Bias in Mandarin polar questions: An acceptability judgment study

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Academia Sinica FOSS 14 at NTU, Oct. 21, 2022

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pdf file link: https://bit.ly/foss14_chen

Bias in polar questions

(1) a. Is Jane coming?

b. Is Jane **not** coming?

c. Is**n't** Jane coming?

Outer reading: Isn't Jane coming **too**?

Inner reading: Isn't Jane coming **either**?

Positive PQ

Negative PQ: Low

Negative PQ: High

cf. Jane 不是也要來嗎?

Jane 也不來嗎?

- (2) [PQ] = {that Jane is coming, that Jane is not coming}
- While (1)a-c ask about the same thing -- whether or not Jane is coming, as in (2) -- their use conditions are different.
- The truth-conditional semantics for polar questions cannot account for the use conditions of PQs (see Bolinger 1978; Ladd 1981; Gunlogson 2003; Romero and Han 2004; a.o.).

Defining bias

• What's bias?

"differing inferences about the speaker's mental attitude towards the question and its prospective answers" (AnderBois 2019:118)

- Factors: adverbs, minimizers, negations, particles, prosody, context, pragmatics...
- Assumption: Biases are not derived from a single source.

Do biased PQs which appear to utilize a similar strategy form a natural class?

Decomposing bias

- Ingredients of bias
 - Two kinds of evidence one based on speaker belief (SB) and the other on contextual evidence (CE) (Büring and Gunlogson 2000; Sudo 2013)
 - SB: speaker's private belief as well as common knowledge prior to conv. time
 - CE: "evidence that has just become mutually available to the participants in the current discourse situation" (B & G 2000:7)
 - Question intent (or concern):
 - "what exactly the speaker wishes to achieve by asking the question" (Repp & Geist, to appear)
 - "which of the two cells the speaker is interested in pursuing a conversation about" (Romero and Han 2004:642)

Biased PQs in English: Conditions

Based on R&H(2004)		Bias condition	Example
PosPQ		CE: p or absent	Is Jane coming?
Out or	Outer	SB: p	
HiNogDO	Outer	CE: ¬p or absent	Isn't lane seming?
HiNegPQ	Inner	SB: p	Isn't Jane coming?
		CE: ¬p	
LNegPQ		CE: ¬p	Is Jane not coming?

Debates:

- Inner HiNegQ readings are not felicitous for all native speakers (Hartung 2007; Sailor 2013), and some authors argue that HiNegPQs are not ambiguous (AnderBois 2019; Goodhue 2022 ms.).
- Other proposals for the condition of outer HiNegPQs: conflicting evidence (Northrup 2014) or no evidential condition but general pragmatic reasoning (Goodhue 2022 ms.).

Research questions

- To explore the use conditions of 4 types of PQs in Mandarin
 - ma PQs
 - ba PQs
 - bu-shi...ma PQs
 - shi-bu-shi PQs
 - To compare among the PQs:
 - what form-function combination constitutes a unique PQ type?
 - hypothesis: ma PQs, ba PQs, shi PQs
 - differences between them?
 - To compare between Mandarin and English PQs
 - To propose an explanation for the bias
- We conduct two acceptability experiments to identify the bias profile of each type of PQs.

Preview of findings

• ma PQs

• ba PQs

• bu-shi...ma PQs

• shi-bu-shi PQs

The introspective intuitions in the literature

	р та	not- <i>p ma</i>	p ba	shi p ma; bushi p ma
Chao 1968	slight doubt about an affirmative	rhetorical questions	doubtful posed statements	
	answer			
Li & Thompson	neutral or non-		solicit	
1979, 1981, 1984	neutral/biased		agreement	
	contexts		(1981, p.307)	
Tang 1981, 1984	open quesitons	conflict evidence;	higher	speaker has an
		speaker has an	expectation	assumption; focus
		assumption	towards p	
			(1981, p.223)	

Recent focal studies: ma PQs

• Yuan and Hara (2021 ms.): ma PQs are purely conditioned by CE, without involving the speaker's bias.

	Neutral contexts	Contexts biased to- wards p	Contexts biased to- wards ¬p
positive	✓	✓	#
MAQs			
negative	#	#	\checkmark
MAQs			

- 下雨了嗎? 我不覺得\我猜下雨了\我完全不清楚。
- Yuan and Hara analyze ma as a question force head. Semantically, it takes in a proposition, and then puts the set containing this proposition and its negation onto the top of the Table.

Recent focal studies: ba PQs

- ba in declaratives: uncertainty or low commitment on the part of the speaker (Han 1995; Chu 1998; Ettinger and Malamud 2015; Kendrick 2018)
- ba in PQs: solicits a response or confirmation from the hearer (cf. Li and Thompson 1981)
- No examination of the use condition except for Yuan and Li (2019):
 - ba is always chosen over ma when the speaker has a positive bias.
 - The acceptance of ba in negative CE is comparatively lower than in neutral and positive CE.

Recent focal studies: shi PQs

• Ye (2021) shows that *shi-bu-shi* PQs carry an epistemic conjecture about *p* but "the epistemic bias is **not a prior belief**" (p.357):

Biased context: Zhangsan is curious about Lisi's research interests. In the library, he finds that Lisi is borrowing some books on pragmatics.

Q: ni shi-bu-shi xihuan yuyongxue? A: dui/meicuo/shide/#xihuan you SHI-not-SHI like pragmatics right/#like

'Is it pragmatics that you like?'

'Yes, you are right./#Yes, I do.'

- He didn't examine contexts with conflicting evidence.
- Ye argues that *shi-bu-shi* PQs presupposes that *p* is a possible complete answer to the current QUD. How does this proposal extend to other *shi*-marked PQs?

Hypothesis for Mandarin PQs

		Bias condition	English	Mandarin	
PosPQ		CE: p or absent	Is Jane coming?	Jane要來嗎?	
	Outer	SB: p		12000 工日五本正7	
LINIAADO	Outer	CE: ¬p or absent		Jane不是要來嗎?	
HNegPQ	Inner	SB: p	Isn't Jane coming?		
		CE: ¬p		Jane不要來嗎?	
LNegPQ		СЕ: ¬р	Is Jane not coming?		
		?		Jane要來吧?	
		?		Jane是不是要來?	

• 8 types of PQs

有很早的班次嗎?/有很早的班次吧?/不是有很早的班次嗎?/是不是有很早的班次? 沒有很早的班次嗎?/沒有很早的班次吧?/不是沒有很早的班次嗎?/是不是沒有很早的班次?

• 9 contexts: 2 variables (CE & SB), each with 3 levels (NEG, NEUT, POS) (Modelling Domaneschi et al. 2017)

請問你認為在這樣的情境下,黃色對話框問「是不是有很早的班次?」是否合適? 合適 / 不合適 請簡要說明你為什麼覺得這個問句「合適」或「不合適」:_____ 請提供你自己會使用在這個情境的問句:______(optional)

• Each PQ was judged by 30 native speakers (20-30y; balanced sex ratio); 90 participants in total; recruited online anonymously.

1. 你住在台北,明天一大早要到高雄出差,但 是你不知道從台北出發的高鐵有沒有很早的 班次…



图片支撑: https://ec-illest.com/be/sig-an/813982/NESNASNBINEENRINEPNESNSINAS-NEPNBINIANESNESNESNESNESNASNAS

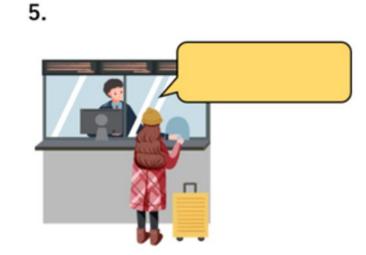
2. 你想起你哥有搭過早上的高鐵,於是你打電話給他…



3. 你決定直接去高鐵站詢問…



明天早上最早還有位 的車是11點唷。



Experiment 1: Results

Link to the <u>numbers</u> and <u>statistical</u>
<u>results</u> modelled with logistic regression

ma PQ:

- CE significantly influences the use of ma PQs.
 - Positive and negative CE reduce the use of p ma, while neutral CE increases it.
 - Why does positive CE reduce the use of p ma?
 - Positive and negative CE increase the use of not-p ma, while neutral CE reduces it.
 - Why does positive CE increase the use of not-p ma?
- SB is in general not significant.

ma PQs

Why does positive CE reduce the use of p ma (有很早的車嗎)?
 Comments suggest: (i) alternative phrase; (ii) p is entailed.

	Positive SB	Neutral SB	Negative SB
positive CE	 「更早」較好 38% 6 am. 已經很早 29%	 「更早」較好 60% 6am. 已經很早 23%	• 「更早」較好 44% • 6am. 已經很早37%

- Why does positive CE increase the use of not-p ma (沒有很早的車嗎)?
 - Comments show that positive CE is reinterpreted as negative: saying「六點有車」implies that no earlier trains before 6 am., so not-p ma is felicitous.

positive CE	positive SB	neutral SB	negative SB	
positive CE	是問「更早」86%	是問「更早」92%	是問「更早」71%	

Experiment 1: Results

Link to the <u>numbers</u> and <u>statistical</u> results modelled with logistic regression

ba PQ

- Positive SB increases the use of p ba and reduces the use of not-p ba.
- Neutral contexts significantly reduce the use of not-p ba.
- Positive and negative CE reduce the use of p ba.

ba PQs

• Why do positive/negative CE reduce the use of p ba (有很早的車吧)?

	Positive SB	Neutral SB	Negative SB
	• 已得到答案 44%	• 已得到答案 48%	• 已得到答案 62%
Positive CE	• 「更早」39%	• 「更早」45%	• 「更早」13%
	• 語氣不適合 17%		
	• 「更早」53%	• 語氣不適合 48%	• 已得到答案 65%
Negative CE	• 已得到答案 27%	• 已得到答案 19%	• 語氣不適合 15%
	• 語氣不適合 13%	• 「更早」14%	

- Ba PQs are mostly accepted in neutral contexts.
 - Speaker may import their own assumption or common knowledge.
 - Yet this effect is not seen with the other PQs, suggesting that ba is epistemic.

Experiment 1: Results

BS PQ

- Positive SB increases the use of BS p ma.
- Positive and negative CE increase the use of BS p ma, while neutral CE reduces it.
 - But BS p ma usually requires negative CE. Why does positive CE increase the use of BS p ma?
- BS p ma is best accepted in the context of positive SB and negative CE, but also accepted in that of negative SB and negative CE.
- BS not-p ma is best accepted in the context of negative SB and positive CE.

BS PQs

- Why does positive CE increase the use of BS p ma (不是有很早的車嗎)?
 - Positive CE is reinterpreted as negative: saying「六點有車」implies that no earlier trains before 6 am.

	Positive SB	Neutral SB	Negative SB
很早=更早	93%	93%	60%

- Why is BS p ma (不是有很早的車嗎) accepted in negative SB & negative CE?
 - NEG SB = 「七點前沒車」 & NEG CE = 「最早的車是十點」
 - Most comments (52%) suggest that this context is reinterpreted as POS SB (there are trains between 7 and 10 am.) & NEG CE.
 - A matter of common sense: normally, there are trains before 10 am.

Experiment 1: Results

SBS PQ

- Positive and negative CE significantly reduce the use of SBS p, while NEUT CE increases it.
 - Why does positive CE significantly reduce the use of SBS p?
- SBS p is best accepted in the context of positive SB and neutral CE.
- Negative CE increases the use of SBS not-p, while positive CE reduces it.
- SBS not-p is best accepted in the context of neutral SB and negative CE.

SBS PQs

Why does positive CE significantly reduce the use of SBS p (是不是有很早的車)?
(i) alternative phrase; (ii) p is entailed.

	positive SB		neutral SB		negative SB
•	「更早」53%	•	已得到答案 53%	•	已得到答案 75%
•	已得到答案 32%	•	「更早」47%	•	「更早」 25%

Experiment 1: Discussion

- No fillers; not randomized
- When an answer to PQs is entailed, there'd no need to ask the PQs.
- The interpretive flexibility of degree adjectives interferes.
- Every type of PQs is largely subject to conversation goal, showing that pragmatic principle plays a role (van Rooy and Šafárová 2003).
- However, each type of PQs has a different bias profile:
 - o ma: CE only
 - ba: speaker's attitude
 - BS: conflicting evidence
 - SBS: Both SB and CE seem relevant.

Pragmatic utility

Van Rooy and Šafárová (2003)

- Pragmatic utility -- the information value of a proposition -- explains biases in PQs.
 - Type 1: The conversation goals of the speaker

After learning q, it is more likely that one reaches a desirable world than when its negation is true.

- pleas or requests: Can you help me carry these boxes?
- invitation: Do you want something to drink?
- conversation starters: Do you like to play golf?
- Type 2: Informativity: the likelihood of some proposition being true
 The information value of q being higher than the information value of its negation.

 Information is more useful if it would trigger a major revision of the previous belief state.
 - *Is it raining?*
 - Negative PQs

Experiment 1: an exploration of the bias profile

- The contexts are centered around a conversational goal
- positive goal

Experiment 2: a follow-up

- contexts on informativity
- controlling for:
 - positive vs. negative conversational goal in ma PQs
 - authority effect in ba PQs
 - contradiction vs. non-contradiction contexts for BS PQs
 - positive and neutral CE/SB for SBS PQs

		p ma	not-p ma	p ba	not-p ba	BS p ma	SBS p
1 neu SB, neu CE	goal: p	Х	Х	x			X
	goal: not-p	X	Х				
2 neu SB, pos CE		Х	Х	x			X
3 neu SB, neg CE		Х	Х	x			
4 pos SB, neu CE	neutral	Х	Х	x		x	х
4 pos 36, fieu CE	suggestion					X	X
5 pos SB, pos CE		Х	Х	x		X	X
	no authority	Х	Х	x	X	х	Х
6 pos SB, neg CE	authority: A			x	X	X	
	authority: S			x	X	Х	
7 neg SB, neu CE		Х	Х	x			х
8 neg SB, pos CE		Х	Х	X			Х
9 neg SB, neg CE		Х	Х	X		X	

		p ma	not-p ma	p ba	not-p ba	BS p ma	SBS p
1 neu SB, neu CE	goal: p	Х	Х	Х			X
	goal: not-p	X	Х				
2 neu SB, pos CE		Х	Х	Х			X
3 neu SB, neg CE		Х	Х	Х			
4 pos SB, neu CE	neutral	Х	Х	X		X	X
4 pos 3b, fieu CE	suggestion					Х	х
5 pos SB, pos CE		Х	Х	Х		X	Х
	no authority	Х	Х	Х	Х	Х	х
6 pos SB, neg CE	authority: A			Х	Х	X	
	authority: S			Х	Х	Х	
7 neg SB, neu CE		Х	Х	Х			X
8 neg SB, pos CE		Х	Х	Х			X
9 neg SB, neg CE		Х	Х	Х		X	

		p ma	not-p ma	p ba	not-p ba	BS p ma	SBS p
4 60 65	goal: p	X	X	Х			X
1 neu SB, neu CE	goal: not-p	x	X				
2 neu SB, pos CE		X	X	X			X
3 neu SB, neg CE		X	X	X			
A nos SP nou CE	neutral	X	X	X		X	X
4 pos SB, neu CE	suggestion					X	X
5 pos SB, pos CE		X	X	X		X	X
	no authority	X	x	Х	Х	x	X
6 pos SB, neg CE	authority: A			Х	Х	X	
	authority: S			Х	Х	x	
7 neg SB, neu CE		X	X	X			X
8 neg SB, pos CE		X	X	X			X
9 neg SB, neg CE		X	X	X		X	

		p ma	not-p ma	p ba	not-p ba	BS p ma	SBS p
1 neu SB, neu CE	goal: p	X	X	Х			X
	goal: not-p	X	X				
2 neu SB, pos CE		X	X	X			X
3 neu SB, neg CE		X	X	X			
4 pos SB, neu CE	neutral	X	X	x		x	x
	suggestion					X	X
5 pos SB, pos CE		X	X	X		X	X
	no authority	X	x	Х	Х	Х	X
6 pos SB, neg CE	authority: A			Х	Х	Х	
	authority: S			Х	Х	Х	
7 neg SB, neu CE		X	X	х			X
8 neg SB, pos CE		X	X	x			X
9 neg SB, neg CE		X	X	x		X	

		p ma	not-p ma	p ba	not-p ba	BS p ma	SBS p
1 neu SB, neu CE	goal: p	X	X	X			X
	goal: not-p	x	X				
2 neu SB, pos CE		X	X	X			X
3 neu SB, neg CE		х	x	X			
4 pos SB, neu CE	neutral	x	x	x		Х	Х
	suggestion					Х	X
5 pos SB, pos CE		X	X	X		Х	Х
	no authority	X	x	X	X	Х	Х
6 pos SB, neg CE	authority: A			X	X	Х	
	authority: S			X	X	X	
7 neg SB, neu CE		X	X	X			X
8 neg SB, pos CE		X	X	X			X
9 neg SB, neg CE		X	X	X		Х	

		p ma	not-p ma	p ba	not-p ba	BS p ma	SBS p
1 neu SB, neu CE	goal: p	X	X	X			X
	goal: not-p	x	X				
2 neu SB, pos CE		X	X	X			X
3 neu SB, neg CE		Х	x	X			
4 pos SB, neu CE	neutral	x	X	x		Х	Х
	suggestion					Х	Х
5 pos SB, pos CE		X	X	X		Х	х
	no authority	X	x	X	X	X	X
6 pos SB, neg CE	authority: A			X	X	X	
	authority: S			x	X	X	
7 neg SB, neu CE		X	X	X			X
8 neg SB, pos CE		X	X	X			X
9 neg SB, neg CE		x	X	X		X	

		p ma	not-p ma	p ba	not-p ba	BS p ma	SBS p
1 neu SB, neu CE	goal: p	X	X	X			Х
	goal: not-p	X	X				
2 neu SB, pos CE		X	X	X			Х
3 neu SB, neg CE		X	X	X			
4 pos SB, neu CE	neutral	X	x	x		x	Х
	suggestion					X	Х
5 pos SB, pos CE		X	X	X		X	Х
	no authority	X	x	X	X	X	Х
6 pos SB, neg CE	authority: A			X	X	X	
	authority: S			x	X	X	
7 neg SB, neu CE		X	X	X			Х
8 neg SB, pos CE		X	X	X			Х
9 neg SB, neg CE		X	X	X		X	

		p ma	not-p ma	p ba	not-p ba	BS p ma	SBS p
1 neu SB, neu CE	goal: p	X	X	X			X
	goal: not-p	x	x				
2 neu SB, pos CE		X	X	X			X
3 neu SB, neg CE		X	X	X			
4 pos SB, neu CE	neutral	X	X	X		Х	Х
	suggestion					Х	Х
5 pos SB, pos CE		X	X	X		Х	X
6 pos SB, neg CE	no authority	X	x	X	X	Х	Х
	authority: A			X	X	Х	
	authority: S			x	X	x	
7 neg SB, neu CE		X	X	X			X
8 neg SB, pos CE		X	X	X			X
9 neg SB, neg CE		X	X	X		X	

- 6 types of PQs
- 13 contexts:
 - 9 context: 2 variables (CE & SB), each with 3 levels (NEG, NEUT, POS)
 - positive vs. negative goal in context 1 (NEUT & NEUT)
 - purely neutral vs. absence of negative CE in context 4 (pos SB & neu CE)
 - no authority vs. speaker vs. addressee in context 6 (pos SB & neg CE)
- Each type of context is exemplified by three scenarios.

Link to the questionarie questions

• Each PQ was judged by 15 native speakers (20-30y); 90 participants in total; recruited online anonymously.

你印象中張三喜歡小狗,因為他常在臉書上分享小狗的圖片。今天你們一群人出去玩,路上 有人牽著一隻狗經過,張三立刻彈開,你跟旁邊的朋友說: 「______」 請考慮以下幾個問句使用在**此情境中**的合適度。 張三不是喜歡狗嗎? 非常合適 合適 不合適 非常不合適

無法判斷

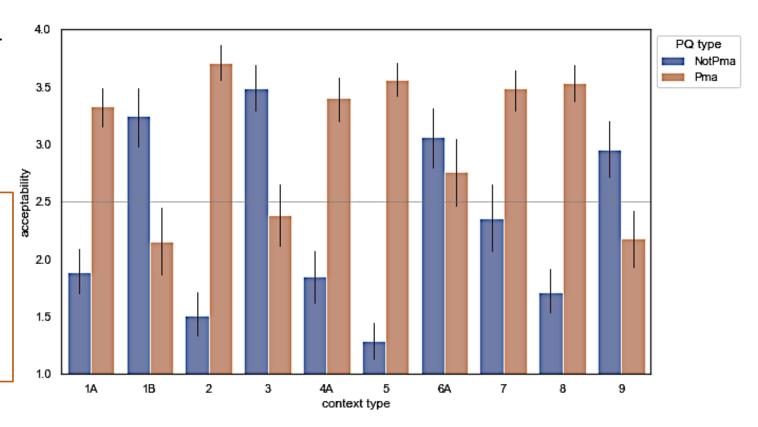
Results: p ma vs. not-p ma (1)

• Except **context 6A**, *p ma* and not*p ma* are in complementary distribution.

你印象中張三喜歡閱讀各種書籍,包括文學類。今天你們一群同學去圖書館,你發現張三借了各類的書籍,但沒有借任何文學的書,你好奇問身邊的同學:

張三喜歡文學嗎? 2.7 goa

張三不喜歡文學嗎? 2.5 informativity



Results: p ma vs. not-p ma (2)

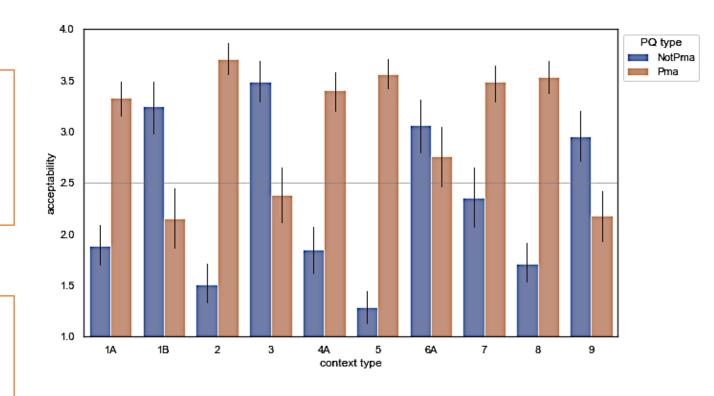
- SB plays no role in p ma.
 - 4, 5: positive vs. 7, 8: negative

你聽說張三是社會組,不愛數理科目。今天你們一群同學去圖書館,你發現他借了很多數學的書,你問旁邊的同學: 張三喜歡數學嗎?3.3

#張三不喜歡數學嗎? 1.7
Conversation goal influ

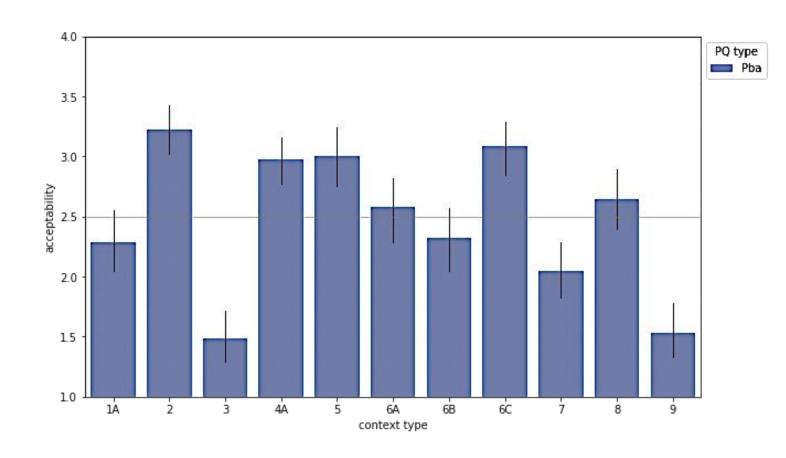
 Conversation goal influences ma PQs: 1A vs. 1B

你走在路上,抬頭看到牆壁上的廣告看板:「_____,試試我們的團體心理治療。」 #你的小孩注意力充足嗎?2.0 你的小孩注意力不足嗎?3.7



Results: p ba

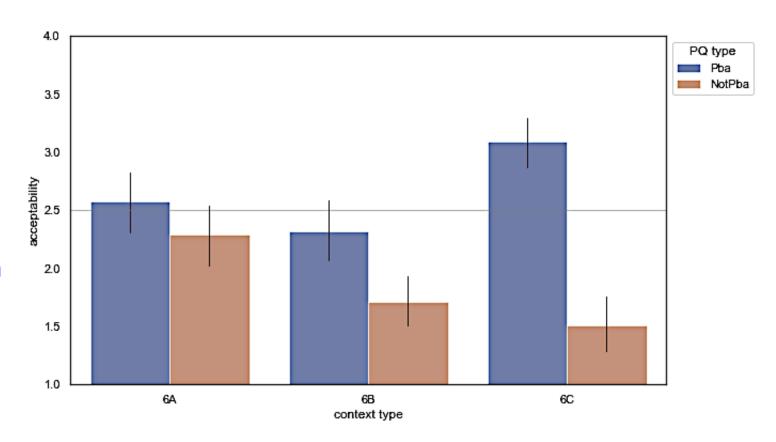
- p ba is good as long as there's a positive SB or CE: 2, 4, 5, 6C, 8
 - Both SB and CE matter.
- 6 and 8 are contradiction scenarios.
 - 6: positive SB & negative CE
 - 8: negative SB & positive CE
- *P ba* is better accepted in 6C than 6A & 6B, where the speaker is the authority.



Results: p ba vs. not-p ba

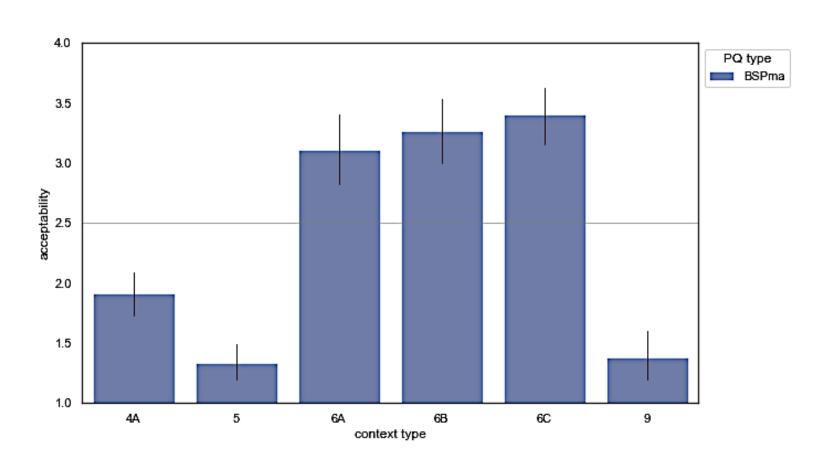
- Not-p ba is in general not accepted in three sub-contexts of 6.
- There is significant difference between 6A vs. 6B&C.
 - 6A: no authority difference
 - 6B: Addressee > speaker

Speaker can shift their belief from *p* to not-*p* and check not-*p* with the addressee.



Results: BS p ma (1)

- *BS p ma* is significantly accepted in contradiction scenarios.
 - A high negation PQ!



Results: BS p ma (2)

- BS p ma is only accepted in so-called suggestion context.
 - High negation PQs require conflicting evidence (supporting Northup 2014).

4. Positive SB, Neutral CE

A: purely neutral CE

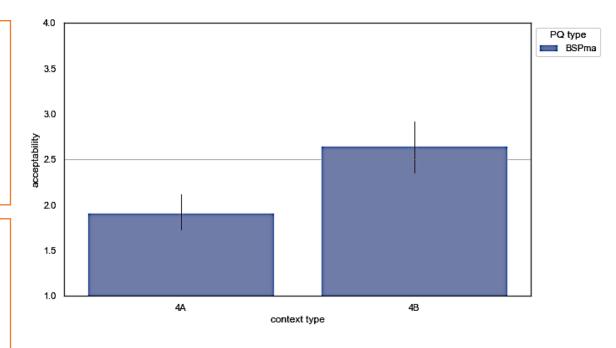
一個朋友跟你聊上週末去張三家開派對的情況。你不知 道還有誰去,只知道李四是張三的好朋友,猜想他應該 有受邀,所以你問你的朋友:

李四不是有去嗎? 1.7

4. Positive SB, Neutral CE

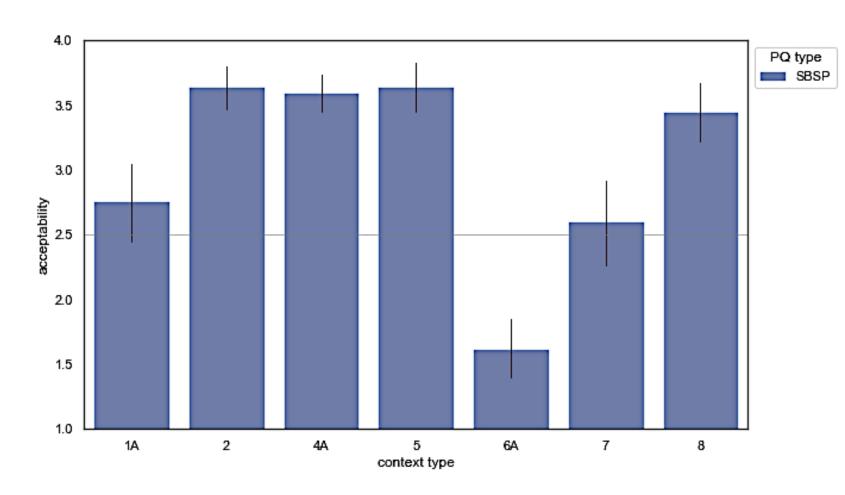
B: absence of negative CE / suggestion

你們在討論一篇文章要請誰來審查。主編說:「我想要 找比較有經驗的審查人。」。你剛好知道幾位有經驗的 審查人,你建議:「______,他會是不錯的人選。」 王院士不是幫我們審查過文章嗎? 3.1



Results: SBS p

 SBS p is accepted as long as there is positive SB/CE: 2, 4, 5, 8, except for 6 (pos SB & neg CE).



Results: SBS p vs. BS p ma

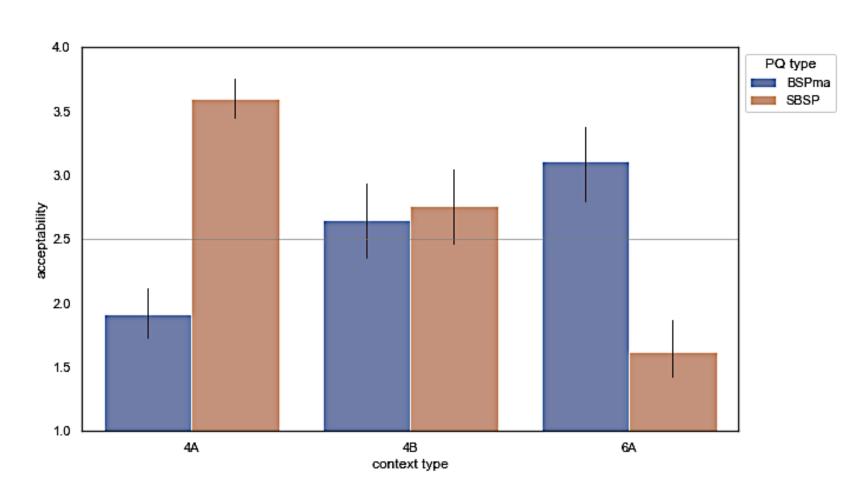
• Compare 4A and 6:

4A: positive SB & **neutral CE**

6: positive SB & negative CE

SBS p competes with BS p ma!

 No significant difference between SBS p and BS p ma in 4B.



4. Positive SB, Neutral CE

A: purely neutral CE

一個朋友跟你聊上週末去張三家開派對的情況。 你不知道還有誰去,只知道李四是張三的好朋友, 猜想他應該有受邀,所以你問你的朋友:

#李四不是有去嗎? 1.7

李四是不是有去?3.5

6. Positive SB, Negative CE

你印象中張三喜歡小狗,因為他常在臉書上分享小狗的圖片。今天你們一群人出去玩,路上有人牽著一隻狗經過, 張三立刻彈開,你跟旁邊的朋友說:

張三不是喜歡狗嗎?3.7

#張三是不是喜歡狗? 1.5

Results: SBS p vs. p ba

8. Negative SB, Positive CE

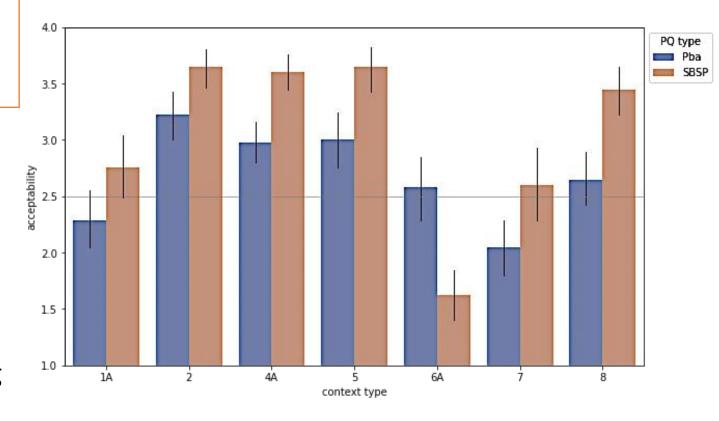
你印象中張三一直是單身。有一天你在路上看到 他和一位女生很親密。你問他的好朋友:

?張三有女朋友吧? 2.6

張三是不是有女朋友? 3.6

Possibilities

- It's not easy to change your prior assumption, so p ba is infelicitous for some speakers.
 - *ba* PQs are less accepted in contradiction contexts.
- It's perfect to check CE upon confronting the info, so SBS p is felicitous.



8. Negative SB, Positive CE

你印象中張三一直是單身。有一天你在路上看到他和一位女生很親密。 你問他的好朋友:

?張三有女朋友吧? 2.6 張三是不是有女朋友? 3.6

Possibilities

- It's not easy to change your prior assumption, so *p ba* is infelicitous for some speakers.
 - ba PQs are less accepted in contradiction contexts.
- It's perfect to check CE upon confronting the info, so SBS p is felicitous.

Experiment 2: Summary of the results

ma PQs:

- <u>Link</u> to the comparison plots of results and the details of T-test for significant difference
- are neutral PQs that are subject to pragmatic utility.
- Contextual conditions (Yuan and Hara 2021) can be explained by pragmatics too.

ba PQs:

 Both SB and CE influence the use of ba PQs, but less accepted in contradiction contexts.

bu-shi p ma:

Always requires conflicting evidence.

shi-bu-shi p:

• Is sensitive to either positive SB or CE, and compete with BS p ma.

Implicaitons

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