

Introduction

National Custodial Services (NCS)

**National Clearing Company of Pakistan
Limited –NCCPL**



- ❖ National Clearing Company of Pakistan Limited (“NCCPL”) has extended its services for the investors of capital market by way of providing them a **centralized clearing, settlement and custodial function**.
- ❖ NCCPL submitted a **draft Concept Paper** to the Securities and Exchange Commission of Pakistan (SECP) for such services.
- ❖ After various meetings and discussion with SECP, concept paper was finalized and **approved by SECP**.

- ❖ To provide investors a centralized clearing, settlement & custodial functions, NCCPL has introduced :

“National Custodial Services” (NCS)

- ❖ NCS clients will continue to execute their **trades through TREC Holders** of the stock exchanges.
- ❖ Clients will have an **option to opt** settlement and custody of such trades directly with NCCPL .

Registration of Clients

- Client willing to avail NCS facility, will be required to apply to NCCPL on a **prescribed Admission Form** to become eligible for NCS.
- Once approved, NCCPL will issue a **User ID and Password** to access web based account through **UIN Information System ("UIS")**.
- TREC Holder will open a **separate client code** for such client in NCSS with a new Client Category **"NCS"**.
- NCCPL will open a **CDS sub-account** for such client for Settlement and Custody of Securities.

Execution of Trades by NCS Client

- NCS Clients' account statement containing **securities and cash balances** will always be maintained by NCCPL. NCS Client will mark such balances that require dissemination to their respective TREC Holder(s).
- **Trade execution instruction** will be given by the client **directly to its TREC Holder** as per the routine practice.
- Trades of NCS Client will be executed by the respective TREC Holder at the stock exchanges with the **client code having category "NCS"**.

Clearing and Settlement Process

- All NCS Trades executed **within the allocated balances** will be settled directly by NCCPL.
- **For Securities settlement**, NCSS will generate Balance Orders (BOs) **in the respective CDS sub-accounts** of NCS clients maintained with NCCPL.
- **For cash settlement**, bank account of NCCPL will be debited/credited through existing pay & collect mechanism of NCSS.
- Funds can be deposited by NCS Client directly in the **on-line bank accounts** of NCCPL maintained with **all Settling Banks**.

Safe Custody of Securities and Deposits

- All **Securities** of NCS Clients will be maintained by NCCPL in their respective **CDS sub-accounts**.
- Accordingly, all **deposits** of NCS clients will also be maintained in the sub-ledger account of each client.
- **Securities and Deposits of NCS Clients will only be released/transferred by NCCPL on the instructions of a client.**

Key Benefits

- ❖ **Net settlement** of trades directly with NCCPL
- ❖ **Ease of access** through UIN Information System (UIS)
- ❖ **Safekeeping** of securities & deposits
- ❖ **TREC Holders can attract new investors** and increase business efficiency
- ❖ **Deposit & Collection** of funds through online banking channel
- ❖ **Competitive Returns** on cash balances
- ❖ **Direct collection** of Capital Gain Tax (CGT)

NCS System



Cash Allocation Screen (For NCS Client)

Fund (Cash/Security) Allocation

Enter Percentage(%):

50

Apply All

CM ID	CM Name	Percentage(%)
CASH		
00208	KSE Corporate BCM (Rgular) 00208	50
00398	LSE Individual BCM (Regular) 00398	50

Fund Security Allocation: (For NCS Client)

CM ID	CM Name	Percentage(%)
SECURITY		
00208	KSE Corporate BCM (Rgular) 00208	50
00398	LSE Individual BCM (Regular) 00398	50

Fund Add/Refund Screen: (For NCS Client)

Home	User	Transaction	Ncss Report	CGT Report	NCS Screen	NCS Report	LogOut
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Fund Add Refund Screen

Fund Cash/Security Allocation
Fund Deposit Add/Refund

Search By: Transaction ID: Date: Add Refund:

Transaction ID:	<input type="text" value="39"/>	Date:	<input type="text" value="05-03-2014"/>
Add/Refund:	<input type="text" value="Add"/>		
Bank Name:	<input type="text" value="JS Bank"/>	Bank Location:	<input type="text" value="Karachi"/>
Bank Branch:	<input type="text" value="Al-Tijarah"/>	Account Number:	<input type="text" value="JS-509"/>
Amount:	<input type="text" value="9999.99"/>	Instrument:	<input type="text" value="CASH"/>
Instrument No:	<input type="text"/>	NCSS Settling Bank:	<input type="text" value="JS BANK LIMITED/KHI"/>
Remarks:	<input type="text" value="ADD FROM ADMIN"/>		
Status:	REJECTED		

Client Fund Balance Report (For NCS Client and BCM)

National Clearing Company of Pakistan Limited.

NCS Client Fund Balance

CM ID: ALL

Trader Location: ALL

Trader ID: ALL

Page 1 of 1

User ID: D0668912

Date: 24/11/2014

Time: 11:11:57

Client Info				CM Info				Balance Available
ID	UIN	Name	Client Code	ID	Name	Location	Trader ID	For CM
D0668912	6270000000001	NCS INDIVIDUAL CLIENT - 01	11NCSK	04234	KSE Corporate BCM (Regular) 04234	K	159	4,152,030.38

End Of Report

Client Security Balance Report (For NCS Client)

National Clearing Company of Pakistan Limited

NCS Client Security Balance

CM ID: ALL

CDS Account No.: ALL

Trader Location: ALL

Trader ID: ALL

Symbol: ALL

Page 1 of 1

User ID D0668912

Date 24/11/2014

Time 11:12:29

Client Info					CM Info				Security Info		Balance
ID	UTN	Name	CDS Account No.	Client Code	ID	Name	Location	Trader ID	Symbol	Name	Available

End Of Report

Client Position Report (For NCS Client)

Client Position Report

Symbol: ALL

Date (From): 24/11/2017

Date (To): 24/11/2017

CM ID: ALL

Page: 1 of 1

User Id: D0668912

Date: 24/11/2014

Time: 11:11:28

Client Info			CM Info		Security Info		Buy Qty	Sell Qty	Net Qty	Buy Amount	Sell Amount	Total Charges	Net Amount
User ID	UIN	Name	CM ID	CM NAME	Symbol	Name							

TOTAL

End Of Report

Account Statement Report (For NCS Client)

National Clearing Company of Pakistan Limited.

Account Statement Report

Date(From) 24/11/2014

Date(To) 24/11/2018

Refund Type ALL

Sorting Date Wise

Page 1 of 1

User ID D0668912

Date 24/11/2014

Date	Transaction ID	Client Info			Refund Typ	Particular	De bit	Cre dit	Balance Dr/(Cr)
		User ID	UIN	Name					

(.00)

GRAND TOTAL

End Of Report

Client Brokerage Commission Report (For NCS Client and BCM)

National Clearing Company of Pakistan Limited.

NCS Client Brokerage Commission Detail Report

Trade From: 24/11/2014
 Trade To: 24/11/2014
 CMID: ALL
 UIN: 6370000000001
 Market Type: ALL
 Symbol: ALL
 Client Code: ALL

Page 1 of 1
 UserID: D0443912
 Date: 24/11/2014
 Time: 11:33:14

CM Info				Client Info			Trade	Market	Security Info						Charges Info				
CMID	Name	Loc	Trader ID	UIN	Name	Client Code	Date	Type	Symbol	Name	Buy/Sell	Volume	Price	Value	Commission	FED	CVT	Total Charges	Remarks
															0.00	0.00	0.00	0.00	
UIN Wise Total																			
CMID Wise Total																			
Grand Total																			

End of Report

NCS Levy Summary Report (For NCS Client)

National Clearing Company of Pakistan Limited.

NCS Levy Summary Report

Settlement Date(From) 24/11/2014

Settlement Date(To) 24/11/2014

Page 1 of 1

User Id D0668912

Date 24/11/2014

Time 11:13:44

Settlement Date	Client Info			NCC Levy	CDC Charges	Total
	ID	UIN	Name			

Total

NCS Levy Detail Report (For NCS Client)

National Clearing Company of Pakistan Limited

NCS Levy Detail Report

CMID: ALL
CLIENT CODE: ALL
SYMBOL: ALL
SETTLEMENT DATE FROM: 24/11/2014
SETTLEMENT DATE TO: 24/11/2014

Page 1 of 1
User Id: DD448912
Date: 24/11/2014
Time: 11:14:20

NCS USER ID	Name	UIN	Trade/Transaction Date	Settlement Date	CMID	CM Name	Client Code	Symbol	Buy/Sell	Volume	Price	Value	NCC Levy
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0 00 00 00

Security Wise Total

UIN Wise Total

CM Wise Total

Grand Total

End of Report

UIN Setup Screen (For BCM)

NCSS::UIN Setup - Windows Internet Explorer

http://uat.nccpl.com.pk:9889/UINAddIndex.jsp

USERS | CM Module | Reports | MF Module | NCS Module | Log Out Menu |

UNIQUE IDENTIFICATION NUMBER SETUP SCREEN

User ID: C0020801

Add Refresh Close Help

Last Changed	By user	From workstation	On date/time
	K C0020801	CMSVC	10-20-10
Reason	NCS UIN		

Transaction ID: 511472-2014

Clearing Member ID: 00208

Trader ID: 021-K

Client Type: Individual

UIN: 6270000000001

Client Name: NCS INDIVIDUAL CLIENT - 01

Client Code: I1NCSK

UIN Category: NCS

Mapping Type: Client

Designation:

CDS Account No.: 509

CDS Account Status: Active

Verified: Yes

Reason:

Mapping Status: APPROVED

Records Status: Active

Joint Holder UIN Type-1: Select One

Joint Holder UIN-1:

Joint Holder Name-1:

Verified:

Reason:

Joint Holder UIN Type-2: Select One

Joint Holder UIN-2:

Joint Holder Name-2:

Verified:

Reason:

Joint Holder UIN Type-3: Select One

Joint Holder UIN-3:

Joint Holder Name-3:

Verified:

Reason:

Internet | Protected Mode: On

100%

Commission Definition Screen (For BCM)

BROKERAGE COMMISSION DEFINITION SCREEN



User ID: C0020801

System Date: 1/1/2015

[Add](#) [Refresh](#) [Close](#) [Help](#)

Client UIN:

[SEARCH](#)

[GO!POST](#)

[GO!SAVE](#)

UIN	UIN NAME	SLAB FROM	SLAB TO	PAISA/%	APPLY ON	DAY TRADING	
		0.01	20	Paisa 10	Share	Higher	-
		20.01		Paisa	Share	Higher	-

[UPDATE](#)

Thank You