

# Remodeling the fossil record

analysis of emergent evolutionary and ecological patterns

Peter D Smits

Committee on Evolutionary Biology, University of Chicago

Macroevolution and macroecology

Structured data and modelling emergent patterns

Patterns in survival

- Background extinction and expected differences in species survival

- Interplay between extinction intensity and extinction selectivity

Patterns in functional diversity

- Mammal species pool functional composition

Conclusions and commentary

## Macroevolution and macroecology

### Structured data and modelling emergent patterns

### Patterns in survival

Background extinction and expected differences in species survival

Interplay between extinction intensity and extinction selectivity

### Patterns in functional diversity

Mammal species pool functional composition

### Conclusions and commentary

levels of organisation and emergence

## Definition

- ▶ Macroevolution: study of patterns which emerge when considering the evolutionary history of multiple species.
- ▶ Macroecology: study of patterns which emerge when considering the ecology of multiple species.
- ▶ both in time and space

trait: identifiable property of an organism

species trait: identifiable property of the entire species

functional trait: class of traits describing interaction with environment

Rabosky and McCune 2010 *TREE*

*Species selection is the outcome of heritable variation in speciation and extinction rates among taxa.*

- ▶ avoids selection versus sorting
- ▶ avoids strict species selection vs effect macroevolution
- ▶ no operational structure
  - ▶ species inherit traits
  - ▶ traits affect fitness

## Definition

Expected time till extinction.

(Cooper 1984 *J. Theoretical Biology*)

- ▶ **logic**: if more fit, more likely to be present
- ▶ distribution based definition (population)
- ▶ other definitions can be derived, just expand definition of extinction
  - ▶ lines-of-descent





## Law of Constant Extinction

Extinction risk, in a given adaptive zone, is taxon–age independent.

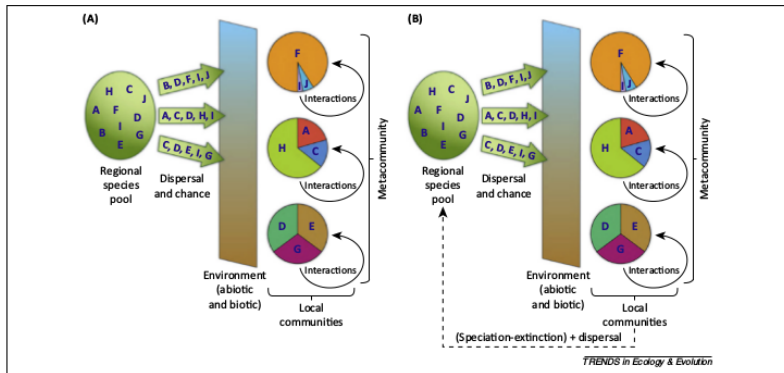
(Van Valen 1973 *Evol. Theory*)

## Survival of the unspecialized

*When related phyla die out . . . more specialized phyla tend to become extinct before less specialized. This phenomenon is also far from universal, but it is so common that it does deserve recognition as a rule or principle in evolutionary studies: **the rule of the survival of the relatively unspecialized.***

(Simpson, 1944, *Tempo and Mode of Evolution*, p. 143)

# Species pool concept



**Figure 1.** Two models of community assembly. **(A)** Local communities comprise a subset of species from the regional species pool that have passed through environmental filters. There is no feedback from the metacommunity (collection of local communities) to the regional species pool. Adapted from [5]. **(B)** Local communities are assembled as in (A), but speciation adds new species to the pool, extinction removes others, and dispersal allows the persistence of species that might otherwise go extinct.

(Mittelbach and Schemske, 2015, *TREE*)

functional composition of species pool

Macroevolution and macroecology

Structured data and modelling emergent patterns

Patterns in survival

Background extinction and expected differences in species survival

Interplay between extinction intensity and extinction selectivity

Patterns in functional diversity

Mammal species pool functional composition

Conclusions and commentary

Probability theory

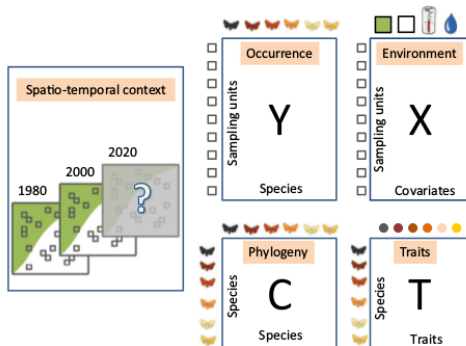
Learning from incomplete information.

statistical model as inference device

engineering approach to analysis: building blocks used to create the device



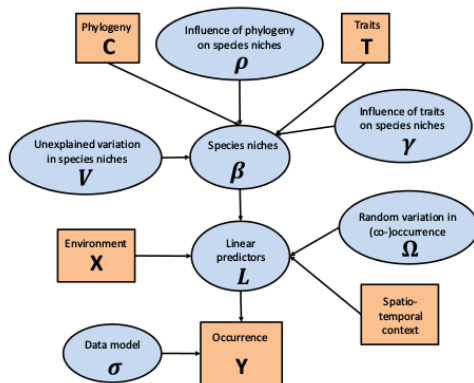
# Structured data in biology and paleontology



**Figure 3** Data typically collected in community ecology. The occurrence data (denoted as the Y matrix) includes the occurrences of the species recorded in a set of temporal and/or spatial sampling units. The environmental data (denoted as the X matrix) consists of the environmental covariates measured over the sampling units. The traits data (denoted as the T matrix) consists of a set of traits measured for the species present in the Y matrix. To account for the phylogenetic dependencies among the species, we can include a fourth matrix consisting of the phylogenetic correlations among the species (denoted as the C matrix). The spatiotemporal context includes location and time information about the samples.

(Ovaskainen *et al.* 2017 *Ecology Letters*)

# Models of structured data



**Figure 4** A graphical summary of the HMSC statistical framework. In this Directed Acyclic Graph (DAG), the orange boxes refer to data, the blue ellipses to parameters to be estimated, and the arrows to functional relationships described with the help of statistical distributions.

(Ovaskainen *et al.* 2017 *Ecology Letters*)

# Models of macroevolution

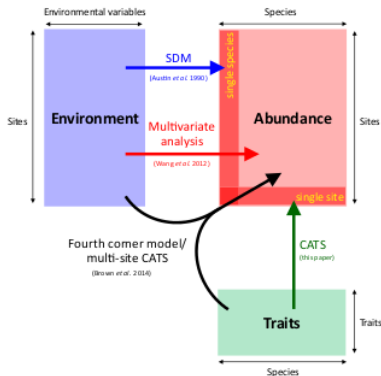
birth-death for diversity

Brownian motion for trait

# Species distribution models

## Goal

Understand relationship between species distribution in space (and time) as function of that species' environmental context or species trait values.



(Warton et al. 2015 *Methods in Ecology and Evolution*)

framework used throughout this dissertation

flexible, expressive, intuitive

using HMC and ADVI as implemented in Stan

Macroevolution and macroecology

Structured data and modelling emergent patterns

Patterns in survival

Background extinction and expected differences in species survival

Interplay between extinction intensity and extinction selectivity

Patterns in functional diversity

Mammal species pool functional composition

Conclusions and commentary

## Motivating questions

- ▶ How do mammal species traits affect extinction risk?
  - ▶ How do shared time of origination or evolutionary history relate to extinction risk?
- ▶ How do my findings compare to current risk factors?
- ▶ Is species extinction risk age-independent?

## Cenozoic mammals of North America



# Relationship between range size and extinction risk

(Harnik and Simpson 2013 *Proc B*)

# Hypotheses of effects of dietary category

# Hypotheses of effects of locomotor category

# Hypotheses of effects of locomotor category

# Survival model diagram

# Pattern of species survival under two models

# Effect of dietary category on extinction risk

# Effect of locomotor category on extinction risk



# Difference in risk between origination cohorts

# Three sources of variance

## Conclusions

- ▶ Survival of the unspecialized as time-invariant generalization.
- ▶ Decrease in extinction risk with time.
  - ▶ Both cohort/temporal and phylogenetic effect.
- ▶ Some incongruence with risk factors in the Recent.
  - ▶ e.g. effect of body size, trophic category, phylogenetic clustering.

## Observation

At K/Pg mass extinction, biological traits (except geographic range) have no effect on **bivalve** taxonomic survival.

(Jablonski, 1986, *Science*)

## Questions and analysis

- ▶ How do the effect of emergent traits on duration (**extinction selectivity**) vary with expected duration (**extinction intensity**)?
- ▶ **Approach:** hierarchical Bayesian survival model; effect estimates vary with origination cohort; correlation btw effects modeled.

# Intensity and selectivity

(ComputerHotline, wikimedia CC BY 2.5; Dwergenpaartje, wikimedia CC BY-SA 3.0)

# Post-Cambrian Paleozoic brachiopod genera and covariates

- ▶ time range approx. 488-252 Mya.
- ▶ stage as time unit; duration measured in stages (2-5 My each)
- ▶ multiple emergent traits analyzed; estimates vary by origination cohort
  - ▶ geographic range
  - ▶ body size
  - ▶ environmental preference ( $v$ ,  $v^2$ )
- ▶ gap statistic as measure of sampling (Foote and Raup 1996  
*Paleobio*)  
imputed for taxa with short durations



# Hierarchical survival model

# Sampling statement for the joint posterior probability

$$\begin{aligned}y_{i,t} &\sim \text{Weibull}(\sigma_{i,t}, \alpha) \\ \log(\sigma_{i,t}) &= \frac{X_i B_{j[i],t} + \delta s_i}{\alpha} \\ B_j &\sim \text{MVN}(\mu, \Sigma) \\ \Sigma &= \text{diag}(\tau) \Omega \text{diag}(\tau) \\ s_i &\sim \text{Beta}(\phi_i, \lambda) \\ \phi_i &= \text{logit}^{-1}(W_i \gamma)\end{aligned}$$

$$\begin{aligned}\mu_{intensity} &\sim \mathcal{N}(0, 5) \\ \mu_{range} &\sim \mathcal{N}(-1, 1) \\ \mu_{envpref} &\sim \mathcal{N}(0, 1) \\ \mu_{envcurve} &\sim \mathcal{N}(1, 1) \\ \mu_{size} &\sim \mathcal{N}(0, 1) \\ \delta &\sim \mathcal{N}(0, 1) \\ \tau &\sim \mathcal{C}^+(1) \\ \Omega &\sim \text{LKJ}(1) \\ \lambda &\sim \text{Pareto}(0.1, 1.5) \\ \gamma &\sim \mathcal{N}(0, 1)\end{aligned}$$

Note: Calculation of log probability of right and left censored observations is modified from the above

# Model adequacy

# Variation in trait effects between cohorts

# Overall effect of environmental preference

# Change in effect of environment between cohorts

## Change in effect of environment between cohorts

## Correlation of effects between cohorts



## Effect summary

- ▶ Effect of geographic range consistent with prior expectations; low variance.
- ▶ No effect of body size; low variance.
- ▶ Epicontinental environmental preference slightly favored on averaged; high variance.
- ▶ Strong support for survival of unspecialized as generalization wrt environmental preference; medium variance.

## Macroevolutionary process

- ▶ Magnitude of effect of geographic range and environmental preference increase with extinction intensity.
- ▶ As extinction risk decreases, the differences between taxa matter less.

Macroevolution and macroecology

Structured data and modelling emergent patterns

Patterns in survival

Background extinction and expected differences in species survival

Interplay between extinction intensity and extinction selectivity

Patterns in functional diversity

Mammal species pool functional composition

Conclusions and commentary

(Smits, 2015, *PNAS*)

(Bambach *et al.*, 2007, *Palaeontology*)

# Fourth-corner modelling problem

(Brown *et al.*, 2014, Methods Ecol. Evol.)

# Covariates of interest

individual-level

(species  $i$  at time unit  $t$ )

- ▶ log-odds of occurrence probability at time  $t$
- ▶ effect of locomotor type
  - ▶ arboreal, digitigrade, plantigrade, unguligrade, fossorial, scansorial
- ▶ effect of dietary type
  - ▶ carnivore, herbivore, insectivore, omnivore
- ▶ effect body size (rescaled log body mass)

group-level (2 My time unit  $t$ )

- ▶ overall mean of log-odds of occurrence probability
- ▶ temperature record based on Mg/Ca estimates
  - ▶ mean and interquartile range of rescaled value
- ▶ plant community phase following Graham 2011

# Paleontological fourth-corner model



# Model and sampling statement definition

$$y_{i,t} \sim \text{Bernoulli}(p_{i,t} z_{i,t})$$

$$p_{i,t} = \text{logit}^{-1}(\alpha_0 + \alpha_1 m_i + r_t)$$

$$r_t \sim \mathcal{N}(0, \sigma)$$

$$\alpha_0 \sim \mathcal{N}(0, 1)$$

$$\alpha_1 \sim \mathcal{N}(1, 1)$$

$$\sigma \sim \mathcal{N}^+(1)$$

$$z_{i,1} \sim \text{Bernoulli}(\phi_{i,1})$$

$$z_{i,t} \sim \text{Bernoulli}\left(z_{i,t-1}\pi_{i,t} + \sum_{x=1}^t (1 - z_{i,x})\phi_{i,t}\right)$$

$$\phi_{i,t} = \text{logit}^{-1}(a_{t,j[i]}^\phi + b_1^\phi m_i + b_2^\phi m_i^2)$$

$$\pi_{i,t} = \text{logit}^{-1}(a_{t,j[i]}^\pi + b_1^\pi m_i + b_2^\pi m_i^2)$$

$$a^\phi \sim \text{MVN}(U\gamma^\phi, \Sigma^\phi)$$

$$a^\pi \sim \text{MVN}(U\gamma^\pi, \Sigma^\pi)$$

$$\Sigma^\phi = \text{diag}(\tau^\phi)\Omega^\phi\text{diag}(\tau^\phi)$$

$$\Sigma^\pi = \text{diag}(\tau^\pi)\Omega^\pi\text{diag}(\tau^\pi)$$

$$\rho \sim \text{U}(0, 1)$$

$$b_1^\phi \sim \mathcal{N}(0, 1)$$

$$b_1^\pi \sim \mathcal{N}(0, 1)$$

$$b_2^\phi \sim \mathcal{N}(-1, 1)$$

$$b_2^\pi \sim \mathcal{N}(-1, 1)$$

$$\gamma^\phi \sim \mathcal{N}(0, 1)$$

$$\gamma^\pi \sim \mathcal{N}(0, 1)$$

$$\tau^\phi \sim \mathcal{N}^+(1)$$

$$\tau^\pi \sim \mathcal{N}^+(1)$$

$$\Omega^\phi \sim \text{LKJ}(2)$$

$$\Omega^\pi \sim \text{LKJ}(2).$$

# Posterior predictive performance

# Probability of ecotype origination

# Probability of ecotype survival

## Group-level effects (plant phase, climate)

# Total species pool diversity and diversification

# Ecotype-specific diversity

# Ecotype-specific origination



# Ecotype-specific extinction

## Concerns and conclusions

- ▶ basic and full models have similar results until Neogene
- ▶ posterior predictive simulations dissimilar to observed; poor model adequacy
  - ▶ previous work has *never* evaluated model adequacy
  - ▶ second-order Markov process?
  - ▶ full posterior inference?
- ▶ decreasing ability to discern arboreal taxa over time (absence/increased rarity)
- ▶ increase in scansorial taxa over time
- ▶ increase in herbivorous taxa over time
- ▶ plant phase has small, idiosyncratic effects

Macroevolution and macroecology

Structured data and modelling emergent patterns

Patterns in survival

Background extinction and expected differences in species survival

Interplay between extinction intensity and extinction selectivity

Patterns in functional diversity

Mammal species pool functional composition

Conclusions and commentary

three studies on emergent patterns and their relation to functional traits

many questions and hypotheses, both domain specific and general

law of constant extinction  
survival of the unspecialized  
functional diversity

spatial data

better models of preservation

better hypotheses of relation between trait and target (ezard example)

# Final thoughts