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13/4/2020

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## Spanning tree

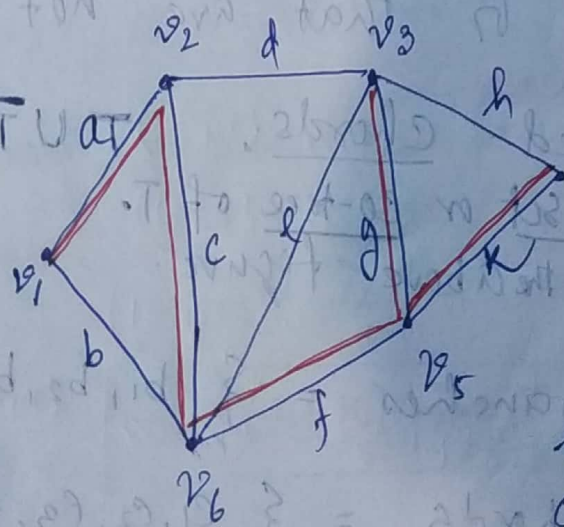
A given graph has many subgraphs.

Obviously some of the subgraphs will be trees.

Out of these trees we are interested in special type of trees, called spanning trees.

Def<sup>n</sup> A tree  $T$  is said to be a spanning tree of a connected graph  $G$  if  $T$  is a subgraph of  $G$  and  $T$  contains all vertices of  $G$ .

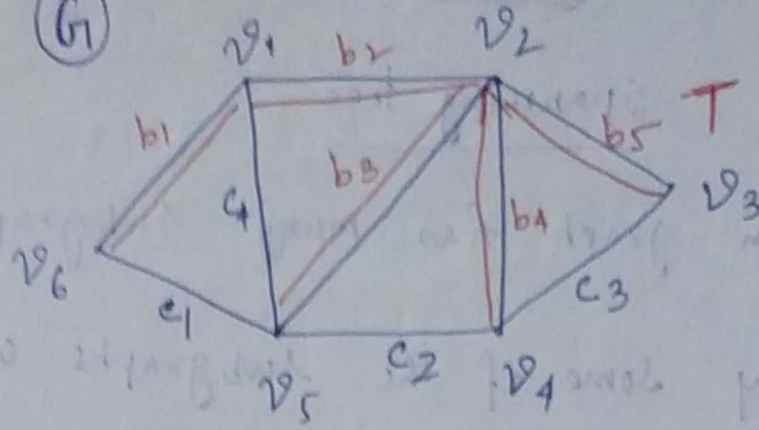
Ex =



Subgraph shown in red color is a valid spanning tree here.

(2)

(G)



Subgraph

shown in Red color in a valid spanning tree here:

So a connected graph may have many spanning trees.

### Branch & Chord

For a given connected graph  $G$  and its spanning tree  $T$ , the edges of  $T$  are called branches and

edges of  $G$  that are not in  $T$  are called chords.

$T \cup \bar{T} = G$   
 $\bar{T}$  = chord set or co-tree of  $T$ .

ex For the above figure.

Branches =  $\{ b_1, b_2, b_3, b_4, b_5 \}$

Chords =  $\{ c_1, c_2, c_3, c_4 \}$



## Rank and Nullity

In a graph there are three fundamental numbers

i) order of a graph.

$n$  = Number of vertices in the graph

ii) size of a graph.

$e$  = Number of edges in the graph.

Other two fundamental number of a graph are rank and nullity.

Rank If a graph  $G$  has  $n$  vertices and  $K$  components then each of the components must have at least one vertex.

So from pigeon-hole principle we can say,

$$n \geq K$$

$$(n - K) \geq 0$$

$n, K, e$  are independent

So  $(n - K)$  is called rank of the graph.

$$r = n - K$$

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if  $K=1$  i.e. connected graph.

$$\text{rank} = n - K = (n - 1).$$

Number of branches in any spanning tree of the graph.

### Nullity

Now a graph with  $n$  vertices and  $K$  components, each of the components are connected, that means each component must be having edges not less than a tree in the component.

So if  $K$  components are having  $n_1, n_2, \dots, n_K$  vertices and  $e_1, e_2, \dots, e_K$  edges, then

$$e_1 \geq (n_1 - 1)$$

$$e_2 \geq (n_2 - 1)$$

$$e_K \geq (n_K - 1)$$

Summing

$$(e_1 + e_2 + \dots + e_K) \geq (n_1 + n_2 + \dots + n_K) - K$$

$$e \geq (n - K)$$

$$n - n = e - n + K \geq 0$$

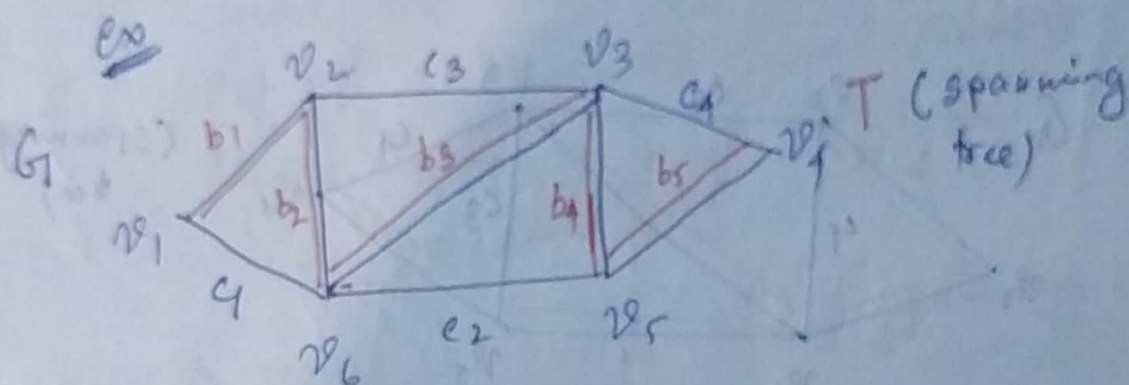
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$\mu = e - n + k =$  Nullity of the graph.

if  $k=1$ , connected graph.

$$\mu = \text{Nullity} = e - n + 1$$

$\mu =$  Number of chords w.r.t any spanning of the graph.



$$\text{Rank} = \text{Number of branches } \{b_1, b_2, b_3, b_4, b_5\}$$

$$= 5$$

$$\text{Nullity} = \text{Number of chords w.r.t } T$$

$$= 4$$

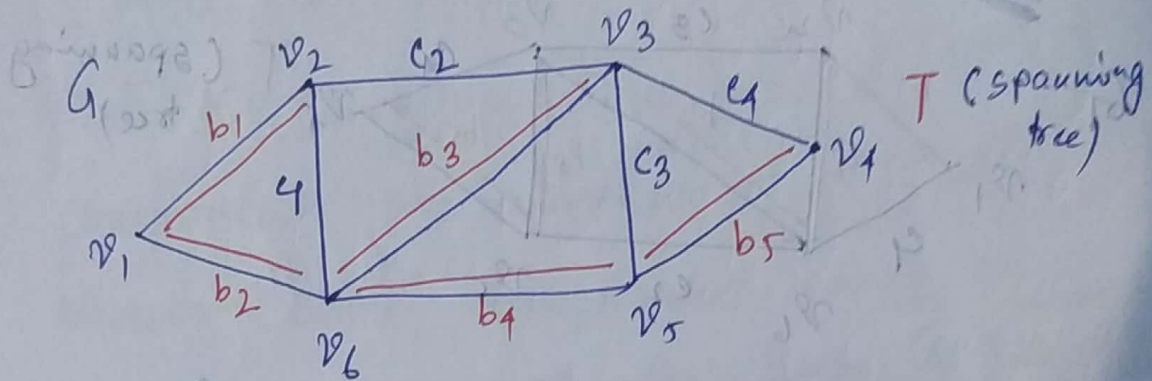
$$\text{Rank} + \text{Nullity} = 5 + 4 = 9 = \text{Number of edges}$$



(6)

## Fundamental Circuit

Let us consider a connected graph  $G$  and its any spanning tree  $T$ . Adding one chord w.r.t.  $T$  into  $T$  will create exactly one circuit. Such a circuit is called a fundamental circuit.



Adding chord  $c_1$  to  $T$  will form  $T + \text{fundamental circuit}$   
 $P_1 = \{ b_1, b_2, c_1 \}$

Similarly, for  $c_2$ ,  $P_2 = \{ b_1, b_2, b_3, c_2 \}$

for  $c_3$ ,  $P_3 = \{ b_3, b_4, c_3 \}$

for  $c_4$ ,  $P_4 = \{ b_3, b_4, b_5, c_4 \}$

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Theorem : Every connected graph has at least one spanning tree.

If a connected graph  $G$  has no circuit, it is its own spanning tree.

If  $G$  has some circuit:

- i) find such a circuit in  $G$
- ii) Remove one edge from  $G$ . This deletion still leaves graph connected.
- iii) Repeat step i) & ii) till there is any circuit left.

The result is obviously a spanning tree of  $G$ .

Hence  $G$  has at least one spanning tree. (Proved)

$$\left( \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right) u \cdot \frac{1}{2} = \left( \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right) b$$



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## Distance between two spanning trees.

- Let  $T_i$  and  $T_j$  are two spanning trees of  $G$ .
- Distance between two spanning trees  $T_i$  and  $T_j$  of a graph  $G$  is defined as the number of edges of  $G$  present in one tree but not in the other.

$$\text{Notation} = d(T_i, T_j)$$

$$\begin{aligned} T_i \oplus T_j &= \text{Ring sum of spanning trees } T_i \text{ and } T_j \\ &= \text{Subgraph of } G \text{ containing all edges of } G \text{ that are either in } T_i \text{ or in } T_j \text{ but not in both.} \end{aligned}$$

if  $N(g) = \text{number of edges in in graph } g.$

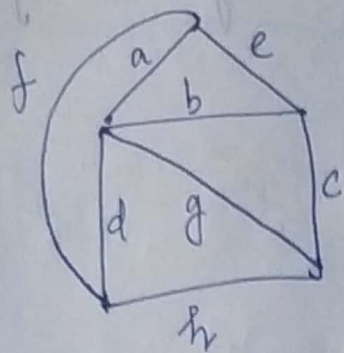
then

$$\boxed{d(T_i, T_j) = \frac{1}{2} N(T_i \oplus T_j)}$$

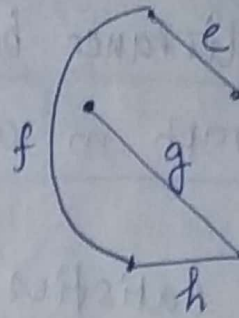
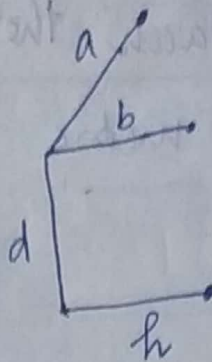


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ex



G



$T_1 = \{a, b, d, h\}$   $T_2 = \{a, g, h, e\}$

spanning trees  $T_1, T_2$

Now  $d(T_1, T_2) =$  Number of edges of  $T_2$  that are not in  $T_1$

$= N\{a, b, d\}$

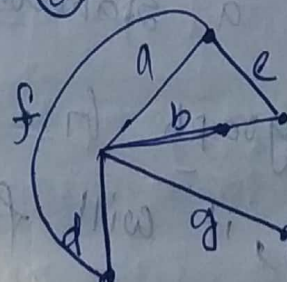
$= 3$

$=$  Number of edges of  $T_2$  that are not in  $T_1$

$= N\{e, f, g\}$

$= 3$

Now  $T_1 \oplus T_2$



$\{a, b, d, e, f, g\}$

So,  $d(T_1, T_2) = \frac{1}{2} N(T_1 \oplus T_2)$

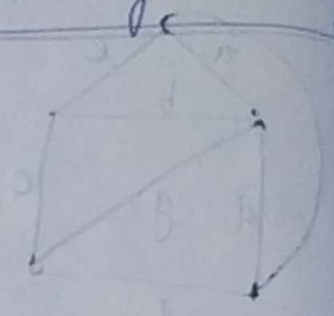
$= \frac{1}{2} \times 6$

$= 3$

(10)

The distance between the spanning trees of a graph in a metric

It satisfies..



$$a) d(T_i, T_j) \geq 0$$

$$b) d(T_i, T_j) = 0 \text{ iff } T_i = T_j$$

$$c) d(T_i, T_j) \leq d(T_i, T_k) + d(T_k, T_j)$$

$$d) d(T_i, T_j) = d(T_j, T_i)$$

cyclic interchange or elementary tree transformation

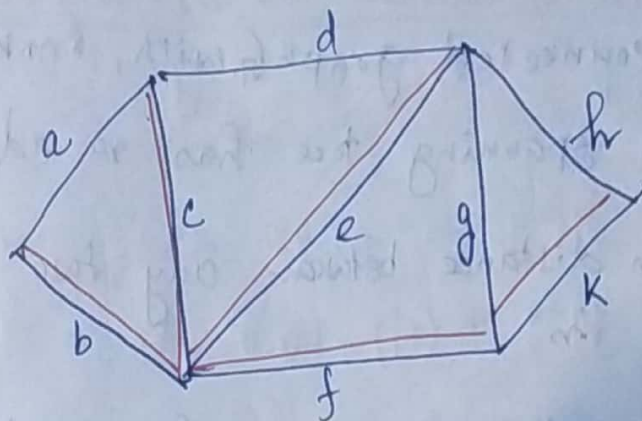
Let there is a spanning tree  $T_1$  of a connected graph  $G$ . If we add a chord to  $T_1$ , it will form a fundamental circuit. Now if we remove any branch from this fundamental circuit, it will create a new spanning tree  $T_2$ .

Generating one spanning tree from another by the above process is called cyclic exchange / interchange.



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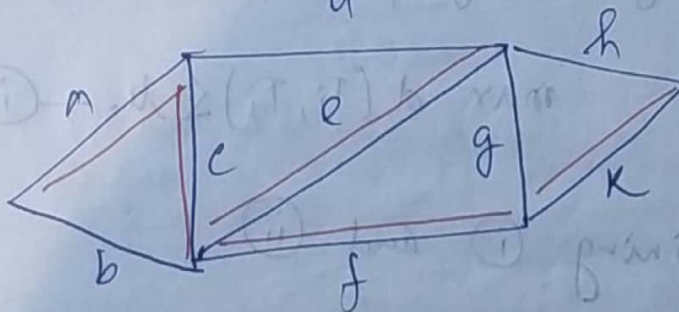
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Let  $T_1$  (spanning tree) has edges

$$\{ b, c, e, f, k \}$$

Now Add <sup>chord</sup>  $a$  to  $T_1$  and remove branch  $b$  from  $T_1$ . We get



$$(T_2) \text{ has edges } \{ a, c, e, f, k \}$$



② Maximum distance between two spanning trees of a graph  
 In a connected graph  $G$  with rank  $r$  (i.e.  $r$  vertices) a spanning tree has  $r$  edges.

So maximum distance between any two spanning trees in  $G$  is

$$\max(d(T_i, T_j)) = \frac{1}{2} \max N(T_i \oplus T_j) \leq r, \text{ the rank of } G. \text{ --- (i)}$$

Also if  $\mu$  is the nullity of  $G$ , we know maximum  $\mu$  chords (edges) can be replaced to get spanning tree  $T_j$  from  $T_i$ .

Hence  $\max d(T_i, T_j) \leq \mu. \text{ --- (ii)}$

So Combining (i) and (ii)

$$\boxed{\max d(T_i, T_j) = \min(\mu, r)}$$

Central Tree [best spanning tree to start getting all spanning trees]  
 For a spanning tree  $T_0$  of a connected graph  $G$ , let  $\max_i d(T_0, T_i)$  denote the maximal distance between  $T_0$  and any other spanning tree  $T_i$  in  $G$ .

$T_0$  is called a central tree of  $G$  if

$$\max_i d(T_0, T_i) \leq \max_j d(T, T_j)$$

for every tree  $T$  of  $G$ .

There may be more than one central tree.

## Tree Graph

Tree graph is useful for obtaining all spanning trees of  $G$ . It is defined as the graph with vertex set of spanning tree  $T$ , and edge set (where we connect two spanning trees  $T'$  and  $T$  iff  $T'$  can be obtained from  $T$  by one cyclic interchange).

Now we know, starting from any spanning tree we can obtain any spanning tree through cyclic interchange. Hence tree graph is connected.

## Counting spanning trees of a connected graph

For a complete graph with  $n$  vertices total number of spanning trees is given by Cayley's formulae  $n^{n-2}$ .

So for  $K_2 = 2^{2-2} = 1$

$K_3 = 3^{3-2} = 3$

$K_4 = 4^{4-2} = 16$

## Spanning tree : Weighted graph

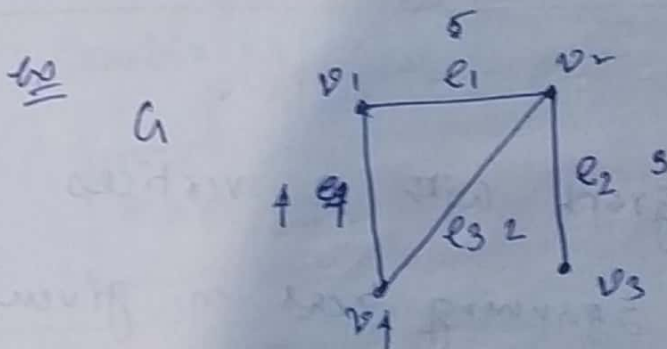
Defn Let  $G$  be a graph and  
 $W : E(G) \rightarrow \mathbb{R}$  be a function

1) The ordered tuple  $(G, W)$  is called a  
Weighted graph. The function  $W$  is  
called weight function of  $(G, W)$ .

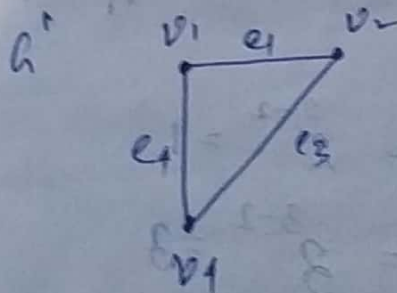
2) If  $G'$  is a subgraph of  $G$  then

$$W(G') = \sum_{e \in E(G')} W(e)$$

is called weight of  $G'$  in  $G$ .



$$\begin{aligned} W(e_1) &= 5 \\ W(e_2) &= 5 \\ W(e_3) &= 2 \\ W(e_4) &= 4 \end{aligned}$$



$$\begin{aligned} W(G') &= W(e_1) + W(e_3) + W(e_4) \\ &= 5 + 2 + 4 \\ &= 11 \end{aligned}$$

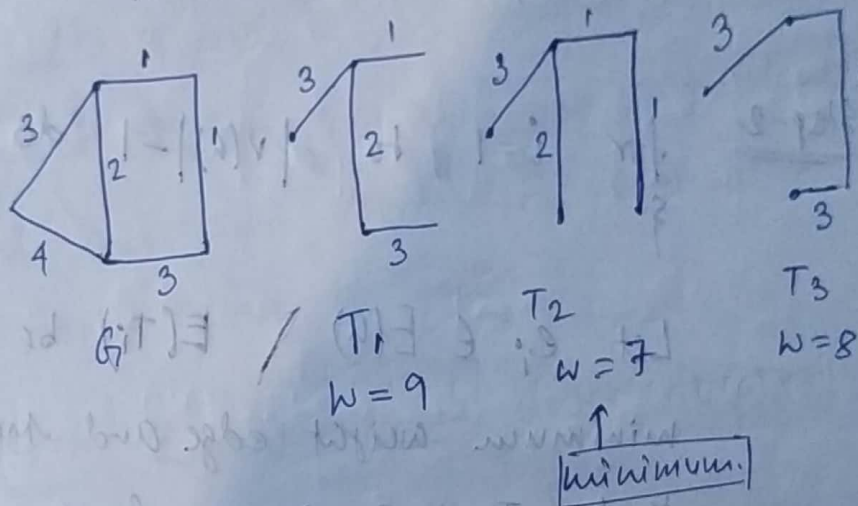


## Minimum cost spanning tree:

Now a spanning tree in a connected graph  $G$  is a subgraph of  $G$  which contains all vertices of  $G$ .

Now a connected graph has many spanning trees, each may have different weights.

Out of these spanning trees those with minimum weight are of interest in many practical applications [e.g. connecting road between cities]



## Algorithms to find Minimum Spanning trees

a) Kruskal's Algorithm

b) Prim's Algorithm.

## Kruskal Algorithm

Input : A connected weighted graph  $(G, w)$

Output : A minimum cost spanning tree  $T$  of  $G$ .

begin

Step-1

$$T_1 = \emptyset$$

Step-2

for  $i=1$  to  $|V(G)|-1$  do  
{

Let  $e_i \in E(G) \setminus E(T_i)$  be a  
minimum weight edge and such  
that  $T_i \cup e_i$  is a forest

$$T_{i+1} = T_i \cup e_i$$

Step-3

$$T = T_{|V(G)|}$$

(17)

## Kruskal Algorithm

Step-1 In a connected graph  $G$ ,  
~~Remove~~ all self loops and ~~Remove~~  
 all parallel edges between any pair of  
 vertices except the edge with least weight.

Step-2 Sort the edges according to non-  
 decreasing order of weights.

Step-3  $T = \emptyset$

Step-4 Select the minimum weight edge  
 and add it to  $T$  such that it  
 doesn't create a cycle.

Step-5 Repeat Step-4 until  $|V(G)-1|$   
 edges are added to  $T$ .

How to detect a cycle in an undirected graph?

## Union-find Algorithm

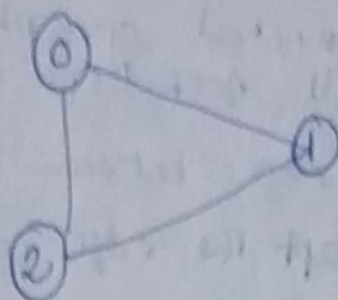
a) Find : Determine which subset a particular  
 element belongs to.

b) Union Join two subsets into single set.



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We keep track of the subsets in a 1D array, call it parent.



For each edge, make subsets using both the vertices of the edge. If both are in same subset, a cycle is found.

Initially

v	0	1	2
parent	0	1	2

a) for edge 0-1

$$\text{find}(0) = \{0\} \neq$$

$$\text{find}(1) = \{1\}$$

so union(0,1)

make parent of 0 as 1 or vice versa.

v	0	1	2
parent	1	1	2

b) for edge 1-2

$$\text{find}(1) = \{1\} \neq$$

$$\text{find}(2) = \{2\}$$

so union(1,2)

v	0	1	2
parent	1	2	2

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c) for edge 0-2

Find (0)  $\Rightarrow$  Find (1)  $\rightarrow 2$

Find (2) = 2  $\Rightarrow$

Hence 0-2 form a cycle here.

### PRIM'S Algorithm

Step-1

$V_1 = \text{any } u \in V(G)$  and  $V_2 = V(G) - u, T = \emptyset$

Step-2

Select any vertex  $u \in V_1$

a)  $V_2 = V_2 \cup u$  Add  $u$  to set  $V_2$

b)  $V_1 = V_1 - u$  Remove  $u$  from  $V_1$

Step-3 Find the minimum weight edge  $e$ ,

where  $e$  has end vertices  $x$  and  $y$ ,

such that  $x \in V_1$  and  $y \in V_2$  for

$\forall x \in V_1$  and  $\forall y \in V_2$

$$w = \min_{\forall x \in V_1, \forall y \in V_2} w(x, y)$$

Add such  $x$  to  $V_2$  i.e.  $V_2 = V_2 \cup x$

Step-4

Remove  $x$  from  $V_1$  i.e.  $V_1 = V_1 - x$

Add  $e$  to  $T$  i.e.  $T = T \cup e$ .

Step-5

Repeat step 3  $|V(G)| - 1$  times.

2)

## Prim Algorithm

① create an array  $Parent[]$  of size  $|V|$  and initialize with null

② create a MinHeap (or priority queue) of size  $|V|$ . Let MinHeap be  $H$ .

③ Insert all vertices into  $H$  such that key values of starting vertex is 0 and key value of other vertices is  $\infty$ .

④ While  $H$  is not empty

a)  $u = \text{extractMin}(H)$

b) for every adjacent  $v$  of  $u$

if  $v$  is in  $H$

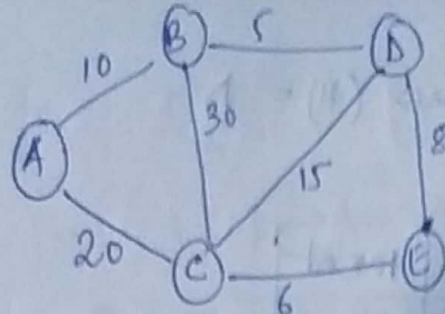
i) update key value of  $v$  in  $H$  if weight of edge  $u-v$  is smaller than current key value of  $v$

ii)  $Parent[v] = u$



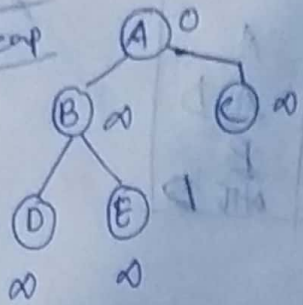
21)

ex



Initially

Min Heap



vertex	key	Parent
A	0	NIL
B	∞	NIL
C	∞	NIL
D	∞	NIL
E	∞	NIL

Step-1

extract Min(H) = A

vertex	key	Parent
→ A	0	NIL
B	10	NIL A
C	20	NIL A
D	∞	NIL
E	∞	NIL

Adjacent vertices  
B, C.

Step-2

extract Min(H) = B

Vertex	key	Parent
A	0	NIL
→ B	10	A
C	20	A
D	5	NIL B
E	∞	NIL

Adjacent B  
C, D

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Step-3

extract Min (H) = D

vertices	key	parent
A	0	NIL
B	10	A
C	20/15	A/D
D	5	B
E	8	NIL/D

Adjacent D

C, E

Step-4

extract Min (H) = E

vertices	key	parent
A	0	NIL
B	10	A
C	15/6	D/E
D	5	B
E	8	D

Adjacent

C

Step-5

extract Min (H) = C

vertices	key	parent
A	0	NIL
B	10	A
C	6	E
D	5	B
E	8	D

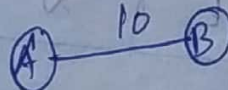
Adjacent

~~Ø~~

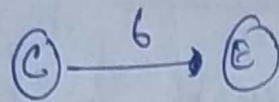
Output

A → Parent (A) → NIL

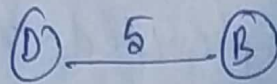
B → Parent (B) → A



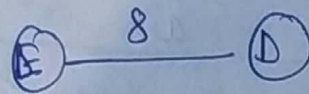
$c \rightarrow \text{Parent}(c) \rightarrow E$



$D \rightarrow \text{Parent}(D) \Rightarrow B$



$E \rightarrow \text{Parent}(E) = D$



So finally

