

Exercise sheet 1

Advanced Algorithms

Master Données et Systèmes Connectés

Master Machine Learning and Data Mining

Amaury Habrard

`amaury.habrard@univ-st-etienne.fr`

LABORATOIRE HUBERT CURIEN, UMR CNRS 5516

Université Jean Monnet Saint-Étienne

`amaury.habrard@univ-st-etienne.fr`

Semester 1

Exercise 1

Since f is $O(g)$, we have for some constants $c > 0$ and $n_0 \geq 0$ such that $\forall n \geq n_0$:

$$f(n) \leq c \times g(n).$$

Dividing both sides by c , we get that $g(n) \geq \frac{1}{c}f(n)$ for all $n \geq n_0$.

So we have for all $n \geq n_0$ the existence of a constant $c' = 1/c$ such that

$$g(n) \geq c' \times f(n),$$

this implies that $g = \Omega(f)$.

Exercise 1 (ctd) - item 1

Since f is $O(g)$, there exist constants $c > 0$ and $n_0 \geq 0$ such that $\forall n \geq n_0: f(n) \leq c \times g(n)$.

Since g is $O(h)$, there exist constants $c' > 0$ and $n'_0 \geq 0$ such that $\forall n \geq n'_0: g(n) \leq c' \times h(n)$.

Now when $n \geq \max(n_0, n'_0)$, we have:

$$f(n) \leq c \times g(n) \leq c \times c' \times h(n)$$

thus f is $O(h)$ using constants $n''_0 = \max(n_0, n'_0)$ and $c'' = c \times c'$.

The proofs for other claims are similar,

Exercise 1 (ctd) - item 2

Since f is $\Omega(g)$, there exist constants $c > 0$ and $n_0 \geq 0$ such that $\forall n \geq n_0: f(n) \geq c \times g(n)$.

Since g is $\Omega(h)$, there exist constants $c' > 0$ and $n'_0 \geq 0$ such that $\forall n \geq n'_0: g(n) \geq c' \times h(n)$.

Now when $n \geq \max(n_0, n'_0)$, we have:

$$f(n) \geq c \times g(n) \geq c \times c' \times h(n)$$

thus f is $\Omega(h)$ using constants $n''_0 = \max(n_0, n'_0)$ and $c'' = c \times c'$.

The 3rd case with θ (item 3), is deduced by the two preceding cases

Exercise 1 (ctd) - item 4

Since f is $O(h)$, there exist constants $c > 0$ and $n_0 \geq 0$ such that $\forall n \geq n_0: f(n) \leq c \times h(n)$.

Since g is $O(h)$, there exist constants $c' > 0$ and $n'_0 \geq 0$ such that $\forall n \geq n'_0: g(n) \leq c' \times h(n)$.

Now when $n \geq \max(n_0, n'_0)$, we have:

$$f(n) + g(n) \leq c \times h(n) + c' \times h(n) = (c + c') \times h(n)$$

thus $f + g$ is $O(h)$ using constants $n''_0 = \max(n_0, n'_0)$ and $c'' = c + c'$.

Exercise 1 (ctd) - item 5

Since g is $O(f)$, there exist constants $c > 0$ and $n_0 \geq 0$ that:
 $g(n) \leq c \times f(n)$.

Then when $n \geq n_0$, we have:

$$f(n) + g(n) \leq f(n) + c \times f(n) = (1 + c) \times f(n)$$

thus $f + g$ is $O(f)$ using constants $n_0'' = n_0$ and $c'' = 1 + c$.

Also, for $n \geq 0$, we have:

$$f(n) + g(n) \geq f(n), \text{ since } g(n) \text{ is a positive function,}$$

thus $f + g$ is $\Omega(f)$ using constants $n_0''' = 0$ and $c''' = 1$.

$f + g$ is both $O(f)$ and $\Omega(f)$, thus $f + g$ is $\theta(f)$.

Exercise 2.1

This is false in general since it could be that $g(n) = 1$ for all n , $f(n) = 2$ for all n , and then $\log_2 g(n) = 0$, whence we cannot write $\log_2 f(n) \leq c \log_2 g(n)$.

On the other hand, if we simply require $g(n) \geq 2$ for all n beyond some n_1 , then the statement holds. Since $f \in O(g)$ implies there exists¹ $c > 1, n_0 \geq 0$ s.t. $f(n) \leq c \cdot g(n)$ for all $n \geq n_0$, we have

$$\begin{aligned}\log_2 f(n) &\leq \log_2 (cg(n)) \\ &= \log_2(c) + \log_2(g(n)) \text{ by a property of } \log \\ &\leq \log_2(c) \times \log_2(g(n)) + \log_2 g(n) = (\log_2(c) + 1)(\log_2(g(n)))\end{aligned}$$

once $n \geq \max(n_0, n_1)$ since $\log_2 g(n) \geq 1$ beyond this point.

We have then found an index $n'_0 = \max(n_0, n_1)$ and a constant $c' = \log_2 c + 1$ allowing us to conclude that $\log_2(f) = O(\log_2(g))$.

¹Note: we must also ensure $\log_2(c) \geq 0$ here, but we can assume $c > 1$ for $O(\cdot)$ relationships without loss of generality since even if a constant between 0 and 1 is considered at first, then a constant greater than one works as well.

Exercise 2.2

This is false: take $f(n) = 2n$ and $g(n) = n$.

Then $2^{f(n)} = 4^n$ while $2^{g(n)} = 2^n$.

If you assume that $2^f \in O(2^g)$, then there exists $c > 0$ and $n_0 \geq 0$ s.t. for every $n \geq n_0$: $4^n \leq c2^n$.

This implies that $2^n \leq c$ (or in other words $n \leq \log_2(c)$) which means that it cannot be true for every n greater than n_0 , thus the claim is false.

Exercise 2.3

This is true. Since $f(n) \leq cg(n)$ for all $n \geq n_0$, we have $(f(n))^2 \leq c^2(g(n))^2$ for all $n \geq n_0$ (you just have to write the definition properly).

Note that the property does not depend on particular f and g provided they are positives and respect $f \in O(g)$:

$$f(n) \leq cg(n)$$

$$f(n)f(n) \leq cg(n)f(n) \quad \text{we multiply both sides by } f(n) \text{ which is positive}$$

$$f(n)^2 \leq c^2g(n)^2 \quad \text{we use } f \in O(g)$$

Exercise 3

First, f_1, f_2, f_4 are easy (they belong to classic functions: exponential, polynomial, logarithm): $f_4 \in O(f_2)$ and $f_2 \in O(f_1)$.

Now for f_3 , it starts to be smaller than 10^n but once $n \geq 10$, then clearly $10^n \leq n^n$. This is exactly what we need for the definition of $O(\cdot)$ (take $c = 1$ and $n_0 = 10$), thus $f_1 \in O(f_3)$.

Now f_5 is a bit more complex. The solution here is to take logarithms to make things clearer. Here $\log_2(f_5(n)) = \sqrt{\log_2 n} = (\log_2 n)^{1/2}$.

For the other functions we have $\log_2(f_4(n)) = \log_2(\log_2(n))$ while $\log_2(f_2(n)) = \frac{1}{3} \log_2(n)$.

Let $z = \log_2 n$, we can see these as functions of z : $\log_2(f_2(n)) = \frac{1}{3}z$, $\log_2 f_4(n) = \log_2(z)$ and $\log_2(f_5(n)) = z^{1/2}$.

Exercise 3 (ctd)

Now it is easier to see what is going on. First, let's compare f_4 and f_5 .

For $z \geq 16$, we have $\log_2(z) \leq \sqrt{z}$ (you can try to do a simple analysis of the function $f(z) = \ln(z)/\ln(2) - \sqrt{z}$, global maximum at $4/\ln(2)^2$)).

But the condition $z \geq 16$ is the same as $n \geq 2^{16} = 65,536$. Thus once $n \geq 2^{16}$, we have $\log_2 f_4(n) \leq \log_2 f_5(n)$, and so $f_4(n) \leq f_5(n)$ since \log_2 is a monotonic increasing function.

Thus we can write $f_4(n) \in O(f_5(n))$.

Exercise 3 (ctd)

Similarly we have $z^{1/2} \leq \frac{1}{3}z$ once $z \geq 9$ (you can do a simple analysis of the function $f(z) = z^{1/2} - \frac{1}{3}z$, global maximum at $9/4$) in other words, once $n \geq 2^9 = 512 = n_0$.

For n above this bound we have $\log_2 f_5(n) \leq \log_2 f_2(n)$ and hence $f_5(n) \leq f_2(n)$, and so we can write $f_5(n) \in O(f_2(n))$.

Essentially, we have discovered that $2^{\sqrt{\log_2 n}}$ is a function whose growth rate lies somewhere between that of logarithms and polynomials.

Exercise 4.1

$$\begin{aligned}T(n) &= T(n-1) + n \\&= T(n-2) + n - 1 + n \\&\vdots \\&= \sum_{i=1}^n i = \frac{n(n+1)}{2}\end{aligned}$$

$\Rightarrow O(n^2)$ (also $\theta(n^2)$)

Note: the master theorem cannot be applied here.

Exercise 4.2

$T(n) = T(n/2) + n$, $T(1) = 0$,
let $n = 2^k$

$$\begin{aligned}T(2^k) &= T(2^{k-1}) + 2^k \\&= T(2^{k-2}) + 2^{k-1} + 2^k \\&\vdots \\&= T(1) + \sum_{i=1}^k 2^i = 2^{k+1} - 2 = 2n - 2\end{aligned}$$

$\Rightarrow O(n)$ (also easy by induction)

By master theorem (1), we find easily the complexity:

$(a = 1, b = 2, d = 1)$

Exercise 4.3

$$T(n) = 2T(n/2) + n^2, \text{ let } n = 2^k$$

$$\begin{aligned} T(2^k) &= 2T(2^{k-1}) + (2^k)^2 \\ &= 2^2 T(2^{k-2}) + 2(2^{k-1})^2 + (2^k)^2 = 2^2 T(2^{k-2}) + 2^{2k-1} + 2^{2k} \\ &\vdots \\ &= 2^k T(1) + \sum_{i=0}^{k-1} 2^k 2^{k-i} \\ &\leq 0 + \sum_{i=0}^k 2^k 2^{k-i} \leq 2^k (2^{k+1} - 1) = 2n^2 - n \end{aligned}$$

[For the last line (1st term), adding the term 2^k is required to use the geometric series property, if we want to have the exact value of the series we should subtract the additional 2^k term which leads to $T(n) = 2n^2 - 2n$]

$$\Rightarrow O(n^2)$$

By master theorem 1, we get also the same complexity ($a = 2, b = 2, d = 2$)

Exercise 4.4

$T(n) = 2T(\sqrt{n}) + \log n$, let $n = 2^k$, then we have
 $T(2^k) = 2T(2^{k/2}) + k$.

By fixing $F(k) = T(2^k)$, we have

$$F(k) = 2F(k/2) + k$$

which is a classic recurrence corresponding to complexity $O(k \log k)$,
thus $T(n) \in O(\log n \log \log n)$.

Exercise 4.5

$T(n) = T(\sqrt{n}) + \log \log n$, let $n = 2^{2^k}$, then
 $T(2^{2^k}) = T(2^{2^{k-1}}) + k$. Let $F(k) = T(2^{2^k})$ then

$$\begin{aligned} F(k) &= F(k-1) + k \\ &= F(k-2) + k-1 + k \\ &\vdots \\ &= F(0) + \sum_{i=1}^k i = 1 + \sum_{i=1}^k i = \frac{k(k+1)}{2} + 1 \end{aligned}$$

Thus $F(k) \in O(k^2)$, thus $T(n) \in O((\log \log n)^2)$.

Exercise 4.5 with master theorem - formulation 1

$T(n) = T(\sqrt{n}) + \log \log n$, let $n = 2^k$, then
 $T(2^k) = T(2^{k/2}) + \log k$. Let $F(k) = T(2^k)$ then

$$F(k) = F(k/2) + \log k$$

We cannot directly use Master Thm 1, but we can consider that $\log k \leq k$ and then work on the upper bound:

$$F(k) = F(k/2) + \log k \leq F(k/2) + k$$

The series $F(k) \leq F(k/2) + k$ can be easily solved by the formulation 1 of the Master Thm with $a = 1$, $b = 2$ and $d = 1$ (corresponding to item 1) which leads to $F(k) \in O(k)$.

Thus $T(n) \in O(\log(n))$ using the fact that $k = \log_2 n$.

This complexity is larger than the one we obtained previously, this is due to the fact we use an upper bound on the original formulation which leads us to a looser result.

Exercise 4.5 with master theorem - formulation 2

For applying the formulation 2 of the Master Thm, we take the same starting point as previously: $T(n) = T(\sqrt{n}) + \log \log n$, let $n = 2^k$, then $T(2^k) = T(2^{k/2}) + \log k$. Let $F(k) = T(2^k)$ then $F(k) = F(k/2) + \log k$.

We will now try to use item 2 of the 2nd formulation to deduce the complexity. For that we need to characterize the function $f(\cdot)$ appearing in the theorem. In our case, we can interpret the last term of the series as $f(k) = \log k = 1 * \log k = k^{\log_2 1} \log k$, remembering that $\log_2 1 = 0$ and thus $k^{\log_2 1} = 1$.

We can then apply the item 2 of formulation 2 and the result of the theorem tells us that $F(k) \in O(k^{\log_2 1} (\log k)^2)$ which is $O((\log k)^2)$. The squared exponent comes from the term $k + 1$ appearing in the theorem (we used the $O(\cdot)$ result but actually we have also the same result with $\theta(\cdot)$). Finally, coming back to our original problem, using our previous assumption that $k = \log_2 n$, we have thus $T(n) \in O((\log \log n)^2)$. We find back here our first result!

Exercise 5

To analyse the running time, let $T(n)$ denote the maximum number of tests the algorithm does for any sets of n chips. The algorithm has two recursive calls on inputs of size $\sim n/2$. and does at most $2n$ tests outside of the recursive calls. So, we get the following recurrence (assuming n is divisible by 2):

$$T(n) \leq 2T(n/2) + 2n,$$

which leads to a complexity $T(n) \in O(n \log n)$.

(use master theorem or similar proof techniques as in Exercise 4)

Exercise 5: in linear time

Pair up all chips and test all pairs of equivalence. If n was odd, one chip is unmatched. For each pair that is not equivalent, discard both chips. For pairs that are equivalent, keep one of the two. Keep also the unmatched chip, if n is odd. We call this subroutine ELIMINATE.

The observation that leads to the linear time is as follows. If there is an equivalence class with more than $n/2$ chips, then the same equivalence class must also have more than half of the chips after calling ELIMINATE.

This is true as when we discard both chips in a pair, then at most one of them can be from the majority equivalence class. One call to ELIMINATE on a set of n chips takes $n/2$ tests and as a result we have only $\leq \lceil n/2 \rceil$ chips left. When we are down to a single chip, its equivalence is the only candidate for having a majority. We test this chip against all others to check if its equivalence has more than $n/2$ elements.

Exercise 5: in linear time

The complexity of the ELIMINATE procedures defines a series where the number of remaining chips is divided by 2 at each step. Let assume $n = 2^k$ for sake of simplicity (otherwise take 2^k as an upper bound for n and you can get the result):

$$\frac{n}{2} + \frac{n}{4} + \dots + \frac{n}{2^k} = \frac{n}{2} \left(1 + \frac{1}{2} + \dots + \frac{1}{2^{k-1}} \right) = \frac{n}{2} \left(\sum_{i=0}^{k-1} \frac{1}{2^i} \right) = \frac{n}{2} \left(\frac{1 - \frac{1}{2^k}}{1 - \frac{1}{2}} \right) = n - 1$$

This previous part bounds the successive calls of ELIMINATE, now we have an additional cost of $n - 1$ for comparing the last chip with the others. Thus the global cost is $2n - 2$ implying linear time $O(n)$. (It can be $3n - 3$ if you need to compare the two final chips).