## Locust Simulation Tutorial: RF Signal Generation from Trapped Charged Particles

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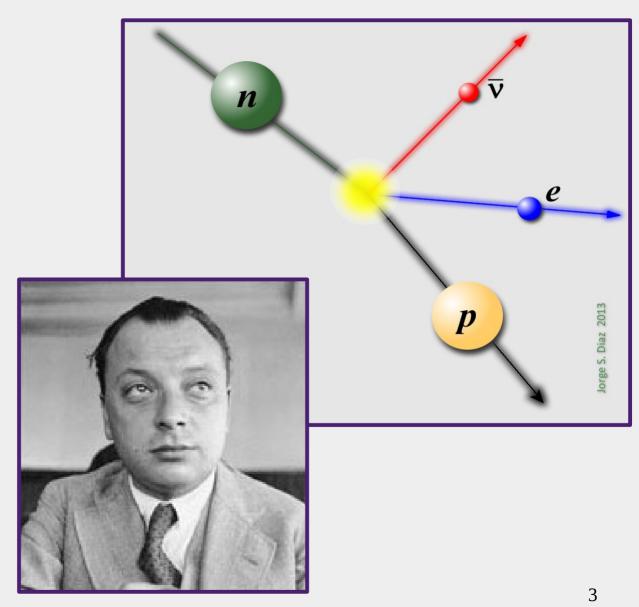
NNPSS
Wright Laboratory
Yale University
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#### **Outline**

- Motivation: Project 8 experiment.
- Why do we need a new simulation? Compare Locust with existing particle physics simulation packages.
- Purpose of the simulation
  - Generate the same data that we should see from the real experiment.
  - This allow us to test the feasibility and systematics of the real experiment.
- Logistics and examples.
  - Generating and processing a signal.
  - Running the simulation on HPC.
- This pdf sits online at https://github.com/project8/locust\_mc/blob/develop/Config/NNPSSTutorial/NNPSSTutorial.pdf

#### Neutrinos in radioactive decay

- Neutrino first postulated in 1930 by Wolfgang Pauli to preserve energy conservation in radioactive decays.
- Integral part of Fermi's theory of beta decay in 1933, precursor to theory of the weak interaction.
- Neutrinos first detected in 1956 by Reines and Cowan.



Wolfgang Pauli

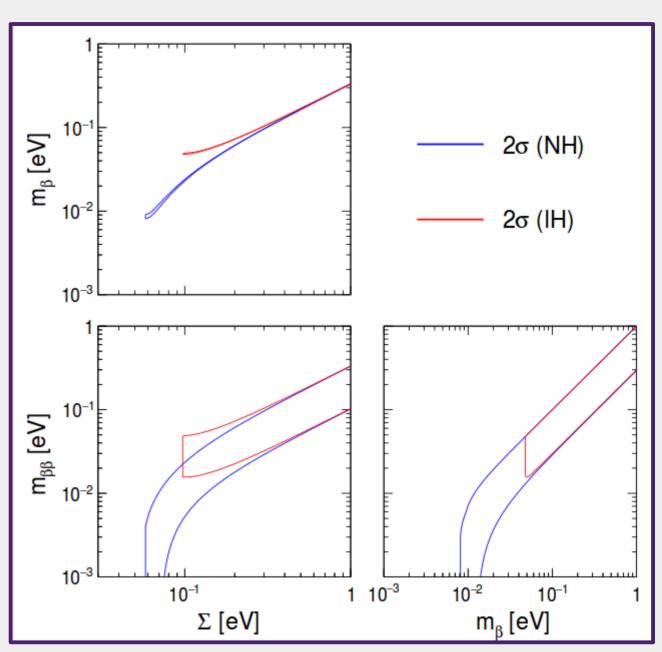
#### Constraints on effective masses

$$m_{\beta} = \sum_{i} m_{\nu i}$$

$$m_{\beta}^2 = \sum_{i} |U_{ei}|^2 m_{\nu i}^2$$

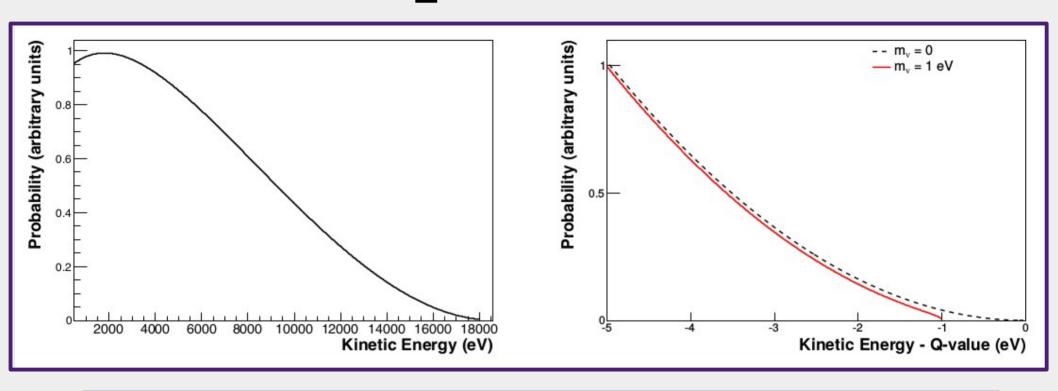
$$\langle m_{\beta\beta} \rangle = |\sum_{i} |U_{ei}| m_{\nu_i} e^{i\alpha_i}|$$

Constraints on masses from cosmology and from direct measurements can be compared.



F. Capozzi et al., Nucl. Phys. B, 00 (2016)

### T<sub>2</sub> beta decay



$$\frac{dN}{dE_e} = \frac{G_F^2 m_e^5 \cos^2 \theta_C}{2\pi^3 \hbar^7} |M_{\text{nuc}}|^2 F(Z, E_e) p_e E_e$$

$$\times \sum_{i,k} |U_{ei}|^2 P_k (E_{\text{max}} - E_e - V_k) \sqrt{(E_{\text{max}} - E_e - V_k)^2 - m_{\nu i}^2}$$

$$\times \Theta(E_{\text{max}} - E_e - V_k - m_{\nu i})$$

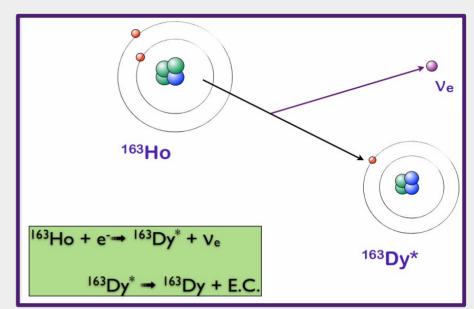
e.g., L. I. Bodine, Ph.D. Thesis, University of Washington (2015)

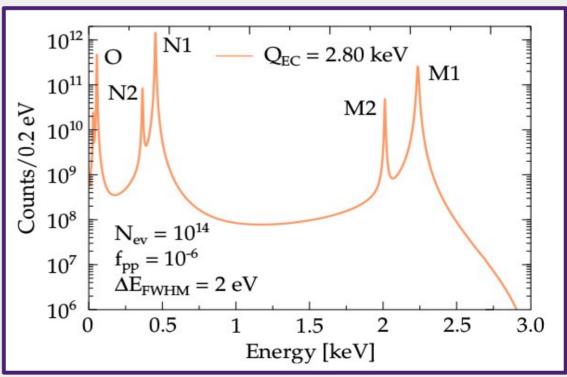
### Direct measurements of effective neutrino mass

- Electron capture from <sup>163</sup>Ho
  - Holmes, Echo, NuMecs
- T<sub>2</sub> beta decay
  - Mainz/Troitsk
  - KATRIN: Karlsruhe Tritium Neutrino Experiment
  - Project 8

### Decay of <sup>163</sup>Ho by electron capture

- Proposed in 1982 by DeRejula and Luisignoli.
- 163Ho decays to 163Dy by EC. De-excitation emission is measured by xray calorimetry.
- Holmes, ECHo, NuMECS experiments.
- Measurement of distortion of EC xray spectrum at end point due to  $m_{\nu}$ .
- Resolution is presently defined by xray calorimetry and atomic calculations.

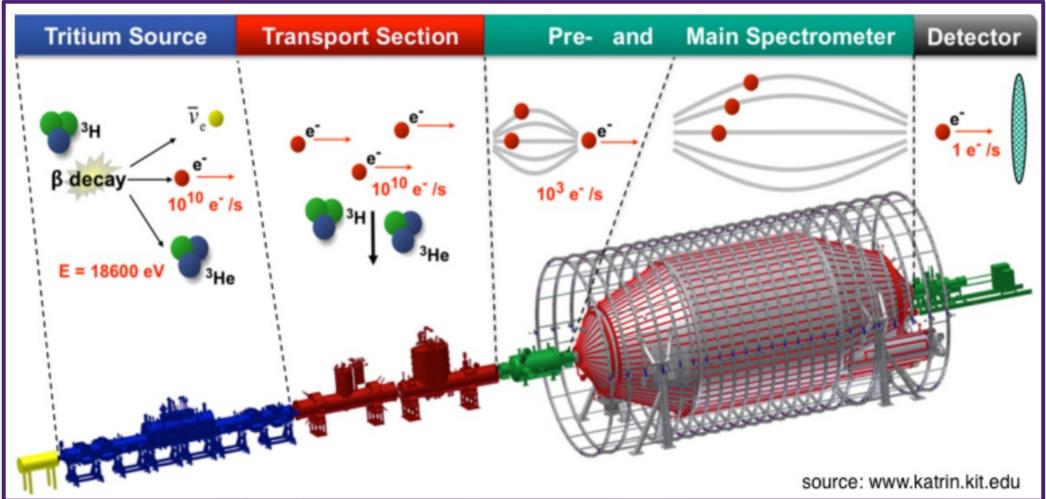




#### **KATRIN**

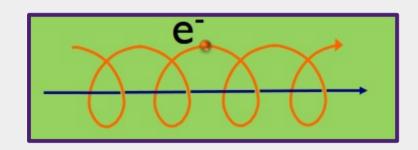
Diameter of spectrometer is 10 m. Required vacuum is  $10^{-11}$  mbar. Projected sensitivity is  $m_{\nu}$  < 0.2 eV.





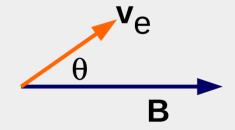
# Project 8: Cyclotron Radiation Emission Spectroscopy (CRES)\*

Pioneered by the Project 8 collaboration in 2015. Measure energy of single electrons indirectly by detecting boosted cyclotron frequency:



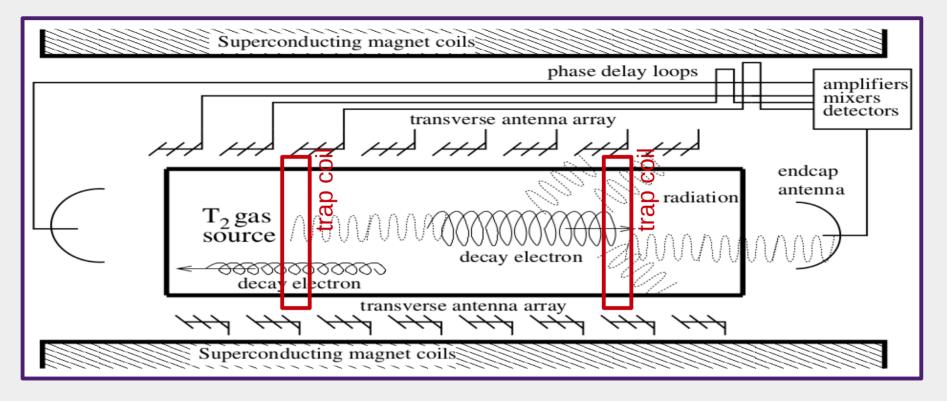
$$f_{\gamma} \equiv \frac{f_{\rm c}}{\gamma} = \frac{eB}{2\pi\gamma m_{\rm e}}$$

$$P(\gamma, \theta) = \frac{1}{4\pi\epsilon_0} \frac{2}{3} \frac{e^4}{m_e^2 c} B^2 (\gamma^2 - 1) \sin^2 \theta$$



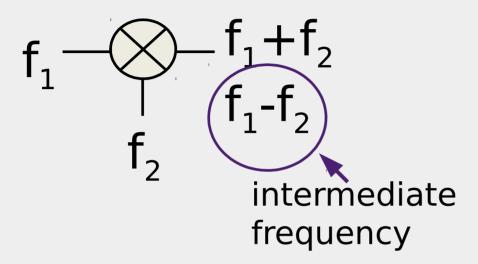
Emitted power depends on pitch angle  $\theta$  but cyclotron frequency does not.

#### Cyclotron Radiation Emission Spectroscopy (CRES)



- Trap electrons emitted from a radioactive source gas in a 1 T magnetic field and collect the cyclotron emission.
- Voltages induced in the antennas are filtered and digitized.
- Time of measurement determines frequency resolution.

#### Heterodyne detection



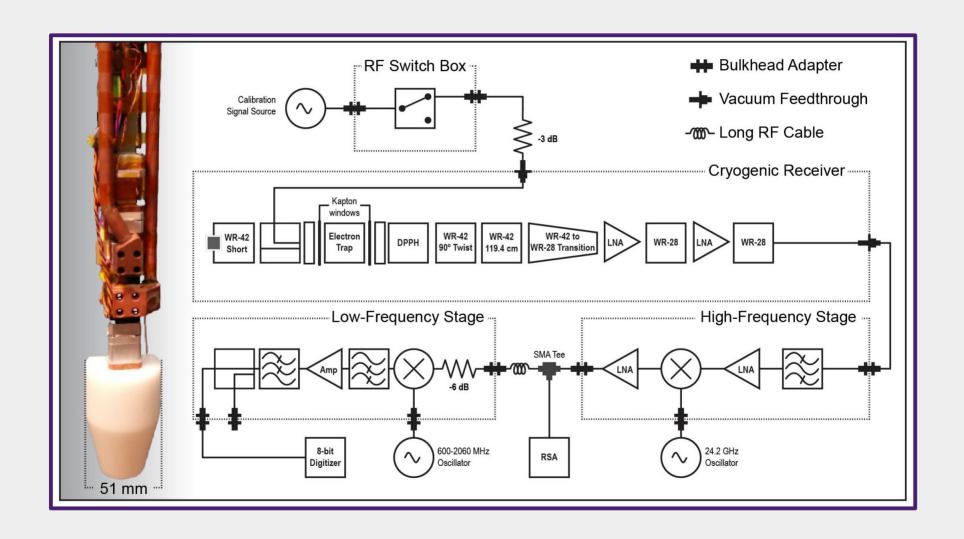
- Voltages are sampled in time after mixing down from 26 GHz to tens of MHz.
- Frequency spectrum is calculated by FFT.
- 18 keV electrons in 1 T gives SNR of ~10 in waveguide. Frequency resolution =  $1/\tau$ .

"Never measure anything but frequency."



Dr. Arthur Schawlow

### Project 8 Phase 1 prototype schematic



# How can we simulate the RF signal generation?

## Status of existing particle physics simulation software packages

- Geant4: Particle tracking and kinematics
- Comsol and HFSS: EM field solutions
- Kassiopeia: Flexible, modular in-situ field solutions with simultaneous particle trajectory calculations (Furse et al., NJoP 2017).
- Locust: New simulation interface with Kassiopeia to model RF signal generation from particle trajectories, developed for Project 8.

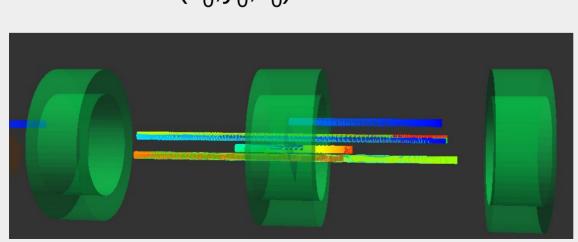
### Trajectory calculations in EM field solutions with Kassiopeia simulation

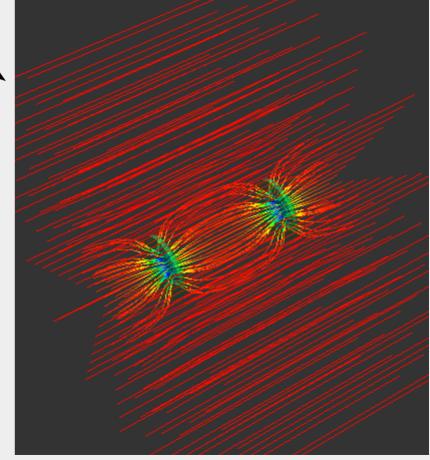
Simultaneous field solutions and trajectory calculations.

Trajectories of five 18 keV electrons, four trapped in a magnetic bottle. Field lines not shown. Range of initial  $(x_0,y_0,z_0)$ .

Magnetic bottle created by pinch coils in a constant magnetic background







#### Locust simulation software block diagram

Configuration parameters are read from the json file.

**LMCSimulationController** 

LMCRunLength Calculator

LMCSignal (initial)

Generators populate and modify the LMCSignal object.

LMCGenerator 1

LMCGenerator 2

LMCGenerator 3

**LMCGenerator N** 

Final LMCSignal object is a time series of voltages to be written to file.

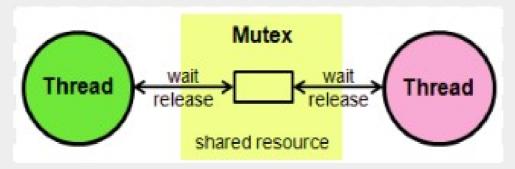
LMCSignal (final)

**LMCEggWriter** 

disk

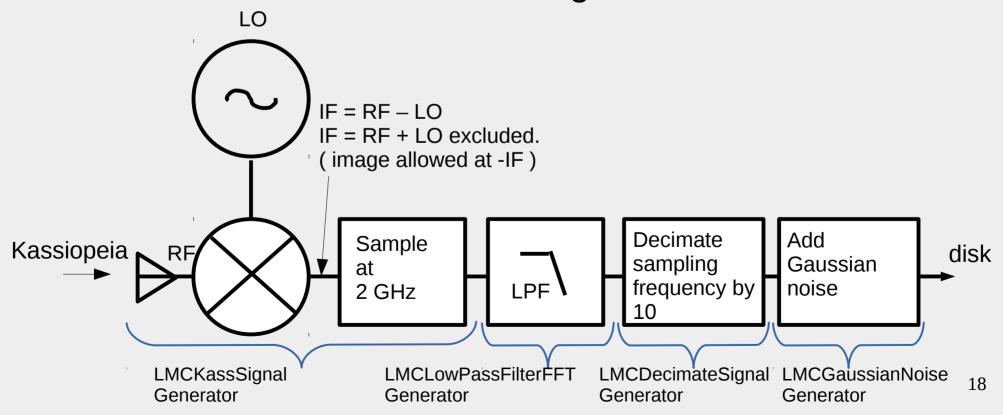
### How do the Kassiopeia and Locust simulations interact?

- They are compiled into one executable.
- Only one of them runs at a time, while the other locks control of a std::mutex object.
- Kassiopeia runs for e.g. 0.5 ns at a time, then unlocks the mutex. Locust takes control of the mutex, calculates one voltage, and then hands control of the mutex back to Kassiopeia.



# Locust simulation example RF block diagram

- Use the energy losses reported by Kassiopeia to guide input.
- Generate antenna voltages at RF sampling frequency.
   Mix down to baseband with algorithmic receiver.



## Why do we need the RF receiver block diagram in the simulation?

- Lab experiment has an RF receiver. The main purpose is to lower the signal frequency from e.g. GHz to MHz for efficient sampling and data management.
- Signal generated with Locust should have the same format as the empirical data. This provides a comparison for lab data analysis.
- Signal post-processing needs a signal as input. Signal properties constrain information that can be derived from signal processing.
  - e.g. Information that might be in the signal: voltage amplitude, voltage phase.
  - Information that might not be in the signal: large bit depth for higher accuracy, phase continuity, missing energy.
  - Signal properties (or missing properties) can help tell us whether the experiment will work (or not).

#### List of examples in this tutorial

- Visual Tool Kit (VTK) output.
- Single electron in a waveguide with one antenna.
- Driving the simulation with a tunable test signal.
- Larger number of single electrons in a waveguide, run in parallel on hpc.
- Single electron in an array of multiple antennas.
- Checking the magnetic field map.

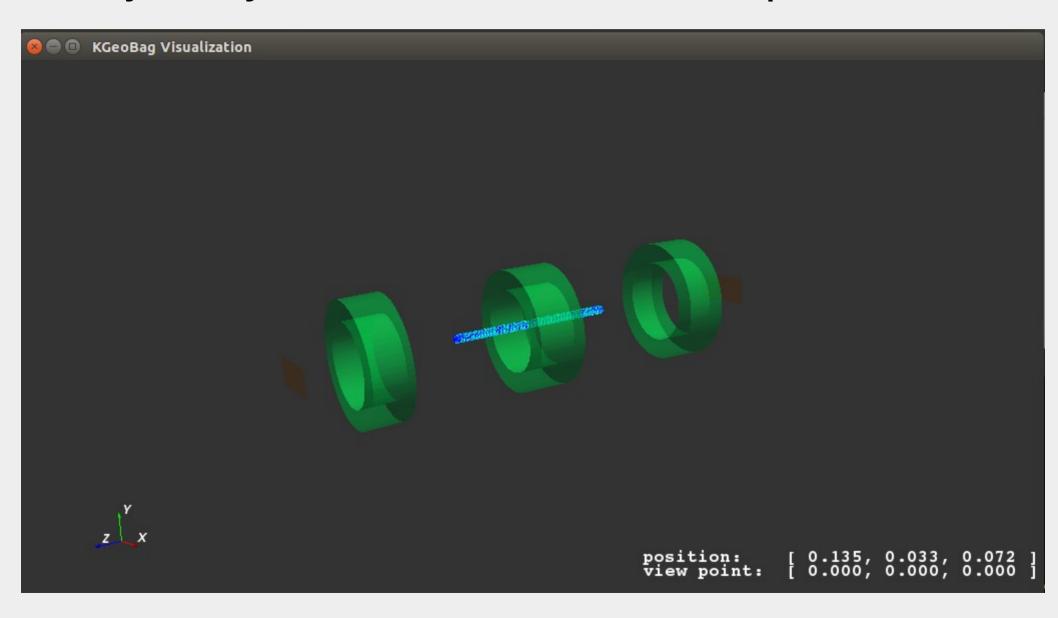
#### Steps to get started

- ssh -Y netID@grace.hpc.yale.edu
- module load Tools/nnpss
- setup\_dirs.sh
- cd project8/manageTutorial
- cp /home/hep/baker/ps48/project8/manageTutorial/\*.
- cp /home/hep/baker/ps48/locust\_mc/Config/NNPSSTutorial/\*
   ~/locust\_mc/Config/NNPSSTutorial/

# Example 0: Look at VTK output of a trajectory calculation with Kassiopeia

- 1) ssh to Grace cluster: ssh -Y netID@grace1.hpc.yale.edu .
- 2) Log in to compute node: srun --x11 --pty -c 4 -p interactive bash
- 3) cd project8/manageTutorial/
- 4) LocustSim config = ~/locust\_mc/Config/NNPSSTutorial/LocustTutorialTemplate.json
- 5) Output should appear as on next slide if:
  - Kassiopeia has been compiled with VTK.
  - The vtk window has been instantiated in the xml file.
  - OpenGL version on your laptop is compatible with that on the cluster.
  - Otherwise this example is more suitable to run on a standalone laptop.

# Example 0: Look at VTK output of a trajectory calculation with Kassiopeia, cont.



# Logistics and examples of simulated RF signal generation

#### Questions before and after Locust

- Questions to ask before running Locust:
  - How much of the physics should appear in the generated signal?
  - Is there other physics that will not appear in the generated signal?
  - How will we tell the difference?
- Questions to ask after running Locust:
  - Did we measure the physics that we needed?
  - If not, how should we change the detection hardware? Describe the hardware change algorithmically in Locust, and generate a new signal.

## Some more detailed questions before/after running Locust

- Did we detect the signal?
  - Is the local oscillator tuned to put the signal in our baseband window spanning from -f<sub>Nyquist</sub> to +f<sub>Nyquist</sub>?
  - An easy way to check this is to interrupt the simulation and print the RF frequency to the terminal. IF = RF LO.
  - Otherwise check the processed data to look for the signal.
- Was the digitizer range set correctly?
  - Locust prints ~100 digital voltages at completion.
  - Look for values of 0 and 255. These indicate saturation.
  - Too many 127 and 128s mean too much range.
  - Adjust the digitizer range in the json file "digitizer" parameters.
- What is the digitizer bit depth?
  - It is hard-wired to 8 bits at the moment.

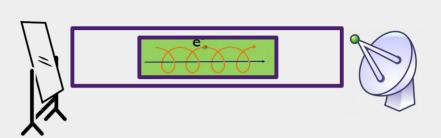
#### What are we actually going to do?

- Generate a time series of complex voltages (LMCSignal object).
- Each voltage sample will have a real part (I) and an imaginary part (Q).
- First we calculate the voltage I sampled at time t with phase φ(t), and then we derive Q from it by lagging the voltage phase 90°.
- In the offline data stream we will have the voltage magnitude and phase information.  $\phi$ =atan(Q/I).

mag

### Locust example 1: Magnetically trapped electron radiates in a waveguide with one antenna

- What is the signal?
  - Power radiated by the electron.
- · How will we detect it?
  - Generate voltages on an antenna by, e.g.,  $P = V^2/R$ .
- What is the voltage amplitude V?
  - Consider the power in the waveguide mode(s) that propagate to the antenna.
  - $V = \text{sqrt}(50\Omega \text{ P})$ , if it is changing with time we have AM.
- What is the voltage phase  $\phi$ ?
  - Require  $\phi$  to advance continuously as  $\phi(t)$  += f'(dt); it changes as FM.
  - f' is the frequency observed right at the antenna, including Doppler shift.
- What physics is not in the signal?
  - Waveguide modes that do not propagate.
  - Frequency of radiation observed anywhere but at the antenna.
  - We might reconstruct the missing physics with post-simulation analysis.

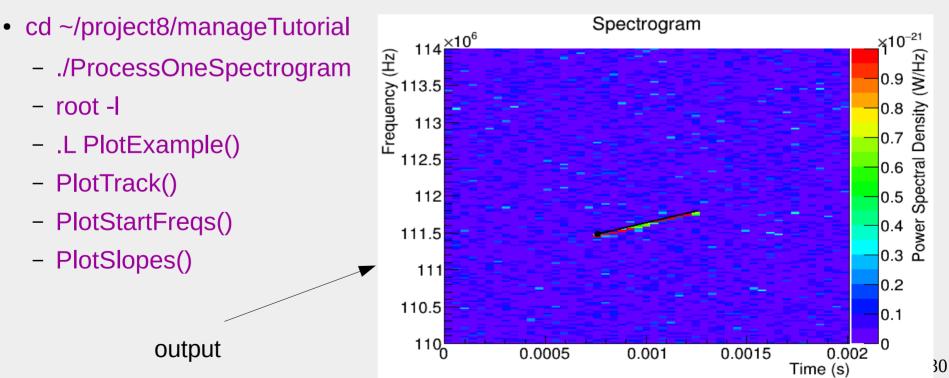


### Locust example 1: Magnetically trapped electron radiates in a waveguide with one antenna, cont.

- How do we implement this in software?
  - Inside the Locust generator LMCKassSignalGenerator we have populated the LMCSignal object with a time series of complex voltages.
    - $V_1(t) = V_0(t)\cos(\phi(t)); V_0(t) = V_0(t)\sin(\phi(t))$
    - $V_0(t)$  and  $\phi(t)$  are calculated as on previous slide.
    - Sampling rate is chosen according to bandwidth needs.
- How do we run this on Yale HPC?
  - cd ~/project8/manageTutorial
  - emacs SimulateSeed &, note 4 cpus, output file.
  - ./SimulateSeed
  - Wait 30 minutes. Check that the file locust\_jobSeed55 is growing.

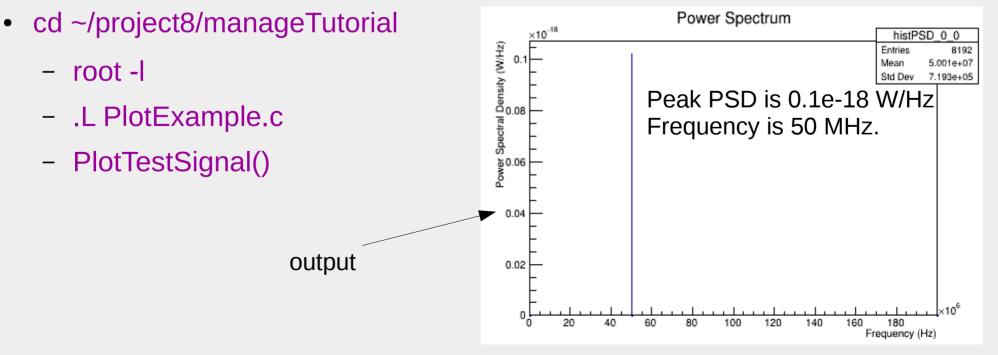
### Locust example 1: Magnetically trapped electron radiates in a waveguide with one antenna, cont.

- Check to see whether the slurm job has finished, and that there is an egg file in ~/data/SimulationTutorial.
  - squeue -u netID
  - Is -I ~/data/Simulation/Tutorial/\*.egg
- Log on to a compute node: srun --x11 --pty -c 4 -p interactive bash



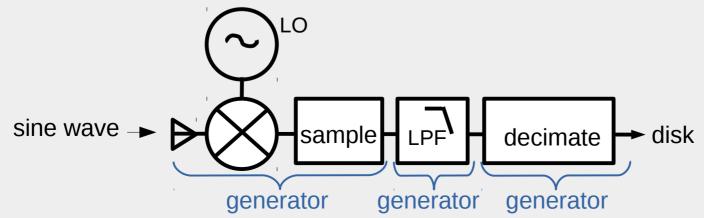
### Locust example 2: Drive the receiver with a complex sine wave

- Log on to a compute node: srun --x11 --pty -c 4 -p interactive bash
- Open the sine wave config file: emacs
   ~/locust\_mc/Config/NNPSSTutorial/LocustSineWave.json &. Check which generators are listed, see that "lo-frequency" = 20.15 GHz.
- LocustSim config=~/locust\_mc/Config/NNPSSTutorial/LocustSineWave.json
- Katydid -c ~/locust\_mc/Config/NNPSSTutorial/katydid\_basic.json



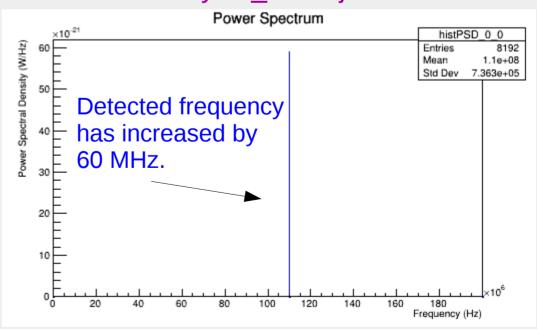
### Locust example 2: Check the frequency and normalization of the complex sine wave

- Open the generator: emacs
   /home/hep/baker/ps48/locust\_mc/Source/Generators/LMCTestSignalGenerator.cc &
- Scroll to DoGenerateTime() function and look at this line: aSignal->LongSignalTimeComplex()[ch\*aSignal->TimeSize()\*aSignal->DecimationFactor() + index][0] += sqrt(50.)\*5.e-8\*cos(voltage\_phase-LO\_phase);
- We are modifying the (multichannel, complex, oversampled, in-phase) LMCSignal object by adding a voltage to it. The antenna impedance is  $50\Omega$ , the voltage amplitude is 5.e-8 volts, and the frequency after downmixing will be (test\_frequency-fLO\_frequency).
- Power in the sine wave should be  $V^2/R = (5.e-8)(5.e-8)/50. = 2.5e-15/50.$  W. Checking the PSD histogram we see PSD = 0.1e-18 W/Hz with a bin width of 200.e6/8192, for a total power of 2.44e-15 W. The  $50\Omega$  has been applied in Katydid post-processing.
- Signal frequency is 50 MHz, which in this post-processing configuration, is 50 MHz below DC. The frequency span shown corresponds to -100 MHz through 100 MHz.



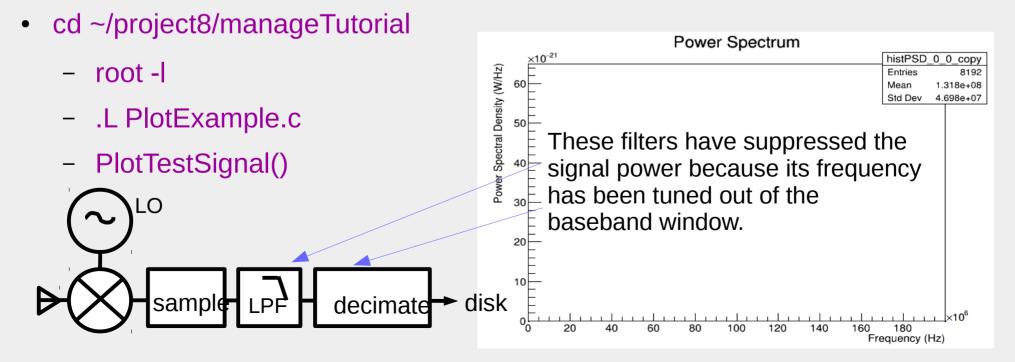
### Locust example 2: Try tuning the LO (complex sine wave, continued)

- emacs ~/locust\_mc/Config/NNPSSTutorial/LocustSineWave.json
  - Look at the config parameters in the "test-signal" generator. Decrease the "lo-frequency" by 60 MHz from 25.15e9 to 25.09e9 Hz.
  - Save the file.
- LocustSim config=~/locust\_mc/Config/NNPSSTutorial/LocustSineWave.json
- Katydid -c ~/locust\_mc/Config/NNPSSTutorial/katydid\_basic.json
- cd ~/project8/manageTutorial
  - root -l
  - L PlotExample.c
  - PlotTestSignal()

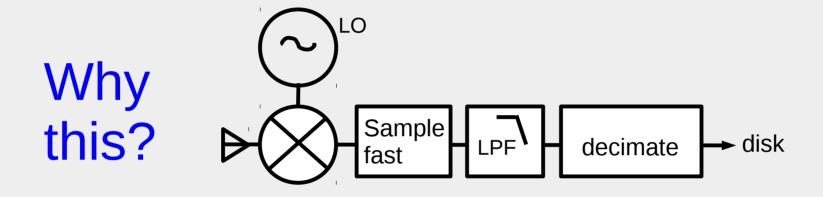


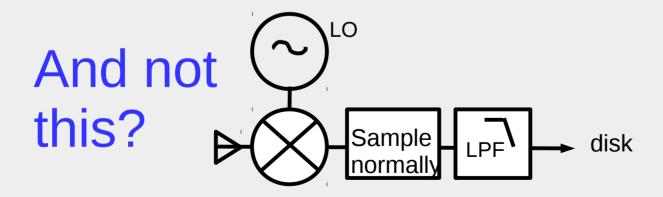
### Locust example 2: Now tune the signal all the way out of the window (sine wave, continued)

- emacs ~/locust\_mc/Config/NNPSSTutorial/LocustSineWave.json
  - Look at the config parameters in the "test-signal" generator. Decrease the "lo-frequency" by another 100 MHz from 20.09e9 to 19.99e9 Hz.
- LocustSim config=~/locust\_mc/Config/NNPSSTutorial/LocustSineWave.json
- Katydid -c ~/locust\_mc/Config/NNPSS/katydid\_basic.json

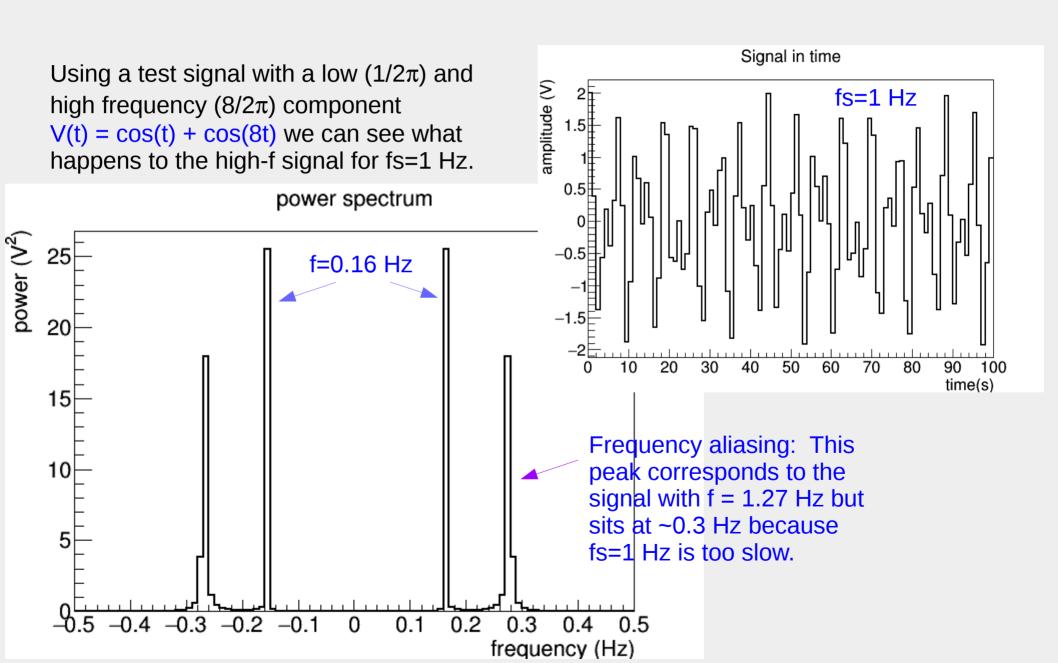


### About the filtering (1)

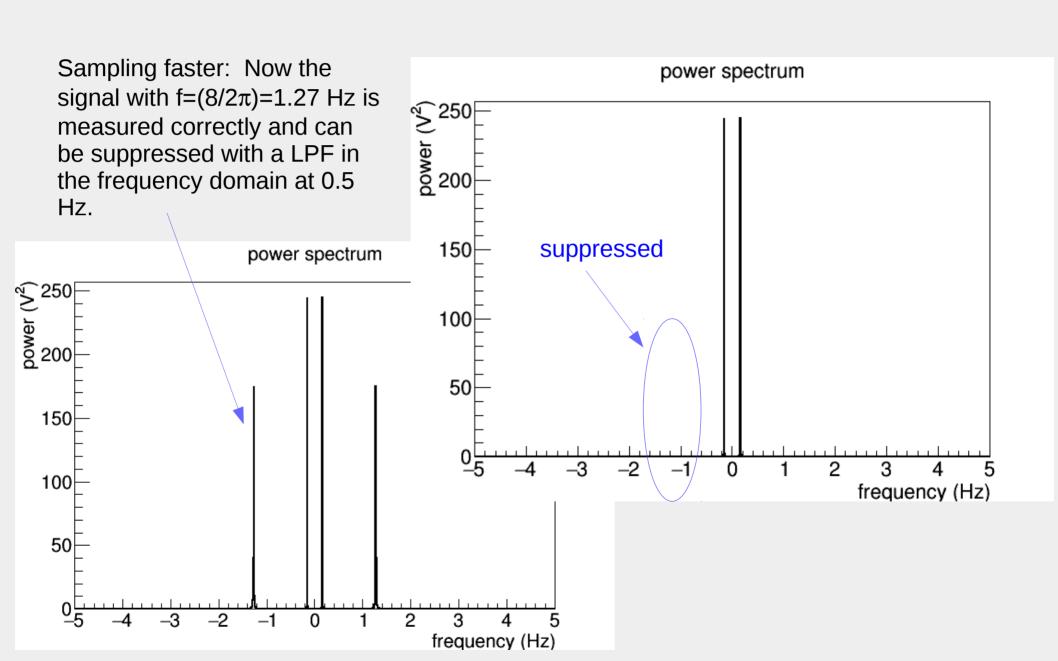




### About the filtering (2)

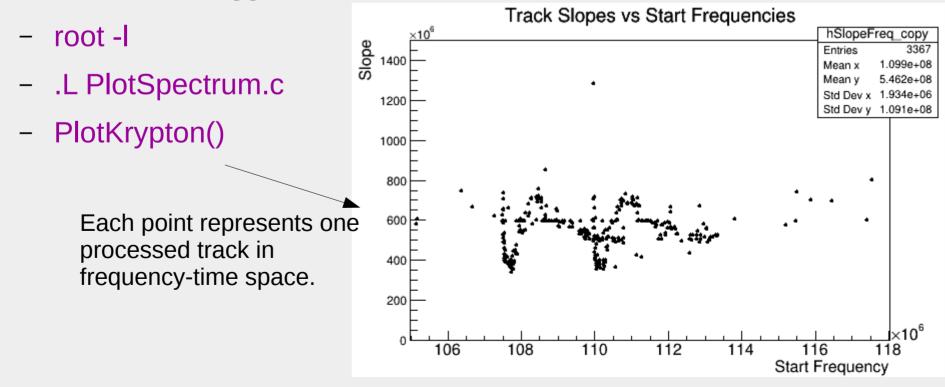


### About the filtering (3)



#### Locust example 3: Generating more statistics

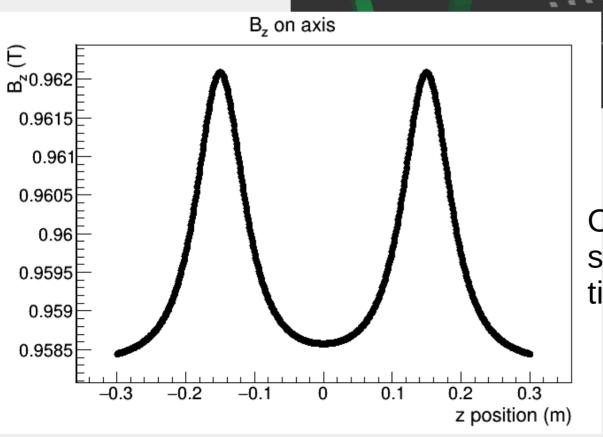
- cd ~/project8/manageTutorial/
- emacs SimulateSeed &
- Look at the range of seeds to be submitted as slurm jobs. Pick 56 through 62. Exit and submit the job: ./SimulateSeed
- It has to run for 3 hours, but we can process some pre-existing data: sbatch ProcessEggFilesBatch, then



### Locust example 4: Magnetically trapped electron radiates in free space with 30 channels

Patch array radius 5.16 cm.

Spacing 0.0108 m.



One amplifier per longitudinal strip for phased summing in time domain.

#### Locust example 4: Multiple receiver channels

#### Check the Locust config file:

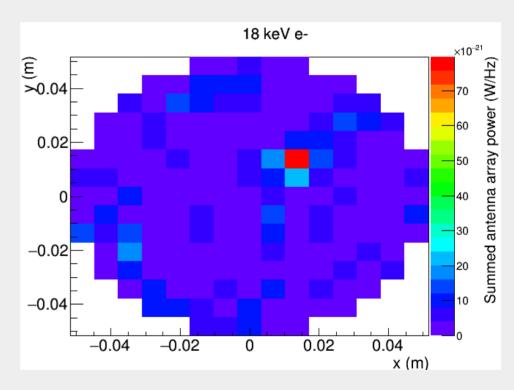
- emacs ~/locust\_mc/Config/NNPSSTutorial/LocustPhase3Template.json
- Find the "simulation" config parameters, where "n-channels" is set to 30.
- Check which generators will be called: "patch-signal", "lpf-fft", "decimate-signal", "digitizer".

#### Check the slurm bash script:

- emacs ~/project8/manageTutorial/SimulateMultichannel
- Notice we request 12 cpus, which is more than for the single channel simulation.
- Note ntask=1 still, even with multiple threads and channels.

### Locust example 4: Magnetically trapped electron radiates in free space with 30 antennas

- Run the simulation:
  - cd ~/project8/manageTutorial
  - ./SimulateMultichannel
  - squeue -u netID
  - ~3 hours later it will finish.
- Process pre-existing data
  - ./ProcessMultichannelEggFiles
  - root -l
  - .L ChannelSumming.c
  - beamform()



Reconstructed electron position from phase-sensitive sum

#### Locust example 5: Check the magnetic field map

 Kassiopeia contains a trajectory gen\_bfieldlines for a charged particle moving along a selected field line.

This is implemented in Project8Tutorial\_FieldMap\_Template.xml,

called by Locust\_FieldMap.json

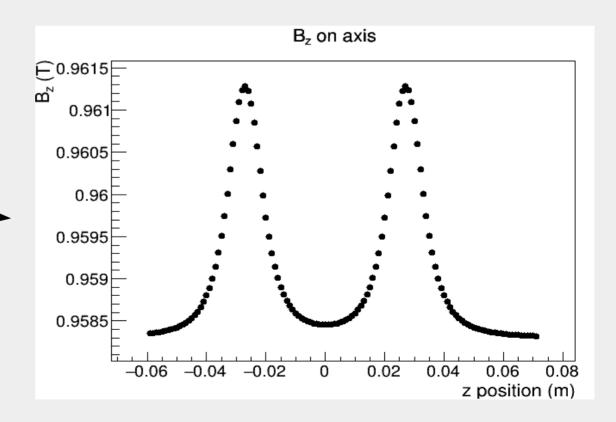
– cd ~/project8/manageTutorial

- srun --x11 --pty -c 4 -p interactive bash

LocustSim config=~/locust\_mc/Config/NNPSSTutorial/LocustFieldMap.json

Plot the field map:

- root -l
- .L PlotFieldMap.c
- fieldmap()



#### Tutorial and scripts in repositories on Github

#### Simulation

- https://github.com/project8/locust\_mc.git
- Configuration files are in the same directory referenced in this tutorial: ~/locust\_mc/Config/NNPSSTutorial/.
- Interpretation scripts
  - https://github.com/project8/scripts.git
  - Files in repository directory ~/scripts/NNPSSTutorial/ are the same as those used in this tutorial, in the directory ~/project8/manageTutorial/.

#### Summary

- RF signal generation can be simulated with the Locust simulation.
  - A time series of induced voltages can be calculated one sample at a time.
  - AM and FM modulation can be applied to the voltages.
- Processing the generated signal tells us what information we have detected about an experiment.
  - It's important to know which information we have not detected.
  - It's important to know whether (or not) we can reconstruct any missing information from the signal analysis.