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Congestion Control Using FEC for Conversational Media  
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Abstract

This document describes a new mechanism for conversational multimedia flows. The proposed mechanism uses Forward Error Correction (FEC) encoded RTP packets (redundant packets) along side the media packets to probe for available network capacity. A straightforward interpretation is, the sending endpoint increases the sending rate by keeping the media rate constant but increases the amount of FEC. If no losses and discards occur, the endpoint can then increase the media rate. If losses occur, the redundant FEC packets help in recovering the lost packets. Consequently, the endpoint can vary the FEC bit rate to conservatively (by a small amount) or aggressively (by a large amount) probe for available network capacity.

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## 1. Introduction

The Real-time Transport Protocol (RTP) [RFC3550] is widely used in voice telephony and video conferencing systems. Many of these systems run over best-effort UDP/IP networks, and are required to implement congestion to adapt the transmission rate of the RTP streams to match the available network capacity, while maintaining the user-experience [I-D.ietf-rmcat-cc-requirements]. The circuit breakers [I-D.ietf-avtcore-rtp-circuit-breakers] describe a minimal set of conditions when an RTP stream is causing severe congestion and should cease transmission. Consequently, the congestion control algorithm are expected to avoid triggering these conditions.

Conversational multimedia systems use Negative Acknowledgment (NACK), Forward Error Correction (FEC), and Reference Picture Selection (RPS) to protect against packet loss. These are used in addition to the codec-dependent resilience methods (for e.g., full intra-refresh and error-concealment). In this way, the multimedia system is anyway trading off part of the sending rate for redundancy or retransmissions to reduce the effects of packet loss. An endpoint often prefers using FEC in high latency networks where

retransmissions may arrive later than the playout time of the packet (due to the size of the dejitter buffer) [Holmer13]. Therefore, the endpoint needs to adapt the transmission rate to best fit the changing network capacity and the amount of redundancy based on the observed/expected loss rate and network latency. Figure 1 shows the applicability of different error-resilience schemes based on the end-to-end latency and the observed packet loss [Devadoss08].

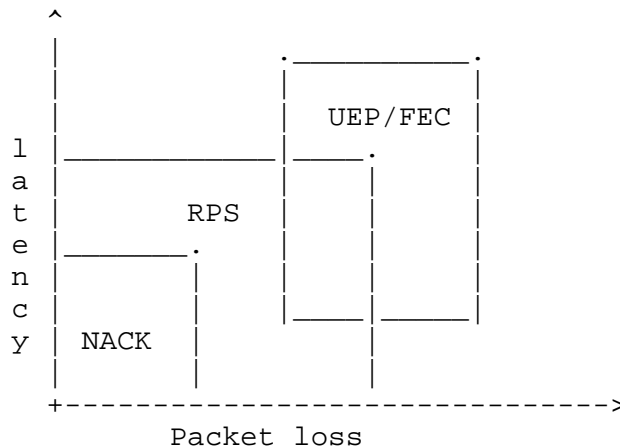


Figure 1: Applicability of Error Resilience Schemes based on the network delay and observed packet loss

In this document, we describe the use of FEC packets not only for error-resilience but also as a probing mechanism for congestion control (ramping up the transmission rate).

## 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14, [RFC2119] and indicate requirement levels for compliant implementations.

The terminology defined in RTP [RFC3550], RTP Profile for Audio and Video Conferences with Minimal Control [RFC3551], RTCP Extended Report (XR) [RFC3611], Extended RTP Profile for RTCP-based Feedback (RTP/AVPF) [RFC4585], RTP Retransmission Payload Format [RFC4588], Forward Error Correction (FEC) Framework [RFC6363], and Support for Reduced-Size RTCP [RFC5506] apply.

### 3. Concept: FEC for Congestion Control

FEC is one method for providing error-resilience, it improves reliability by adding redundant data to the primary media flow, which is used by receiver to recover packets that have been lost due to congestion or bit-errors. The congestion control algorithm on the other hand aims at maximizing the network path utilization, but risks over-estimating the available end-to-end network capacity leading to congestion (and therefore losses).

The main idea behind using FEC for congestion control is as follows: the sending endpoint chooses a high FEC rate to aggressively probe for available capacity and conversely chooses a low FEC rate to conservatively probe for available capacity. During the ramp up, if a packet is lost and the FEC packet arrives in time for decoding, the receiver is able to recover the lost packet; if no packet is lost, the sender is able to increase the media encoding rate by swapping out a part of the FEC rate. This method can be especially useful when the sending rate is close to the bottleneck link rate: by choosing an appropriate FEC rate, the endpoint is able to probe for available capacity without changing the target media rate and therefore not affecting the user-experience. Therefore, the congestion control algorithm is always able to probe for available capacity, as improved reliability compensates for possible errors resulting from overuse (i.e., increase in observed latency and/or losses).

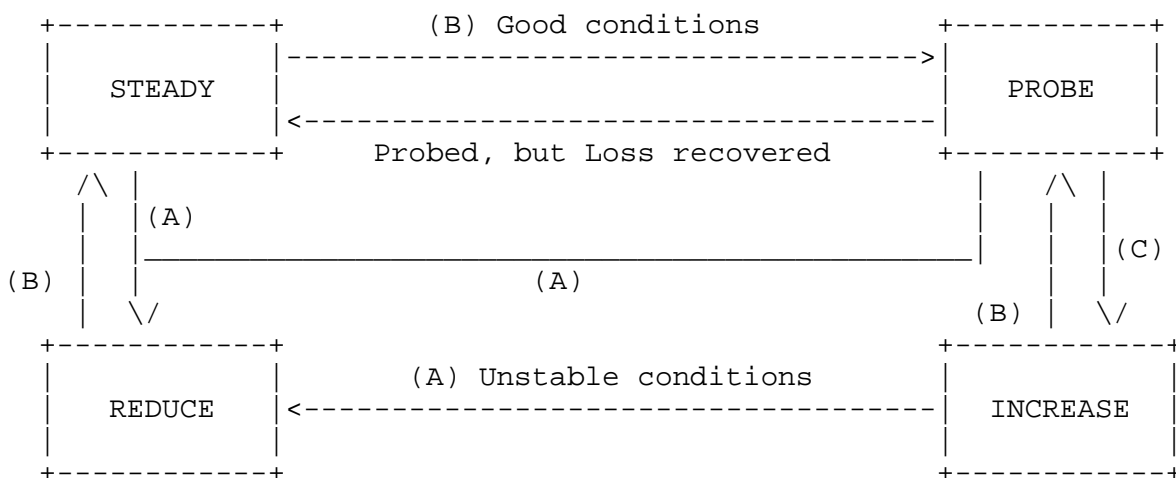


Figure 2: State machine of a Congestion Control enabling FEC.

### 3.1. States

Figure 2 illustrates the the state machine of a congestion control algorithm incorporating FEC for probing. The state-machine includes 4 states: STEADY, PROBE, INCREASE, and REDUCE.

- o STEADY state: The congestion control keeps the same sending rate and no additional FEC packets are generated for probing. This is a transient state, after which the congestion control attempts to increase the sending rate.
- o REDUCE state: The congestion control reduces the sending rate based on the observed congestion cues, and generated no additional FEC packets than the minimum required for error-resilience. If in subsequent reports the conditions improve, the congestion control can directly transition to the probe state.
- o PROBE state: The congestion control observes no congestion (i.e., the transmission rate should be increased). The endpoint maintains the same target media bit rate, and instead increases the amount of FEC.
- o INCREASE state: Depending on the congestion feedback, i.e., if no congestion is observed, the media transmission rate can be increased while maintaining minimal amount of FEC for error protection. If packets are lost, but the lost packets are recovered by the FEC packets, the congestion control can keep the same media bit rate and reduce the amount of FEC (compared to the previous PROBE state). If congestion is observed, the congestion control can transition to the REDUCE state and decrease the sending rate.

### 3.2. FEC Scheme

[RFC6363] describes a framework for using Forward Error Correction (FEC) codes with RTP and allows any FEC code to be used with the framework. For this proposal, the FEC packets are created by XORing RTP media packets, the resulting redundant RTP packets are encoded using the scheme defined in [RFC5109].

The endpoint MAY use a single-frame FEC or a multi-frame FEC for protecting the primary RTP stream. A single-frame FEC protects against a single packet loss and fails when burst loss occurs. Using multi-frame FEC helps mitigate these issues at the cost of higher overhead and latency in recovering lost packets. [Holmer13] shows examples of using a single- and multi-frame FEC.

The receiving endpoint may report the post-repair loss (or residual loss) using either the report block defined in [RFC5725] (Run-length encoding of packets repaired) or in [I-D.ietf-xrblock-rtcp-xr-post-repair-loss-count] (packet count of repaired packets).

### 3.3. Applicability to other RMCAT Schemes

[Open issue: The current implementation is delay based and is documented in [Nagy14]. However, we would like to generalize the concept and apply it to different RMCAT algorithms for e.g., Google's Congestion Control algorithm [I-D.alvestrand-rmcat-congestion], SCReAM [I-D.johansson-rmcat-scream-cc], etc.]

## 4. Security Considerations

The security considerations of [RFC3550], RTP/AVPF profile for rapid RTCP feedback [RFC4585], circuit breaker [I-D.ietf-avtcore-rtp-circuit-breakers], and Generic Forward Error Correction [RFC5109] apply.

If non-authenticated RTCP reports are used, an on-path attacker can send forged RTCP feedback packets that can disrupt the operation of the underlying congestion control. Additionally, the forged packets can either indicate no packet loss causing the congestion control to ramp-up quickly, or indicate high packet loss or RTT causing the circuit breaker to trigger.

## 5. IANA Considerations

There are no IANA impacts in this memo.

## 6. Acknowledgements

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## Appendix A. Simulations

This document is based on the results published in [Nagy14]. See the paper for ns-2 and testbed results; more results based on the scenarios listed in [I-D.sarker-rmcat-eval-test] will be published shortly.

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