

MASARYK UNIVERSITY  
FACULTY OF INFORMATICS



# **Transformation of Nondeterministic Büchi Automata to Slim Automata**

BACHELOR'S THESIS

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## Declaration

Hereby I declare that this paper is my original authorial work, which I have worked out on my own. All sources, references, and literature used or excerpted during elaboration of this work are properly cited and listed in complete reference to the due source.

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## Acknowledgements

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# **Abstract**

abstract



## Keywords

keyword1, keyword2, ...



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# 1 Introduction

... slim automata are specially constructed Büchi automata...





## 2 Preliminaries

In this chapter we define a Büchi automaton and its generalized version. Then we continue with breakpoint algorithm. It allows us to introduce slim automata by its construction, which builds on the breakpoint one. Finally we generalize slim automation construction to work with generalized Büchi automata.

We will need to know that on *alphabet* is a set of letters, an  $\omega$ -word  $w \in \Sigma^\omega$  is an infinite sequence of letters, and a *language*  $L \subseteq \Sigma^\omega$  is a set of  $\omega$ -words.

### 2.1 Büchi Automaton

A Büchi automaton is a theoretical finite-state machine used to define  $\omega$ -languages. It decides which infinitely long words ( $\omega$ -words) belong to its language.

A *transition-based Büchi automaton* (TBA) is a tuple  $\mathcal{A} = (\Sigma, Q, q_i, \Delta, \Gamma)$ , where

- $\Sigma$  is a non-empty finite *alphabet*,
- $Q$  is a non-empty finite set of *states*,
- $q_i \in Q$  is the initial state of  $\mathcal{A}$ .
- We write the set of *transitions* as  $\Delta \subseteq Q \times \Sigma \times Q$ . Intuitively, a transition  $(s, a, t)$  directionally connects the states  $s$  and  $t$  with the letter  $a$ .
- $\Gamma \subseteq \Delta$  is a set of *accepting transitions*.

A *run*  $r$  of  $\mathcal{A}$  is an infinite sequence of transitions  $r = t_0 t_1 \dots \in \Delta^\omega$ , where  $t_i = (s_i, a_i, s_{i+1})$ , such that  $q_0 = q_i$ . A run of  $\mathcal{A}$  is *accepting* iff it contains infinitely many accepting transitions.

Finally, we define the *language*  $L(\mathcal{A}) \subseteq \Sigma^\omega$  recognized by the automaton  $\mathcal{A}$ . An  $\omega$ -word  $w \in \Sigma^\omega$  belongs to  $L(\mathcal{A})$  iff there exists an accepting run of  $\mathcal{A}$  over the word  $w$ .

## 2.2 Generalized Büchi Automaton

A *transition-based Generalized Büchi automaton* (TGBA) is a tuple  $\mathcal{A} = (\Sigma, Q, q_i, \Delta, G)$ , where  $G \subseteq 2^\Delta$  contains sets of accepting conditions and the rest is defined as for TBA. A run of  $\mathcal{A}$  is *accepting* iff it contains infinitely many accepting transitions for each  $\Gamma \in G$ . TBA can be seen as a special case of TGBA with  $|G| = 1$

## 2.3 Breakpoint Automaton

We want to define *slim GFM<sup>1</sup> Büchi automaton* (slim automaton) through its construction which is based on breakpoint construction.

**Construction** Let us fix a Büchi Automaton  $\mathcal{A} = (\Sigma, Q, q_i, \Delta, \Gamma)$ . We want to construct a deterministic automaton  $\mathcal{D}$  such that  $L(\mathcal{D}) \subseteq L(\mathcal{A})$ . We denote  $3^Q$  by the set  $\{(S, S') \mid S' \subsetneq S \subseteq Q\}$  and  $3_+^Q$  by  $\{(S, S') \mid S' \subseteq S \subseteq Q\}$ . We define the notation for the transitions and accepting transitions as  $\delta, \gamma : 2^Q \times \Sigma \rightarrow 2^Q$  with

$$\delta : (S, a) \rightarrow \{q' \in Q \mid \exists q \in S. (q, a, q') \in \Delta\} \text{ and}$$

$$\gamma : (S, a) \rightarrow \{q' \in Q \mid \exists q \in S. (q, a, q') \in \Gamma\}$$

(? Let us note that  $\delta$  and  $\gamma$  are deterministic transitions.)

We define the raw breakpoint transition  $\rho_\Gamma : 3^Q \times \Sigma \rightarrow 3_+^Q$  as

$$\rho_\Gamma((S, S'), a) \rightarrow (\delta(S, a), \delta(S', a) \cup \gamma(S, a))$$

In this construction, we follow the set of reachable states (first set) and the states that are reachable while passing at least one of the accepting transitions (second set). To turn this into a breakpoint automaton, we reset the second set to the empty set when it equals the first; the transitions where we reset the second set are exactly the accepting ones. The breakpoint automaton  $\mathcal{D} = (\Sigma, 3^Q, (q_i, \emptyset), \delta_B, \gamma_B)$  is defined such that, when  $\rho : ((S, S'), a) \rightarrow (R, R')$ , then there are three cases:

1. if  $R = \emptyset$ , then  $\delta_B((S, S'))$  is undefined (or, if a complete automaton is preferred, maps to a rejecting sink),

---

1. Good for Markov decision processes [+zdroj]

2. else, if  $R \neq R'$ , then  $\delta_B((S, S'), a) \rightarrow (R, R')$  is a non-accepting transition,
3. otherwise  $\delta_B, \gamma_B : \delta_B((S, S'), a) \rightarrow (R, \emptyset)$  is an accepting transition.

Breakpoint automata are insufficient to decide all GFM languages. On the other hand, semi-deterministic automata decide superset of such language. We are going to define a few more transitions on top of breakpoint construction which allow us to construct slim automata that decide exactly the class of GFM languages.

## 2.4 Slim Automata Construction

Let us we define transitions  $\gamma_w, \gamma_p : 3^Q \times \Sigma \rightarrow 3^Q$  that promote the second set of a breakpoint construction to the first set as follows.

1. if  $\delta_S(S', a) = \gamma_S(S, a) = \emptyset$ , then  $\gamma_p((S, S'), a)$  and  $\gamma_w((S, S'), a)$  are undefined, and
2. otherwise  $\gamma_p : ((S, S'), a) \rightarrow (\delta(S', a) \cup \gamma(S, a), \emptyset)$  and  $\gamma_w : ((S, S'), a) \rightarrow (\delta(S', a), \emptyset)$

$\mathcal{S} = (\Sigma, 3^Q, (q_i, \emptyset), \Delta_p, \Gamma_p)$  is slim, when  $\Delta_p$  is set of transitions generated by  $\delta_b$  and  $\gamma_p$ , and  $\Gamma_p$  is set of accepting transitions, that is generated by  $\gamma_b$  and  $\gamma_p$ . (proof in text with original definition)

Alternatively, similarly defined using  $\gamma_w$  instead of  $\gamma_p$ , automaton  $\mathcal{W} = (\Sigma, 3^Q, (q_i, \emptyset), \Delta_w, \Gamma_w)$  is slim. (no proof yet)

## 2.5 Slim Automaton Construction Generalized to TGBA

We want to construct a slim automaton from TGBA  $\mathcal{T} = (\Sigma, Q, q_i, \Delta, G)$ . One possibility is to *degeneralize*  $\mathcal{T}$  and to use previously mentioned algorithm in section 2.3. Another way is to extend slim automaton construction to TGBA.

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**extended slim construction** We need to make sure we go infinitely many times through each accepting subset  $g \in G$ . To achieve this, we will go through each subset one by one, using original algorithm. We will keep track of levels ( $:= \{0, 1, \dots, |G| - 1\}$ ) in the names of states. Let  $|G|$  be number of levels and  $i \in N, i < |G|$  the current level. At each level, we look at  $i$ th subset of  $G$ . We use same steps as in classic breakpoint construction, but on each accepting transition the new state will be leveled up to  $(i + 1) \bmod |G|$ , otherwise the target state has the same level. Our new automaton simulates  $\mathcal{T}$ , as it accepts a word iff it cycles through all levels. If  $|G| = 0$ , we return a trivially accepting automaton

We can use the core of previous construction and just to extend it with levels. Let

$$P := 3^Q \times \text{levels} \text{ and } P_+ := 3_+^Q \times \text{levels}$$

We define  $\gamma_i$  similarly like  $\gamma$ , we just use  $\Gamma_i$  instead of  $\Gamma$

$$\text{up}(x) = (x + 1) \bmod |G|$$

We define the raw generalized breakpoint transitions

$$\delta_R : P \times \Sigma \rightarrow P_+ \text{ as } ((S, S', i), a) \rightarrow (\delta(S, a), \delta(S', a) \cup \gamma_i(S, a), j)$$

The generalized breakpoint automaton  $\mathcal{D} = (\Sigma, 3^Q \times \mathcal{N}, (q_i, \emptyset, 0))$  is defined such that, when  $\delta_R : ((S, S', i), a) \rightarrow (R, R', j)$ , then there are three cases:

1. if  $R = \emptyset$ , then  $\delta_B((S, S', i))$  is undefined,
2. else, if  $R \neq R'$ , then  $\delta_B : ((S, S', i), a) \rightarrow (R, R', i)$  is a non-accepting transition,
3. otherwise  $\delta_B, \gamma_B : \delta_B((S, S', i), a) \rightarrow (R, \emptyset, \text{up}(i))$ .

$$\gamma_p : P \times \Sigma \rightarrow P$$

1. if  $\delta(S', a) = \gamma_i(S, a) = \emptyset$ , then  $\gamma_p((S, S', i), a)$  is undefined, and
2. otherwise  $\gamma_p : ((S, S', i), a) \rightarrow (\delta(S', a) \cup \gamma_i(S, a), \emptyset, \text{up}(i))$ .

$\mathcal{S} = (\Sigma, P, (q_i, \emptyset, 0), \Delta_p, \Gamma_p)$  is slim, when  $\Delta_p$  is set of transitions generated by  $\delta_b$  and  $\gamma_p$ , and  $\Gamma_p$  is set of accepting transitions, that is generated by  $\gamma_b$  and  $\gamma_p$ .

## **3 Implementation**

### **3.1 Technologies**

### **3.2 Implementation inside Seminotor**



## **4 Evaluation**

### **4.1 Alternative Algorithm**

hokus pokus

### **4.2 Different Implementation - ePMC**

### **4.3 Semi-deterministic Automata**





## 5 Conclusion

