached to measure the voltage, which due to ohm's law, will be measured at 10 times the current.

Firstly, the power usage of just the radio was performed (the ADCs were disconnected). The data sheet claims the maximum peak current used during transmission or receiving is approximately 16mA at 3V. While the measurements in Figure 36 and Figure 35 are at 3.3 volts, the overall power consumption of the system is the system regardless of the voltage level used. If voltage is decreased, the current increases.

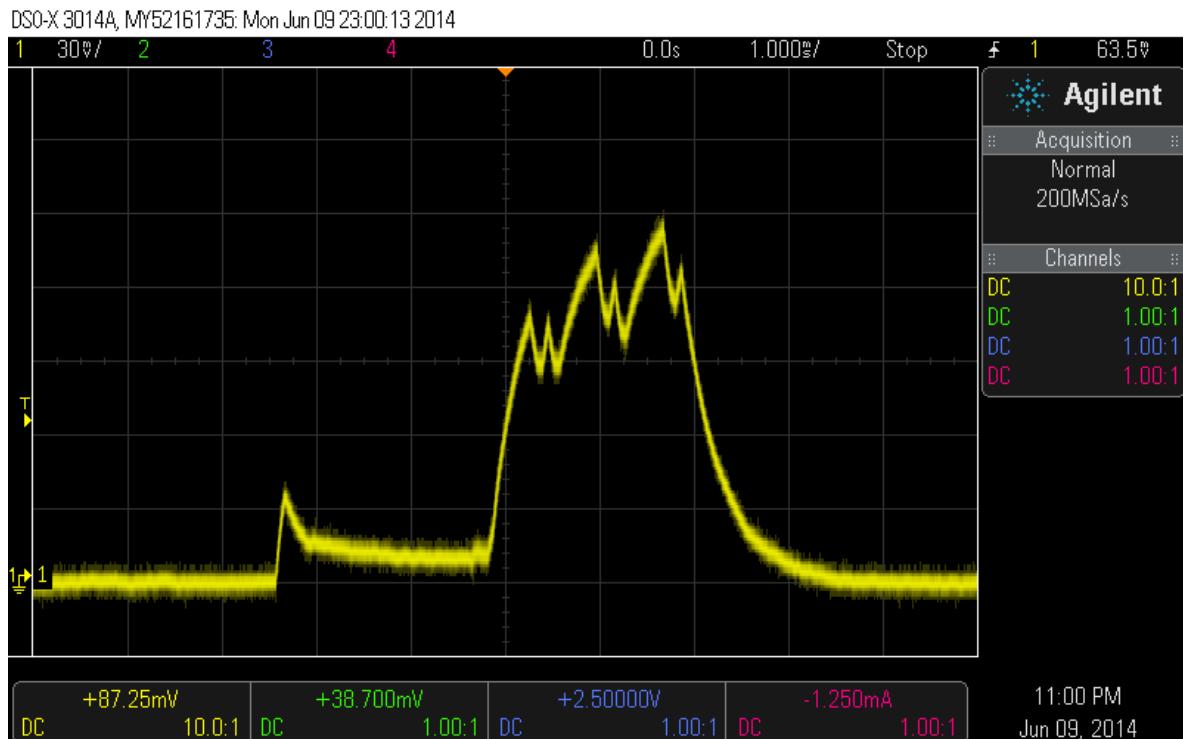


Figure 34: Advertising Event

It can be seen from Figure ?? that the measured voltage is 150mV. This corresponds to 15mA, approximately what the data sheet claimed. This represents an instantaneous power of 45mW. As mentioned previously, the advertising state of the system happens so infrequently that the long run cost is considered zero, hence the power analysis presented here does not include information from the

advertising state.

CEs where no data other than correspondance (that the link was still alive), measured a peak current consumption less than 12mA. The CE can be divided into sections. The device wakes up approximately 2 milliseconds before transmission, remains idle, then listens/transmits (receive before transmission first for the slave device), before

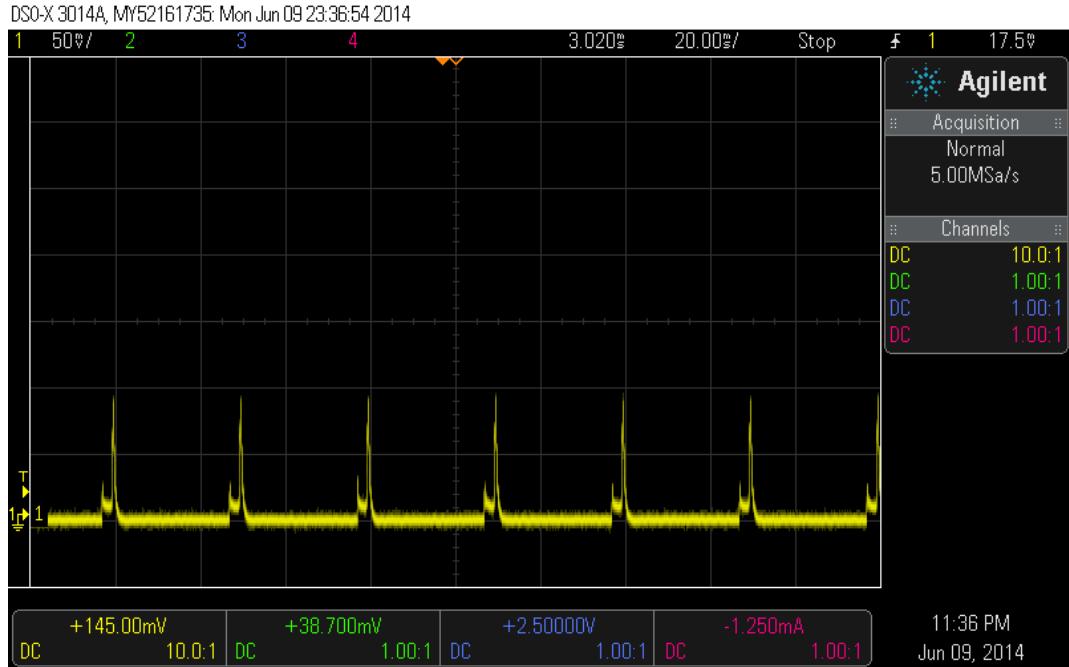


Figure 35: Connection events - connection interval set at 1 second with a slave latency of 0 (3.3 volt supply)

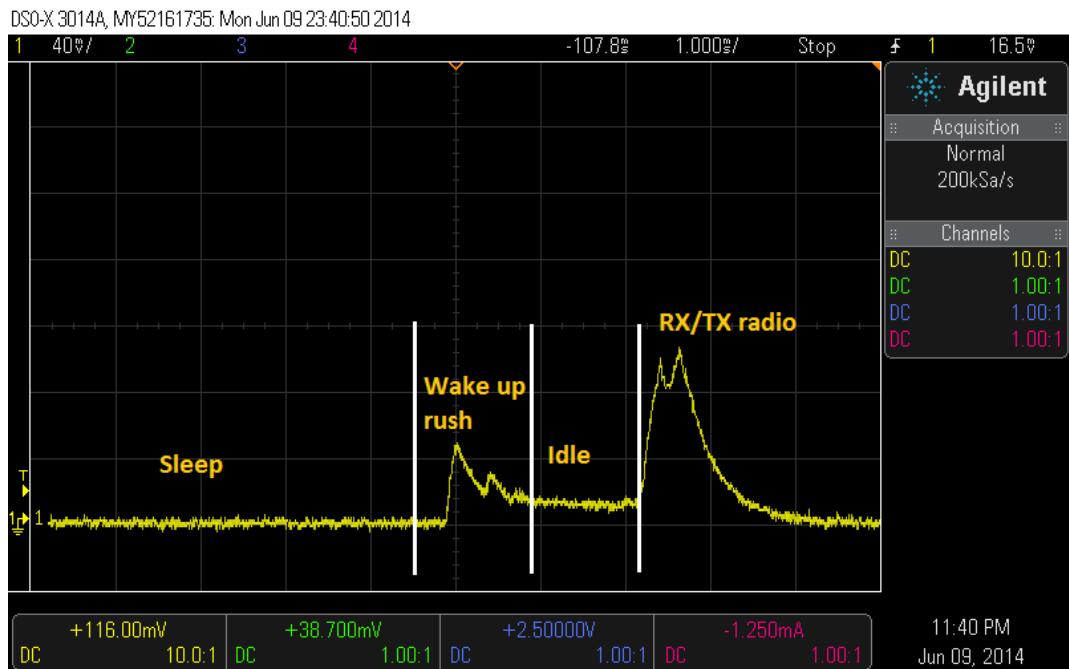


Figure 36: Connection event (3.3 volt supply)

To investigate the "base" power consumption the device requires, that is the power consumption required to maintain a connection indefinitely. The data was logged and using an oscilloscope and saved to disk for later analysis. It was noticed that when the chip went into a deep sleep (inbetween connection events), the minimum value the scope recorded was negative. To adjust for this the absolute of the minimum value was added to the whole voltage/current vector. This will bring the minimum consumption to 0, however this is still not correct as the device will consume a small amount of power in deep sleep. To correct to this, the data sheet value of  $5\mu\text{A}$  was taken and applied to current vector.

To calculate the power consumption the trapezium rule is used to find the area under the profile. For 2.8V the area found under the curve equals. This area represents the current consumption in milli-amp-hours for a single CE with spacing either side to tessellate with the next CE. For each CE, a total of 2.69mA is consumed. To convert this to the current consumption in units milli-amp-hour not per connection event, the number is multiplied by the number of connection events (set by the connection interval) within an hour period, and divided through by the number of milliseconds in an hour to convert the time units (the x-axis in Figure 37 is in milliseconds).

Below shows the corrections and current consumption script for MATLAB.

---

```

1 current = Volt / 10; %adjust voltage to current (divide by 10 as 10 ohm shunt resistor)
2 currentAdjusted = current + abs(min(current)) + 0.000005 %voltage/current should not be negative. Shift
   up by absolute most negative value and add 5 micro amps taken from datasheet

```

---

However this often produces idle periods greater than  $5\mu\text{A}$ , so an alternative method can be used:

---

```

1 currentAdjusted = current - mean(current(1:300)) + 0.000005 %first 300 samples should be while device
   is sleeping. This should average to 5 micro amps

```

---

This seems to produce a profiles where at sleep time, the current is very close  $5\mu\text{As}$ .

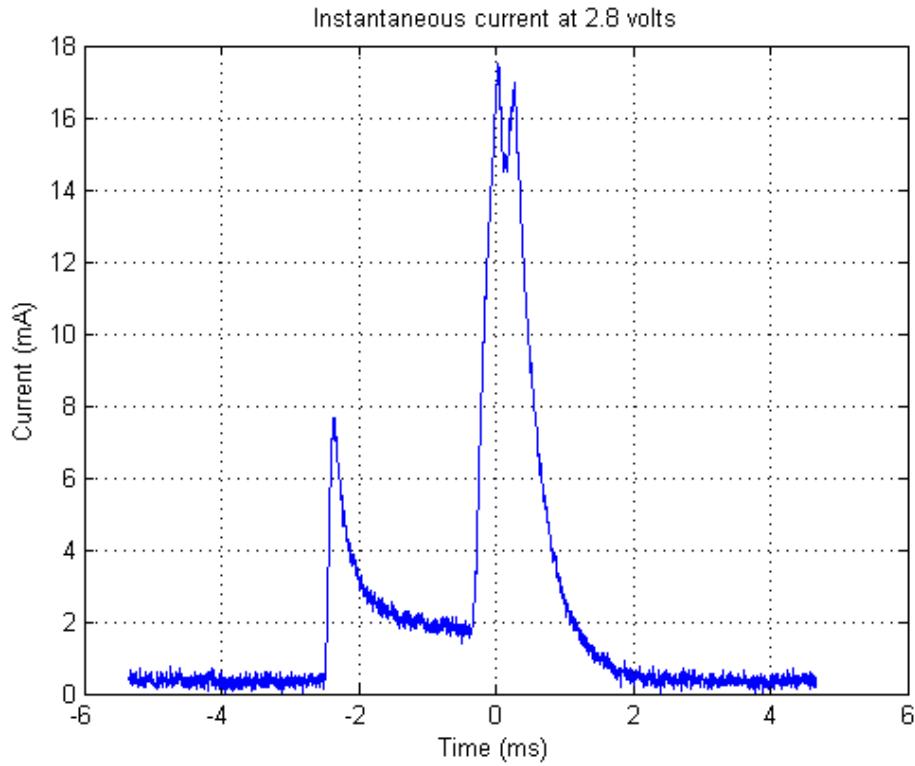


Figure 37: Connection event (3.3 volt supply)

This 2.69mA or (7.532mW power for all voltages) is the "base" amount, which must be paid every time data is sent. To achieve a higher economical value of energy for a given throughput, this fixed cost needs to be amortised as much as possible.

In the parameterised form

The approximated area can be further parameterised (e.g the rush current and duration), however it is presented here as a fixed cost

Increasing the slave latency upto the maximum defined by the specification, results in the a system lifetime of 337 years for two small 620mAh capacity batteries.

To conclude, vector of voltages and times. Approximated the area using the trapezium rule to calcualte the fixed cost.

Talk about min - max lifetime of BLE generic system, then shrink that too an EEG system.

Contrasting this to BTC

Comparision with TI

The hardware

Assuming that the number of

The idea of minimising energy per bit is not the true picture, as BTC and WiFi have higher

energy per bit ratios. To achieve the lowest energy per bit, the transmission rate needs to be at the highest throughput achievable on the hardware due to the fixed costs or sunk costs of waking the MCU and preparing the device for radio transmission. However, consistently running at such throughput invalidates the use case for BLE, as there are other technologies capable of transmitting at the same or higher throughputs for smaller energy requirements.

### 8.3 Device

The device is a small size and weight, suitable of being sewn into a hat (EEG

The layout can be shurnk further through reducing distances between components. This was not done previously as it significantly increases the difficultly in hand assembly, which was the method used for the prototype. For the analouge front end

### 8.4 Bill of materials

## 9 Conclusions and Future Work

The majority of interesting EEG signals exist between . Running an EEG device at that

The proposed device is comparable to a hearing aid, even using the same battery technology.

Smart phone not single end device -> contain other radios for high throughput, maybe why hardware only supports 4 packets

//but unfortunately, there is an energy cost associated with hardware buffers from operation and leakage current

Hard to classify

On the whole, the project has

Both ends of the link

Not all tablets will be able to run at full speed

Ideally, it is desirable to write a conference style paper.

Never list packets per CI

Duplex

Combine with video

Ambulatory to wearable

Better understanding, big data

characeterise power with voltage

## 10 Final Remarks

A vast array of technologies and tools were used throughout this project. Below highlights those that are non-trivial and were of significant importance Useful for CSR, monies worth out of me

- Git verisioning control - For versioning and segmenting the workflow
- xIDE - CSRs integrated development suite for compiling and debugging CSR-stack based chips.  
This is where the firmware for the CSR1010 MCU was written
- Wireshark - Invaluable in analysing the packets and control flow between BLE radios, unfortunately must be used offline
- SmartRF online packet sniffer - Useful as a low-speed packet sniffer. Extremely useful in the early days of the project, however the throughputs eventually obtained rendered the tool and hardware redundant as it was not capable of such speeds

- Visual Studio 2013 - Primairly used for developing the tablet application. Highly useful for "knocking up" quick throughput expirements
- Schematic capture and PCB layout using EagleCAD software

The large amount of both written and generated code is to vast to warrant being included in this report. Therefore is has been decided to make it publically available online at the git repository address <https://github.com/proftom/AmbulatoryEEG>.

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## 12 Appendix

### 12.1 Acronyms

**EEG** Electroencephalography

**AEEG** Ambulatory Electroencephalogram

**WHO** World Health Organisation

**BLE** Bluetooth Low Energy

**BTC** Bluetooth Classic

**CSR** Cambridge Silicon Radio

**TI** Texas Instruments

**NS** Nordic Semiconductor

**GATT** Generic Attribute Profile

**GAP** Generic Access Profile

**ATT** Attribute Protocol

**CI** connection interval

**CE** connection event

**SIG** Special Interests Group

**CODEC** coder/decoder

**CRC** Cyclic Redundancy Check

**PDU** Packet Data Unit

**SN** Sequenc Number

**NESN** Next Expected Sequence Number

**LOS** line of sight

**SoC** system on chip

**MCU** microcontroller

**API** application programming interface

**IDE** integrated development environment

**OSAL** operating system abstraction layer

**USB** Universal Serial Bus

**PCB** printed circuit board

**QFN** quad flat no-leads package

**ADC** analogue to digital converter

**DIP** dual in-line package

**SPI** Serial Peripheral Interface

**PIO** programmable input/output

**HCI** host controller interface

**MIC** message integrity code

**PTH** plated through hole

## 13 User Guide