

# On commutativity, total orders, and sorting

Wind Wong<sup>1</sup>   Vikraman Choudhury<sup>2</sup>   Simon J. Gay<sup>1</sup>

<sup>1</sup>University of Glasgow

<sup>2</sup>Università di Bologna and OLAS Team, INRIA

February 14, 2024

# Motivation

- ▶ The goal is to study free monoids and free commutative monoids.
- ▶ We created a framework to formalize different algebraic structures, free algebras and their universal properties.
- ▶ Univalent type theory gives us higher inductive types, which allows us to reason with commutativity and equations of algebras. (No setoid hell!)
- ▶ Using the framework, we study the relationship between sorting and total orders.

# Homotopy Type Theory

Homotopy Type Theory extends intensional MLTT and allows us to reason with equivalences more powerfully.

- ▶ Function extensionality ( $\forall x. f(x) = g(x) \rightarrow f = g$ )
- ▶ Quotient types (via higher inductive types)
- ▶ Mere propositions
- ▶ Equalities between types (via univalence)

# Homotopy Type Theory

Function extensionality ( $\forall x. f(x) = g(x) \rightarrow f = g$ )

- ▶ MLTT by itself does not have function extensionality
- ▶ It has to be added as an axiom (we lose canonicity!)

# Homotopy Type Theory

Function extensionality ( $\forall x. f(x) = g(x) \rightarrow f = g$ )

- ▶ MLTT by itself does not have function extensionality
- ▶ It has to be added as an axiom (we lose canonicity!)
- ▶ funExt can be derived as a theorem in HoTT

# Homotopy Type Theory

## Quotient types

- ▶ In MLTT we can only emulate quotient types with setoids
- ▶ We need to prove functions are setoid homomorphisms when defining a function
- ▶ A lot of proof obligation

# Homotopy Type Theory

## Quotient types

- ▶ In MLTT we can only emulate quotient types with setoids
- ▶ We need to prove functions are setoid homomorphisms when defining a function
- ▶ A lot of proof obligation
- ▶ HoTT lets us define quotient types directly with HITs (no more setoid hell!)

# Homotopy Type Theory

## Mere propositions

- ▶ In MLTT we don't have a distinction between sets and propositions (both are types)
- ▶ We might end up needing a stronger theorem to prove a proposition
- ▶ E.g. existential proofs are done with  $\Sigma$ -types, requiring us to construct the element



# Homotopy Type Theory

## Mere propositions

- ▶ In MLTT we don't have a distinction between sets and propositions (both are types)
- ▶ We might end up needing a stronger theorem to prove a proposition
- ▶ E.g. existential proofs are done with  $\Sigma$ -types, requiring us to construct the element
- ▶ HoTT allows us to have types that are "mere propositions"
- ▶ E.g. existential proofs can be done with propositionally truncated  $\Sigma$ -types (mere existence)
- ▶ We can use mere existential proofs to prove other propositions, even if we don't have the specific element

# Homotopy Type Theory

## Equalities between types

- ▶ In MLTT we don't have equalities between types
- ▶ HoTT gives us equalities between types by the univalence axiom
- ▶ E.g. given  $A, B : \mathcal{U}, P : \mathcal{U} \rightarrow \mathcal{U}, A = B$ , we can get  $P(B)$  from  $P(A)$  by substitution

# Homotopy Type Theory

## Equalities between types

- ▶ In MLTT we don't have equalities between types
- ▶ HoTT gives us equalities between types by the univalence axiom
- ▶ E.g. given  $A, B : \mathcal{U}, P : \mathcal{U} \rightarrow \mathcal{U}, A = B$ , we can get  $P(B)$  from  $P(A)$  by substitution

## Definition

Given types  $A$  and  $B$ ,  $A$  is equivalent to  $B$  ( $A \simeq B$ ) if there exists an equivalence  $A \rightarrow B$ . A function  $f$  is said to be an equivalence if  $\left( \sum_{g:B \rightarrow A} (f \circ g \sim \text{id}_B) \right) \times \left( \sum_{g:B \rightarrow A} (g \circ f \sim \text{id}_A) \right)$ .

## Univalence axiom

$$(A = B) \simeq (A \simeq B)$$

# Homotopy Levels

$$\text{isContr}(A) := \sum_{(a:A)} \prod_{(x:A)} (a = x).$$

$$\text{isProp}(A) := \prod_{(x,y:A)} (x = y).$$

$$\text{isSet}(A) := \prod_{(x,y:A)} \text{isProp}(x = y).$$

$$\text{isGroupoid}(A) := \prod_{(x,y:A)} \text{isSet}(x = y).$$

$$\begin{aligned} \text{is2Groupoid}(A) &:= \prod_{(x,y:A)} \text{isGroupoid}(x = y). \\ &\vdots \end{aligned}$$

# Homotopy Levels

H-Level 0: Contractible types

$$\text{isContr}(A) := \sum_{(a:A)} \prod_{(x:A)} (a = x).$$

There exists a center of contraction such that all elements of  $A$  equals to the center of contraction.

Examples:

- ▶ **1** (Unit type)

All contractible types are equivalent to **1**!

# Homotopy Levels

H-Level 1: Mere propositions

$$\text{isProp}(A) := \prod_{(x,y:A)} (x = y).$$

All elements of  $A$  are equal to each other.

Examples:

- ▶ **1** (Unit type)
- ▶ **0** (Void type)

All h-propositions are equivalent to either **1** or **0**.

A type that represents a proposition should be an `hProp`.

E.g. mere existential proofs can be done with a truncated  $\Sigma$ -type.  
( $\Sigma$ -type wrapped in a HIT that makes all its elements equal.)

# Homotopy Levels

## H-Level 2: Sets

$$\text{isSet}(A) := \prod_{(x,y:A)} \text{isProp}(x = y).$$

The identity type of  $A$  is a proposition. All elements of  $x = x$  are equal.

Examples:

- ▶  $\mathbf{1}, \mathbf{0}$
- ▶  $\mathbb{N}$
- ▶  $\text{hProp}(\sum_{A:\mathcal{U}} \text{isProp}(A))$

For the scope of the project, this is primarily what we are working with!

# Homotopy Levels

## H-Level 2: Sets

$$\text{isSet}(A) := \prod_{(x,y:A)} \text{isProp}(x = y).$$

In a type theory with K-axiom / uniqueness of identity proof (UIP), all types are h-sets.

E.g. Idris2 lets us prove UIP by pattern matching:

```
uip: (A : Type) → (x, y : A) → (p, q : x = y) → p = q
uip A x x Refl Refl = Refl
```

We can embed extensional type theory into HoTT with h-sets.



# Homotopy Levels

## H-Level 3: Groupoids

$$\text{isGroupoid}(A) := \prod_{(x,y:A)} \text{isSet}(x = y).$$

The identity type of  $A$  is a set.

Examples:

- ▶ Any type that satisfies  $\text{isSet}$ ...
- ▶  $\text{hSet} (\sum_{A:\mathcal{U}} \text{isSet}(A))$

Consider  $\mathbb{B} : \text{hSet}$ , univalence gives us two  $\mathbb{B} = \mathbb{B}$  generated by  $\text{id}$  and  $\text{not}$ .

These two equalities are not equal!

# Homotopy Levels

$$\text{isContr}(A) := \sum_{(a:A)} \prod_{(x:A)} (a = x).$$

$$\text{isProp}(A) := \prod_{(x,y:A)} (x = y).$$

$$\text{isSet}(A) := \prod_{(x,y:A)} \text{isProp}(x = y).$$

$$\text{isGroupoid}(A) := \prod_{(x,y:A)} \text{isSet}(x = y).$$

$$\begin{aligned} \text{is2Groupoid}(A) &:= \prod_{(x,y:A)} \text{isGroupoid}(x = y). \\ &\vdots \end{aligned}$$

# Higher Inductive Types

```
data List (A : Type) : Type where
```

```
  [] : List A
```

```
  _::_ : A → List A → List A
```

```
-- Swap list / Finite Multiset as HIT
```

```
data FMSet (A : Type) : Type where
```

```
  [] : FMSet A
```

```
  _::_ : (x : A) → (xs : FMSet A) → FMSet A
```

```
  comm :  $\forall x y xs \rightarrow x :: y :: xs \equiv y :: x :: xs$ 
```

```
  trunc : isSet (FMSet A)
```

```
-- alternatively
```

```
-- trunc : (x y : FMSet A) → (p q : x ≡ y) → p ≡ q
```

# Higher Inductive Types

```
data FMSet (A : Type) : Type where
  []      : FMSet A
  _::__   : (x : A) → (xs : FMSet A) → FMSet A
  comm    : ∀ x y xs → x :: y :: xs ≡ y :: x :: xs
  trunc   : isSet (FMSet A)
  -- alternatively
  -- trunc : (x y : FMSet A) → (p q : x ≡ y) → p ≡ q

_+_ : ∀ (xs ys : FMSet A) → FMSet A
[] ++ ys = ys
(x :: xs) ++ ys = x :: xs ++ ys
comm x y xs i ++ ys =
  -- proof x :: y :: (xs ++ ys) ≡ y :: x :: (xs ++ ys)
trunc xs zs p q i j ++ ys =
  -- proof cong (_++ ys) p ≡ cong (_++ ys) q
```

# Higher Inductive Types

*-- Set quotient*

**data**  $\_/\_$  (A : Type) (R : A  $\rightarrow$  A  $\rightarrow$  Type) : Type **where**

[\_] : (a : A)  $\rightarrow$  A / R

eq/ : (a b : A)  $\rightarrow$  (r : R a b)  $\rightarrow$  [ a ]  $\equiv$  [ b ]

squash/ : (x y : A / R)  $\rightarrow$  (p q : x  $\equiv$  y)  $\rightarrow$  p  $\equiv$  q

**data Perm** {A : Type} : List A  $\rightarrow$  List A  $\rightarrow$  Type **where**

perm-refl :  $\forall$  {xs}  $\rightarrow$  Perm xs xs

perm-swap :  $\forall$  {x y xs ys zs}

$\rightarrow$  Perm (xs ++ x :: y :: ys) zs

$\rightarrow$  Perm (xs ++ y :: x :: ys) zs

*-- Swap list as quotient*

**FMSet** : Type  $\rightarrow$  Type

**FMSet** A = List A / Perm

# Cubical Type Theory

- ▶ The project is done in Cubical Agda, an implementation of Cubical Type Theory
- ▶ Cubical Type Theory is a variant of HoTT that preserves computational content for proofs
- ▶ Univalence is not postulated and can be computationally derived
- ▶ Axioms are designed to preserve canonicity, using univalence won't destroy computational content of a proof