# Safety and Liveness

# Safety

Something bad never happens

# Liveness

Something good eventually happens

## Safety vs Liveness

Using synchronization

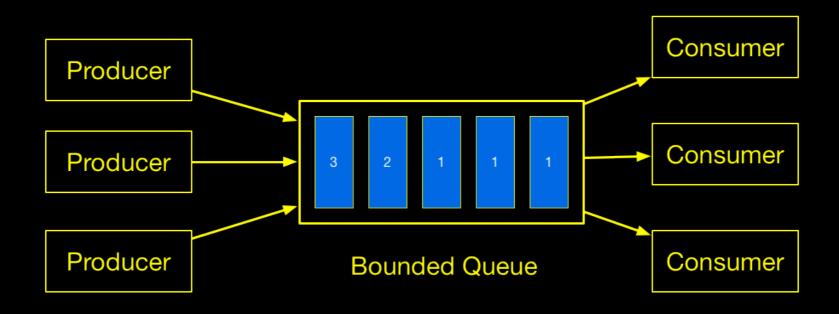
- To achieve safety
- But can prevent liveness
- And can reduce throughput (performance)

### Liveness Issues

- 1. Starvation
- 2. Livelock
- 3. Deadlock
- 4. Poor responsiveness

#### Starvation

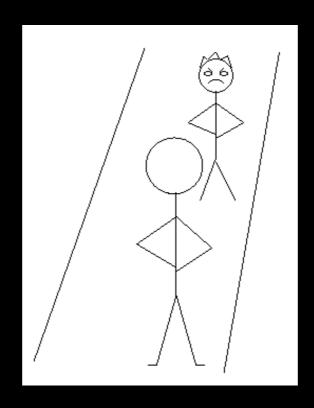
Starvation describes a situation where a thread is unable to gain regular access to shared resources and is unable to make progress.



#### Livelock

Participants continuously change their state in response to the action of another thread.

- 1. A move to his left to let B pass
- 2. B move to his right to let A pass



## Poor Responsiveness

- Common in GUI applications
- Occurs when user interface freeze

#### Solution:

Long running tasks should be executed by background threads

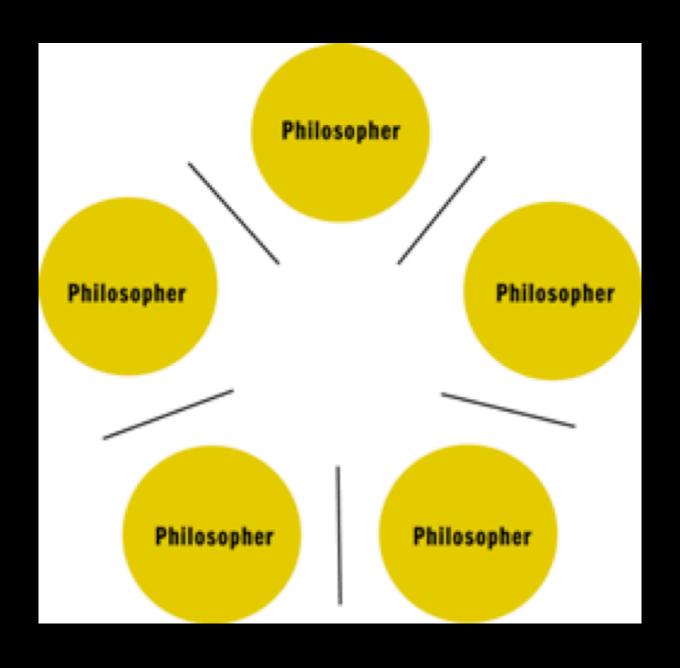
#### Deadlock

Deadlock arises when several participants are waiting on each other to reach a specific state to be able to progress.

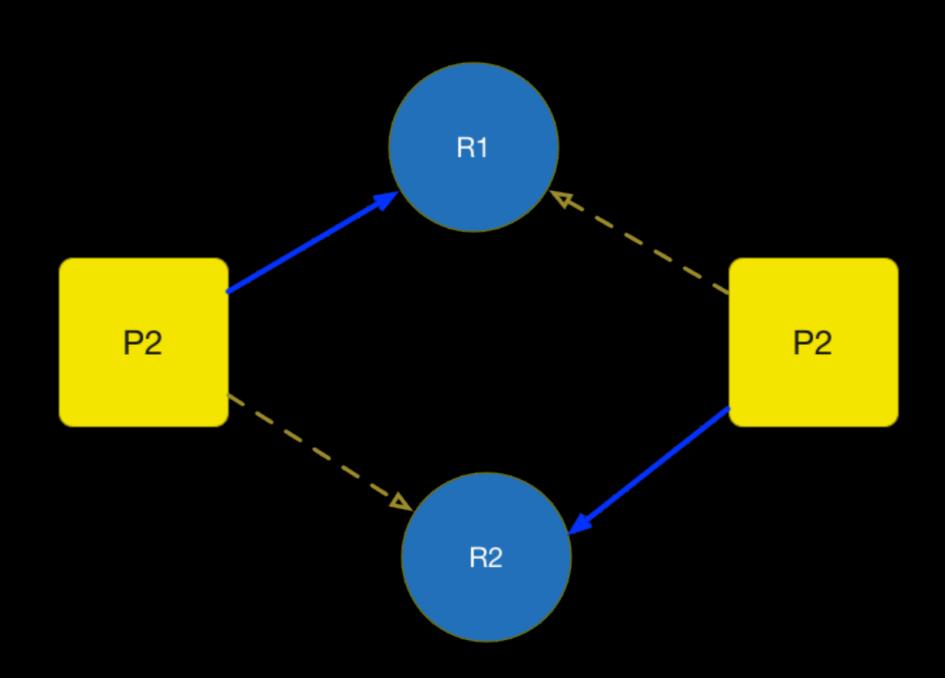
As none of them can progress without some other participant to reach a certain state.

## Dining Philosophers

Eating requires 2 chopsticks



# Lock-ordering

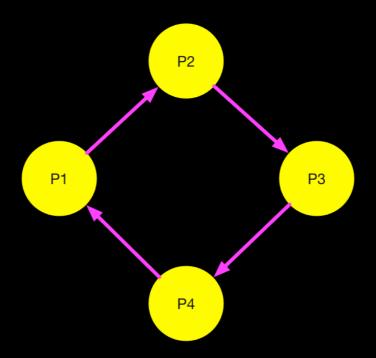


#### Deadlock occurs if all hold

- 1. **Mutual Exclusion:** at least one process exclusively uses a resource
- 2. **Hold and wait:** a process holds at least one resources and needs more, which are held by others
- 3. **No preemption:** resources are released only in voluntary manner
- 4. Circular wait: P1 → P2 → ... → PN → P1

## Deadlock Analysis

- Processes are nodes
- Edges are resource relations
- Cyclic => Deadlock



## Dynamic Lock-ordering

```
public void transferMoney(Account fromAcc, Account toAcc, amount) {
    synchronized (fromAcc) {
        synchronized (toAcc) {
            fromAccount.debit(amount);
            toAccount.credit(amount);
        }
    }
}
```

Can deadlock occur?

## Deadlock happens if

A transfer to B and B transfer to A at the same time.

- transferMoney(A, B, \_);
- transferMoney(B, A, \_);

#### Solution 1

```
public void transferMoney(Account fromAcc, Account toAcc, amount) {
    synchronized(this) {
        synchronized (fromAcc) {
            fromAccount.debit(amount);
            toAccount.credit(amount);
        }
      }
}
```

Condition 2 & 4 are not held. But new problem ???

#### Solution 2

- Ordering lock operations
- Account number is comparable
- No two accounts are equal

## Solution 2 (cont)

```
if(fromAcc < toAcc) {</pre>
  synchronized(fromAcc){
    synchronized(toAcc) {
}else {
  synchronized(toAcc) {
    synchronized(fromAcc) {
```

# Deadlock is not obvious

```
class Vehicle {
  Date beginTim, endTime;
 synchronized getBeginTime(){
   return beginTime;
 synchronized enter(){
    beginTime = Date();
    station.notifyParking(this);
  synchronized leave(){
    endTime = Date();
    station.notifyLeaving(this)
```

```
class Station {
 List[Vehicle] vehicles;
 synchronized notifyParking(Vehicle v){
   vehicles.add(v);
 synchronized notifyLeaving(Vehicle v){
   vehicles.remove(v);
 synchronized scans() {
    for(v in vehicles) {
      if(Date() - v.time > 1 day) {
        //Issue fine tickets
```

#### Deadlock can occur if

Call leave and scans at the same time

- leave(): first lock the vehicle, then lock station
- scans(): first lock station, then lock vehicles

# Deadlock is even harder to detect with multiple coopering objects

## Open Call

Calling a method with no locks held is called an open call

```
class Vehicle {
  Date beginTime, endTime;
  synchronized getBeginTime(){
    return beginTime;
  enter(){
    synchronized(this){
       beginTime = Date();
    station.notifyParking(this);
  leave(){
    synchronized(this){
      endTime = Date();
    station.notifyLeaving(this)
```

```
class Station {
  List[Vehicle] vehicles;
  synchronized notifyParking(Vehicle v){
   vehicles.add(v);
  synchronized notifyLeaving(Vehicle v){
    vehicles.remove(v);
  scans() {
   now = Date();
    synchronized(this){
        current = vehicles.copy();
    for(v in current) {
      if(now - v.time > 1 day) {
        //Issue fine tickets
```

## Dealing with deadlock

- Ignore
- Prevent
- Detect & Recover

#### Ignore deadlock

Allow deadlock happens and ignore if

- Deadlock is rare
- If happens, consequence is not too severe

#### Prevent deadlock

Prevent deadlock by assuring at least 1 of 4 condition does not hold

#### Detect and recover

- Database Management System (DBMS)
- Allows deadlock happen then
- Detects deadlock
- Terminate one or all
- Remained processes can execute

## Deadlock practices

- 1. Uses single lock if possible
- 2. When has to use multiple locks
  - Minimize the set of lock
  - Ordering the set of lock
- 3. Open call methods

#### Summary

- 1. Deadlock is one of the biggest issues of concurrency
- 2. Deadlock is not obvious
- 3. Four conditions of deadlock