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# Toward an inference procedure by type checking algorithm for Neural DTS

1.

# Introduction

# Natural Language Inference (NLI)

NLI is the task of determining whether a natural-language **hypothesis** can be inferred from given **premises** [MacCartney and Manthata, 2008]

## Premises

Every noodle is cheap

Pasta is noodle

⊢

## Hypothesis

Pasta is cheap

Entailment : Hypothesis **can** be inferred from a given premise

Contradiction : Hypothesis **cannot** be inferred from a given premise

Neutral : undetermined

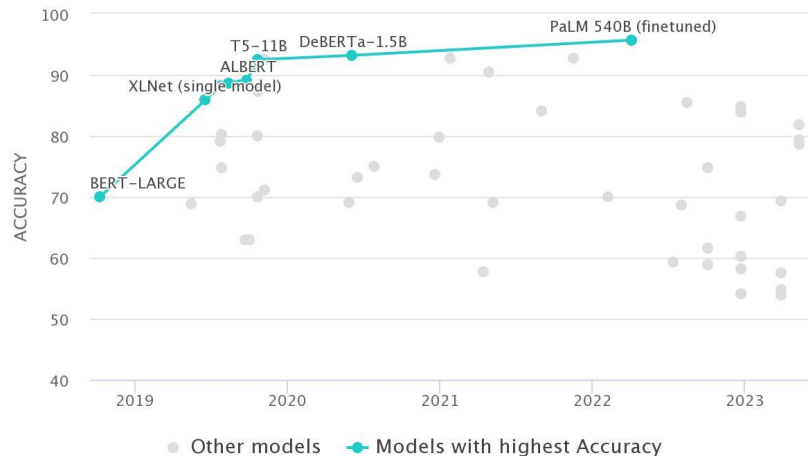
# Natural Language Inference (NLI) Systems

In recent years...

The application of **Large Language Models (LLMs)** has become the mainstream approach for NLI [Lan et al., 2020; Raffel et al., 2020; He et al., 2021]

Natural Language Inference on RTE accuracy for natural language models

by <https://paperswithcode.com/sota/natural-language-inference-on-rte>



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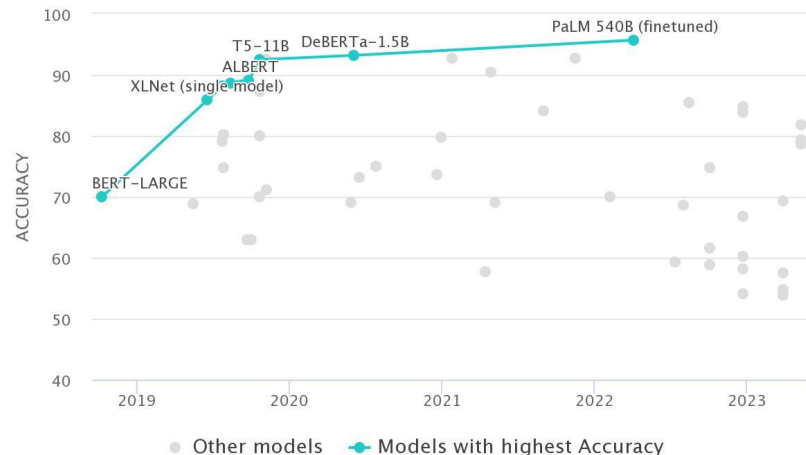
However...

Obtaining the inference process from LLMs is not straightforward

➡ Ensuring explainability is an active research area [Gunning et al., 2017]

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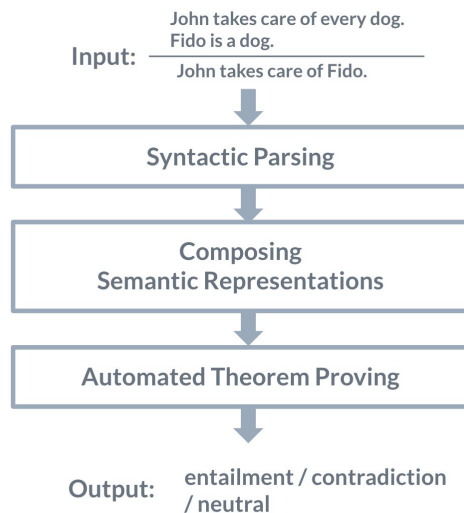


# Symbolic Approaches to NLI

[Bos et al., 2004; Chatzikyriakidis and Luo, 2014; Martínez-Gómez et al., 2017; Chatzikyriakidis and Bernardy, 2019]

- ▶ Take the form of a linguistically-oriented pipeline consisting of syntactic parsers, semantic representations and theorem provers
- ▶ Can provide the inference process (explanation) as a logical formula
- ▶ Can represent complex linguistic phenomena regardless of how deep they get embedded in syntactic structures

## Example of Symbolic Pipeline

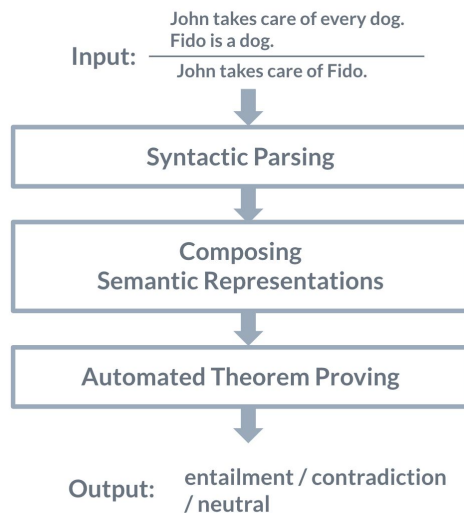


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- ▶ Can represent complex linguistic phenomena regardless of how deep they become embedded in syntactic structures
- ▶ Cannot learn from large corpora or provide comparable representations

## Example of Symbolic Pipeline



# Fusion of neural and symbolic approaches

[Cooper, 2019] [Larsson, 2020]

## Neural approaches

End-to-end systems with neural network

- ✓ Can learn from large corpora
- ✓ Can provide comparable representations
- ✗ Cannot provide explanations in a straightforward manner

## Symbolic approaches

Pipeline systems with type-logical semantics

- ✓ Can be explained by using a logical formula
- ✓ Can address complex linguistic phenomena
- ✗ Do not possess learning capabilities and cannot provide comparable representations



Fusion of neural and symbolic approaches can complement each other's weaknesses



# Neural DTS [Bekki+, 2022; Bekki+, 2023]

- ▷ A fusion of neural and symbolic approaches
- ▷ An NLI system incorporating a deep neural network within dependent type semantics (DTS)
- ▷ A learning algorithm was proposed by Bekki et al. (2022)  
and the mathematical background was proposed by Bekki et al. (2023)
  - The implementation has been a remaining issue
  - It was not obvious ...
    - how the feed-forward neural classifiers would work with the type system

# In this study, we...

- ▷ propose **partial implementation** for Neural DTS
  - **neural classifiers**
  - partial **proof-search algorithm** that integrates neural classifier
- ▷ evaluate and demonstrate the behavior of these implementations

# 2.

## Neural DTS

[Bekki, Tanaka, Takahashi 2022; 2023]

# The Fusion of Neural and Symbolic Approaches

## Categories of the fusion approaches:

1. Emulating symbolic reasoning by embedding knowledge graphs, SAT problem and first-order logic  
[\[Gua+, 2015\]](#) [\[Das+, 2017\]](#) [\[Takahashi+, 2018\]](#) [\[Selsam+, 2019\]](#) [\[Demeester+, 2016\]](#) [\[Sourek+, 2018\]](#)
2. Introducing a similarity measure between symbols using distributional representations instead of symbols [\[Lewis+, 2013\]](#)
3. Using neural networks to control the direction of the proof search [\[Rocktäschel+, 2017\]](#) [\[Wang, 2017\]](#)
4. Embedding neural networks in symbolic reasoning [\[Cooper, 2019\]](#) [\[Larsson, 2020\]](#)
5. Choosing between symbolic and soft reasoning module depending on the characteristics of problems  
[\[Kalouli+, 2020\]](#)

# The Fusion of Neural and Symbolic Approaches

## Approaches toward the fusion:

1. Emulating symbolic reasoning by embedding knowledge graphs, SAT problem and first-order logic
2. Introducing a similarity measure between symbols using distributional representations instead of symbols
3. Using neural networks to control the direction of the proof search
4. **Embedding neural networks in symbolic reasoning** [Cooper, 2019][Larsson, 2020]
5. Choosing between symbolic and soft reasoning module depending on the characteristics of problems

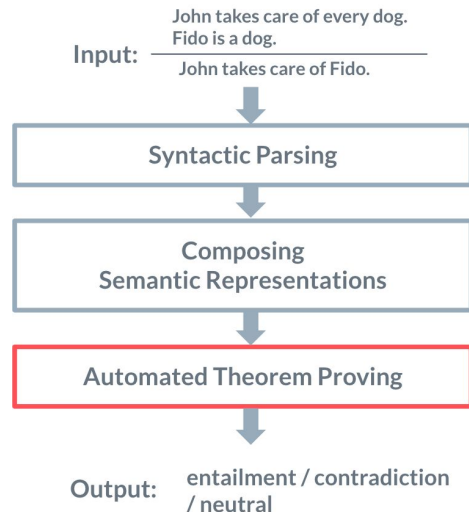


Neural DTS

# Neural DTS [Bekki, Tanaka, Takahashi 2022; 2023]

- ▶ Neural DTS embeds **Neural classifiers** in **DTS**
  - Logical framework : Dependent Type Semantics (DTS)  
[Bekki, 2014] [Bekki and Mineshima, 2017]
  - Names and predicates: Neural classifiers
- ▶ Neural DTS works with a syntactic parser and a semantic component that returns a semantic representations of the DTS
  - Input : semantic representations
  - Output : entailment / contradiction / neutral

## Example of Symbolic Pipeline



# Neural DTS [Bekki, Tanaka, Takahashi 2022; 2023]

- ▷ Neural DTS embeds Neural classifiers in DTS
  - **Logical framework : Dependent Type Semantics (DTS)**  
[Bekki, 2014] [Bekki and Mineshima, 2017]
  - Names and Predicates: Neural classifiers
- ▷ Neural DTS framework based on Martin-Löf type theory (MLTT) [Martin-Löf, 1984]
  - Anaphora and presuppositions as proof constructions
  - Implementations of type checker and prover [Bekki and Satoh, 2015] [Daido and Bekki 2020]
- ▷ Provide semantic representations as types in DTS

# Example of Reasoning in Neural DTS

Ramen



Soba



## Situation:

- ▶ Suppose you have heard of the names of two Japanese foods, "Ramen" and "Soba".
- ▶ You also know that the "Ramen" is a kind of noodle, and every noodle in Japan is cheap, but you are not sure whether "Soba" is also a noodle (although they have much in common).
- ▶ Then, your question is:
  - "Is Soba cheap?" given that "Every noodle is cheap."



# Example of Reasoning in Neural DTS

Input :      Every noodle is cheap       $\vdash$       Soba is cheap

# Example of Reasoning in Neural DTS

Input : Every noodle is cheap

$\vdash$

Soba is cheap



Parsing and Semantic Composition

Semantic  
Representations :

$c:(x:e) \rightarrow \text{isNoodle}(x) \rightarrow \text{isCheap}(x)$

$\vdash$

$c(\text{soba})(s) : \text{isCheap}(\text{soba})$

Semantic Representation

$$\begin{array}{c}
 \text{(CON)} \text{—————} \\
 \text{(}\Pi\text{E)} \frac{c:(x:e) \rightarrow \text{isNoodle}(x) \rightarrow \text{isCheap}(x) \quad \text{soba}:e}{c(\text{soba}): \text{isNoodle}(\text{soba}) \rightarrow \text{isCheap}(\text{soba})} \\
 \text{(}\Pi\text{E)} \frac{c(\text{soba}): \text{isNoodle}(\text{soba}) \rightarrow \text{isCheap}(\text{soba}) \quad s: \text{isNoodle}(\text{soba})}{c(\text{soba})(s): \text{isCheap}(\text{soba})}
 \end{array}$$

# Example of Reasoning in Neural DTS

Input : Every noodle is cheap

$\vdash$

Soba is cheap

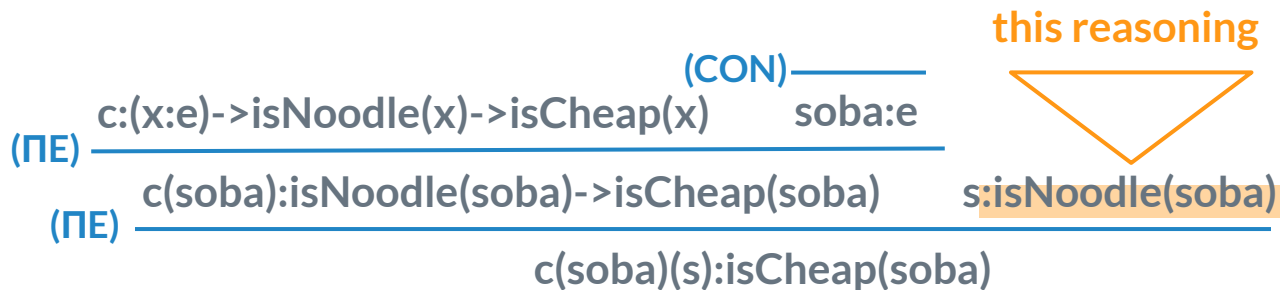


Parsing and Semantic Composition

Semantic  
Representations :

$c:(x:e) \rightarrow \text{isNoodle}(x) \rightarrow \text{isCheap}(x) \vdash$

$c(\text{soba})(s):\text{isCheap}(\text{soba})$



It is not contained in the input

# Example of Reasoning in Neural DTS

## Knowledge Database of the System

isFood(ramen)	isFood(soba)
inBowl(ramen)	inBowl(soba)
isStapleFood(ramen)	isStapleFood(soba)
withSoup(ramen)	withSoup(soba)
isNoodle(ramen)	

👉 missing isNoodle(soba)

# Example of Reasoning in Neural DTS

## Knowledge Database of the System

isFood(ramen)	isFood(soba)
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withSoup(ramen)	withSoup(soba)
isNoodle(ramen)	

👉 missing isNoodle(soba)



We may expect that the system can guess that soba is also a noodle  
This kind of abductive inference is one of motivations that neural DTS was developed

# Example of Reasoning in Neural DTS

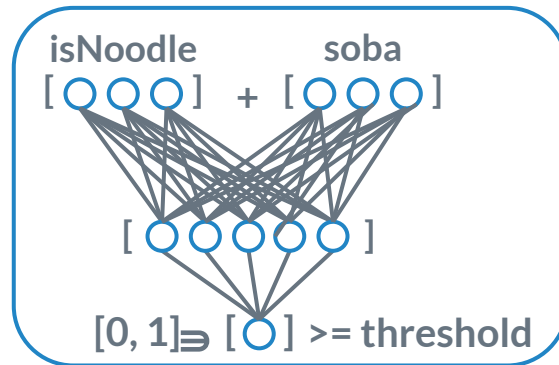
## Knowledge Database of the System

isFood(ramen)      isFood(soba)  
inBowl(ramen)      inBowl(soba)  
isStapleFood(ramen) isStapleFood(soba)  
withSoup(ramen)    withSoup(soba)  
isNoodle(ramen)

train



## Neural Classifier



true / false

# Example of Reasoning in Neural DTS

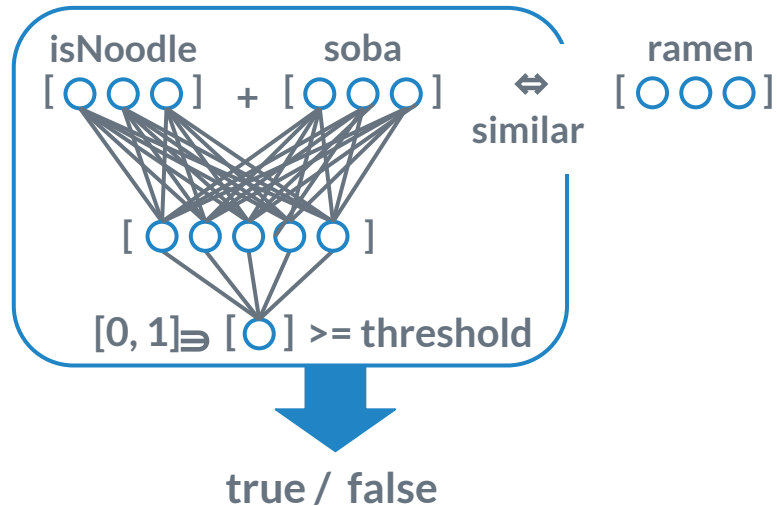
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isFood(ramen)      isFood(soba)  
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isNoodle(ramen)

train



## Neural Classifier



# Example of Reasoning in Neural DTS

Input : Every noodle is cheap

$\vdash$

Soba is cheap



Parsing and Semantic Composition

Semantic  
Representations :

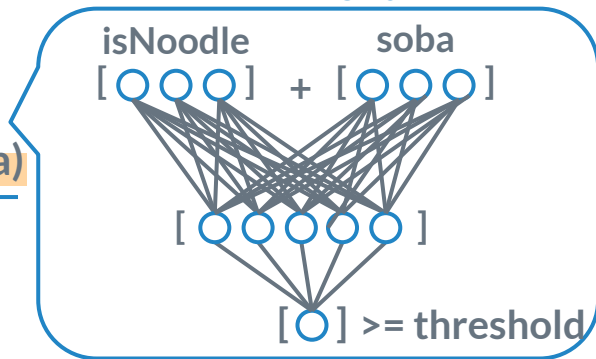
$c:(x:e) \rightarrow \text{noodle}(x) \rightarrow \text{cheap}(x)$

$\vdash$

$c(\text{soba})(s):\text{cheap}(\text{soba})$

Call for Neural Classifier  
in Proof-Search

(CON) ————  
 $\frac{c:(x:e) \rightarrow \text{isNoodle}(x) \rightarrow \text{isCheap}(x) \quad \text{soba}:e}{c(\text{soba}):\text{isNoodle}(\text{soba}) \rightarrow \text{isCheap}(\text{soba})}$   
 (PE) —————  
 $\frac{c(\text{soba}):\text{isNoodle}(\text{soba}) \rightarrow \text{isCheap}(\text{soba}) \quad \text{s}:\text{isNoodle}(\text{soba})}{c(\text{soba})(s):\text{isCheap}(\text{soba})}$





# Example of Reasoning in Symbolic Approaches

Input : Every noodle is cheap

$\vdash$

Soba is cheap



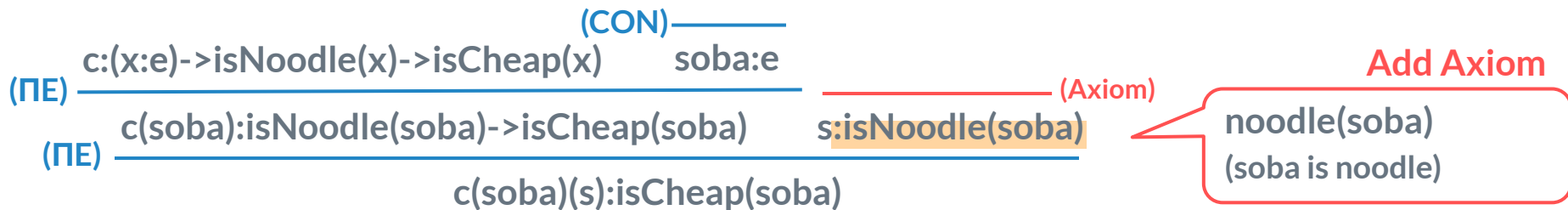
Parsing and Semantic Composition

Semantic  
Representations :

$c:(x:e) \rightarrow \text{noodle}(x) \rightarrow \text{cheap}(x)$

$\vdash$

$c(\text{soba})(s):\text{cheap}(\text{soba})$



# Example of Reasoning in Symbolic Approaches

Input : Every noodle is cheap

$\vdash$

Soba is cheap



Parsing and Semantic Composition **Add Axiom**

Semantic  
Representations :

$c:(x:e) \rightarrow \text{noodle}(x) \rightarrow \text{cheap}(x)$

$\vdash$

$c(\text{soba})$

food(ramen): true  
 food(soba): true  
 inBowl(ramen): true  
 inBowl(soba): true  
 stapleFood(ramen): true  
 stapleFood(soba): true  
 withSoup(ramen): true  
 withSoup(soba): true  
 noodle(ramen): true

(CON) —————  
 $c:(x:e) \rightarrow \text{isNoodle}(x) \rightarrow \text{isCheap}(x)$        $\text{soba}:e$   
 (ΠE) —————  
 $c(\text{soba}):\text{isNoodle}(\text{soba}) \rightarrow \text{isCheap}(\text{soba})$        $s:\text{isNoodle}(\text{soba})$  ×  
 (ΠE) —————  
 $c(\text{soba})(s):\text{isCheap}(\text{soba})$

# Example of Reasoning in Neural DTS

Every noodle is cheap

$\vdash$

Soba is cheap

When the system need the knowledge that is not contained in the input (= Soba is noodle)

▷ Symbolic approaches

- We need to explicitly add “Soba is noodle” as **an axiom**

▷ Neural DTS

- **Neural classifiers** are called in proof-search
- Neural classifiers are expected to learn Soba is similar to ramen which is a noodle even if corpus does not contain “Soba is noodle”
- When “Soba is noodle” is fed to them, they return true

3.

# Implementation of Neural DTS

# Implementation of Neural DTS

- ▷ Neural DTS
  - Learning algorithm is proposed by Bekki et al. (2022)  
and the mathematical background is presented by Bekki et al. (2023)
  - The implementation of Neural DTS has been a remaining issue
- ▷ In this study
  - Implemented **neural classifier**
  - Implemented partial **proof-search algorithm** that integrates neural classifier

# How is our implementation partial?

- ▶ The type theory of which we implemented **proof-search algorithm** is fragment of DTS
  - Our type theory only implemented non-dependent conjunction, disjunction and negation
  - However, in order to cover the semantic phenomena of natural language, dependent types play essential roles
- ▶ Our implementation is a partial implementation of neural DTS; The aim of this study is to show **how we can fuse neural classifiers and type system**

# Integrating Neural Classifiers with Proof-search

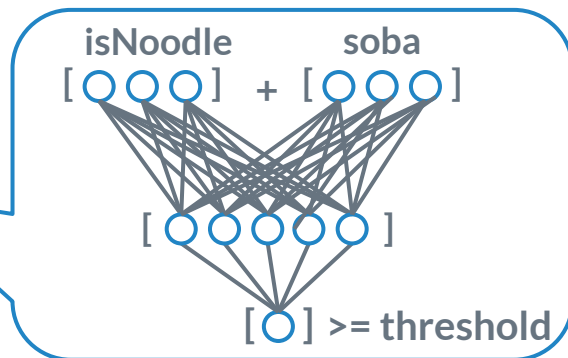
Atomic proposition

= "the name satisfies the predicate" ?

= **Predicate**(Name)

- ▷ **Calls Neural Classifier** when the proof-search algorithm encounters Predicate(Name)

w:**isNoodle**(soba)



# Integrating Neural Classifiers with Proof-search

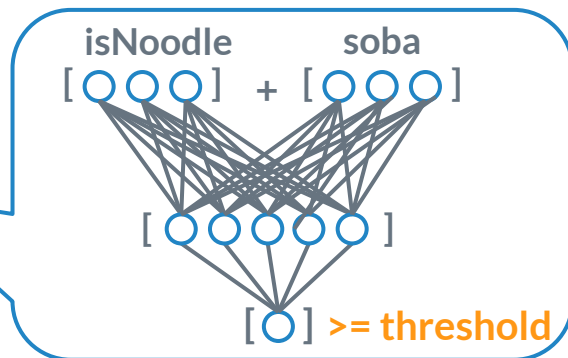
▷ Neural Classifier's Score of  $\text{Predicate}(\text{Name}) \geq \text{threshold}$

→ a term  $w$  has the type  $\text{Predicate}(\text{Name})$

=  $\text{Predicate}(\text{Name})$  has the proof  $w$

= the name satisfies the predicate

$w:\text{isNoodle}(\text{soba})$

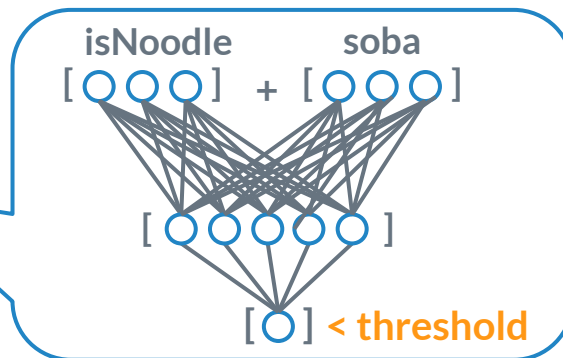




# Integrating Neural Classifiers with Proof-search

- ▷ Neural Classifier's Score of  $\text{Predicate}(\text{Name}) < \text{threshold}$ 
  - proposition **Predicate(Name)** does not have proof
  - = the name doesn't satisfy the predicate

~~w:isNoodle(soba)~~

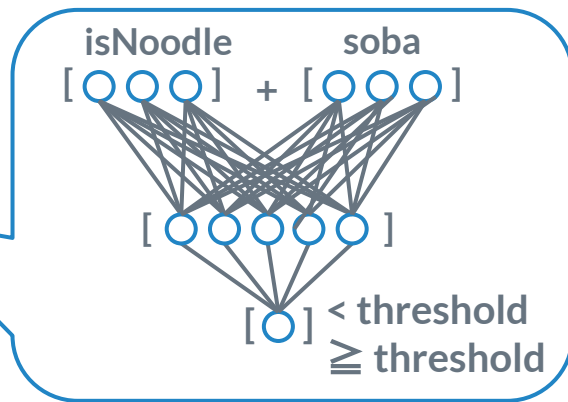


# Integrating Neural Classifiers with Proof-search

Negation  $\neg A$

- ▷ Negation of proposition  $A$  : expressed as  $A \rightarrow \perp$
- ▷ **Score of Predicate(Name)**  $<$  threshold  
→ negative evidence = assumed to be of type
- ▷ **Score of Predicate(Name)**  $\geq$  threshold  
→ negative evidence = not to be of type

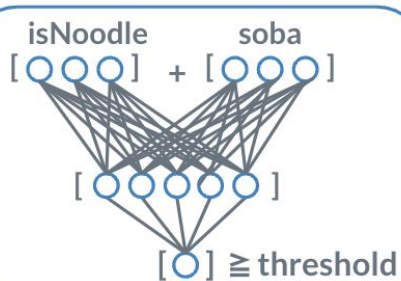
**w:**  $\neg \text{isNoodle}(\text{soba})$



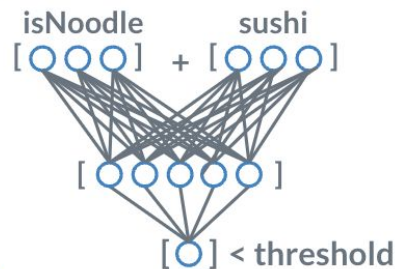
# Integrating Neural Classifiers with Proof-search

Conjunction  $A \wedge B$

- ▷ Treat as Pair type (non-dependent)
  - Pair type of  $A \times B$  is the type whose terms are ordered pairs  $(m, n)$  with  $m : A$  and  $n : B$
- ▷ If  $A$  has a proof and  $B$  has a proof  $\rightarrow A \wedge B$  has a proof



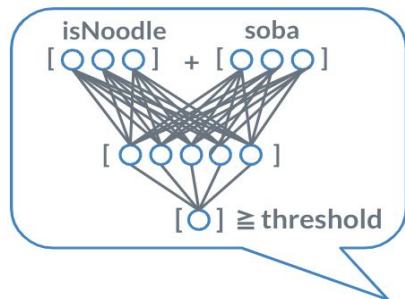
$$\begin{array}{c} (\wedge I) \quad \frac{w+ : \text{isNoodle}(\text{soba}) \quad w- : \neg \text{isNoodle}(\text{sushi})}{(w+, w-) : \text{isNoodle}(\text{soba}) \wedge \neg \text{isNoodle}(\text{sushi})} \end{array}$$



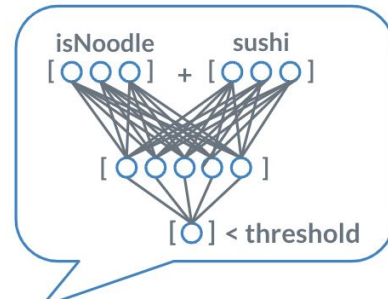
# Integrating Neural Classifiers with Proof-search

## Disjunction $A \vee B$

- ▷ Treat as Sum type
  - Sum type of  $A + B$  is the type whose terms are either terms  $m : A$  or terms  $n : B$
- ▷ If  $A$  has a proof or  $B$  has a proof  $\rightarrow A \vee B$  has a proof



$(\wedge I) \frac{w+ : \text{isNoodle}(\text{soba})}{(w+, w-) : \text{isNoodle}(\text{soba}) \vee \neg \text{isNoodle}(\text{sushi})}$



$(\wedge I) \frac{w- : \neg \text{isNoodle}(\text{sushi})}{(w+, w-) : \text{isNoodle}(\text{soba}) \vee \neg \text{isNoodle}(\text{sushi})}$

# 4. Experiments

# Experiments

Issue : whether it was actually possible to fusion neural classifier and type system

→ Conducted two experiments to confirm this

## ① Check the accuracy

- ▶ The accuracy in atomic propositions is sufficient for future expansion in DTS

## ② Check the fusions of Neural Network and Logic

- ▶ Reason about complex propositions : Negation, Conjunction, Disjunction

# Application of this proof-search algorithm

Apply this proof-search algorithm

→ Predicts whether a simple relation consisting of one predicate and two entities is valid

ex) a dog has a tail

- Follow [Richard Socher et al. 2013]
- Can we predict a relation that does not appear in the training data?

ex) dog has a tail => puppy has a tail

# Hyper Parameters

- ▷ Loss function : `binaryCrossEntropyLoss`  
Activation function : `Sigmoid`
- ▷ Hidden layer : 2 layers
- ▷ Threshold = 0.5
- ▷ Learning rate =  $5e-2$
- ▷ Use `hasktorch`



# Experimental Data

Yago4 <https://yago-knowledge.org/downloads/yago-4>

- ▷ Large knowledge base
- ▷ About people, cities, countries, films, and organizations
- ▷ Triplet : (entity1, relation, entity2)  
Pair : (entity, class)
- ▷ 13,000,788 entities, 110 relations, 249 classes

# Experimental Data

Negative data is generated from the same classes and relations as positive data

- ▷ Randomly generated triplets that are not included in the positive data
- ▷ Positive data and negative data have the same size

# Experimental Data

- ▷ Use triplet (class1, relation, class2)
  - With extracting relationships between classes from Yago4
- ▷ Neural DTS need data on entity and must contain enough relationships with the predicate for each individual entity
- ▷ However such data could not be constructed in Yago4
  - so we created triplets using classes instead of entities
- ▷ It sufficient as a preliminary experiment

# Example Experimental Data

(Windows\_10, copyrightHolder, Microsoft)

- ▷ Classes of Windows\_10 : [CreativeWork, Product, Thing]

Classes of Microsoft : [Organization, Thing]

→ [CreativeWork, copyrightHolder, Organization],  
[Product, copyrightHolder, Organization] etc.

# Experiment ①

- ▷ Binary classification to correctly predict relationships on triplets
- ▷ Multi-Layer Perceptron (MLP) & Neural Tensor Network(NTN)
- ▷ Epochs = 100
- ▷ Scores (on atomic propositions)

	accuracy	precision	recall	f1
MLP	0.9614	0.9517	0.9722	0.9618
NTN	0.9801	0.9710	0.9898	0.9803

- ▷ The accuracy is sufficient for future expansion in DTS

# Examples of Successful Inference

- ▷ [Photograph,copyrightHolder,Person] : valid -> True
- ▷ [Chapter,copyrightHolder,Pond] : invalid -> False
  
- ▷ Not included in training dataset
  - Knowledge acquired by leaning
- ▷ Unknown atomic propositions

# Examples of Failure Inference

- ▷ [MusicPlaylist, children, Person] : invalid -> True
- ▷ [Book, hasPart, Chapter] : valid -> False
- ▷ These relations have broader meaning than "copyrightHolder"  
→ Predictions are likely to fail

# Experiment ②

- ▷ Check whether this algorithm is able to infer correctly on its complex propositions
  - Negation, Conjunction, and Disjunction
- ▷ Negation
  - When the atomic proposition was true, all negative propositions were false (Vice versa)
- ▷ Conjunction, Disjunction
  - Use the inference results of each atomic proposition
    - Always correct when the atomic propositions are correct



# Discussion ①

- ▷ End-to-end NN : **Not relevant** between atomic and other propositions
  - An atomic proposition outputs False vs. the negation **may be** also False
  - Two atomic propositions are True vs. the conjunction **may be** False

→ These relationships are logically incorrect

- ▷ Neural Type Checker : **Relevant**
  - An atomic proposition outputs False -> the negation is **always** True
  - Two atomic propositions are True -> the conjunction is **always** True

# Discussion ②

- ▷ Symbolic reasoning : Treat external knowledge as a non logical axiom  
Neural DTS : Can **learn** and infer things not included in the original knowledge
- ▷ Improving the accuracy of the prediction of atomic propositions  
→ Even more complex propositions can be predicted correctly

# 5. Conclusion

# Conclusion

- ▶ Neural DTS
  - Embedding DNN in DTS → explainability & calculate similarity
- ▶ In this study
  - Partial implementation of Neural DTS
  - Neural classifier is called at atomic proposition
  - Experiments
    - Relation consisting of a predicate and two names
    - Negation, conjunction, and disjunction

# Future Work

- ▷ Support more complex reasoning
  - Now : only negation, conjunction, and disjunction
  - Next : implement universal & existential quantification
    - Eventually accommodate complex sentence structures  
→ take more advantage of symbolic inference
    - DTS is already implemented; use that implementation
- ▷ Implement Neural DTS
  - With an automatic theorem prover

# Thank you

This research is supported by JST CREST project

"AI systems that can explain in language based on knowledge and reasoning"

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