

# Spanning trees

Trees are the minimal connected graphs. Spanning trees are minimal subgraphs that contain all the vertices but are connected.

## Definition

Let  $G$  be a connected graph. A *spanning tree* of  $G$  is a subgraph  $T \subseteq G$  such that  $T$  is a tree, and  $T$  contains every vertex of  $G$ .

## Side point: Kirkchoff's Matrix Tree Theorem

Spanning trees of  $K_n$  are the same thing as labelled trees on  $n$  vertices.

As a generalization of Cayley's formula, can compute the number of spanning trees of any graph  $G$  as the determinant of a matrix.

# Weighted graphs

Edges often have a “cost” associated to them – the time, money, or distance of the corresponding route/connection.

## Definition

Weighted graph A *weighted graph* is a graph  $G$  together with a weight function  $w : E(G) \rightarrow \mathbb{R}$ . Normally we assume  $w(e) \geq 0$  for all edges  $e$ .

Weighted graphs are often encoded in tables:

A				
4	B			
5	7	C		
9	8	8	D	
5	5	5	8	E

# Minimal spanning trees

## Motivating problem:

Suppose that the vertices of a weighted graph  $G$  represented cities, and the weight  $w(e)$  of an edge was the cost of building a road between the cities. What's the cheapest way to connect all the cities?

## Definition

Let  $T \subseteq G$  be a spanning tree of a weighted graph. The weight of  $T$  is the total weight of all its edges:

$$w(T) = \sum_{e \in T} w(e)$$

Problem becomes: find the minimal weight spanning tree

Checking every spanning tree too slow:  $K_n$  has  $n^{n-2}$

# Many solutions. Two: Kruskal and Prim

## Loose concept: Greedy Algorithms

A *greedy algorithm* doesn't plan ahead, but just does the best it can at each stage.

## Definition (Kruskal's algorithm)

Start with  $T$  having no edges. Iteratively:

- ▶ Look at cheapest remaining edge  $e$
- ▶ If adding  $e$  to  $T$  creates a loop, discard  $e$
- ▶ Otherwise, add  $e$  to  $T$

Fairly clear: produces a spanning tree

But it's *not* clear this spanning tree is *minimal*.

## Another approach: Prim

Kruskal: a global view, “avoid cycles”

- ▶ Kruskal’s algorithm looks at all edges at start
- ▶  $T$  may be disconnected at intermediate steps

Prim: local view, “build tree”

Start at one vertex and explore out

Definition (Prim’s algorithm)

Start  $T = v$ , a single vertex. Iteratively:

- ▶ Find the cheapest edge  $e = vw$  from  $v \in T$  to  $w \notin T$
- ▶ Add  $e$  and  $w$  to  $T$

Fairly clear: produces a spanning tree

But it’s *not* clear this spanning tree is *minimal*.

# Why do Kruskal and Prim work?

## Exchange principle:

Let  $T$  be a spanning tree of  $G$ , and  $e = xy$  an edge not in  $T$ .  
Then:

- ▶ Unique path  $P$  from  $x$  to  $y$  using only edges of  $T$
  - ▶ If  $f$  any edge in  $P$ , then  $T' = T \setminus f \cup e$  a spanning tree
- i.e., can exchange edges in  $P$  for  $e$ .

## Basic idea of proofs:

- ▶ Let  $T$  be spanning tree produced by algorithm
- ▶ Let  $T_m$  be a minimal spanning tree
- ▶ Transform  $T_m$  to  $T$  edge by edge using exchange principle
- ▶ Show each step is a minimal spanning tree

Key: always add cheapest edge in  $T$  but not  $T_m$ .

# Finding *all* minimal spanning trees

All edges have distinct weights:

- ▶ Never have to make an arbitrary choice
- ▶ Unique minimal weight spanning tree

All edges have the same weight:

- ▶ Any spanning tree is minimal
- ▶ Probably too many to write down

A few edges have repeated weights:

- ▶ Only a few places we have to make a choice
- ▶ Can find them with case by case analysis breaking ties