

Comparing Hitting Talent Accross Different Baseball Leagues

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1 Comparing Leagues

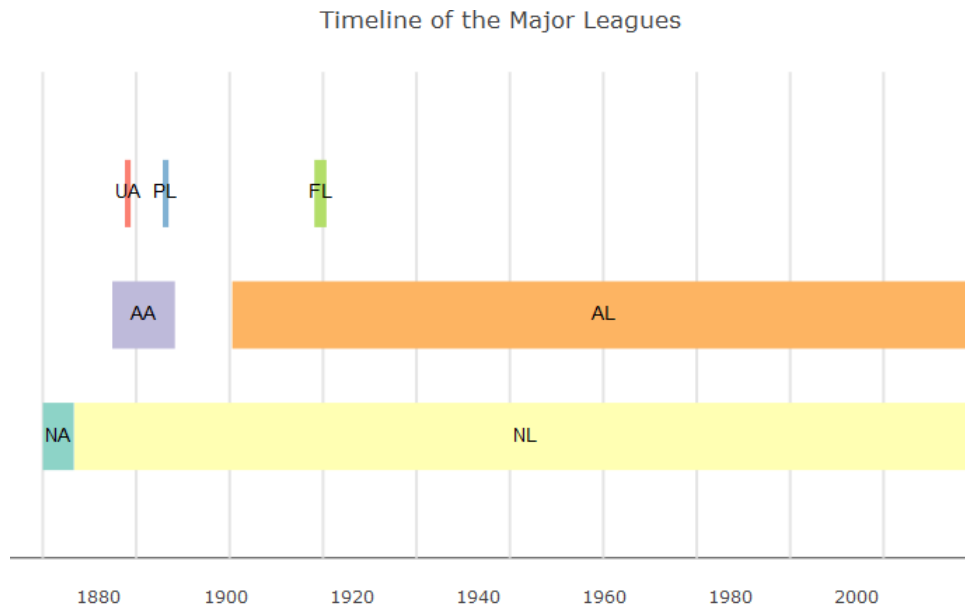


Figure 1: A rough timeline for the seven leagues considered as the Major Leagues.

We explore the prospect of comparing hitting talent accross diffent leagues using the Lahman database, available in the `Lahman` package in R. We will first try to recreate the findings of Richard Cramer first published in the 1980 *Baseball Research Journal* where he showed that average batting skill improved over the years by comparing players who played in the same league accross consecutive years. This article can be found at

<https://ourgame.mlblogs.com/average-batting-skill-through-major-league-history-landmarks-of-sabermetrics-part-i-bb5849adae0b>

We will treat the American and National Leagues (AL and NL) as two different leagues and we can compare the two leagues to each other. We can also use the same method to compare different seasons of the same league. When we model *OPS* for a particular player in a particular league, our model will only use data from a span of 2 years, because we want to limit ourselves to the moderate assumption that a hitter's ability does not change significantly between two consecutive seasons. This will allow us to compare consecutive seasons directly. Indirect comparisons will be based on different models.

In each model, we want to determine two test statistics, one to represent the differences in two different league effects on *OPS* and one to represent the differences in average hitter talent. For the purposes of

discussion, we denote our players by $i = 1, 2, \dots, n$ and leagues by $j = 1, 2, \dots, m$. Each league has its own roster of players. We denote the fact that player i is played in league j by $i \in L_j$. We let n_j denote the number of players in league j .

2 The OPS Distribution

OPS stands for on base plus slugging percentage. It is defined as

$$OPS := \frac{H + BB + HBP}{AB + BB + HBP + SF} + \frac{TB}{AB}.$$

Just as its name suggests, this is on base percentage (*OBP*) plus slugging percentage (*SLUG*). We refer to any plate appearance counted as an at-bat, base on balls, hit by pitch, or sacrifice fly as a batter's attempt. Sacrifice bunts do not count as batter's attempts. Let n equal the number of attempts, so $n = AB + BB + HBP + SF$. Given a batter playing a season in a given league, we define the following parameters: p_w equals the probability that a single batter's attempt results in a walk or a hit by pitch, p_j ($j = 1, 2, 3, 4$) is the probability that a single batter's attempt results in a hit that advances the batter to the j th base, (p_1 is the probability the batter hits a single etc.), and $p_B = p_1 + p_2 + p_3 + p_4 + p_w$. We let p_0 denote the probability that a player's plate appearance results in an at bat but not a hit. This would include grounding out or reaching base by a fielding error. We have

$$p_s + p_w + p_0 + p_1 + p_2 + p_3 + p_4 = 1$$

so our model has six parameters, $\theta = (p_s, p_w, p_1, p_2, p_3, p_4)'$. These are readily estimated using the standard statistics for a hitter in a given season. For example, $\hat{p}_w = \frac{BB+HBP}{n}$, $\hat{p}_s = \frac{SF+SH}{n}$, etc. According the model,

$$\mathbb{E}[OBP] = \mathbb{E}\left[\frac{H + BB + HBP}{n}\right] = p_B$$

so $OBP = \hat{p}_B$ is an estimator of p_B . We also have

$$\text{Var}[OBP] = \frac{p_B(1 - p_B)}{n}.$$

We can model TB as a multinomial distribution, meaning a sum of n i.i.d. trials of A_j such that $P[A = j] = p_j$ for $j = 1, 2, 3, 4$ as defined above. Since

$$\mathbb{E}[A_j] = p_1 + 2p_2 + 3p_3 + 4p_4.$$

we get $TB = n\hat{p}_1 + 2n\hat{p}_2 + 3n\hat{p}_3 + 4n\hat{p}_4$ and

$$\mathbb{E}[TB] = np_1 + 2np_2 + 3np_3 + 4np_4.$$

Since $AB = n(1 - \hat{p}_w - \hat{p}_s)$, we find that

$$SLUG = \frac{n(\hat{p}_1 + 2\hat{p}_2 + 3\hat{p}_3 + 4\hat{p}_4)}{n(1 - \hat{p}_w - \hat{p}_s)} = \frac{\hat{p}_1 + 2\hat{p}_2 + 3\hat{p}_3 + 4\hat{p}_4}{1 - \hat{p}_w - \hat{p}_s}.$$

Putting everything together,

$$OPS = \hat{p}_w + \hat{p}_1 + \hat{p}_2 + \hat{p}_3 + \hat{p}_4 + \frac{\hat{p}_1 + 2\hat{p}_2 + 3\hat{p}_3 + 4\hat{p}_4}{1 - \hat{p}_w - \hat{p}_s}.$$

Using Taylor's theorem, we can show that

$$\mathbb{E}[OPS] \approx p_w + p_1 + p_2 + p_3 + p_4 + \frac{p_1 + 2p_2 + p_3 + p_4}{1 - p_w - p_s} \quad (1)$$

and

$$\text{Var}[OPS] \approx D' \text{Var}[\hat{\theta}] D, \quad (2)$$

where

$$D = \begin{bmatrix} \frac{\partial OPS}{\partial p_s} \\ \frac{\partial OPS}{\partial p_w} \\ \frac{\partial OPS}{\partial p_1} \\ \frac{\partial OPS}{\partial p_2} \\ \frac{\partial OPS}{\partial p_3} \\ \frac{\partial OPS}{\partial p_4} \end{bmatrix} = \begin{bmatrix} \frac{p_1+2p_2+3p_3+4p_4}{(1-p_w-p_s)^2} \\ 1 + \frac{p_1+2p_2+3p_3+4p_4}{(1-p_w-p_s)^2} \\ 1 + \frac{1}{1-p_w-p_s} \\ 1 + \frac{2}{1-p_w-p_s} \\ 1 + \frac{3}{1-p_w-p_s} \\ 1 + \frac{4}{1-p_w-p_s} \end{bmatrix}.$$

To understand $\text{Var}[\hat{\theta}]$, first observe that for one of our parameters p_i ,

$$\mathbb{E}[\hat{p}_i] = p_i, \quad \text{Var}[\hat{p}_i] = \frac{p_i(1-p_i)}{n}.$$

For two different parameters, p_i and p_k , we find their covariance by first defining B_{ij} as 1 if the event corresponding to i occurred at the j th at bat, and 0 otherwise. We define B_{kj} in basically the same way. Thus

$$\hat{p}_i = \frac{1}{n} \sum_{j=1}^n B_{ij}, \quad \hat{p}_k = \frac{1}{n} \sum_{j=1}^n B_{kj}.$$

Thus,

$$\begin{aligned} \text{Cov}[\hat{p}_i, \hat{p}_k] &= \mathbb{E}[\hat{p}_i \hat{p}_k] - \mathbb{E}[\hat{p}_i] \mathbb{E}[\hat{p}_k] = \frac{1}{n^2} \sum_{j=1}^n \sum_{l=1}^n \mathbb{E}[B_{ij} B_{kl}] - p_i p_k = \frac{1}{n^2} \sum_{1 \leq l \neq j \leq n} \mathbb{E}[B_{ij}] \mathbb{E}[B_{kl}] - p_i p_k \\ &= \frac{1}{n^2} \sum_{1 \leq l \neq j \leq n} p_i p_k - p_i p_k = \frac{n^2 - n}{n^2} p_i p_k - p_i p_k = -\frac{1}{n} p_i p_k \end{aligned}$$

We note that the third equality above is due to the fact that the events used to define p_i and p_k cannot happen simultaneously, and events corresponding to different plate appearances are assumed to be independent. Hence

$$\text{Var}[\hat{\theta}] = n^{-1} \begin{bmatrix} p_s(1-p_s) & -p_s p_w & -p_s p_1 & -p_s p_2 & -p_s p_3 & -p_s p_4 \\ & p_w(1-p_w) & -p_w p_1 & -p_w p_2 & -p_w p_3 & -p_w p_4 \\ & & p_1(1-p_1) & -p_1 p_2 & -p_1 p_3 & -p_1 p_4 \\ & & & p_2(1-p_2) & -p_2 p_3 & -p_2 p_4 \\ & & & & p_3(1-p_3) & -p_3 p_4 \\ & & & & & p_4(1-p_4) \end{bmatrix}$$

From (2), this suggests that $\text{Var}[OPS] \leq \frac{\sigma^2}{n}$ for some $\sigma^2 > 0$.

3 A Player and League Effects Model

Looking at the theoretical result in the previous section provides some insight about the variance for a linear model predicting OPS . The amount of variance for OPS under a given observation should be inversely related to the number of plate appearances. Thus we consider the model

$$OPS_{ij} = \mu + \rho_i + \lambda_{jk} + \varepsilon_{ijk} P A_{ij}^{-1/2}, \quad \varepsilon_{ijk} \sim N(0, \sigma^2). \quad (3)$$

Here, λ_{jk} is the league effect on OPS for league j in year k . The ρ_i denotes the effect of player i on OPS . One concern is that the player effect might also change over time, but for now we ignore this.

The additive model in (3) give us a natural way to quantify the the differences in league effects on *OPS* and the differences in average hitting ability between players of different leagues. First, suppose we would like to know if the the league effect on *OPS* in the j th league has a significant change after the k th season. The null hypothesis associated with this question is

$$H_0 : \lambda_{jk} = \lambda_{j(k+1)}$$

We test this with a t-statistic. We could also compare how easy or difficult it is for hitters in different leagues accross the same season. The null hypothesis related to this question is

$$H_0 : \lambda_{j_1 k} = \lambda_{j_2 k} = \dots = \lambda_{j_n k}.$$

This can be tested using an F-statistic. To do this we construct a matrix C , with $n - 1$ rows such that

$$C\hat{\beta} = (\lambda_{j_1 k} - \lambda_{j_2 k}, \lambda_{j_1 k} - \lambda_{j_3 k}, \dots, \lambda_{j_1 k} - \lambda_{j_n k})'$$

where $\hat{\beta}$ is the vector of parameter estimated from the model. The hypothesis is equivalent to

$$H_0 : C\beta = \mathbf{0}.$$

To address questions about how talented the hitters are in a given league, we define the average hitting talent in league j during season k as

$$\Theta_{jk} = \frac{1}{n} \sum_{i \in L_{jk}} p_i.$$

This is just an average of the player effects for those players belonging to league j in the k th season. If we want to know if hitting talent in league j has changed between two consecutive seasons, we can test the null hypothesis

$$H_0 : \Theta_{jk} = \Theta_{j,k+1}$$

using a t-statistic. The final question we might ask is if the average hitting talent was different between the different Major Leagues in a given season. The null hypothesis associated with this question is

$$H_0 : \Theta_{j_1 k} = \Theta_{j_2 k} = \dots = \Theta_{j_n k}.$$

Just as we did with league effects, this is easily tested with an F-statistic.

In the plot of hitting talent, during the seasons where there is a significant difference in average hitting talent among the leagues in a given season, the seasons are plotted with a “*”.

If the null hypothesis is rejected, we connect the seasons with a solid line instead of a dashed line in the plot. This means the change in how difficult it was to hit in the league was significant between these two seasons. As with the question about league effects, we connect the seasons on the plot with a solid line instead of a dashed line. In the plot of league effects on *OPS*, during the seasons where there is a significant difference between the different leagues in a season, those seasons are plotted with a “*”.

We can perform season to season comparisons by fitting model (3) to two seasons of data at a time. By repeating this for all seasons from 1871 to 2018, we can compare consecutive seasons. Below we plot the year to year league effects and comparisons of average hitting ability for the Major Leagues under this model. In the league effects plot, we add vertical lines for the following years that had significant rule changes that we might expect to have effected *OPS* uniformly for all players in a league:

- 1884 - Pitchers were allowed to deliver the ball overhand.
- 1889- The modern rule of 4 balls or 3 strikes is first used. In 1887 for example, players were allowed 4 strikes.
- 1893 - The pitcher is moved back from a pitchers box that is 50 ft from home plate to a rubber that is 60 ft 6 in from home.
- 1901 - Foul balls begin to count as strikes. This is first implemented in the NL and in 1903 it is also implemented in the AL.

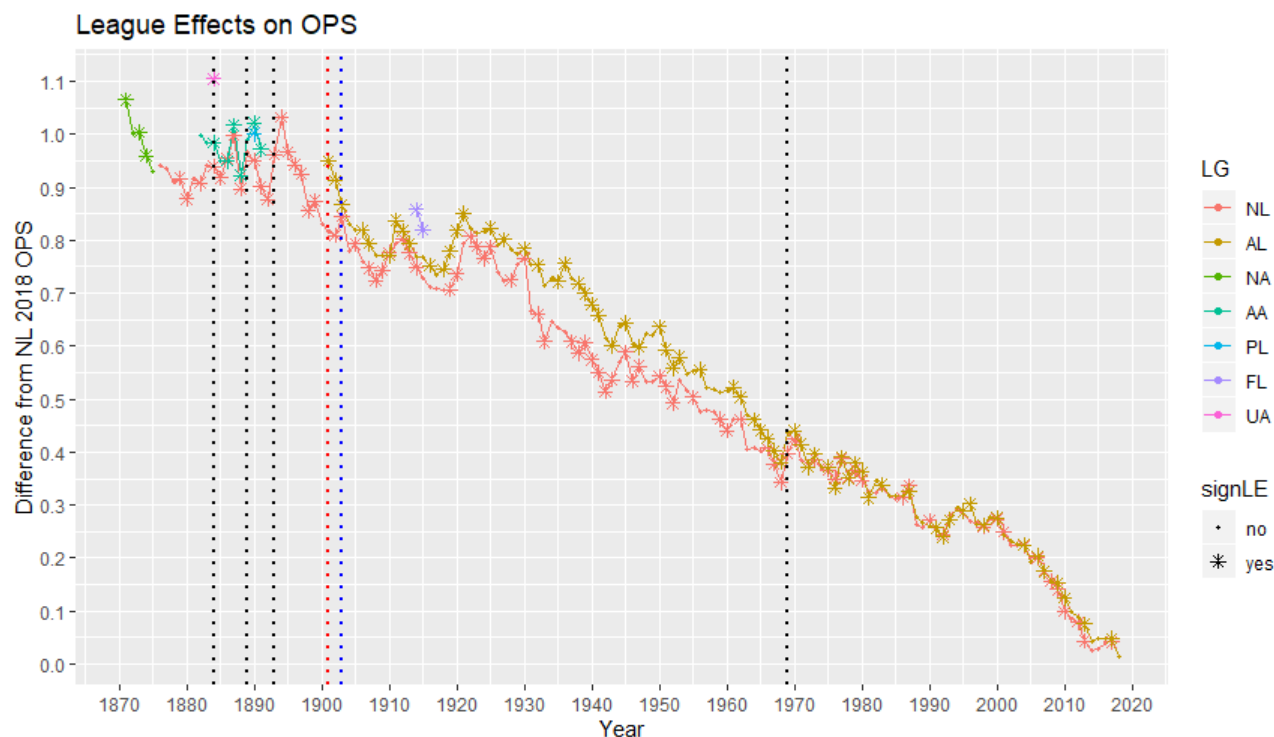


Figure 2: Year to year comparisons of league effects on *OPS* for the seven historical major leagues using model (3).

- 1969 - After an offensively frustrating season where Carl Yastrzemski lead the AL with a .301 *BA*, the mound was lowered from 15 to 10 inches high and the top of the strike zone is lowered from the top of the hitter's shoulders to his armpits.

To better understand how to interpret these statistics, look at the following output:

```
> d.comps[d.comps$start.yr == 1972,c(3:5,7:8,10:11)]
      LG1      LG2      LG.ef      LE.pval      Tal.diff      TD.pval      conn.plyrs
1081 NL.1972 NL.1973 -0.009365313 0.214909632 -0.010504143 1.382065e-01      218
1082 NL.1972 AL.1973 -0.017349391 0.345697916 -0.072911588 5.635844e-05      30
1083 NL.1972 AL.1972 0.006797458 0.719799481 0.007182892 7.049179e-01      9
1084 NL.1973 NL.1972 0.009365313 0.214909632 0.010504143 1.382065e-01      218
1085 NL.1973 AL.1973 -0.007984077 0.672203915 -0.062407445 7.069133e-04      12
1086 NL.1973 AL.1972 0.016162772 0.402741540 0.017687035 3.564599e-01      20
1087 AL.1973 NL.1972 0.017349391 0.345697916 0.072911588 5.635844e-05      30
1088 AL.1973 NL.1973 0.007984077 0.672203915 0.062407445 7.069133e-04      12
1089 AL.1973 AL.1972 0.024146849 0.002500686 0.080094480 0.000000e+00      165
1090 AL.1972 NL.1972 -0.006797458 0.719799481 -0.007182892 7.049179e-01      9
1091 AL.1972 NL.1973 -0.016162772 0.402741540 -0.017687035 3.564599e-01      20
1092 AL.1972 AL.1973 -0.024146849 0.002500686 -0.080094480 0.000000e+00      165
```

The columns *LG1* and *LG2* indicate the two leagues being compared. The column *LG.ef* indicates the estimated difference in the league effects on *OPS* and *LE.pval* indicates the p-value associated with this estimate. The column *Tal.diff* indicates the estimated difference in the average hitter's effect on *OPS* and *TD.pval* is the associated p-value. The first row tells us that the 1972 NL was more difficult to hit in league wide by about 0.009 *OPS* although this difference is not statistically significant. Similarly the average hitter's effect was smaller by 0.011 *OPS* and this difference was not statistically significant. If anything

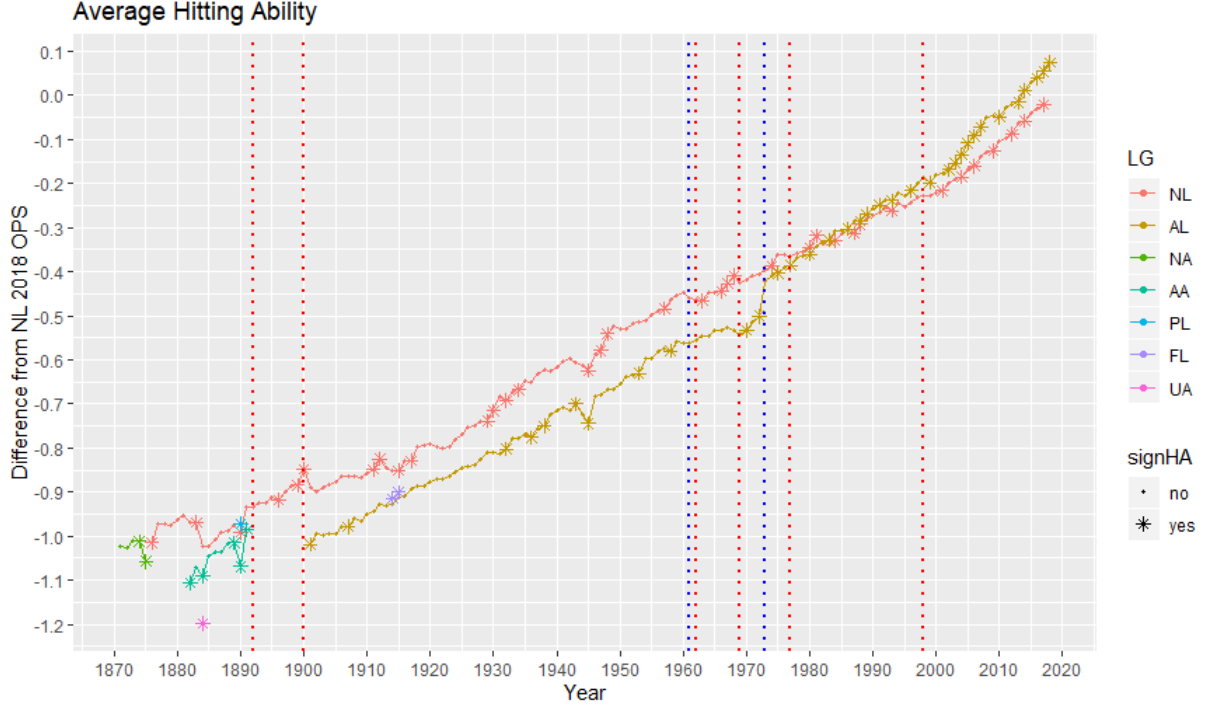


Figure 3: Year to year comparisons of average hitting ability based on *OPS* for the seven historical major leagues using model (3). Red vertical lines indicate a change in the number of NL teams. Blue vertical lines indicate a change in the number of AL teams.

changed in the NL between these two years, the evidence says that the average hitter got slightly better in 1973. The last row of the output suggests that things also got easier for hitters in the AL between 1972 and 1973 by about 0.024 *OPS* which was a significant difference. On the other hand, the ability of the average hitter is estimated to have significantly improved by 0.080, which is probably due to the designated hitter rule.

Comparing these results to the results in Cramer's study, we might be a bit uneasy. The steps that we obtain using our model are larger. There are some key differences that add some confusion. Cramer bases his comparisons on batter win average, which is not defined in his article, and when he makes a season to season comparison, he only uses players who play in both leagues. In order to better compare our method with Cramer's we perform the same comparisons as in model (3) but with *BA* as the output instead. I have also changed the column `rel.HA` to represent the average hitting talent in the league compared to the NL in 1976, just as in the table provided by Cramer. Notice our difference in batting average for the NL in 1960 is -0.0396 where Cramer estimated it to be -0.004, and for the AL it was -0.0943 where Cramer's estimate was -0.017. If we go all the way back to the first NL season, 1876, we get a difference of -0.2951, where Cramer came up with -0.123. Our number suggests that the average player from the first season of the NL would almost never get a hit in the 1976 season. This might be possible considering the 1876 rules still required the pitcher to deliver the ball underhanded and players did not wear gloves in the field, but it still seems like a stretch.

LG	YR	rel.HA	HA.pval	LG	YR	rel.HA	HA.pval
NL	1979	0.007498388	0.214128013	AL	1979	-0.001443420	3.805310e-01
NL	1978	0.005015233	0.281706810	AL	1978	-0.003056244	4.201078e-01
NL	1977	0.002595796	0.397728412	AL	1977	-0.010337636	1.522183e-03
NL	1976	0.000000000	0.327069418	AL	1976	-0.015861695	2.211858e-02
NL	1975	-0.001332416	0.582842733	AL	1975	-0.020554222	7.395716e-02
NL	1974	-0.009789343	0.002410404	AL	1974	-0.024711244	6.975659e-02

NL 1973	-0.013974676	0.146479945	AL 1973	-0.030179950	3.652036e-02
NL 1972	-0.020028541	0.018183812	AL 1972	-0.056184474	1.110223e-15
NL 1971	-0.024206956	0.094175827	AL 1971	-0.064344813	3.612706e-03
NL 1970	-0.026907561	0.306337503	AL 1970	-0.071208198	1.490615e-02
NL 1969	-0.029372613	0.381022898	AL 1969	-0.077498498	1.931780e-02
NL 1968	-0.023853880	0.028040788	AL 1968	-0.076551146	7.146779e-01
NL 1967	-0.032935080	0.003328016	AL 1967	-0.074191418	4.035065e-01
NL 1966	-0.038655497	0.048057140	AL 1966	-0.078228096	1.072795e-01
NL 1965	-0.038101694	0.849250471	AL 1965	-0.080221265	5.160448e-01
NL 1964	-0.037562652	0.849086529	AL 1964	-0.085551282	8.699337e-02
NL 1963	-0.044375507	0.025692892	AL 1963	-0.087909936	4.275607e-01
NL 1962	-0.043614973	0.782429847	AL 1962	-0.090259058	3.891868e-01
NL 1961	-0.041620493	0.530123887	AL 1961	-0.091816095	6.255709e-01
NL 1960	-0.039595488	0.543317632	AL 1960	-0.094259275	4.390831e-01

It might be that there are not enough observations in two seasons to accurately assess the effect of each hitter. We relax the assumptions that player effects change every season and fit the model using every season in the Lahman data. Each player is assigned a parameter ρ_i . The league effects λ_j are indexed by both the league and the year. This is done by creating a new factor, `lg.yr`, by pasting the name of the league and the year for each observation. This fit took just under 50 minutes. The results seem a little more reasonable since the range of league effects and player effects is smaller, close to 0.6. In figure 4 we plot the league effects relative to the 1976 season of the NL, and in figure 5 we plot the estimated hitting ability relative to the 1976 season of the NL. It is noteworthy that according to this new fit, the AL had more hitting talent than the NL even in its first decade. The jump in 1973 due to the DH rule is also more distinct, but it is really about the same size as in the previous fit.

An interesting observation from figure 5 is that it is more common for the difference between leagues

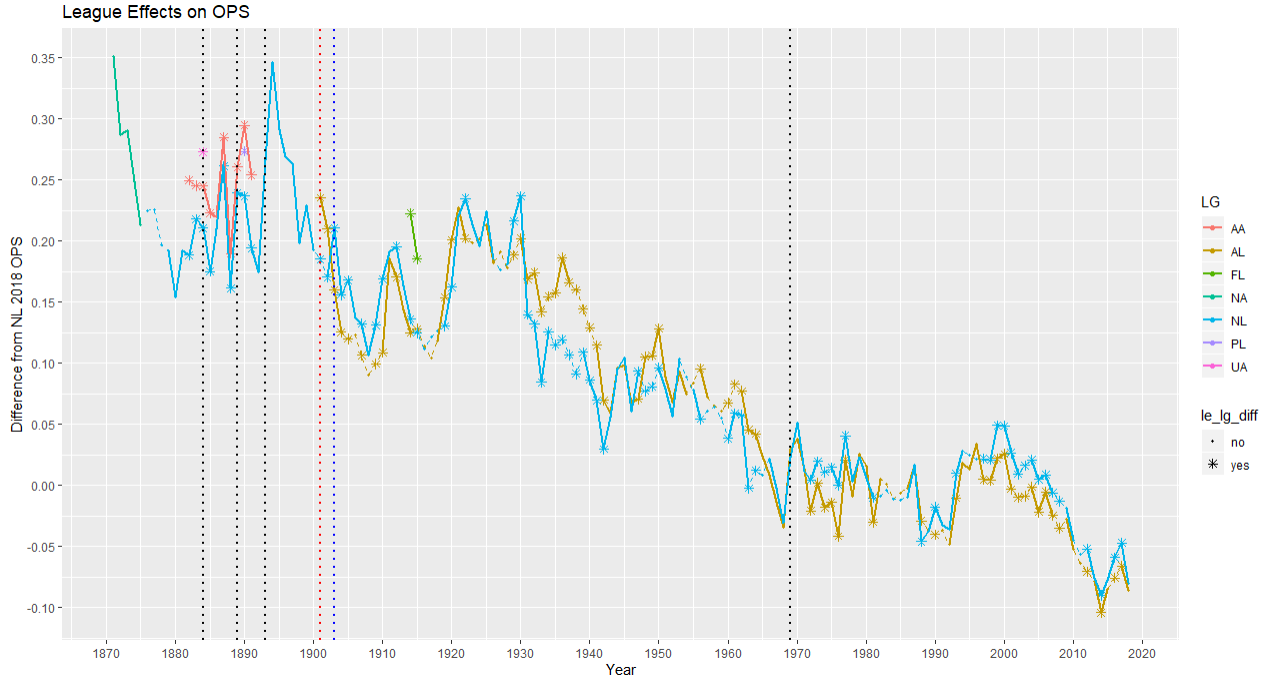


Figure 4: Year to year comparisons of league effects on *OPS* for the seven historical major leagues using model (3) fit with all seasons of Lahman data at once.

to be significant during a given season than for the average talent to change significantly between two sea-

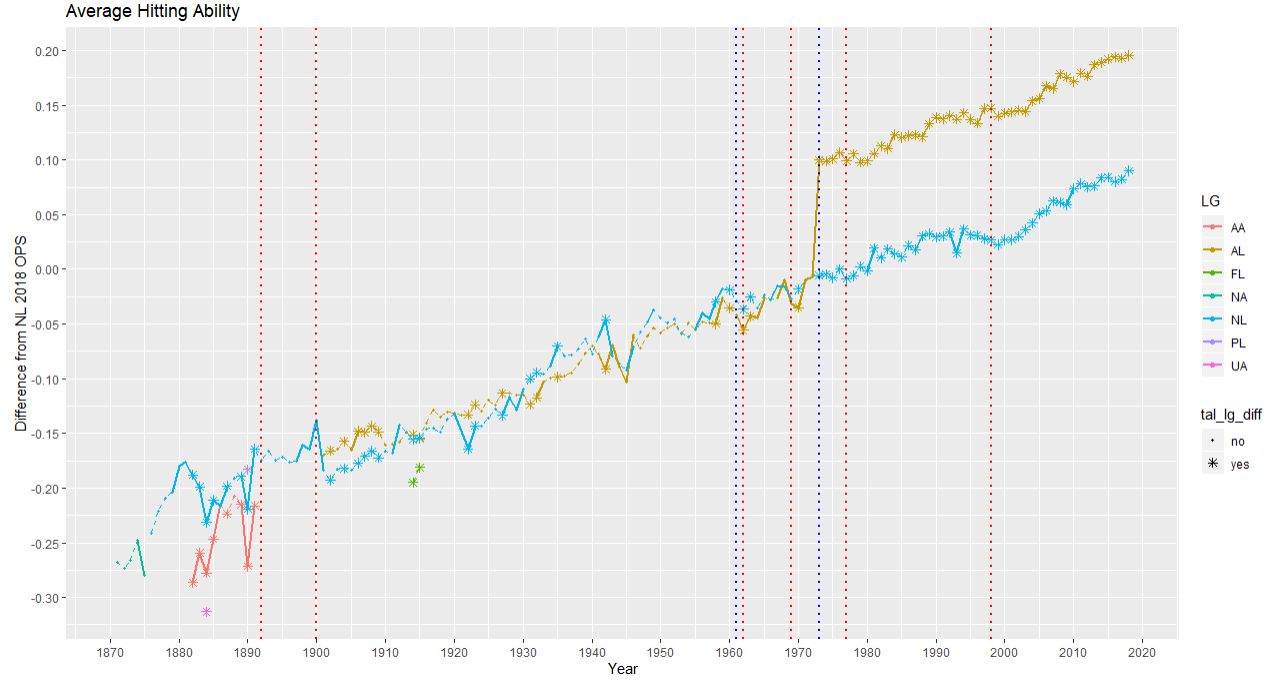


Figure 5: Year to year comparisons of average hitting ability based on *OPS* for the seven historical major leagues using model (3). This fit uses all seasons of Lahman data at once.

sons. Perhaps this makes sense since great hitters typically have longer careers than great pitchers. Great pitchers are not always great for their entire career either. They might get hurt or the league just figures out how to handle them. Pitching ability is probably a significant factor in determining the league effects on OPS, so this quantity should be less stable season after season. You can see how the DH rule produced a significant change in average hitting talent for the AL. According to the model, if we estimate the average hitting talent in AL 1973 minus the average hitting talent in AL 1972 we get a 95% confidence interval of (0.0953, 0.1189).