

Ownership Questionnaire/Business/Trust

This information will be required for all individuals that hold any of the following positions. Where indicated, the spouse of such individual should also complete their own questionnaire:

- ☐ Managers
- ☐ Directors
- ☐ Officers
- ☐ Trustees of trust holding interest in licensee
- ☐ Limited Partners (and spouses if limited partner holds more than 10% interest)
- ☐ General Partners (and spouses)
- ☐ Owners (and spouses)
- ☐ Members (and spouses)
- ☐ Shareholders (and spouses)

If owner is Business or Trust

Legal Name of Business	<input type="text"/>	DBA Trade Name	<input type="text"/>	Type	<input type="text" value="LLC/INC/Trust/Partnership"/>
Date of Formation	<input type="text" value="XX/XX/XXXX"/>	Formation State	<input type="text"/>	EIN	<input type="text"/>
Business Address				State Formation #	<input type="text"/>
<input type="text" value="Street Number/Street/ City/ State/ Zip Code"/>				Business Phone	<input type="text"/>

Business Owners/Officers/Manager/Trustees. (must equal 100%. Each owner MUST fill out a personal questionnaire)

Full Name	<input type="text"/>	Title	<input type="text"/>	Adress	<input type="text" value="Street Number/Street/ City/ State/ Zip Code"/>	Percentage of ownership	<input type="text"/>
Full Name	<input type="text"/>	Title	<input type="text"/>	Adress	<input type="text" value="Street Number/Street/ City/ State/ Zip Code"/>	Percentage of ownership	<input type="text"/>
Full Name	<input type="text"/>	Title	<input type="text"/>	Adress	<input type="text" value="Street Number/Street/ City/ State/ Zip Code"/>	Percentage of ownership	<input type="text"/>
Full Name	<input type="text"/>	Title	<input type="text"/>	Adress	<input type="text" value="Street Number/Street/ City/ State/ Zip Code"/>	Percentage of ownership	<input type="text"/>
Full Name	<input type="text"/>	Title	<input type="text"/>	Adress	<input type="text" value="Street Number/Street/ City/ State/ Zip Code"/>	Percentage of ownership	<input type="text"/>
Full Name	<input type="text"/>	Title	<input type="text"/>	Adress	<input type="text" value="Street Number/Street/ City/ State/ Zip Code"/>	Percentage of ownership	<input type="text"/>

Involvement in Alcoholic Beverage Industry

There are Federal and State regulations regarding the relationships between suppliers and retailers, known as Tied-House Laws. Please let us know immediately if you or anyone else who will be involved with the business holds any interest in any other alcohol beverage business (supplier or retailer), including any real property or equipment they may own that is leased to an alcohol beverage licensee. Do you now have any direct or indirect interest in any other alcohol beverage business, or have you ever been an alcohol beverage licensee or an officer or director of any alcohol beverage licensee ? Yes ____ No ____

Have you ever been involved in any alcoholic beverage license that was denied, revoked, suspended, or an offer in compromise (a fine) accepted or rejected? Yes ___ No ___

If yes, please explain

FINANCIAL CONTRIBUTION (IF ANY)

The following ONLY needs to be completed if you as an individual are PERSONALLY making a financial contribution to the entity that is being licensed. For example, if you are just an officer, manager or director and are not contributing financially, you may skip this section.

Total Amount Personally Invested: \$ _____

Source of Funds (Personal checking or savings accounts, loans from financial institutions, individuals, financial gifts, promissory notes, inheritance, lawsuit settlements, gifts, sale(s) of property, etc.): _____

Financial Institution Name: _____

Financial Institution Address: _____

Number Street City State Zip _____

Account Type: _____

Account Number: _____

Persons Authorized to Sign: _____

Please upload the following documents if they apply. Articles of Inc/Formation, Operating Agreement/Corporate Bylaws, Trust paperwork, IRS EIN document, Certificate of Good Standing with your home state.



****NOTE for Developer". From the "Company" page, there is an "Add Owner" button. When they click on that button it should ask them the following question: "Is this owner an Individual or a Company/Trust?". If they chose Individual, then the individual ownership page should come up for them to enter and store this data. If they click on Business/trust then this page should pop up.**