

Preregistration

The impact of public investment on open-source digital infrastructure ecosystems hosted on GitHub

Pre-analysis Plan

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Pre-Analysis Plan

Summary This project investigates the impact of public investment on open-source software. My objective is to examine the impact of financial support, in the form of contracted software development payments funded by the Sovereign Tech Fund, impacts various key metrics within open-source digital infrastructure ecosystems hosted on GitHub.

Data

In order to answer this question, I am collecting or have collected data from two main sources; contractual data from the Sovereign Tech Fund and GitHub activity using GitHub's REST API or a data collection and visualisation tool called Augur developed by the CHAOSS (Community Health Analytics in Open Source Software). In addition, I intend to utilize selected metrics for assessing opens-source ecosystem

health developed by CHAOSS and, if possible, include measurements of open-source security from the [Open Source Security Foundation](#). Currently, I have two methods for collecting data on GitHub organizations and repositories described below. In the course of the next weeks, I expect to settle on one data collection method.

Method

This research employs a quantitative data analysis methodology, relying on publicly available data from GitHub and other relevant sources. The methodology comprises essential stages, including data collection, data cleaning, and preprocessing of GitHub repository data, public investment data, and supplementary repository security data.

To ascertain the relationships between public investment and the open-source health metrics, advanced statistical analyses, I intend to use a regression discontinuity design. This will be applied to investigate the impact of public investment on GitHub activity, community health metrics, and security within select open-source digital infrastructure projects.

Importance

This research holds considerable significance as it advances our understanding of the role of public investment in fostering open-source digital infrastructure projects. It directly aligns with the mission of the Sovereign Tech Fund and benefits from collaboration with researchers from the CHAOSS project at the Linux Foundation. The findings will offer valuable insights for policymakers and organizations like the Sovereign Tech Fund, demonstrating the potential benefits of strategic investments in open digital infrastructure. By addressing this question, I aim to inform evidence-based policy decisions and contribute to the responsible allocation of public resources in the digital age, with the support of key stakeholders in Germany and the open-source community.

Support

The project will be carried out in collaboration with the Sovereign Tech Fund, supported by SPRIND (the Bundesagentur für Sprunginnovationen) and the Federal Ministry for Economic Affairs and Climate Action of Germany, alongside support from researchers from the CHAOSS (Community Health Analytics in Open Source Software) project at the Linux Foundation.

**Motivation and
Background**

The research question of how public investment impacts open-source software ecosystems holds interest for me for several reasons. Firstly, as the digital transition accelerates, more aspects of our daily lives and essential services are becoming reliant on open-source software. Understanding and funding this infrastructure is vital for the sustainable development of society. Increasingly, state actors are engaging in the funding, development, and adoption of open-source software. In the European context, this initiative is taking place under the auspices of the broader digital sovereignty policy paradigm. The possible development of European Public Digital Infrastructure Fund illustrates the increasing attention paid to

Secondly, my interest in this topic is rooted in my current part-time position with the Sovereign Tech Fund. This role has provided me with insights into the intricacies of public investment in technology projects, which is directly relevant to the subject of this research. My prior coursework has given me a solid foundation in statistical analysis, econometrics, and data management, all of which are crucial for conducting empirical research in this domain. After graduating from the Hertie, I hope to work for the Sovereign Tech Fund full-time and continue to work on addressing the research question and other related topics.

Finally, through this research project, I hope to improve my data science skills. Despite never having taken Statistics II, I hope to become proficient in advanced statistical techniques, such as regression discontinuity design and time-series analysis. This research will also deepen my understanding of open-source software development and community dynamics, and improve my Python, R, and SQL skills. Ultimately, this project will equip me with the skills to assess the effectiveness of public investments in technological innovation.

Introduction

The research question is situated within a broader context of digital infrastructure, digital policy, and the growing significance of open source software. Modern digital infrastructure is built with and relies on the development of open source software. For a general overview of the increasing importance of and lack of institutional support for public digital infrastructure, Nadia Eghbal's report for the Ford Foundation, Roads and Bridges, is instructive.

There is a considerable amount of research constructing and presenting indicators of open source project activity, but a lack of consensus about how indicators derived

from trace data might be used to represent a coherent view of open source project health and sustainability.

Currently, some 27 organizations that host their code on GitHub have received funding for work from the Sovereign Tech Fund. The duration, volume, and type of work varies from project to project. Between them, these 27 organizations account for 470 repositories on GitHub. These repositories range from simple [READ.ME](#) repositories to large and complex repositories with multiple dependencies in a range of programming languages.

The key researcher here is Sean Goggins

Key Papers

Paper 1. - Open Source Community Health: Analytical Metrics and Their Corresponding Narratives

The paper “Open Source Community Health: Analytical Metrics and Their Corresponding Narratives” by Sean Goggins et al. explores the evaluation of open source projects’ health and sustainability. It emphasizes the limitations of existing methods that primarily rely on trace data and proposes an approach integrating field research and metric standards development. The paper presents the work of the Linux Foundation’s CHAOSS project over four years, highlighting the importance of metrics that incorporate comparison, transparency, trajectory, and visualization. This approach aims to provide a comprehensive understanding of open source software health, connecting trace data with human experience. The relevance to your thesis lies in its focus on assessing open source ecosystem health, an aspect central to understanding the impact of public investment on such projects.

Paper 2. - GitHub Sponsors

The paper “GitHub Sponsors: Exploring a New Way to Contribute to Open Source” investigates the GitHub Sponsors program, examining characteristics of sponsored developers, sponsors, and the effects of sponsorship. It employs mixed methods, including data analysis and surveys, to understand the impact of financial support on open-source developers. The findings suggest that sponsored developers are more active, and the presence of sponsorship influences the community dynamics. This paper’s focus on the economic aspects of open-source projects and the impact of

financial support aligns closely with your thesis topic, especially in understanding how financial investments can influence open-source software ecosystems.

The quantitative methods used in the “GitHub Sponsors” paper include data analysis of the GitHub Sponsors program. This involves statistical analysis of the characteristics of sponsored developers, sponsors, and their activities on GitHub. The paper utilizes metrics related to the developers’ activities and sponsorships, such as the number of sponsors, the frequency of contributions, and the nature of these contributions. This approach helps quantify the impact of financial sponsorship on developer engagement and community dynamics within open source projects.

Similarly, as Goggins et al. note, the demand for coherent, consistent and actionable metrics is growing as a result of state and corporate engagement with and investment in open source. I hope that through this research project, I can get to grips with these debates and, if possible, make a useful contribution.

Ethical Considerations

Given that this project concerns open-source software, it is important to highlight the particular ethical components of research in this field. In this regard, Amanda Casari, Julia Ferraioli, and Juniper Lovato’s paper [Beyond the Repository](#) will be a key reference point for this research project.

Research Question

The central research question for this project is:

RQ1: What is the impact of public investment on open-source digital infrastructure ecosystems hosted on GitHub?

In order to operationalize this research question, there are a number of hypotheses that I wish to test:

1. that funding from the Sovereign Tech Fund leads to changes in activity on GitHub (such as commits, pushes, code additions & deletions) in specific repositories of software ecosystems
2. that funding from the Sovereign Tech Fund leads to changes in measures of community health (such as the number and make-up of contributors on GitHub) of a given open-source software ecosystem

3. that funding from the Sovereign Tech Fund leads to changes in measures of software health (such as responsiveness to issues on GitHub) of a given open-source software ecosystem
4. that funding from the Sovereign Tech Fund leads to changes in measures of the security (such as the OSSF Security Score) of a given open-source software ecosystem hosted on GitHub

In the course of the thesis, I hope also to make inferences about the kind of metrics that would be useful for analyzing specifically the subset of open-source projects that are funded and supported by the Sovereign Tech Fund.

Data and Methods

Data Report

Blinding	No blinding is involved in this study. For studies that involve human subjects, they will not know the treatment group to which they have been assigned. Personnel who interact directly with the study subjects (either human or non-human subjects) will not be aware of the assigned treatments. Personnel who analyze the data collected from the study are not aware of the treatment applied to any given group.
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Method	Enter your response here.
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Randomization	Enter your response here.
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Sampling Plan

Data collection procedures	Enter your response here.
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Sample size	Enter your response here.
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Sample size rationale	Enter your response here.
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Variables

Manipulated variables	Enter your response here.
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Measured variables	Enter your response here.
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Analysis Plan

References
