

# Concurrency Theory

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Lecture 5: Game Characterisation and Variants of Strong Bisimulation

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<https://proglang.github.io/teaching/25ws/ct.html>

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# Strong Bisimulation

## Definition (Strong bisimulation)

(Park 1981, Milner 1989)

A binary relation  $\rho \subseteq Prc \times Prc$  is a **strong bisimulation** whenever for every  $(P, Q) \in \rho$  and  $\alpha \in Act$ :

- (1) if  $P \xrightarrow{\alpha} P'$ , then there exists  $Q' \in Prc$  such that  $Q \xrightarrow{\alpha} Q'$  and  $P' \rho Q'$ , and
- (2) if  $Q \xrightarrow{\alpha} Q'$ , then there exists  $P' \in Prc$  such that  $P \xrightarrow{\alpha} P'$  and  $P' \rho Q'$ .

**Note:** strong bisimulations are not necessarily equivalences (e.g.,  $\rho = \emptyset$ ).

## Definition (Strong bisimilarity)

Processes  $P, Q \in Prc$  are **strongly bisimilar**, denoted  $P \sim Q$ , iff there is a strong bisimulation  $\rho$  with  $P \rho Q$ .

$$\sim = \bigcup \{ \rho \subseteq Prc \times Prc \mid \rho \text{ is a strong bisimulation} \}.$$

Relation  $\sim$  is called **strong bisimilarity**.

# Properties of Strong Bisimilarity

## Lemma (Properties of $\sim$ )

- (1)  $\sim$  is an *equivalence relation* (i.e., reflexive, symmetric, and transitive).
- (2)  $\sim$  is the *coarsest* strong bisimulation.

## Proof.

- (1)  $\sim$  is an equivalence relation:

- Reflexivity:

$$\text{id}_{Prc} := \{(P, P) \mid P \in Prc\}$$

is obviously a strong bisimulation.

Since  $\text{id}_{Prc} \subseteq \sim$  by Definition 4.2,  $\sim$  is reflexive.

- Symmetry: (**Caveat**: not every strong bisimulation is symmetric; cf. Example 4.4.)

But if  $\rho$  is a strong bisimulation, then so is its inverse

$$\rho^{-1} := \{(Q, P) \mid P\rho Q\}$$

# Properties of Strong Bisimilarity

## Lemma (Properties of $\sim$ )

- (1)  $\sim$  is an **equivalence relation** (i.e., reflexive, symmetric, and transitive).
- (2)  $\sim$  is the **coarsest** strong bisimulation.

## Proof.

- (1)  $\sim$  is an equivalence relation:

- Transitivity: (**Caveat:** not every strong bisimulation is transitive.)  
But if  $\rho$  and  $\sigma$  are strong bisimulations, then so is their composition

$$\rho \circ \sigma := \{(P, R) \mid \exists Q : P\rho Q, Q\sigma R\}.$$

Proof:  $P (\rho \circ \sigma) R$  and  $P \xrightarrow{\alpha} P'$

$\Rightarrow \exists Q : P\rho Q, Q\sigma R$  and  $P \xrightarrow{\alpha} P'$

(def.  $\circ$ )

$\Rightarrow \exists Q, Q' : Q\sigma R, Q \xrightarrow{\alpha} Q'$  and  $P' \rho Q'$

( $\rho$  strong bisimulation)

$\Rightarrow \exists Q', R' : P' \rho Q', Q \xrightarrow{\alpha} R'$  and  $Q' \sigma R'$

( $\sigma$  strong bisimulation)

$\Rightarrow \exists R' : R \xrightarrow{\alpha} R'$  and  $P' (\rho \circ \sigma) R'$

(def.  $\circ$ )

(analogously for assumption  $P \xrightarrow{\alpha} P'$ )

# Properties of Strong Bisimilarity

## Lemma (Properties of $\sim$ )

- (1)  $\sim$  is an **equivalence relation** (i.e., reflexive, symmetric, and transitive).
- (2)  $\sim$  is the **coarsest** strong bisimulation.

## Proof.

- (2)  $\sim$  is the coarsest strong bisimulation:

According to Definition 4.2, it suffices to show that strong bisimulations are closed under union, i.e., whenever  $\rho, \sigma$  are bisimulations, then so is  $\rho \cup \sigma$ . This immediately follows by case distinction. □

# Strong Bisimilarity vs. Trace Equivalence

## Theorem

$P \sim Q$  implies that  $P$  and  $Q$  are trace equivalent. The reverse does generally not hold.

## Proof.

The implication from left to right follows from Lemma 4.8.

Consider the other direction:

- Take  $P = a.P_1$  with  $P_1 = b.\text{nil} + c.\text{nil}$  and  $Q = a.b.\text{nil} + a.c.\text{nil}$ .
- Then:  $\text{Tr}(P) = \{\epsilon, a, ab, ac\} = \text{Tr}(Q)$ .
- Thus,  $P$  and  $Q$  are trace equivalent.
- But:  $P \not\sim Q$ , as there is no state in the LTS of  $Q$  that is bisimilar to  $P_1$  (cf. Example 4.6).
- Why? Since no state in  $Q$  can perform both  $b$  and  $c$ .



# Congruence

## Theorem (CCS congruence property of $\sim$ )

Strong bisimilarity  $\sim$  is a CCS congruence, that is, whenever  $P, Q \in Prc$  such that  $P \sim Q$ ,

$\alpha.P \sim \alpha.Q$	for every $\alpha \in Act$
$P + R \sim Q + R$	for every $R \in Prc$
$P \parallel R \sim Q \parallel R$	for every $R \in Prc$
$P \setminus L \sim Q \setminus L$	for every $L \subseteq A$
$P[f] \sim Q[f]$	for every $f : A \rightarrow A$

# Deadlock Sensitivity of Strong Bisimilarity

Definition (Deadlock sensitivity; cf. Definition 3.10)

Relation  $\equiv \subseteq Prc \times Prc$  is **deadlock sensitive** whenever:

$P \equiv Q$  implies  $(\forall w \in Act^* : P \text{ has a } w\text{-deadlock iff } Q \text{ has a } w\text{-deadlock})$ .

## Theorem

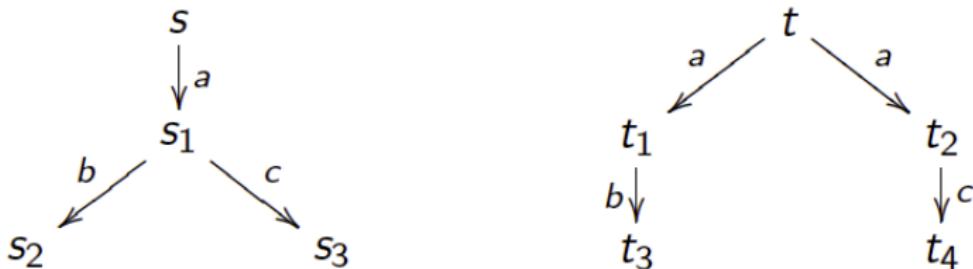
$\sim$  is deadlock sensitive.

## Proof.

Let  $P \sim Q$ .

- We assume that, for some  $w \in Act^*$ ,  $P$  has a  $w$ -deadlock but  $Q$  does not.
- Thus, there exists  $P' \in Prc$  such that  $P \xrightarrow{w} P'$  and  $P' \not\rightarrow$ .
- Moreover, for all  $Q' \in Prc$  with  $Q \xrightarrow{w} Q'$  there exist  $\alpha \in Act$  and  $Q'' \in Prc$  such that  $Q' \xrightarrow{\alpha} Q''$ .
- For  $P \xrightarrow{w} P'$ , Lemma 4.8 (bisimulation on paths) yields  $Q'$  with

# How to Show Non-Bisimilarity?



Alternatives to prove that  $s \not\sim t$

- Enumerate **all binary relations** and show that none of those containing  $(s, t)$  is a strong bisimulation.
  - This is expensive, as there are  $2^{k^2}$  binary relations on a set  $S$  with  $|S| = k$ .
- Make certain **observations** which will enable to disqualify many bisimulation candidates in one step.
  - Yields heuristics – how about completeness?
- Use **game characterisation** of strong bisimilarity.

# The Strong Bisimulation Game

Let  $(S, Act, \longrightarrow)$  be an LTS and  $s, t \in S$ . Question: does  $s \sim t$  hold?

We define a game with two players: an “attacker” and a “defender”.

- The game is played in **rounds**, and **configurations** of the game are pairs of states from  $S \times S$ .
- In each round, the game is in a **current** configuration.
- Initially, the configuration  $(s, t)$  is chosen as the current one.

## Intuition

The defender wants to show that  $s \sim t$  while the attacker aims to prove the opposite.

# Rules of the Bisimulation Game

## Rules

In each round, the current configuration  $(s, t)$  is changed as follows:

- (1) the **attacker** chooses one of the two processes in the current configuration, say  $t$ , and makes an  $\xrightarrow{\alpha}$ -move for some  $\alpha \in Act$  to  $t'$ , say, and
- (2) the **defender** must respond by making an  $\xrightarrow{\alpha}$ -move in the other process  $s$  of the current configuration under the same action  $\alpha$ , yielding  $s \xrightarrow{\alpha} s'$ .

The pair of processes  $(s', t')$  becomes the new current configuration.

The game continues with another round.

## Results

- (1) If one player cannot move, the other player wins:
  - attacker cannot move if  $s \not\ni \alpha$  and  $t \not\ni \alpha$
  - defender cannot move if no matching transition available
- (2) If the game is played *ad infinitum*, the defender wins.

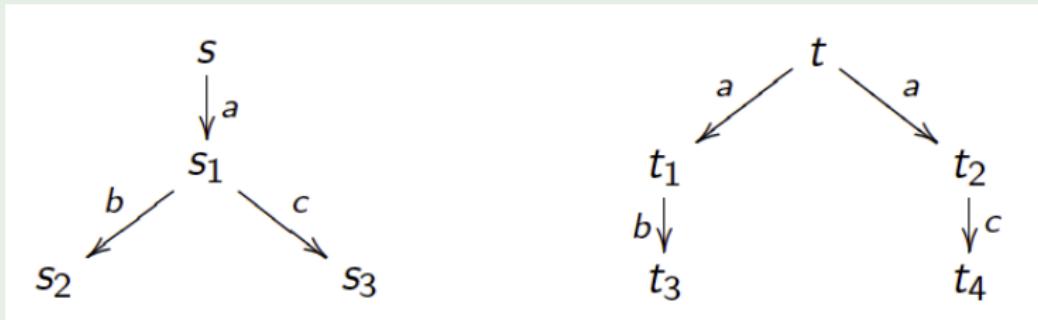
# Examples

## Example 5.1 (Bisimulation games)

- (1) Use the CAAL games feature to show  $P \sim Q$  where

$$\begin{array}{rcl} P & = & a.P_1 + a.P_2 \\ P_1 & = & b.P_2 \\ P_2 & = & b.P_2 \end{array} \quad \begin{array}{rcl} Q & = & a.Q_1 \\ Q_1 & = & b.Q_1 \end{array}$$

- (2) Use the CAAL games feature to show that  $s \not\sim t$  where



Two winning strategies for attacker in configuration  $(s, t)$ :

- start with  $s \xrightarrow{a} s_1$

# Game Characterisation of Bisimulation

Theorem 5.2 (Game characterisation of bisimulation) (Stirling 1995, Thomas 1993)

- (1)  $s \sim t$  iff *the defender has a universal winning strategy from configuration  $(s, t)$ .*
- (2)  $s \not\sim t$  iff *the attacker has a universal winning strategy from configuration  $(s, t)$ .*

*(By means of a universal winning strategy, a player can always win, regardless of how the other player selects their moves.)*

## Proof.

by relating winning strategy of defender/attacker to existence/non-existence of strong bisimulation relation □

Thus, a bisimulation game can be used to prove bisimilarity as well as non-bisimilarity.<sup>1</sup> It often provides elegant arguments for  $s \not\sim t$ .

# Strong Simulation

**Observation:** sometimes, the concept of strong bisimulation is **too strong** (example: extending a system by new features).

## Definition 5.3 (Strong simulation)

- Relation  $\rho \subseteq Prc \times Prc$  is a **strong simulation** if, whenever  $(P, Q) \in \rho$  and  $P \xrightarrow{\alpha} P'$ , there exists  $Q' \in Prc$  such that  $Q \xrightarrow{\alpha} Q'$  and  $P' \rho Q'$ .
- $Q$  **strongly simulates**  $P$ , denoted  $P \sqsubseteq Q$ , if there exists a strong simulation  $\rho$  such that  $P \rho Q$ . Relation  $\sqsubseteq$  is called **strong similarity**.
- $P$  and  $Q$  are **strongly simulation equivalent** if  $P \sqsubseteq Q$  and  $Q \sqsubseteq P$ .

**Thus:** If  $Q$  strongly simulates  $P$ , then whatever transition  $P$  takes,  $Q$  can match it while retaining all of  $P$ 's options.

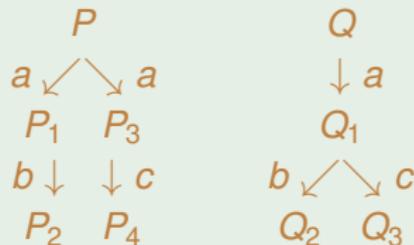
**But:**  $P$  does not need to be able to match each transition of  $Q$ !

# Simulation: Example

## Definition (Strong simulation)

- Relation  $\rho \subseteq Prc \times Prc$  is a **strong simulation** if, whenever  $(P, Q) \in \rho$  and  $P \xrightarrow{\alpha} P'$ , there exists  $Q' \in Prc$  such that  $Q \xrightarrow{\alpha} Q'$  and  $P' \rho Q'$ .
- $Q$  **strongly simulates**  $P$ , denoted  $P \sqsubseteq Q$ , if there exists a strong simulation  $\rho$  such that  $P \rho Q$ . Relation  $\sqsubseteq$  is called **strong similarity**.
- $P$  and  $Q$  are **strongly simulation equivalent** if  $P \sqsubseteq Q$  and  $Q \sqsubseteq P$ .

## Example 5.4



$Q$  strongly simulates  $P$ , but not vice versa

This yields that:

$$\begin{aligned} a.b.\text{nil} + a.c.\text{nil} &\sqsubseteq a.(b.\text{nil} + c.\text{nil}) \quad \text{and} \\ a.(b.\text{nil} + c.\text{nil}) &\not\sqsubseteq a.b.\text{nil} + a.c.\text{nil}. \end{aligned}$$

(Note that  $P \not\sim Q$ .)

# Strong Simulation and Bisimilarity

Lemma 5.5 (Bisimilarity implies simulation equivalence)

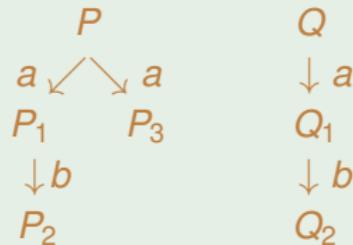
If  $P \sim Q$ , then  $P \sqsubseteq Q$  and  $Q \sqsubseteq P$ .

Proof.

A strong bisimulation  $\rho \subseteq Prc \times Prc$  for  $P \sim Q$  is a strong simulation for both directions. □

**Caveat:** The converse does not generally hold!

Example 5.6



$P \sqsubseteq Q$  and  $Q \sqsubseteq P$ , but  $P \not\sim Q$

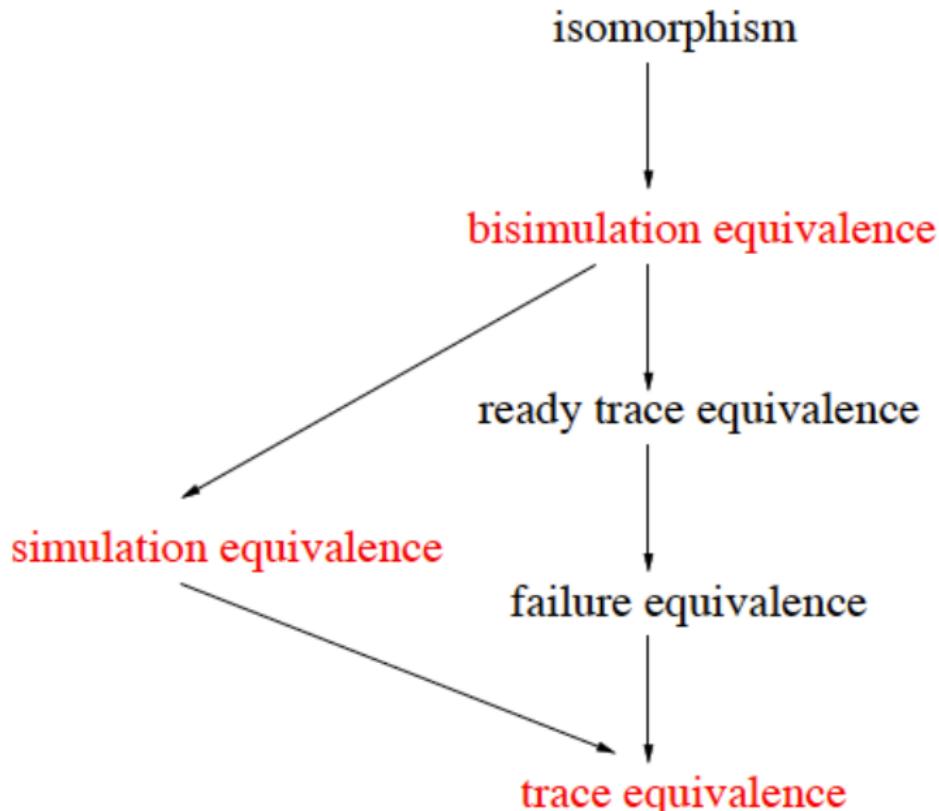
**Reason:**  $\sim$  allows the attacker to switch sides at each step!

# Summary: Strong (Bi-)Similarity

## Summary

- Strong bisimulation of processes is based on mutually mimicking each other.
- Strong bisimilarity  $\sim$ :
  - (1) is the largest strong bisimulation
  - (2) is an equivalence relation
  - (3) is strictly coarser than LTS isomorphism
  - (4) is strictly finer than trace equivalence
  - (5) is a CCS congruence
  - (6) is deadlock sensitive
  - (7) can be checked using a two-player game
- Strong similarity  $\sqsubseteq$ :
  - (1) is a one-way strong bisimilarity
  - (2) bi-directional version (strong simulation equivalence) is strictly coarser than  $\sim$

# Overview of Some Behavioral Equivalences



# Inadequacy of Strong Bisimilarity

Example 5.7 (Two-place buffers; cf. Example 2.5)

(1) Sequential two-place buffer:

$$B_0 = \text{in}.B_1$$

$$B_1 = \overline{\text{out}}.B_0 + \text{in}.B_2$$

$$B_2 = \overline{\text{out}}.B_1$$

(2) Parallel two-place buffer:

$$B_{\parallel} = (B[f] \parallel B[g]) \setminus \text{com}$$

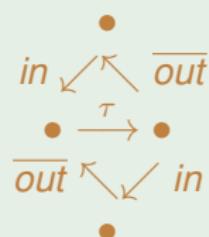
$$B = \text{in.out}.B$$

$$(f := [\text{out} \mapsto \text{com}],$$

$$g := [\text{in} \mapsto \text{com}])$$

**Observation:**

$$\begin{array}{c} \bullet \\ \text{in} \downarrow \uparrow \overline{\text{out}} \\ \bullet \\ \text{in} \downarrow \uparrow \overline{\text{out}} \\ \bullet \end{array}$$



## Conclusion

- The requirement in  $\sim$  to exactly match all actions is often too strong.
- This suggests to weaken this and not insist on exact matching of  $\tau$ -actions.
- Rationale:  $\tau$ -actions are special as they are internal and thus unobservable.

# The Rationales for Abstracting from $\tau$ -Actions

- $\tau$ -actions are **internal** and thus **unobservable**.
- This is natural in parallel communication resulting in  $\tau$ :
  - synchronization in CCS is binary handshaking
  - observation means communication with the process
  - thus the **result of any communication is unobservable**
- Strong bisimilarity treats  $\tau$ -actions as any other action.
- Can we retain the nice properties of  $\sim$  while “**abstracting**” from  $\tau$ -actions?

# Weak Transition Relation

## Definition 5.8 (Weak transition relation)

For  $\alpha \in Act$ ,  $\xrightarrow{\alpha} \subseteq Prc \times Prc$  is given by

$$\xrightarrow{\alpha} := \begin{cases} \left( \xrightarrow{\tau} \right)^* \circ \xrightarrow{\alpha} \circ \left( \xrightarrow{\tau} \right)^* & \text{if } \alpha \neq \tau \\ \left( \xrightarrow{\tau} \right)^* & \text{if } \alpha = \tau. \end{cases}$$

where  $\left( \xrightarrow{\tau} \right)^*$  denotes the reflexive and transitive closure of relation  $\xrightarrow{\tau}$ .

## Informal meaning

- If  $\alpha \neq \tau$ , then  $P \xrightarrow{\alpha} P'$  means that from  $P$  we can get to  $P'$  by doing zero or more  $\tau$  actions, followed by the action  $\alpha$ , followed by zero or more  $\tau$  actions.
- If  $\alpha = \tau$ , then  $P \xrightarrow{\alpha} P'$  means that from  $P$  we can reach  $P'$  by doing zero or more  $\tau$  actions.

# Weak Bisimulation

## Definition 5.9 (Weak bisimulation)

(Milner 1989)

A binary relation  $\rho \subseteq Prc \times Prc$  is a **weak bisimulation** whenever for every  $(P, Q) \in \rho$  and  $\alpha \in Act$  (including  $\alpha = \tau$ ):

- (1) if  $P \xrightarrow{\alpha} P'$ , then there exists  $Q' \in Prc$  such that  $Q \xrightarrow{\alpha} Q'$  and  $P' \rho Q'$ , and
- (2) if  $Q \xrightarrow{\alpha} Q'$ , then there exists  $P' \in Prc$  such that  $P \xrightarrow{\alpha} P'$  and  $P' \rho Q'$ .

## Definition 5.10 (Weak bisimilarity)

Processes  $P$  and  $Q$  are **weakly bisimilar**, denoted  $P \approx Q$ , iff there is a weak bisimulation  $\rho$  with  $P \rho Q$ .

$$\approx = \bigcup \{ \rho \subseteq Prc \times Prc \mid \rho \text{ is a weak bisimulation} \}.$$

Relation  $\approx$  is called **weak bisimilarity** or **observational equivalence**.

# Explanation

## Definition (Weak bisimulation)

(Milner 1989)

A binary relation  $\rho \subseteq Prc \times Prc$  is a **weak bisimulation** whenever for every  $(P, Q) \in \rho$  and  $\alpha \in Act$  (including  $\alpha = \tau$ ):

- (1) if  $P \xrightarrow{\alpha} P'$ , then there exists  $Q' \in Prc$  such that  $Q \xrightarrow{\alpha} Q'$  and  $P' \rho Q'$ ,  
and
- (2) if  $Q \xrightarrow{\alpha} Q'$ , then there exists  $P' \in Prc$  such that  $P \xrightarrow{\alpha} P'$  and  $P' \rho Q'$ .

## Remark

Each clause in the definition of weak bisimulation subsumes **two cases**:

- $P \xrightarrow{\alpha} P'$  where  $\alpha \neq \tau$ :

There exists  $Q' \in Prc$  such that  $Q (\xrightarrow{\tau})^* \xrightarrow{\alpha} (\xrightarrow{\tau})^* Q'$  and  $P' \rho Q'$ .

- $P \xrightarrow{\tau} P'$ :

There exists  $Q' \in Prc$  such that  $Q (\xrightarrow{\tau})^* Q'$  and  $P' \rho Q'$  (where  $Q' = Q$  is admissible).

## Examples

### Example 5.11

(1) Let  $P = \tau.Q$  with  $Q = a.\text{nil}$ .

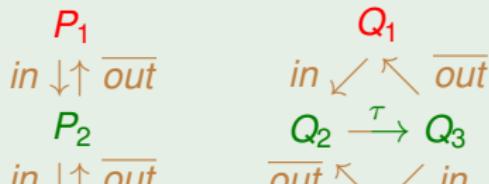
- obviously  $P \not\sim Q$ ; claim:  $P \approx Q$
- proof:  $\rho = \{(P, Q), (Q, Q), (\text{nil}, \text{nil})\}$  is a weak bisimulation with  $P \rho Q$

(2) More general: for every  $P \in Prc$ ,  $P \approx \tau.P$ .

Proof:  $\rho = \{(P, \tau.P)\} \cup id_{Prc}$  is a weak bisimulation:

- every transition  $P \xrightarrow{\alpha} P'$  can be simulated by  $\tau.P \xrightarrow{\tau} P \xrightarrow{\alpha} P'$  (i.e.,  $\tau.P \xrightarrow{\alpha} P'$ )  
with  $P' \rho P'$  (since  $id_{Prc} \subseteq \rho$ )
- the only transition of  $\tau.P$  is  $\tau.P \xrightarrow{\tau} P$ ; it is simulated by  $P \xrightarrow{\tau}^0 P$  with  $P \rho P$  (since  $id_{Prc} \subseteq \rho$ )

(3) Sequential and parallel two-place buffer are weakly bisimilar (check with CAAL):



$$\rho = \{(P_1, Q_1), (P_2, Q_2), (P_2, Q_3)\},$$