

# CS771 - Intro to ML (Autumn 2024): Mini-project 2

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## 1 Introduction

### 1.1 Problem Statement

This project involves 20 training datasets ( $D_1$  to  $D_{20}$ ) derived from the CIFAR-10 image classification dataset. The first 10 datasets share a common input distribution, while the remaining 10 have varying but similar distributions. Only  $D_1$  is labeled; the rest are unlabeled. Additionally, 20 labeled held-out datasets ( $\hat{D}_1$  to  $\hat{D}_{20}$ ) are provided for model evaluation.

#### 1.1.1 Task-1

Task 1 aims to train models  $f_1, f_2, \dots, f_{10}$  using datasets  $D_1$  to  $D_{10}$ .  $f_1$  is trained on the labeled dataset  $D_1$  with an LwP classifier, then used to generate pseudo-labels for  $D_2$  to train  $f_2$ . This process is repeated iteratively, producing models  $f_1$  to  $f_{10}$ . Each model  $f_i$  is evaluated on its held-out dataset  $\hat{D}_i$  and all prior held-out datasets ( $\hat{D}_1, \dots, \hat{D}_{i-1}$ ), resulting in a  $10 \times 10$  accuracy matrix to assess task performance and knowledge retention.

#### 1.1.2 Task-2

Task 2 builds on Task 1 by training models  $f_{11}, f_{12}, \dots, f_{20}$  on datasets  $D_{11}$  to  $D_{20}$  with varying input distributions. Starting from  $f_{10}$ ,  $f_{11}$  is trained on  $D_{11}$ , and its predictions pseudo-label  $D_{12}$  to train  $f_{12}$ . This process continues until  $f_{20}$  is trained. Each model  $f_i$  is evaluated on its held-out dataset  $\hat{D}_i$  and all prior ones ( $\hat{D}_1, \dots, \hat{D}_{i-1}$ ), creating a  $10 \times 20$  accuracy matrix to assess adaptation and retention.

### 1.2 Aim

The problem aims to train models that maintain high accuracy across new and previously seen datasets, minimizing performance degradation as new domains are introduced. The focus is on addressing continual learning challenges while reducing catastrophic forgetting, even with varying input distributions.

## 2 Dataset Description

The dataset includes 20 training and 20 evaluation datasets, divided into two tasks.

### Task-1 Training Data ( $D_1$ to $D_{10}$ )

Task-1 training data consists of 10 datasets:

- ( $D_1$ ): Labeled dataset with  $32 \times 32$  RGB images and targets  $\{0, 1, 2, \dots, 9\}$ .

- ( $D_2$ ) to ( $D_{10}$ ): Unlabeled datasets with  $32 \times 32$  RGB images, requiring pseudo-labeling for training.

## Task-2 Training Data ( $D_{11}$ to $D_{20}$ )

Task-2 training data includes datasets  $D_{11}$  to  $D_{20}$ , which are unlabeled and require pseudo-labeling using predictions from models trained on earlier datasets.

- ( $D_{11}$  to  $D_{20}$ ):  $32 \times 32$  RGB images, with no labels (pseudo-labeling needed).

## Key Properties

- **Data Representation and Labeling:** Each input is a  $32 \times 32$  RGB image from CIFAR-10. Datasets  $D_{11}$  to  $D_{20}$  differ in input distributions. Training datasets ( $D_2$  to  $D_{20}$ ) require pseudo-labeling, while evaluation datasets are fully labeled.
- **Workflow:**
  - Train  $f_1$  on labeled  $D_1$ .
  - Use  $f_1$  to pseudo-label  $D_2$  and train  $f_2$ .
  - Repeat iteratively up to  $D_{20}$ , training  $f_{20}$ .
  - Evaluate each  $f_i$  on  $\hat{D}_i$  and earlier held-out datasets to monitor performance.

## 3 Analysis

### Task 1: Training and Evaluation on Uniform Distribution Datasets

#### Flattening-Approach

- **Feature Extraction:** Resize images to  $100 \times 100$  and flatten into 1D vectors.
- **Classifier (LwP):** Compute mean feature vectors as class prototypes and classify using Euclidean distance.
- **Training:**
  - $f_1$ : Train on  $D_1$  with true labels.
  - $f_2$  to  $f_{10}$ : Use  $f_{i-1}$  to pseudo-label  $D_i$  and update prototypes.
- **Evaluation:** Evaluate  $f_i$  on  $D_1$  to  $D_i$  and record validation accuracy.

#### ResNet-Approach

##### Feature Extraction with ResNet

- A pre-trained ResNet-152 model is used for feature extraction.

- Images are resized to  $160 \times 160$  and pre-processed using normalization with ImageNet mean  $[0.485, 0.456, 0.406]$  and standard deviation  $[0.229, 0.224, 0.225]$ .
- Deep features are extracted from the penultimate layer of ResNet-152, resulting in a compact embedding for each image.
- The feature extractor operates in evaluation mode to prevent weight updates during inference.

## Classifier: Lightweight Prototype Classifier (LwP)

- Computes class prototypes as the mean feature vector for each class.
- Classifies samples based on the nearest class prototype using Euclidean distance.

## Training Process

- **Model  $f_1$ :** Trained directly on  $D_1$  using true labels.
- **Models  $f_2, \dots, f_{10}$ :**
  - Predict pseudo-labels for  $D_i$  using the previous model  $f_{i-1}$ .
  - Update the prototypes with the new dataset  $D_i$  using its pseudo-labels.

## Evaluation

- Each model  $f_i$  is evaluated on datasets  $\hat{D}_1$  to  $\hat{D}_i$
- Performance is recorded as validation accuracy for each dataset.

## Task 2: Training and Evaluation on Non-Uniform Distribution Datasets

### Flattening-Approach

- **Feature Extraction:** Images are resized to  $100 \times 100$  and flattened into feature vectors, as in Task 1.
- **Classifier Initialization:** Start with  $f_{10}$  (final model from Task 1) and update it sequentially using  $D_{i+10}$ .
- **Training:**
  - Predict pseudo-labels for  $D_{i+10}$  using  $f_i$ .
  - Update prototypes with pseudo-labeled  $D_{i+10}$ .
- **Evaluation:** Evaluate  $f_i$  on  $D_{11}, D_{12}, \dots, D_i$ , recording validation accuracy.

## ResNet-Approach

### Feature Extraction with ResNet

- We used the same feature extraction method for Task 2 as we did for Task 1.

### Classifier: Lightweight Prototype Classifier (LwP)

- We used the same LwP classifier for Task 2.
- Begin with  $f_{10}$  (final model from Task 1) as the initial model for Task 2.
- Sequentially update the classifier using new datasets  $D_{i+10}$ .

### Training Process

- Predict pseudo-labels for  $D_{i+10}$  using the previous model  $f_i$ .
- Update the prototypes with the new dataset  $D_{i+10}$  using its pseudo-labels.

### Evaluation

- Each model  $f_i$  is evaluated on datasets  $\hat{D}_1$  to  $\hat{D}_i$
- Validation accuracy is recorded for each dataset.

## Conclusion

### 1. Best Feature Extraction Approach

The second feature extraction approach using ResNet-152 with embeddings from the penultimate layer clearly outperforms the flattened feature extraction approach. This is evident from the significantly higher accuracy values in the accuracy matrix for both Task-1 and Task-2. The deep ResNet-152 features effectively capture more complex and meaningful representations, leading to improved classification performance.

### 2. Accuracy Analysis for ResNet-152 Feature Extraction

- **Task-1 (Model Index [1,10]):**  
Models trained on Task-1 exhibit consistent high accuracy values ranging from **0.88 to 0.91** across datasets, indicating robust performance. The ResNet-152 embeddings enable the lightweight prototype classifier to generalize well within the Task-1 datasets.
- **Task-2 (Model Index [11,20]):**  
Models trained on Task-2 demonstrate a slight drop in accuracy compared to Task-1, with values ranging between **0.72 and 0.86**, except for datasets 12 and 19, which achieve accuracies of **0.53** and **0.59**, respectively. However, the approach still maintains competitive performance on later datasets, showcasing its ability to adapt and update class prototypes effectively when incorporating new data.

- Transforming images to higher dimensions, such as **above 200**, results in **overfitting** of the models. Conversely, reducing the dimensions to **less than 100** causes the models to **underfit**, making them unable to learn the features effectively.

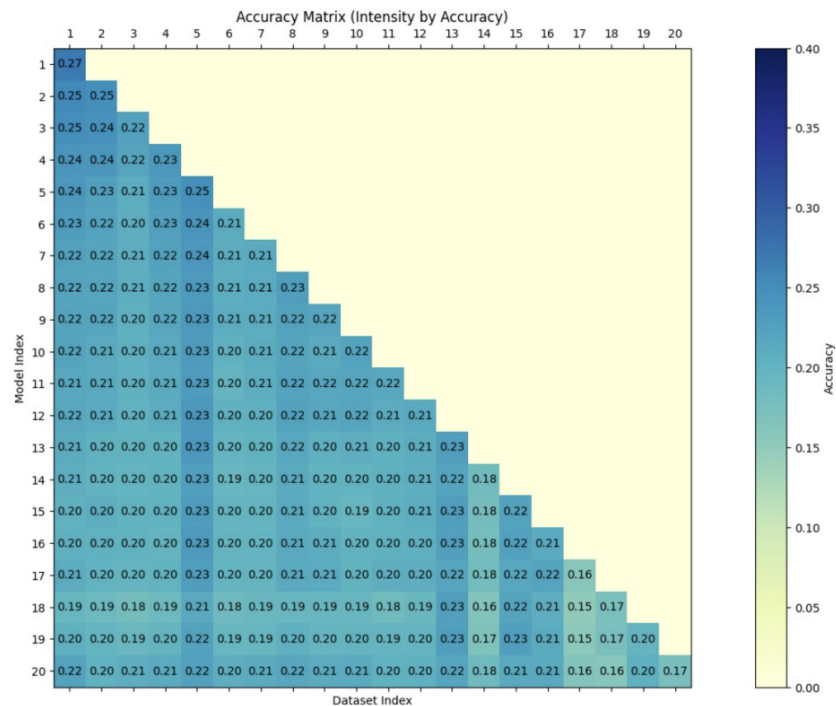


Figure 1: With Flattened feature extraction

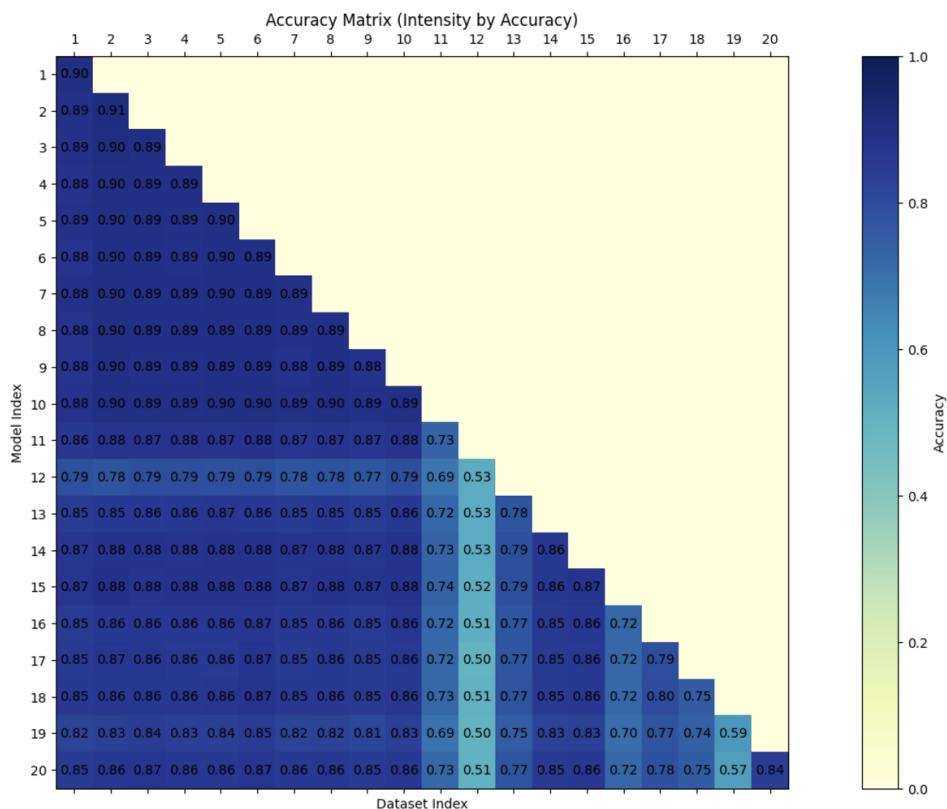


Figure 2: With ResNet feature extraction

Overall, the ResNet-152-based feature extraction method with  $160 \times 160$  transformed images provides a clear advantage in terms of accuracy and adaptability for both **Task-1** and **Task-2**.

## 4 References:

- For Sklearn: <https://scikit-learn.org/stable/index.html>
- For Tensorflow: <https://www.tensorflow.org/> and TensorFlow YouTube Playlist
- For Python and its libraries: <https://www.w3schools.com/python/>
- To know more about feature extractions we used: Feature Extraction Paper

## 5 Problem 2

- Video link: <https://https://www.youtube.com/watch?v=Zca7tXp8Q1c>

## Acknowledgement

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