

# Concurrency Theory

Winter 2025/26

## Lecture 11: Mutually Recursive Equational Systems

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<https://proglang.github.io/teaching/25ws/ct.html>

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# Outline of Lecture 11

1 Recap: Hennessy-Milner Logic with Recursion

2 Fixed Points and System Properties

3 Mutually Recursive Equational Systems

4 Characteristic Formulae

5 Mixing Least and Greatest Fixed Points

# Syntax of HML with One Recursive Variable

**Initially:** only one variable (for simplicity; later: mutual recursion)

## Definition (Syntax of HML with one variable)

The set  $HMF_X$  of Hennessy-Milner formulae with one variable  $X$  over a set of actions  $Act$  is defined by the following syntax:

$F ::= X$	(variable)
tt	(true)
ff	(false)
$F_1 \wedge F_2$	(conjunction)
$F_1 \vee F_2$	(disjunction)
$\langle \alpha \rangle F$	(diamond)
$[\alpha]F$	(box)

where  $\alpha \in Act$ .

# Semantics of HML with One Recursive Variable

**So far:**  $\llbracket F \rrbracket \subseteq S$  for  $F \in \text{HMF}$  and LTS  $(S, Act, \rightarrow)$ .

**Now:** Semantics of formula depends on states that (are assumed to) satisfy  $X$  (“predicate transformer”).

## Definition (Semantics of HML with one variable)

Let  $(S, Act, \rightarrow)$  be an LTS and  $F \in \text{HMF}_X$ . The **semantics** of  $F$ ,

$$\llbracket F \rrbracket : 2^S \rightarrow 2^S,$$

is defined by

$$\llbracket X \rrbracket(T) := T$$

$$\llbracket \text{tt} \rrbracket(T) := S$$

$$\llbracket \text{ff} \rrbracket(T) := \emptyset$$

$$\llbracket F_1 \wedge F_2 \rrbracket(T) := \llbracket F_1 \rrbracket(T) \cap \llbracket F_2 \rrbracket(T)$$

$$\llbracket F_1 \vee F_2 \rrbracket(T) := \llbracket F_1 \rrbracket(T) \cup \llbracket F_2 \rrbracket(T)$$

$$\llbracket \langle \alpha \rangle F \rrbracket(T) := \langle \cdot \alpha \cdot \rangle(\llbracket F \rrbracket(T))$$

$$\llbracket [\alpha] F \rrbracket(T) := [\cdot \alpha \cdot](\llbracket F \rrbracket(T))$$

# Applying Fixed-Point Theory to HML with Recursion

## Lemma

Let  $(S, \text{Act}, \rightarrow)$  be an LTS and  $F \in \text{HMF}_X$ . Then

- (1)  $\llbracket F \rrbracket : 2^S \rightarrow 2^S$  is monotonic w.r.t.  $(2^S, \subseteq)$
- (2)  $\text{lfp}(\llbracket F \rrbracket) = \bigcap\{T \subseteq S \mid \llbracket F \rrbracket(T) \subseteq T\}$
- (3)  $\text{gfp}(\llbracket F \rrbracket) = \bigcup\{T \subseteq S \mid T \subseteq \llbracket F \rrbracket(T)\}$

If, in addition,  $S$  is finite, then

- (4)  $\text{lfp}(\llbracket F \rrbracket) = \llbracket F \rrbracket^m(\emptyset)$  for some  $m \in \mathbb{N}$
- (5)  $\text{gfp}(\llbracket F \rrbracket) = \llbracket F \rrbracket^M(S)$  for some  $M \in \mathbb{N}$

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# Greatest Fixed Points and Invariants I

- **Invariants** (cf. Example 10.5):
  - $\text{Inv}(F) \stackrel{\max}{=} F \wedge [\text{Act}] \text{Inv}(F)$  for  $F \in \text{HMF}$
  - Claim:  $s \models \text{Inv}(F)$  if all states reachable from  $s$  satisfy  $F$

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- Let  $\text{inv} : 2^S \rightarrow 2^S : T \mapsto \llbracket F \rrbracket \cap [\cdot \text{Act} \cdot](T)$  be the corresponding semantic function
- By Lemma 10.11(3),  $\text{gfp}(\text{inv}) = \bigcup\{T \subseteq S \mid T \subseteq \text{inv}(T)\}$

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$$\text{Inv} = \{s \in S \mid \forall w \in \text{Act}^*, s' \in S : s \xrightarrow{w} s' \Rightarrow s' \in \llbracket F \rrbracket\}$$

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## Theorem 11.1

For every LTS  $(S, \text{Act}, \longrightarrow)$ ,  $\text{Inv} = \text{gfp}(\text{inv})$  holds.

## Proof (Theorem 11.1).

Reminder:

- $\text{Inv} \stackrel{(*)}{=} \{s \in S \mid \forall w \in \text{Act}^*, s' \in S : s \xrightarrow{w} s' \Rightarrow s' \in \llbracket F \rrbracket\}$
- $\text{inv} : 2^S \rightarrow 2^S : T \mapsto \llbracket F \rrbracket \cap [\cdot \text{Act} \cdot](T)$
- Lemma 10.11(3):  $\text{gfp}(\text{inv}) = \bigcup\{T \subseteq S \mid T \subseteq \text{inv}(T)\}$

# Greatest Fixed Points and Invariants II

## Proof (Theorem 11.1).

Reminder:

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- Lemma 10.11(3):  $\text{gfp}(\text{inv}) = \bigcup\{T \subseteq S \mid T \subseteq \text{inv}(T)\}$

“ $\text{Inv} \subseteq \text{gfp}(\text{inv})$ ”:

According to Lemma 10.11(3), it suffices to show that  $\text{Inv} \subseteq \text{inv}(\text{Inv})$ .

So let  $s \in \text{Inv}$ . Thus by  $(*)$ , for all  $w \in \text{Act}^*$  and  $s' \in S$  such that  $s \xrightarrow{w} s'$ ,  $s' \in \llbracket F \rrbracket$ .

We have to show that  $s \in \text{inv}(\text{Inv})$ , which – by definition of  $\text{inv}$  – is equivalent to

(1)  $s \in \llbracket F \rrbracket$  and (2)  $s \in [\cdot \text{Act} \cdot](\text{Inv})$ :

(1) Choose  $w := \varepsilon$  in  $(*)$ .

(2) To show: for all  $\alpha \in \text{Act}$ ,  $s' \in S$ : if  $s \xrightarrow{\alpha} s'$ , then  $s' \in \text{Inv}$ .

By  $(*)$ ,  $s' \in \text{Inv}$  means that for all  $\alpha \in \text{Act}$ ,  $s', s'' \in S$  and  $w' \in \text{Act}^*$ : if  $s' \xrightarrow{w'} s''$ , then  $s'' \in \llbracket F \rrbracket$ . Together with  $s \xrightarrow{\alpha} s'$ , this follows from  $(*)$  for  $w := \alpha w'$ .

# Greatest Fixed Points and Invariants II

## Proof (Theorem 11.1).

Reminder:

- $\text{Inv} \stackrel{(*)}{=} \{s \in S \mid \forall w \in \text{Act}^*, s' \in S : s \xrightarrow{w} s' \Rightarrow s' \in \llbracket F \rrbracket\}$
- $\text{inv} : 2^S \rightarrow 2^S : T \mapsto \llbracket F \rrbracket \cap [\cdot \text{Act} \cdot](T)$
- Lemma 10.11(3):  $\text{gfp}(\text{inv}) = \bigcup\{T \subseteq S \mid T \subseteq \text{inv}(T)\}$

“ $\text{gfp}(\text{inv}) \subseteq \text{Inv}$ ”:

Observation:  $\text{gfp}(\text{inv}) = \text{inv}(\text{gfp}(\text{inv})) \stackrel{(**)}{=} \llbracket F \rrbracket \cap [\cdot \text{Act} \cdot](\text{gfp}(\text{inv}))$ .

Let  $s \in \text{gfp}(\text{inv})$ ,  $w \in \text{Act}^*$  and  $s' \in S$  such that  $s \xrightarrow{w} s'$ .

We show  $s' \in \llbracket F \rrbracket$  by induction on  $|w|$ :

- (1)  $w = \varepsilon$ : Here  $s = s'$ , which implies  $s' \in \text{gfp}(\text{inv})$  and thus (by (\*\*))  $s' \in \llbracket F \rrbracket$ .
- (2)  $w = \alpha w'$ : Here  $s \xrightarrow{\alpha} s'' \xrightarrow{w'} s'$  for some  $s'' \in S$ .  
Thus,  $s'' \in \text{gfp}(\text{inv})$  since  $s \in \text{gfp}(\text{inv})$  and by (\*\*).  
Therefore,  $s' \in \llbracket F \rrbracket$  by induction hypothesis for  $w'$ .

Altogether, also  $s \in \text{Inv}$ .



# Least Fixed Points and Possibilities

- **Possibilities** (cf. Example 10.5):

- $\text{Pos}(F) \stackrel{\min}{=} F \vee \langle \text{Act} \rangle \text{Pos}(F)$
- Claim:  $s \models \text{Pos}(F)$  if a state satisfying  $F$  is reachable from  $s$

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- Let  $\text{pos} : 2^S \rightarrow 2^S : T \mapsto \llbracket F \rrbracket \cup \langle \cdot \text{Act} \cdot \rangle(T)$  be the corresponding semantic function
- By Lemma 10.11(2),  $\text{lfp}(\text{pos}) = \bigcap\{T \subseteq S \mid \text{pos}(T) \subseteq T\}$

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$$\text{Pos} = \{s \in S \mid \exists w \in \text{Act}^*, s' \in \llbracket F \rrbracket : s \xrightarrow{w} s'\}$$

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## Theorem 11.2

For every LTS  $(S, \text{Act}, \longrightarrow)$ ,  $\text{Pos} = \text{lfp}(\text{pos})$  holds.

## Proof.

similar to Theorem 11.1



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# Introducing Several Variables

Sometimes necessary: using more than one variable

## Example 11.3

*“It is always the case that a process can perform an  $a$ -labelled transition leading to a state where  $b$ -transitions can be executed forever.”*

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can be specified by

$$\text{Inv}(\langle a \rangle \text{Forever}(b))$$

where

$$\begin{aligned}\text{Inv}(F) &\stackrel{\text{max}}{=} F \wedge [\text{Act}] \text{Inv}(F) && (\text{cf. Theorem 11.1}) \\ \text{Forever}(b) &\stackrel{\text{max}}{=} \langle b \rangle \text{Forever}(b)\end{aligned}$$

# Syntax of Mutually Recursive Equational Systems

## Definition 11.4 (Syntax of mutually recursive equational systems)

Let  $\mathcal{X} = \{X_1, \dots, X_n\}$  be a set of variables. The set  $HMF_{\mathcal{X}}$  of Hennessy-Milner formulae over  $\mathcal{X}$  is defined by the following syntax:

$$\begin{array}{ll} F ::= X_i & \text{(variable)} \\ | \quad \text{tt} & \text{(true)} \\ | \quad \text{ff} & \text{(false)} \\ | \quad F_1 \wedge F_2 & \text{(conjunction)} \\ | \quad F_1 \vee F_2 & \text{(disjunction)} \\ | \quad \langle \alpha \rangle F & \text{(diamond)} \\ | \quad [\alpha] F & \text{(box)} \end{array}$$

where  $i \in [n]$  and  $\alpha \in Act$ . A mutually recursive equational system has the form

$$(X_i = F_{X_i} \mid 1 \leq i \leq n)$$

where  $F_{X_i} \in HMF_{\mathcal{X}}$  for every  $i \in [n]$ .

# Semantics of Recursive Equational Systems I

As before: Semantics of formula depends on states satisfying the variables.

## Definition 11.5 (Semantics of mutually recursive equational systems)

Let  $(S, Act, \longrightarrow)$  be an LTS and  $E = (X_i = F_{X_i} \mid 1 \leq i \leq n)$  a mutually recursive equational system. The **semantics** of  $E$ ,  $\llbracket E \rrbracket : (2^S)^n \rightarrow (2^S)^n$ , is defined by

$$\llbracket E \rrbracket(T_1, \dots, T_n) := (\llbracket F_{X_1} \rrbracket(T_1, \dots, T_n), \dots, \llbracket F_{X_n} \rrbracket(T_1, \dots, T_n))$$

where

$$\llbracket X_i \rrbracket(T_1, \dots, T_n) := T_i$$

$$\llbracket \text{tt} \rrbracket(T_1, \dots, T_n) := S$$

$$\llbracket \text{ff} \rrbracket(T_1, \dots, T_n) := \emptyset$$

$$\llbracket F_1 \wedge F_2 \rrbracket(T_1, \dots, T_n) := \llbracket F_1 \rrbracket(T_1, \dots, T_n) \cap \llbracket F_2 \rrbracket(T_1, \dots, T_n)$$

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$$\llbracket \langle \alpha \rangle F \rrbracket(T_1, \dots, T_n) := \langle \cdot \alpha \cdot \rangle(\llbracket F \rrbracket(T_1, \dots, T_n))$$

$$\llbracket [\alpha] F \rrbracket(T_1, \dots, T_n) := [\alpha \cdot](\llbracket F \rrbracket(T_1, \dots, T_n))$$

# Semantics of Recursive Equational Systems II

## Lemma 11.6

Let  $(S, Act, \rightarrow)$  be a *finite* LTS and  $E = (X_i = F_{X_i} \mid 1 \leq i \leq n)$  a mutually recursive equational system. Let  $(D, \sqsubseteq)$  be given by  $D := (2^S)^n$  and

$$(T_1, \dots, T_n) \sqsubseteq (T'_1, \dots, T'_n) \quad \text{iff} \quad T_i \subseteq T'_i \text{ for every } i \in [n].$$

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Then:

- (1)  $(D, \sqsubseteq)$  is a complete lattice: if  $\{(T_1^k, \dots, T_n^k) \mid k \in I\} \subseteq D$  for some index set  $I$ , then

$$\sqcup \{(T_1^k, \dots, T_n^k) \mid k \in I\} = (\bigcup_{k \in I} T_1^k, \dots, \bigcup_{k \in I} T_n^k)$$

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- (4)  $\text{gfp}(\llbracket E \rrbracket) = \llbracket E \rrbracket^M(S, \dots, S)$  for some  $M \in \mathbb{N}$

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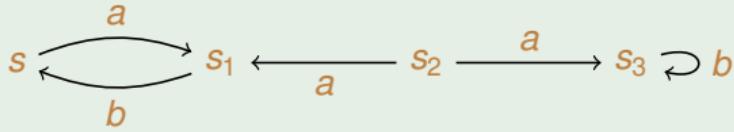
## Proof.

omitted



# A Mutually Recursive Specification

## Example 11.7



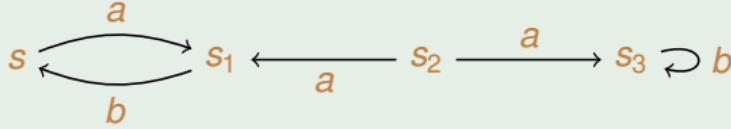
- Let  $S := \{s, s_1, s_2, s_3\}$  and  $E$ :

$$X = \langle a \rangle \text{tt} \wedge [a]Y \wedge [b]\text{ff}$$

$$Y = \langle b \rangle \text{tt} \wedge [b]X \wedge [a]\text{ff}$$

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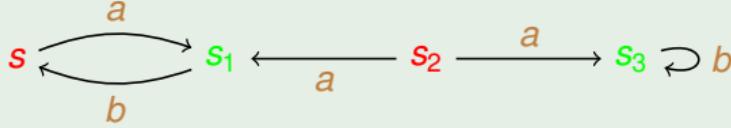
$$Y = \langle b \rangle \text{tt} \wedge [b]X \wedge [a]\text{ff}$$

- Interpretation:

- $X$ : “has no  $b$ -successor and  $\geq 1$   $a$ -successors that all satisfy  $Y$ ”
- $Y$ : “has no  $a$ -successor and  $\geq 1$   $b$ -successors that all satisfy  $X$ ”

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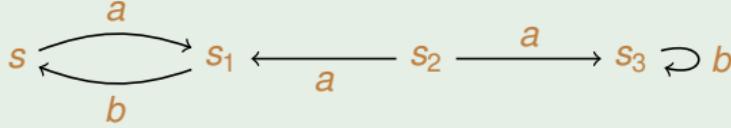
$$X = \langle a \rangle \text{tt} \wedge [a]Y \wedge [b]\text{ff}$$
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# A Mutually Recursive Specification

## Example 11.7



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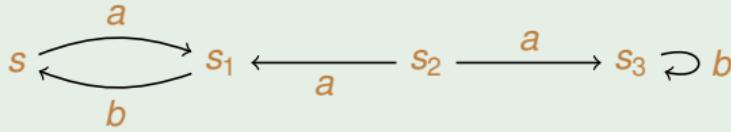
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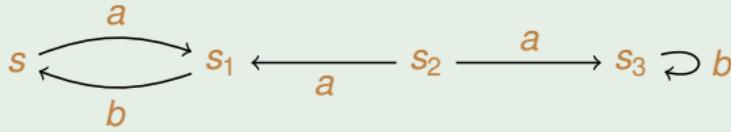
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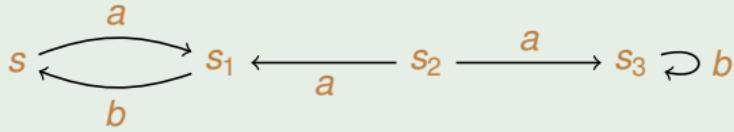
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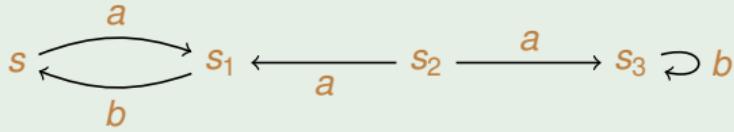
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# Outline of Lecture 11

1 Recap: Hennessy-Milner Logic with Recursion

2 Fixed Points and System Properties

3 Mutually Recursive Equational Systems

4 Characteristic Formulae

5 Mixing Least and Greatest Fixed Points

# Characteristic Formulae

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- As a next step, we show that for **finite** transition systems, the equivalence classes under  $\sim$  can be characterised by a system of formulae in HML extended with recursion – one for each state.
- For a finite process  $P$ , this HML-formula is called  $P$ 's **characteristic formula** as it exactly characterises the  $\sim$ -equivalence class of  $P$ .

# The Need for Recursion

## Lemma 11.8

*There is no recursion-free formula  $F \in \text{HMF}$  that can characterise the process  $A^\omega = a.A^\omega$  up to strong bisimilarity.*

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Similarly:

$$X_{M'} = \langle \bar{c} \rangle X_M \wedge \langle \bar{t} \rangle X_M \wedge [\{\bar{c}, \bar{t}\}]X_M \wedge [m]ff$$

# The General Case

Enabled actions:  $P \models \bigwedge_{\{\alpha, P' \mid P \xrightarrow{\alpha} P'\}} \langle \alpha \rangle X_{P'}$

Resulting states:  $P \models \bigwedge_{\{\alpha \mid P \xrightarrow{\alpha}\}} [\alpha] \left( \bigvee_{\{P' \mid P \xrightarrow{\alpha} P'\}} X_{P'} \right)$

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Theorem 11.10 (Characteristic Formula)

(Ingólfssdóttir et al. 1987)

For a finite-state process  $P \in \text{Prc}$ , let the **characteristic formula**  $X_P \in \text{HMF}_{\mathcal{X}}$  be defined by:

$$X_P \stackrel{\text{max}}{=} \bigwedge_{\{\alpha, P' \mid P \xrightarrow{\alpha} P'\}} \langle \alpha \rangle X_{P'} \wedge \bigwedge_{\alpha \in \text{Act}} [\alpha] \left( \bigvee_{\{P' \mid P \xrightarrow{\alpha} P'\}} X_{P'} \right)$$

(where  $\bigwedge_{\emptyset} \dots := \text{tt}$  and  $\bigvee_{\emptyset} \dots := \text{ff}$ ). Then, for every  $Q \in \text{Prc}$ :  $Q \models X_P$  iff  $P \sim Q$ .

Proof.

omitted

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# Mixing Least and Greatest Fixed Points I

- **So far:** least/greatest fixed point of **overall** system
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can be specified by

$$\text{Pos}(\text{Livelock})$$

where

$$\begin{aligned}\text{Pos}(F) &\stackrel{\min}{=} F \vee \langle \text{Act} \rangle \text{Pos}(F) & (\text{cf. Theorem 11.2}) \\ \text{Livelock} &\stackrel{\max}{=} \langle \tau \rangle \text{Livelock}\end{aligned}$$

and thus  $\text{Livelock} \equiv \text{Forever}(\tau)$  (cf. Example 11.3).

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⇒ Bottom-up, block-wise evaluation by fixed-point iteration

# Mixing Least and Greatest Fixed Points III

Example 11.13 (cf. Example 11.11)

$$\begin{aligned} PosLL &\stackrel{\min}{=} Livelock \vee \langle Act \rangle PosLL \\ Livelock &\stackrel{\max}{=} \langle \tau \rangle Livelock \end{aligned}$$



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$$T = S = \{s, p, q, r\} \mapsto \{p, q\} \mapsto \{p\} \mapsto \{p\}$$

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# The Modal $\mu$ -Calculus

- Logic that supports **free mixing** of least and greatest fixed points (with guardedness conditions):
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(in  $\text{NP} \cap \text{co-NP}$ ; linear for HML with one variable)
- Generally **undecidable** for **infinite** LTSs and HML with one variable (CCS, Petri nets, ...)
- Overview paper:
  - O. Burkart, D. Caucal, F. Moller, B. Steffen: *Verification on Infinite Structures*, Chapter 9 of *Handbook of Process Algebra*, Elsevier, 2001