

# Introduction to Homotopy Theory

(Notes based on lectures by Sergiy Maksymenko)

## Abstract

Homotopy theory studies spaces up to a homotopy, which is a continuous deformation of one continuous function to another. This documents is a work in progress done during a course audit. These notes are taken purposefully in English to strenghten intuition and simplify lookup of concepts in related literature.

Warning: this document may be edited live during audit so watch out for incorrect statements!

## Contents

<b>1</b>	<b>Set-theoretic Definitions</b>	<b>1</b>
<b>2</b>	<b>Point-set Topology</b>	<b>2</b>
2.1	Topology Restrictions . . . . .	2
2.2	Quotient Topology . . . . .	2
<b>3</b>	<b>Homotopies Between Continuous Maps</b>	<b>3</b>
3.1	Contractible Spaces . . . . .	3
<b>4</b>	<b>Quotient Spaces and Maps</b>	<b>4</b>
4.1	Quotients and Groups . . . . .	4
4.2	Cones of Topological Spaces . . . . .	4
<b>5</b>	<b>Retractions, Deformations and Deformation Retractions</b>	<b>4</b>
<b>6</b>	<b>Classes of Homotopy Maps</b>	<b>4</b>
6.1	Mappings of $S^1$ to Itself . . . . .	5
<b>7</b>	<b>Homotopy Types</b>	<b>5</b>
<b>8</b>	<b>Resources</b>	<b>5</b>

## 1 Set-theoretic Definitions

**Definition 1.1** (BINARY RELATION): A binary relation  $R$  on a set  $X$  is a set of ordered pairs of elements of  $X$ .

**Definition 1.2** (EQUIVALENCE RELATION): An equivalence relation  $\sim$  is a binary relation that is reflexive, symmetric and transitive.

**Definition 1.3** (EQUIVALENCE CLASS OF AN ELEMENT): Given a set  $X$  and an equivalence relation  $\sim$ , an equivalence class of  $a \in X$ , denoted  $[a]$  is a set  $\{x \in S \mid x \sim a\}$

**Definition 1.4** (QUOTIENT SET): A quotient set  $X/\sim$  (also said “ $X$  modulo  $\sim$ ”) is a set of all **equivalence classes** of  $X$  with respect to  $\sim$ .

$$X/\sim = \{[x] : x \in X\}$$

**Definition 1.5** (QUOTIENT MAP): A quotient map is a surjective mapping that sends a point in  $X$  to its equivalence class, containing it:  $q : X \rightarrow X/\sim$

**Definition 1.6** (QUOTIENT BY SET MEMBERSHIP): **TODO.**  $X/A$

## 2 Point-set Topology

**Definition 2.1** (TOPOLOGICAL SPACE): A topological space is a pair  $\langle X, \tau \rangle$ , where  $X$  is a set and  $\tau$ , a topology on  $X$ , is a collection of subsets ( $\tau \subseteq \mathcal{P}(X)$ ) called open sets, such that:

- $\emptyset \in \tau$ .
- $X \in \tau$ .
- $\tau$  is closed under arbitrary finite intersections.
- $\tau$  is closed under arbitrary unions. **Maybe find cute notation for this.**

**Posets.**

**Definition 2.2** (TRIVIAL TOPOLOGY): A topological space is called trivial, when the topology on  $X$  consists only of  $\emptyset$  and  $X$ .

**Definition 2.3** (DISCRETE TOPOLOGY): A topological space is called discrete, when  $\tau = \mathcal{P}(X)$ .

**Definition 2.4** (CONTINUOUS MAP): Let  $\langle X, \tau \rangle$  and  $\langle Y, \sigma \rangle$  be topological spaces. A map  $f : X \rightarrow Y$  is **continuous** if:

$$\forall s \in \sigma, f^{-1}(s) \in \tau$$

In plain English, a map is continuous when a preimage of an open set in  $Y$  is an open set in  $X$ .

$C(X, Y)$  denotes a set of all continuous maps between  $X$  and  $Y$ .

**Base of topology and methods of inducing topologies on sets were discussed during Lecture 2.**

**Bonus.** Compare topology to a field of sets to a  $\sigma$ -algebra to a Borel  $\sigma$ -algebra. Discussed during Lecture 5.

### 2.1 Topology Restrictions

**Definition 2.5** ( $T_1$  SPACE):

**Definition 2.6** (HAUSDORFF SPACE):

### 2.2 Quotient Topology

**Gluing.**

Let  $\langle X, \tau \rangle$  be a topological space and  $\sim$  be an equivalence relation on  $X$ .

**Definition 2.7** (QUOTIENT TOPOLOGICAL SPACE): By analogy of a set and given  $q : \langle X, \tau \rangle \rightarrow \langle X/\sim, \tau_{X/\sim} \rangle$ ,

$$X/\sim = \{[x] : x \in X\}$$

$$\tau_{X/\sim} = \{U \subseteq X/\sim \mid q^{-1}(U) \in \tau\}$$

$\tau_{X/\sim}$  is constructed this way to ensure  $q$  is continuous.

**Theorem 2.8:** Given  $Z$  is a topological space,  $g$  is a surjective map,  $p$  is a quotient map and the following diagram,

$$\begin{array}{ccc}
 X & \xrightarrow{f = g \circ p} & Z \\
 & \searrow p \quad \nearrow g & \\
 & X/\sim &
 \end{array}$$

$g$  is continuous  $\iff f = g \circ p$  is continuous.

**Example 2.1:** Have  $z \in \mathbb{C}$ ,  $S^1 = \{|z| = 1\}$ ,  $I = [0,1] \subset \mathbb{R}^1$ .

$$\begin{array}{ccc}
 I & \xrightarrow{f(t \in I) = e^{2i\pi t}} & S^1 \\
 & \searrow p \quad \nearrow g & \\
 & I/\Delta = \{0,1\} &
 \end{array}$$

### 3 Homotopies Between Continuous Maps

**Definition 3.1 (HOMOTOPY):** Two continuous maps  $f, g : X \rightarrow Y$  are homotopic if there is a map called homotopy  $H : X \times [0,1] \rightarrow Y$  that *continuously deforms*  $f$  to  $g$ , denoted  $f \simeq g$  or  $f \stackrel{H}{\simeq} g$ . In general:

$$\begin{aligned}
 X \times [0,1] &\xrightarrow{H} Y \\
 H(x,0) &= f(x) \\
 H(x,1) &= g(x) \\
 H(x,t) &= tf(x) + (1-t)g(x)
 \end{aligned}$$

**Example 3.1:**  $1 \stackrel{x^t}{\simeq} x$  when viewed as  $x^0$  and  $x^1$ .

$$\begin{aligned}
 X \times [0,1] &\xrightarrow{H} Y \\
 H(x,0) &= x^0 \\
 H(x,1) &= x^1 \\
 H(x,t) &= x^t
 \end{aligned}$$

Another possible homotopy between the same functions is  $t \cdot x + (1-t) \cdot 1$ , which suggests that there may be many more of them.

Plots?

**Example 3.2:**  $\{\cdot\} \times [0,1] \rightarrow \mathbb{C}$  with  $H(x,t) = e^{2\pi it}$

**Theorem 3.2:** A homotopy between continuous maps is an **Equivalence relation**.

*Proof.* ... □

#### 3.1 Contractible Spaces

**Definition 3.3 (CONTRACTIBILITY):** A space is contractible if it is homotopically equivalent to a point (a constant map).

Extra definitions:

- $X \times 0$  is a **retraction of CX (cone over X)**
- **homotopic equivalence to a point**

**Example 3.3:**  $\mathbb{R}^n$  is contractible to a point.

$$\begin{aligned}\mathbb{R}^n \times [0, 1] &\xrightarrow{H} \mathbb{R}^n \\ H(x, 0) &= 0 \\ H(x, 1) &= x \\ H(x, t) &= tx\end{aligned}$$

**Definition 3.4** (PATH-CONNECTEDNESS):

**Theorem 3.5:** Any convex set is contractible.

**Lemma 3.6:** Contractibility does not depend on a choice of a point.

**Definition 3.7** (STAR-CONVEX SET):

**Theorem 3.8:** A star-convex set is contractible to a point.

$$\begin{aligned}A \subset \mathbb{R}^n &\text{ is (star-)convex} \\ a &\in A \\ A \times [0, 1] &\xrightarrow{H} A \\ H(x, 0) &= 0 \\ H(x, 1) &= x \\ H(x, t) &= at + x(1 - t)\end{aligned}$$

**Theorem 3.9:** Assuming we know how to build topologies on trees (as in graph theory trees), every finite tree is a contractible topological space.

## 4 Quotient Spaces and Maps

See lectures 2 and 3. Most of this stuff

### 4.1 Quotients and Groups

### 4.2 Cones of Topological Spaces

Brouwer's Fixed Point Theorem was mentioned around here. Bring up the context?.

## 5 Retractions, Deformations and Deformation Retractions

**Definition 5.1** (RETRACTION):

Bring up the example with  $\frac{x}{|x|}$  – discussed along with retractions

**Definition 5.2** (DEFORMATION): A continuous mapping is a deformation into a subspace  $A \subset X$  when

- $H_0 = id_X$
- $\forall t \in [0, 1], H_t(A) \subset A$

**Definition 5.3** (DEFORMATION RETRACTION):

## 6 Classes of Homotopy Maps

See lectures 4 and 5.

## 6.1 Mappings of $S^1$ to Itself

## 7 Homotopy Types

Subtitle: Homotopy Equivalences Between Spaces. Note that homotopy types have nothing to do with HOTT.

Let  $\langle X, \tau \rangle, \langle Y, \sigma \rangle$

- homeomorphisms and commutative diagrams - idea: homeomorphisms and topological spaces form a category (TODO illustration here), and a group (for all morphisms with one side fixed)

$\text{Homeo}(X)$  group of all identity maps of  $X$

$g$  is a retraction of  $Y$  to  $X$  on  $g(x)$ ,  $g$  is also left inverse of  $f$

$$g \circ f \simeq id_X$$

**Definition 7.1 (HOMOTOPIC EQUIVALENCE):** A mapping  $f : X \rightarrow Y$  is a homotopic equivalence when  $\exists g : Y \rightarrow X$  such that  $g \circ f \simeq id_X$  and  $f \circ g \simeq id_Y$

.

**Theorem 7.2:** Homotopy equivalence between spaces is an **Equivalence relation**. Discussed during lecture 7.

*Proof.* ... □

**Example 7.1:**  $f : X \rightarrow \cdot$  is a homotopic equivalence to a point  $\iff X$  is contractible.

$$H_0 = id \simeq H_1 = f$$

**Claim 7.3:** Holes matter!  $\infty \simeq B \simeq o_O$

**Example 7.2:** Glueing of a disk to some space using quotienting. *expanding/reducing?*

**Claim 7.4:** Homotopy Theory cares only about spaces glued from a finite number of  $S^n$  spaces, see Shape Theory.

**Definition 7.5 (SIMPLE HOMOTOPY TYPE):** A homotopy type is simple if you use a finite number of expansions and reductions

**Claim 7.6:** This is a cue to working with simplicial complexes.

### ... Interlude ...

$f : X \rightarrow Y$ ,  $f$  is a proper mapping,  $f^{-1}(\text{compact})$  is a compact

**Define compact**

Defining proper homotopy equivalences.

$\mathbb{R}^n \rightarrow \cdot$  is an improper homotopy. Compactness is a bitch.

## 8 Resources

Course page: <https://sites.google.com/site/kafedramatematikikau/products-services/homotopy-theory>

## References