# Transformers, ChatGPT, Prompt Engineering

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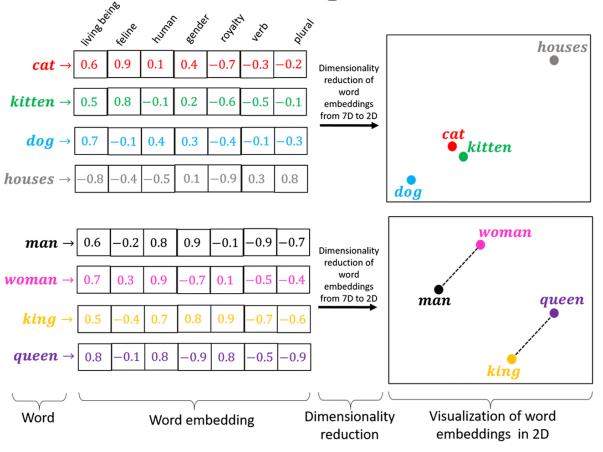
## Transformers

Attention Is All You Need. 2017. Vaswani et al.

## **Embedding Space**

Semantic Meaning

#### Word Embeddings



- Extracting semantic meaning in higherdimensional space
- Number of dimensions depends on use case

Taken from: https://medium.com/@hari4om/word-embedding-d816f643140

#### Transformer Architecture

Summarized with illustrations from

#### Transformers: Overall

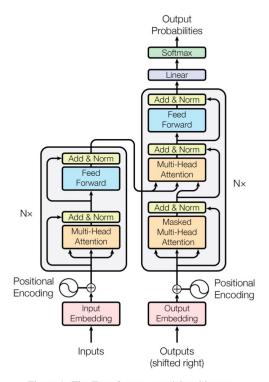


Figure 1: The Transformer - model architecture.

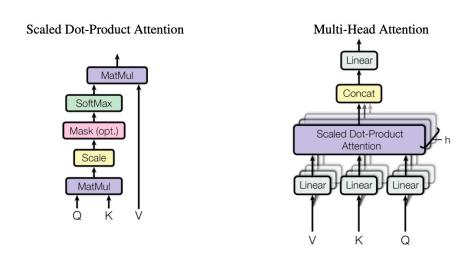


Figure 2: (left) Scaled Dot-Product Attention. (right) Multi-Head Attention consists of several attention layers running in parallel.

$$\operatorname{Attention}(Q,K,V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$

Taken from: Attention is all you need. Vaswani et al. (2017)

#### Encoder-Decoder link

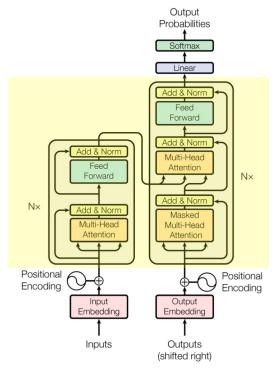
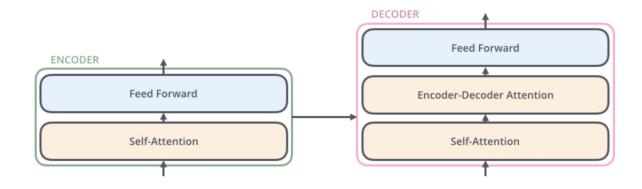


Figure 1: The Transformer - model architecture.

Self-attention in the Encoder & Decoder block,
Then Encoder-Decoder Attention



#### Self-Attention block

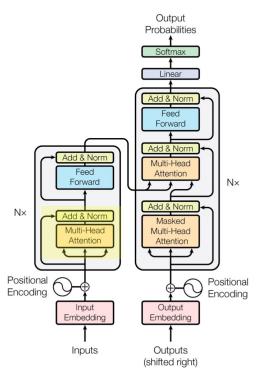
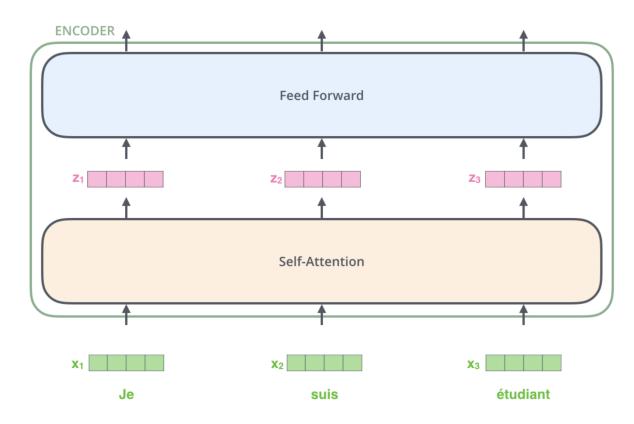


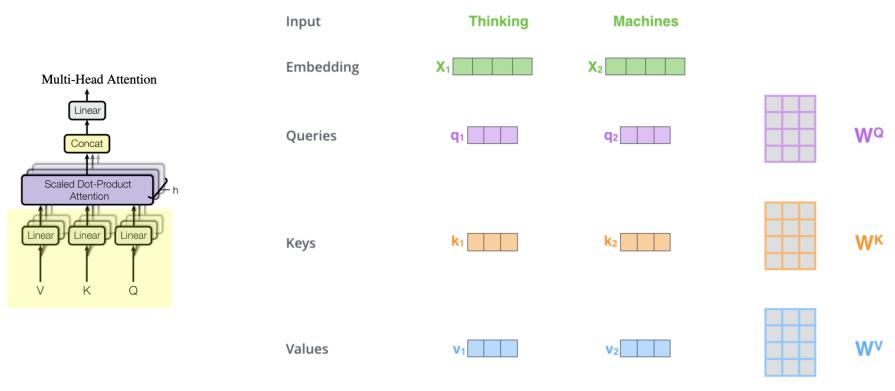
Figure 1: The Transformer - model architecture.

#### Converting input dimension to embedding dimensions



## Generate Embedding Space

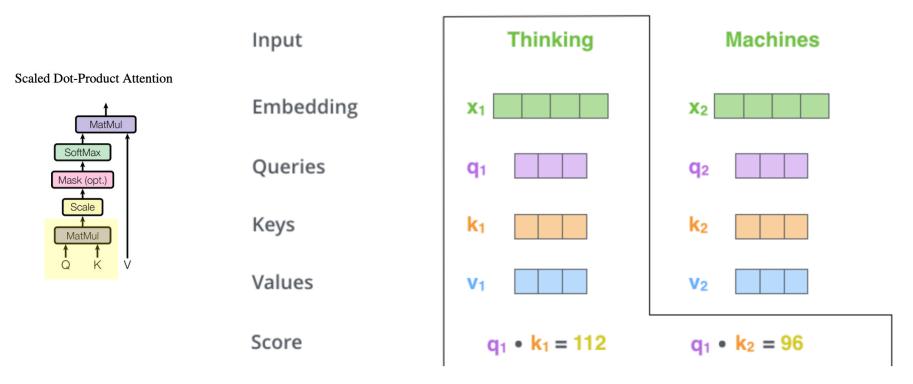
Convert Q, K, V to embedding space dimensions



$$Attention(Q, K, V) = softmax(\frac{QK^T}{\sqrt{d_k}})V$$

#### Dot Product between Q and K

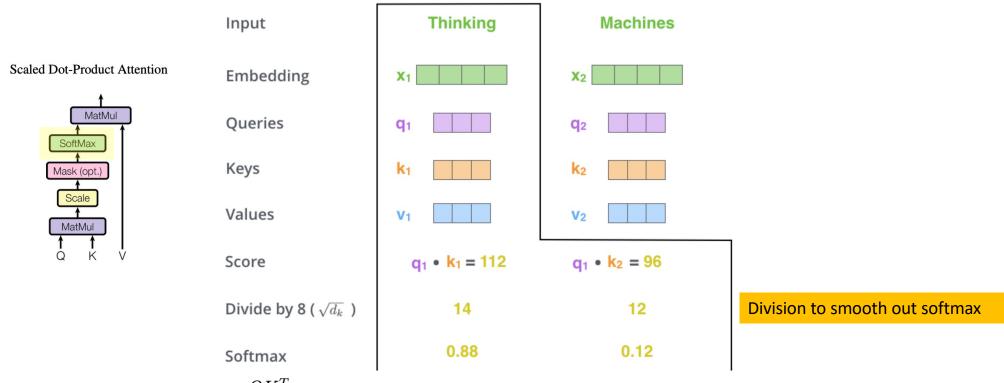
Dot product to measure similarity between embedding vectors of Q and K



 $\operatorname{Attention}(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$ 

#### Softmax the dot product

Softmax to maintain overall magnitude scale of value vectors (softmax sums to 1)

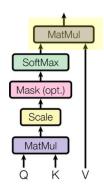


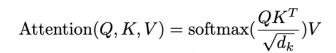
 $\operatorname{Attention}(Q,K,V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$ 

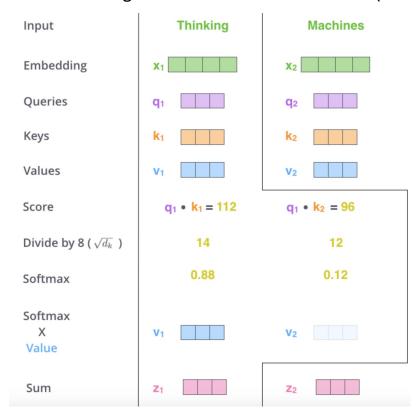
## Sum up the values weighted by softmax

Softmax to maintain overall magnitude scale of value vectors (softmax sums to 1)

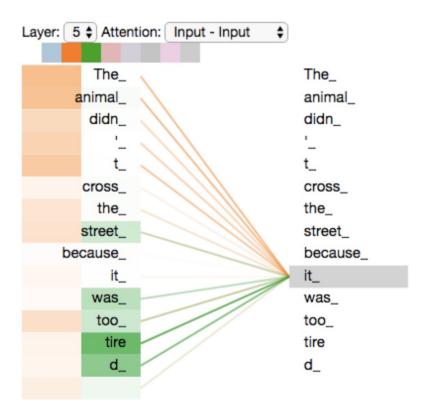








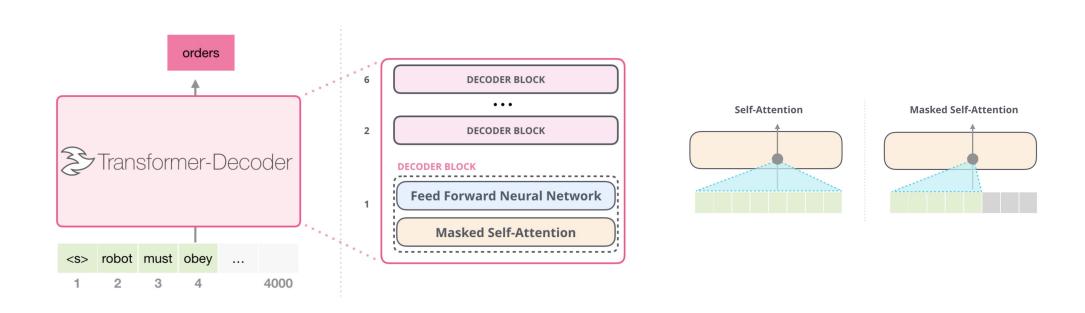
## Visualizing attention heads



## **GPT**

Improving language understanding by generative pre-training. 2018. Radford et al. (GPT paper)

## GPT is decoder-only, with masked attention



Replace encoder memory with context! Fewer weights to learn.

https://jalammar.github.io/illustrated-gpt2/

#### Training

- Unsupervised Pre-training
  - Given a series of earlier words, predict the next one
  - Can be done on any web data without labelling!
  - Example: The cat is on the mat
    - The <masked> : predict cat
    - The cat is <masked> : predict on
    - The cat is on the <masked> : predict mat
- Fine-tuning
  - Given a prompt, predict the sequence of tokens to match the response
  - Done using well-labelled prompt-response datasets

#### Next token loss

Given an unsupervised corpus of tokens  $\mathcal{U} = \{u_1, \dots, u_n\}$ , we use a standard language modeling objective to maximize the following likelihood:

$$L_1(\mathcal{U}) = \sum_{i} \log P(u_i | u_{i-k}, \dots, u_{i-1}; \Theta)$$

$$\tag{1}$$

where k is the size of the context window, and the conditional probability P is modeled using a neural network with parameters  $\Theta$ . These parameters are trained using stochastic gradient descent [51].

- For supervised loss involving an entire output prompt, it is simply the summation of the log probability of each individual output token given all previous tokens
  - E.g. Q: What is twenty plus two? A: Twenty two
  - Next token loss = log P(Twenty| .) + log P(two| .)

#### Next-token generation

 Generates the next token based on sampling the probability distribution at the output layer

- Example:
  - Input: Thinking machines
  - Probability of next tokens: are (80%), were (20%)
  - Output: Thinking machines are
  - Input: Thinking machines are
  - Probability of next tokens: useful (80%), good (20%)
  - Output: Thinking machines are useful

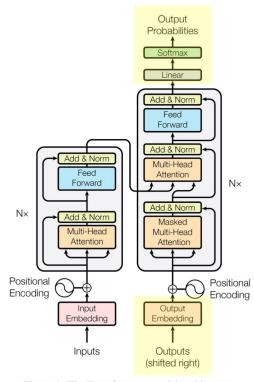


Figure 1: The Transformer - model architecture.

# Prompt Engineering Tips

## LLMs vs Computer Programs (Part 1)



# Print to console
print("Hello, World!")

# Request user input from command line
text = input()

- Customizable with zero-shot / fewshot prompting out of the box
- Needs to be programmed extensively to perform a task
- Performance may not be replicable
- Performance replicable
- Can do intent processing well, even for out of distribution cases
- Intent processing only based on what is programmed in

## LLMs vs Computer Programs (Part 2)



# Print to console
print("Hello, World!")

# Request user input from command line
text = input()

- Inference time lengthy due to need for recursive token generation
- Relatively fast inference time depending on use case

• Costs money per use

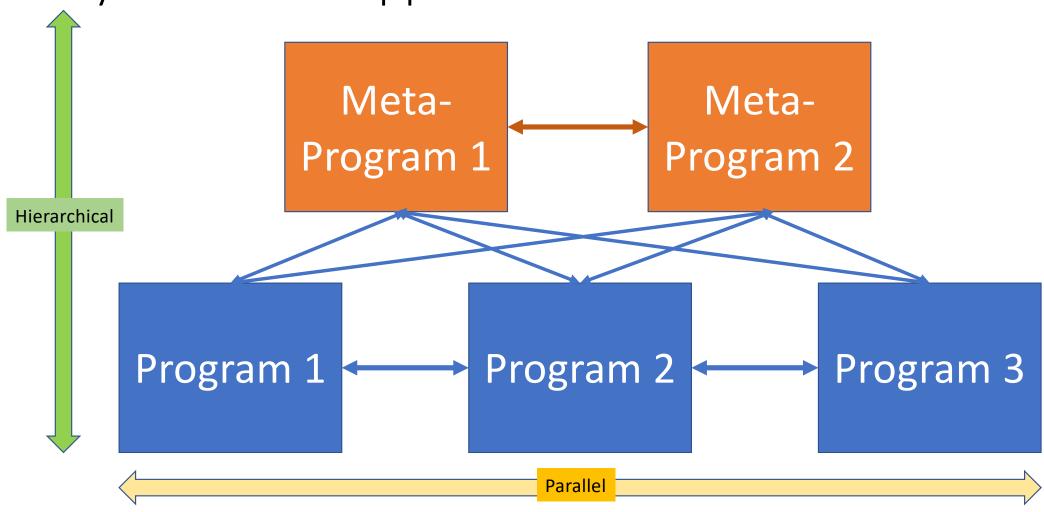
Free to use

- Highly flexible and can accept multiple input formats in multiple languages
- Input malleability limited to what is programmed in

## What is the key benefit of LLMs

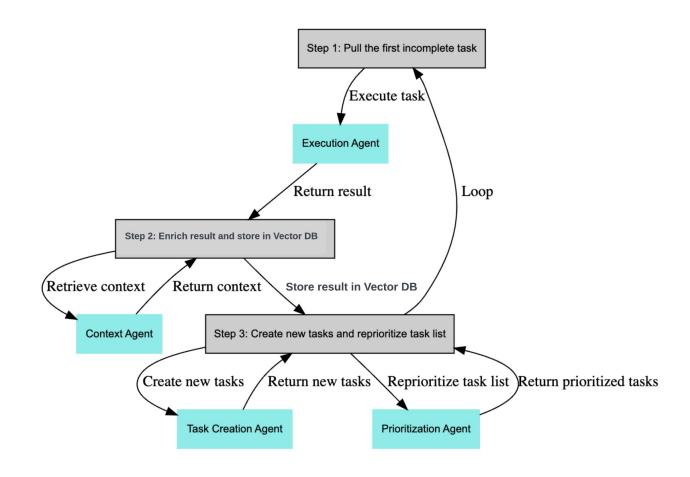
- Flexible
- Can perform most tasks without any pre-training / programming
- If you are specific enough, can get quite reliable and consistent outputs

#### Systems-level approach to LLMs



#### BabyAGI

- Uses GPT4 to in a larger ecosystem to:
  - Create Tasks
  - Execute Tasks
  - Prioritize Tasks
- Uses memory to store and retrieve task/result pairs
  - Stored by vector embeddings



https://github.com/yoheinakajima/babyagi

#### Zero-shot prompting

 Only applicable if natural language can already describe the categories / use case

• Simply describe the categories / use case in natural text

#### Zero-shot prompting

#### • Example:

- You are a classification machine. You are to classify the context of each sentence. The various contexts are given as {Context Letter}: {Description}:
  - A: On a mountain
  - B: In the classroom
  - C: In the garden
- Classify the following and give the answer as {Number}:{Context Letter} for each line. Only provide the context letter without the description:
  - 1. Why, what a steep slope
  - 2. I can't find my eraser!
  - 3. Those plants are not going to be watering themselves, are they?

#### Few-shot prompting

• You are a number classifier. The following are examples of classifications:

• Input 1: 3

• Output 1: Odd

• Input 2: 4

• Ouput 2: Even

• What is the classification of the number 6?

#### How to program intent?

You are <purpose in life>

- Example format (optional):
  - Input: <Input>
  - Output: <Output>

Input: <Your input>

## ChatGPT talks too much, what can I do?

• Give it a format to output information in

• JSON framework provides a good template

#### Fixed JSON Framework

#### Overall Open-ended generation

- system\_prompt: Write in whatever you want GPT to become. "You are a <purpose in life>"
- user\_prompt: The user input. Later, when we use it as a function, this is the function input
- output\_format: JSON format with the key as the output key, and the value as the output description
  - The output keys will be preserved exactly, while GPT will generate content to match the description of the value as best as possible

#### **Example Usage**

#### **Example output**

```
{'Sentiment': 'Positive', 'Tense': 'Present'}
```

https://github.com/tanchongmin/strictjson

# Projects

#### Possible Projects

 ChatBot using Retrieval-Augmented Generation (ask some questions to a document, website, products etc.)

- Game using ChatGPT API + Stable Diffusion/DALL-E API
- Website Crawler using ChatGPT API + Selenium
- Your other projects!

## Web Scraper!

Course Name	Course Website	Broad Course Description	Cost	Time	Venue	Company
Top Free Courses on Large Language Models	https://www.kdnuggets.com/2023/03/top-free- cou	Free courses and resources on large language m	Free	No info	No info	KDnuggets
COS 597G: Understanding Large Language Models	https://www.cs.princeton.edu/courses/archive/f	This course covers cutting-edge research topic	No info	No info	Physical Sherrerd Hall 101	Princeton University
Large Language Models (LLMs) Courses on edX	https://www.databricks.com/blog/enroll-our- new	The Large Language Models (LLMs) Courses on ed	Free for anyone to audit, nominal fee for acce	Courses will begin Summer 2023	Online edX platform	Databricks
Top Resoruces to Learn & Understand Large Lang	https://medium.com/geekculture/top-resoruces- t	Large Language Models (LLMs) have revolutioniz	No info	10 min read	Online https	Medium

https://github.com/tanchongmin/TensorFlow-Implementations/tree/main/Tutorial

#### **Evolution Game**

Outcome: You have chosen the attribute Motile. As a result, you gain the ability to move independently, increasing your chances of finding food and escaping predators. Your simple structure allows you to move using cilia or flagel la, propelling yourself through the water. With this new attribute, you are now more adaptable and have a higher chance of survival in your aquatic pond habitat.

Species: Protozoa

Attribute: Resilient, Motile

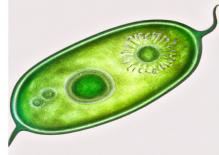
Habitat: Aquatic Pond Phase: Fight a Creature

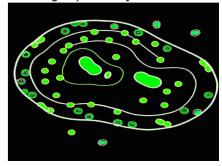
Option 1: Creature: Amoeba — A single-celled organism similar to you, but lacks the motility attribute. It moves by extending pseudopods and engulfs its food through phagocytosis.

Option 2: Creature: Paramecium — Another single—celled organism with motility attribute. It moves using cilia and f eeds on bacteria and other small organisms.

Option 3: Creature: Euglena - A single-celled organism with both motility and photosynthetic attributes. It moves u sing a whip-like tail called a flagellum and can produce its own food through photosynthesis.







Enter your choice

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