



# Planning Confident Predictions for Semi-Supervised Learning

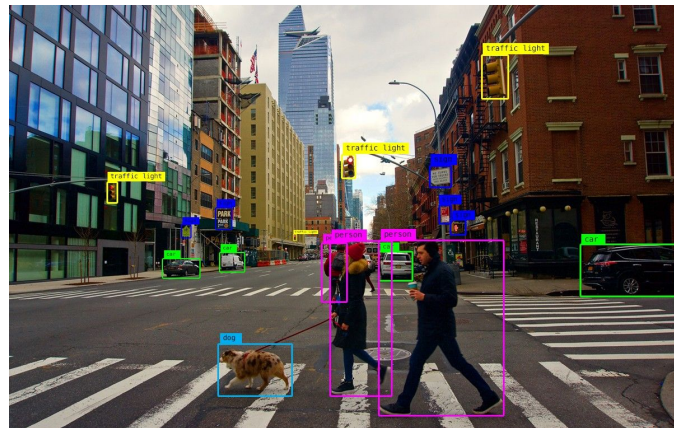
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# Introduction

Deep learning models usually need a lot of labeled data to achieve good results

Data annotation might be expensive and time-consuming if the people annotating the images need to be experts or if the annotation demands a lot of time



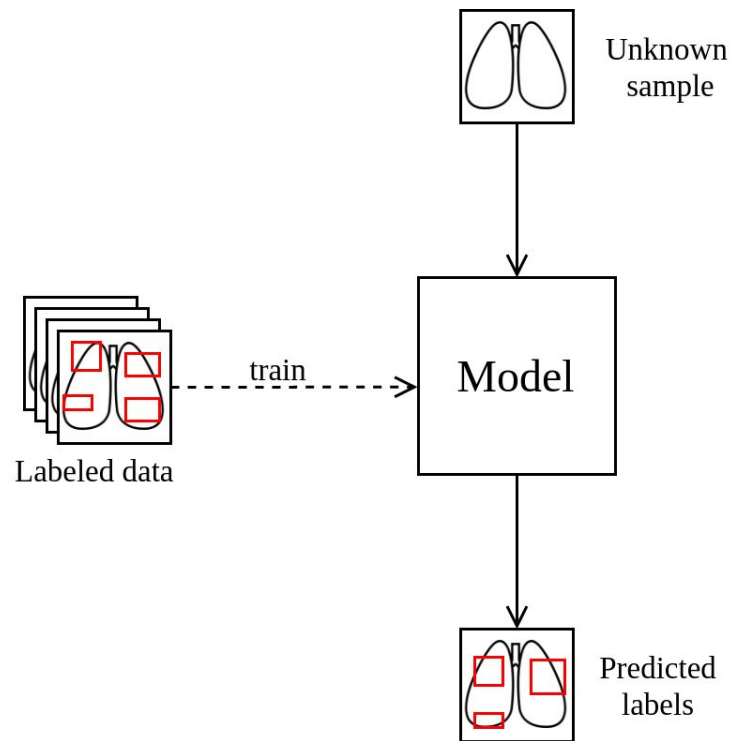


# Introduction

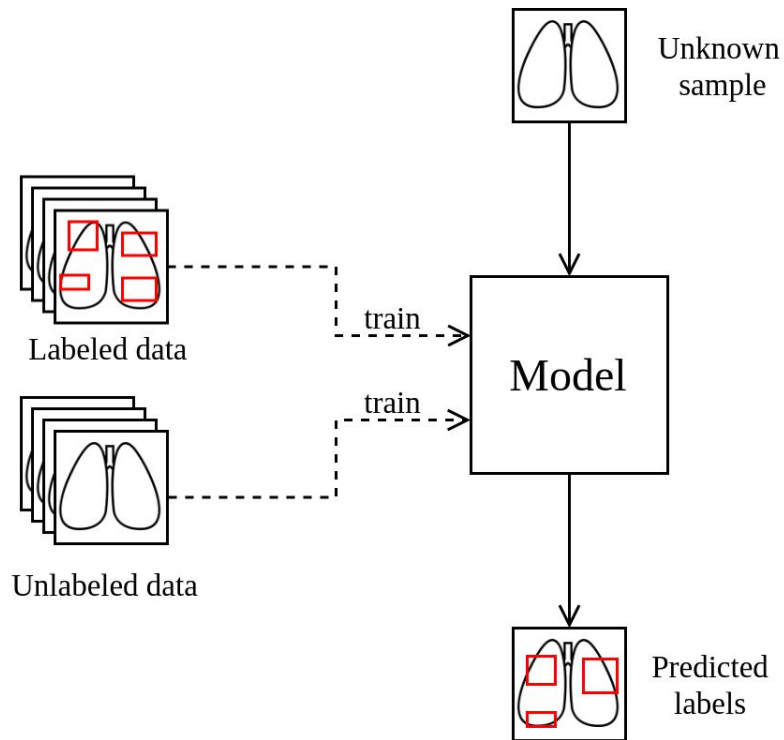
- Recently, SSL methods had a considerable progress<sup>1</sup>
- SVHN dataset (73,257 samples)<sup>2</sup>
  - Supervised (All labels): **2.59% error**
  - UDA<sup>3</sup> (250 labels): **2.72% error**

1. Qi, G.-J.; Luo, J. "Small data challenges in big data era: A survey of recent progress on unsupervised and semi-supervised methods", arXiv preprint arXiv:1903.11260 (2019)
2. Netzer, Y.; Wang, T.; Coates, A.; Bissacco, A.; Wu, B.; Ng, A. Y. "Reading digits in natural images with unsupervised feature learning". (NIPS 2011)
3. Xie, Q., Dai, Z., Hovy, E., Luong, M.-T., & Le, Q. V. "Unsupervised Data Augmentation". (2019)

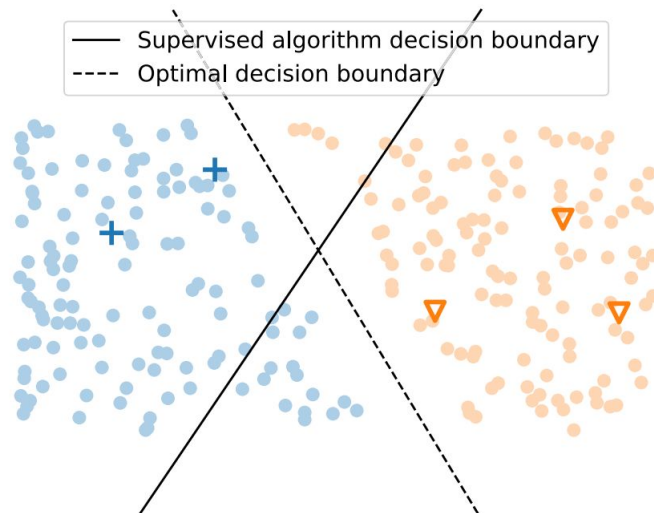
# Supervised learning



# Semi-supervised learning



- Smoothness assumption:
  - Two close samples have the same labels
- Low density assumption:
  - The decision boundary lies in a low density region





## Semi-supervised learning

- Perturbation-based methods include a **consistency loss** term on the optimization function

$$\mathcal{L}_{comb} = \mathcal{L}_{task} + \gamma \mathcal{L}_{cons}$$

- Entropy minimization methods encourage the model to make **confident predictions**



# Unsupervised Data Augmentation (UDA) <sup>1</sup>

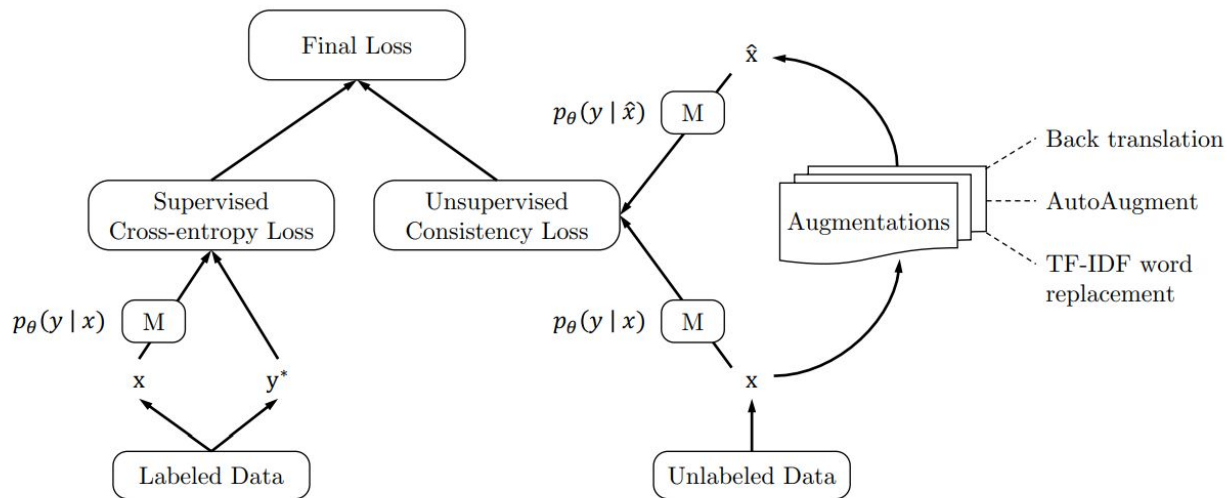
- One model receives strongly augmented and non-augmented data
- The consistency loss is a KL-divergence between both predictions

$$\mathcal{L}_{cons} = \log \frac{m(\phi(u))}{m(u)}$$

1. Xie, Q., Dai, Z., Hovy, E., Luong, M.-T., & Le, Q. V. "Unsupervised Data Augmentation". (2019)



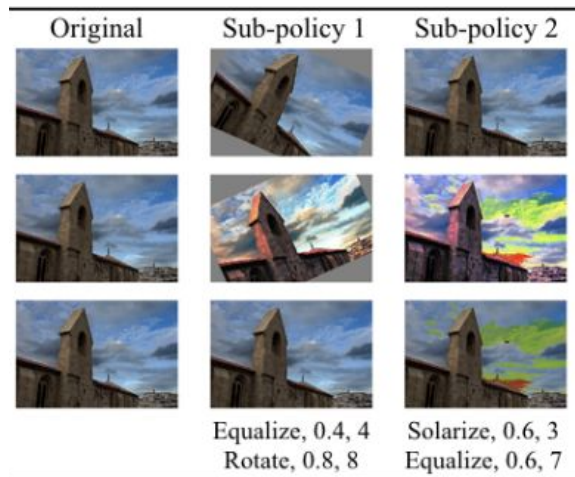
# Unsupervised Data Augmentation (UDA) <sup>1</sup>



1. Xie, Q., Dai, Z., Hovy, E., Luong, M.-T., & Le, Q. V. "Unsupervised Data Augmentation". (2019)

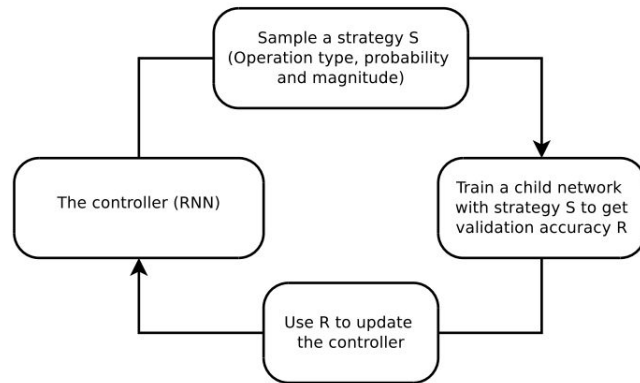
# AutoAugment

- Designs a **search space** for image augmentation
- A policy consists of many **sub-policies**, one is randomly sampled for each image
- A sub-policy consists of two operations (e.g. translation, rotation), their **probability** and **magnitude**



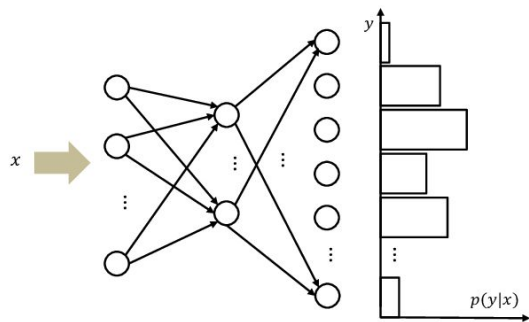
# AutoAugment

- It samples a data augmentation policy  $S$ , containing the operation type, probability and magnitude
- Train a small network using  $S$ , and get the validation accuracy  $R$
- Use  $R$  to update the controller
- Since  $R$  is not differentiable, the controller is updated by policy gradient methods



# Goal

- To develop a novel augmentation technique
- Instead of using the accuracy as the reward, it will reward a maximum output probability score
- This way, it enforces confident predictions and rests on the low-density assumption to achieve a better augmentation policy for semi-supervised learning





## Technical approach

- We aim to develop a Markov Decision Process (MDP) to search optimal augmentation policies.
- In this MDP, the **states** are the probability and magnitude values of each of the 16 image operation functions on the Python Image Library (PIL).



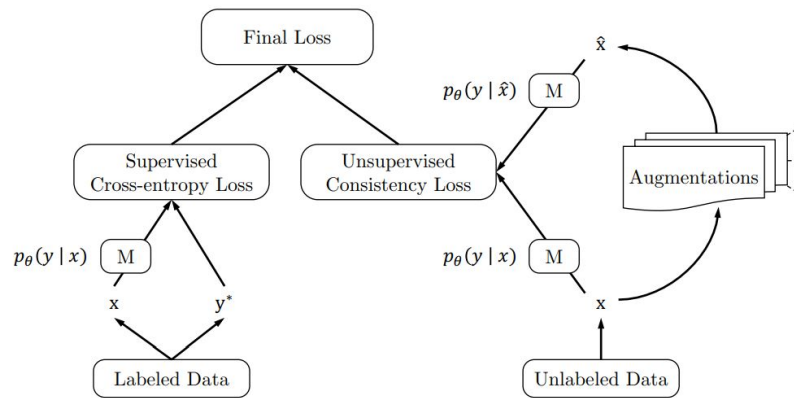
## Technical approach

- The possible **actions** are to lower or increase the values of probability and magnitude of each image transformation function.
- Since we want to increase the confidence of the model, the **reward** would be the sum of the maximum output score probability of the model on a subset of unlabeled data

$$\sum_i^n \max(m(\phi(u_i)))$$

## Technical approach

- This policy can be learned at each iteration of the model, based on a batch of samples
- Or, learned offline on a subset of the data and then used during multiple iterations





## Schedule

- Week 1: The first step of the project is to reproduce the UDA framework using a policy learned by AutoAugment;
- Week 2: Code the proposed MDP environment and study the best search algorithm for the proposed approach;
- Week 3: Implement the search algorithm on the proposed MDP
- Week 4: Include the augmentation policy on the UDA framework, and evaluate the performance of the approach;
- Week 5: Report the results on the final paper.





## Conclusion

- At the end of this work, we expect to develop a novel semi-supervised learning approach that better encourages **both consistency regularization through the UDA framework and entropy minimization through a novel augmentation mechanism**.
- We hope that this method can accomplish better results on semi-supervised learning, helping to advance the state-of-the-art in machine learning with limited supervision.



Thank you