

SUPPLEMENTAL DATA FILE 3a.

DEFINITIONS FOR VALUE DOMAIN TYPES AND SPECIFIC VALUES

Cause of Death Definitions

| | |
|--|---|
| Due to or Related to Cancer | Cancer (1) is the underlying cause of death OR (2) appears elsewhere in the chain of morbid events leading directly to death For example, death due to cerebral herniation due to glioblastoma would be "due to or related to cancer." |
| Due to or Related to Cancer Treatment | Cancer treatment appears anywhere in the chain of morbid events leading directly to death. For example, death due to heart failure due to left ventricular dysfunction due to treatment with doxorubicin due to small cell lung cancer would be "due to or related to cancer treatment" (as well as "due to or related to cancer"). |
| Neither Due to nor Related to Cancer or Cancer Treatment | Neither cancer nor cancer treatment appears in the chain of events leading directly to death |

Ethnicity and Race Definitions from OMB Directive 15 (Revised)

| | |
|---|---|
| Hispanic or Latino or Spanish Origin | A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. |
| American Indian or Alaska Native | A person having origins in any of the original peoples of North and South America (including Central America) and who maintain tribal affiliation or community attachment. |
| Asian | A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam. |
| Black or African American | A person having origins in any of the black racial groups of Africa. |
| Native Hawaiian or Other Pacific Islander | A person having origins in any of the original peoples of Hawaii, Guan, Samoa, or other Pacific Islands. |
| White | A person having origins in any of the original peoples of Europe, the Middle East, or North Africa. |

Summary Stage Definitions

(Adapted From NCI SEER Training Modules)

| | |
|------------|--|
| Localized | A localized cancer is a malignancy limited to the organ of origin; it has spread no farther than the organ in which it started. There is infiltration past the basement membrane of the epithelium into the functional part of the organ, but there is no spread beyond the boundaries of the organ. |
| Regional | Regional stage refers to tumor extension beyond the limits of the organ of origin. Although the boundary between localized and regional tumor extension is usually well-identified, the boundary between regional and distant spread is not always clear. So, regional stage is perhaps the broadest category as well as the most difficult to properly identify |
| Metastatic | Distant metastases are tumor cells that have broken away from the primary tumor, have traveled to other parts of the body, and have begun to grow at the new location. |

SUPPLEMENTAL DATA FILE 3b.

EXPANDED LIST OF CANCER SITES AND HISTOLOGY

The initial dropdown menu would have the 30 highest level options. Each of those highest level options would expand to the second level choices that would be the actual entries for the cancer site elements. Each of the second level choices corresponds to an ICD-O-3 Topographical Code. Each of the second level choices can further be expanded to select histologic/morphological type for elements that require that information (an example has been provided for "lip"). Each of the third level choices corresponds to an ICD-O-3 Morphological Code.

| | | <u>ICD-O-3 Topographical Code</u> | <u>ICD-O-3 Morphological Code</u> |
|----------|---|---|---|
| 1 | <u>None</u> | | |
| | None | | |
| 2 | <u>Unknown</u> | | |
| | Unknown | | |
| 3 | <u>Oral Cavity & Pharynx</u> | | |
| | Lip | C00 | |
| | Neoplasm | | 800 |
| | Carcinoma, NOS | | 801 |
| | Carcinoma, Undifferentiated, NOS | | 802 |
| | Giant & Spindle Cell Carcinoma | | 803 |
| | Papillary Carcinoma, NOS | | 805 |
| | Squamous Cell Carcinoma, NOS | | 807 |
| | Lymphoepithelial Carcinoma | | 808 |
| | Adenocarcinoma, NOS | | 814 |
| | Adenoid Cystic & Cribriform Carcinoma | | 820 |
| | Bronchio-Alveolar Adenocarcinoma | | 825 |
| | Papillary Adenocarcinoma, NOS | | 826 |
| | Mucoepidermoid Carcinoma | | 843 |
| | Mucinous Adenocarcinoma | | 848 |
| | Nevi & Melanomas | | 872 |
| | Amelanotic Melanoma | | 873 |
| | Malignant Melanoma in Junctional Nevus | | 874 |

| | | | |
|----------|---|------------|-----|
| | Epithelioid Cell Melanoma | | 877 |
| | Mixed Tumor, Malignant, NOS | | 894 |
| | Kaposi Sarcoma | | 914 |
| | Follicular & Marginal Lymphoma, NOS | | 969 |
| | Lymphoid Leukemia, NOS | | 982 |
| | Base of Tongue | C01 | |
| | Other and Unspecified Parts of Tongue | C02 | |
| | Gum | C03 | |
| | Floor of Mouth | C04 | |
| | Palate | C05 | |
| | Other and Unspecified Parts of Mouth | C06 | |
| | Parotid Gland | C07 | |
| | Other and Unspecified Major Salivary Glands | C08 | |
| | Tonsil | C09 | |
| | Oropharynx | C10 | |
| | Nasopharynx | C11 | |
| | Pyriform Sinus | C12 | |
| | Hypopharynx | C13 | |
| | Other and Ill-Defined Sites in Lip, Oral Cavity, and Pharynx | C14 | |
| 4 | <u>Digestive System</u> | | |
| | Esophagus | C15 | |
| | Stomach | C16 | |
| | Small Intestine | C17 | |
| | Colon | C18 | |
| | Rectosigmoid Junction | C19 | |
| | Rectum | C20 | |
| | Anus and Anal Canal | C21 | |
| | Liver and Intrahepatic Bile Ducts | C22 | |
| | Gallbladder | C23 | |
| | Other and Unspecified Parts of Biliary Tract | C24 | |
| | Pancreas | C25 | |
| | Other and Ill-Defined Digestive Organs | C26 | |

| | | | |
|-----------|--|------------|--|
| 5 | <u>Respiratory System and Intrathoracic Organs</u> | | |
| | Nasal Cavity and Middle Ear | C30 | |
| | Accessory Sinuses | C31 | |
| | Larynx | C32 | |
| | Trachea | C33 | |
| | Bronchus and Lung | C34 | |
| | Thymus | C37 | |
| | Heart, Mediastinum, and Pleura | C38 | |
| | Other and Ill-Defined Sites Within Respiratory System and Intrathoracic Organs | C39 | |
| 6 | <u>Bones, Joints, and Articular Cartilage</u> | | |
| | Bones, Joints, and Articular Cartilage of Limbs | C40 | |
| | Bones, Joints, and Articular Cartilage of Other and Unspecified Sites | C41 | |
| 7 | <u>Hematopoietic and Reticuloendothelial Systems</u> | | |
| | Hematopoietic and Reticuloendothelial Systems | C42 | |
| 8 | <u>Skin</u> | | |
| | Skin | C44 | |
| 9 | <u>Retroperitoneum and Peritoneum</u> | | |
| | Retroperitoneum and Peritoneum | C48 | |
| 10 | <u>Connective, Subcutaneous, and Other Soft Tissues</u> | | |
| | Connective, Subcutaneous, and Other Soft Tissues | C49 | |
| 11 | <u>Breast</u> | | |
| | Breast | C50 | |
| 12 | <u>Female Genital Organs</u> | | |
| | Vulva | C51 | |
| | Vagina | C52 | |
| | Cervix Uteri | C53 | |
| | Corpus Uteri | C54 | |
| | Uterus, NOS | C55 | |
| | Ovary | C56 | |
| | Other and Unspecified Female Genital Organs | C57 | |
| 13 | <u>Placenta</u> | | |
| | Placenta | C58 | |

| | | | |
|---|--|------------|--|
| 14 | <u>Male Genital Organs</u> | | |
| | Penis | C60 | |
| | Prostate | C61 | |
| | Testis | C62 | |
| | Other and Unspecified Male Genital Organs | C63 | |
| 15 | <u>Urinary System</u> | | |
| | Kidney | C64 | |
| | Renal Pelvis | C65 | |
| | Ureter | C66 | |
| | Bladder | C67 | |
| | Other and Unspecified Urinary Organs | C68 | |
| 16 | <u>Eye and Adnexa</u> | | |
| | Eye and Adnexa | C69 | |
| 17 | <u>Peripheral Nerves and Autonomic Nervous System</u> | | |
| | Peripheral Nerves and Autonomic Nervous System | C47 | |
| 18 | <u>Brain and Spinal Cord</u> | | |
| | Meninges | C70 | |
| | Brain | C71 | |
| | Spinal Cord, Cranial Nerves, and Other Parts of Central Nervous System | C72 | |
| 19 | <u>Endocrine</u> | | |
| | Thyroid Gland | C73 | |
| | Adrenal Gland | C74 | |
| | Other Endocrine Glands and Related Structures | C75 | |
| 20 | <u>Other and Ill-Defined Sites</u> | | |
| | Other and Ill-Defined Sites | C76 | |
| 21 | <u>Lymph Nodes</u> | | |
| | Lymph Nodes | C77 | |
| 22 | <u>Unknown Primary Site</u> | | |
| | Unknown Primary Site | C80 | |
| | | | |
| Additional Choices When Identifying Fluid Sampling Sites | | | |
| 23 | <u>Blood/Serum/Plasma</u> | | |
| | Hematopoietic and Reticuloendothelial Systems | C42 | |

| | | | |
|----|--|-----|--|
| 24 | <u>Urine</u> | | |
| | Bladder | C67 | |
| 25 | <u>Ascitic Fluid</u> | | |
| | Retroperitoneum and Peritoneum | C48 | |
| 26 | <u>CSF</u> | | |
| | Spinal Cord, Cranial Nerves, and Other Parts of Central Nervous System | C72 | |
| 27 | <u>Pleural Fluid</u> | | |
| | Heart, Mediastinum, and Pleura | C38 | |
| 28 | <u>Pericardial Fluid</u> | | |
| | Heart, Mediastinum, and Pleura | C38 | |
| 29 | <u>Sputum</u> | | |
| | Other and Unspecified Parts of Mouth | C06 | |
| 30 | <u>Bone Marrow</u> | | |
| | Bones, Joints, and Articular Cartilage of Limbs | C40 | |
| | Bones, Joints, and Articular Cartilage of Other and Unspecified Sites | C41 | |

SUPPLEMENTAL DATA FILE 3c.

PERFORMANCE STATUS CHOICES

Five first level choices, some of which expand.

1 ECOG/Zubrod/WHO

ECOG/Zubrod/WHO: 0

ECOG/Zubrod/WHO: 1

ECOG/Zubrod/WHO: 2

ECOG/Zubrod/WHO: 3

ECOG/Zubrod/WHO: 4

ECOG/Zubrod/WHO: 5

2 Karnofsky

Karnofsky: 100%

Karnofsky: 90%

Karnofsky: 80%

Karnofsky: 70%

Karnofsky: 60%

Karnofsky: 50%

Karnofsky: 40%

Karnofsky: 30%

Karnofsky: 20%

Karnofsky: 10%

Karnofsky: 0

3 Lansky

Lansky: 100%

Lansky: 90%

Lansky: 80%

Lansky: 70%

Lansky: 60%

Lansky: 50%

Lansky: 40%

Lansky: 30%

Lansky: 20%

Lansky: 10%

Lansky: 0

4 Other

5 Unknown

SUPPLEMENTAL DATA FILE 3d.

CANCER GRADING SCALES

Based on Cancer Protocol Templates from the College of American Pathologists

Breast

Breast DCIS

- Nuclear Grade I (low)
- Nuclear Grade II (intermediate)
- Nuclear Grade III (high)

Invasive Breast

- Nottingham Grade 1
- Nottingham Grade 2
- Nottingham Grade 3
- Only microinvasion present (not graded)
- No residual invasive carcinoma after presurgical (neoadjuvant) therapy
- Score cannot be determined

Central Nervous System

Brain/Spinal Cord/Peripheral Nerve/Pituitary

- WHO Grade I
- WHO Grade II
- WHO Grade III
- WHO Grade IV
- Not Assigned

Endocrine

Adrenal

- Low (≤ 20 mitoses per 50 high-power fields)
- High (> 20 mitoses per 50 high-power fields)

Appendix, Well-Differentiated Neuroendocrine Tumor

- Not applicable
- GX (cannot be assessed)
- G1 (low)
- G2 (intermediate)

Colon & Rectum, Well-Differentiated Neuroendocrine Tumor

- Not applicable
- GX (cannot be assessed)
- G1 (low)
- G2 (intermediate)

Pancreas, Well-Differentiated Neuroendocrine Tumor

Not applicable
GX (cannot be assessed)
G1 (low)
G2 (intermediate)

Small Bowel, Well-Differentiated Neuroendocrine Tumor

Not applicable
GX (cannot be assessed)
G1 (low)
G2 (intermediate)

Stomach, Well-Differentiated Neuroendocrine Tumor

Not applicable
GX (cannot be assessed)
G1 (low)
G2 (intermediate)

Thyroid, Carcinomas Only (Not Lymphomas, Sarcomas, or Metastases)

Papillary Carcinoma
Follicular Carcinoma
Poorly Differentiated Thyroid Carcinoma
Undifferentiated (Anaplastic) Carcinoma
Medullary Carcinoma
Carcinoma, Type Cannot be Determined
Other

Gastrointestinal

Ampulla of Vater

Not applicable (histologic type not usually graded)
GX (cannot be assessed)
G1 (well differentiated)
G2 (moderately differentiated)
G3 (poorly differentiated)
G4 (undifferentiated)
Other

Anus

Not applicable (histologic type not usually graded)
GX (cannot be assessed)
G1 (well differentiated)
G2 (moderately differentiated)
G3 (poorly differentiated)
G4 (undifferentiated)
Other

Appendix

Not applicable (histologic type not usually graded)

GX (cannot be assessed)

G1 (well differentiated)

G2 (moderately differentiated)

G3 (poorly differentiated)

G4 (undifferentiated)

Colon and Rectum

Not applicable

Cannot be determined

Low grade (well-differentiated to moderately differentiated)

High grade (poorly differentiated to undifferentiated)

Distal Extrahepatic Bile Ducts

Not applicable (histologic type not usually graded)

GX (cannot be assessed)

G1 (well differentiated)

G2 (moderately differentiated)

G3 (poorly differentiated)

G4 (undifferentiated)

Esophagus

Not applicable (histologic type not usually graded)

GX (cannot be assessed)

G1 (well differentiated)

G2 (moderately differentiated)

G3 (poorly differentiated)

G4 (undifferentiated)

Gallbladder

Not applicable

GX (cannot be assessed)

G1 (well differentiated)

G2 (moderately differentiated)

G3 (poorly differentiated)

G4 (undifferentiated)

Other

GIST

GX (cannot be assessed)

G1 (low grade; mitotic rate $\leq 5/5\text{mm}^2$)

G2 (high grade; mitotic rate $>5/5\text{mm}^2$)

Hepatocellular Carcinoma

Not applicable

GX (cannot be assessed)

GI (well differentiated)

GII (moderately differentiated)

GIII3 (poorly differentiated)
GIV (undifferentiated/anaplastic)
Other

Intrahepatic Bile Ducts

Not applicable
GX (cannot be assessed)
G1 (well differentiated)
G2 (moderately differentiated)
G3 (poorly differentiated)
G4 (undifferentiated)
Other

Pancreas (Exocrine)

Ductal Carcinoma

Not applicable
GX (cannot be assessed)
G1 (well differentiated)
G2 (moderately differentiated)
G3 (poorly differentiated)
G4 (undifferentiated)
Other

Adenocarcinoma

GX (cannot be assessed)
G1 (well differentiated; greater than 95% of tumor composed of glands)
G2 (moderately differentiated; 50% to 95% of tumor composed of glands)
G3 (poorly differentiated; 49% or less of tumor composed of glands)
G4 (undifferentiated)

Perihilar Bile Ducts

Not applicable
GX (cannot be assessed)
G1 (well differentiated) (adenocarcinomas: greater than 95% of tumor composed of glands)
G2 (moderately differentiated) (adenocarcinomas: 50% to 95% of tumor composed of glands)
G3 (poorly differentiated) (adenocarcinomas: 49% or less of tumor composed of glands)
G4 (undifferentiated)
Other

Small Intestine

Not applicable
GX (cannot be assessed)
G1 (well differentiated) (adenocarcinomas: greater than 95% of tumor composed of glands)
G2 (moderately differentiated) (adenocarcinomas: 50% to 95% of tumor composed of glands)
G3 (poorly differentiated) (adenocarcinomas: 49% or less of tumor composed of glands)
G4 (undifferentiated or small cell carcinoma)
Other

Stomach

Not applicable

GX (cannot be assessed)

G1 (well differentiated) (adenocarcinomas: greater than 95% of tumor composed of glands)

G2 (moderately differentiated) (adenocarcinomas: 50% to 95% of tumor composed of glands)

G3 (poorly differentiated or signet-ring cell carcinoma) (adenocarcinomas: 49% or less of tumor composed of glands)

G4 (undifferentiated)

Other

Genitourinary

Kidney (Fuhrman Grading System)

Not applicable

GX (cannot be assessed)

G1 (nuclei round, uniform, approximately 10 µm; nucleoli inconspicuous or absent)

G2 (nuclei slightly irregular, approximately 15 µm; nucleoli evident)

G3 (nuclei very irregular, approximately 20 µm; nucleoli large and prominent)

G4 (nuclei bizarre and multilobated, 20 µm or greater; nucleoli prominent, chromatin clumped)

Penis

Not applicable

GX (cannot be assessed)

G1 (well differentiated)

G2 (moderately differentiated)

G3 (poorly differentiated)

Prostate Gland (Gleason Score)

Gleason 1 (well differentiated)

Gleason 2 (well differentiated)

Gleason 3 (well differentiated)

Gleason 4 (well differentiated)

Gleason 5 (moderately differentiated)

Gleason 6 (moderately differentiated)

Gleason 7 (moderately differentiated)

Gleason 8 (poorly differentiated)

Gleason 9 (poorly differentiated)

Gleason 10 (poorly differentiated)

Testis

Not applicable

Ureter, Renal Pelvis

Not applicable

Cannot be determined

Urothelial carcinoma

Low-grade
High-grade
Other

Squamous cell carcinoma or adenocarcinoma

GX (cannot be assessed)
G1 (well differentiated)
G2 (moderately differentiated)
G3 (poorly differentiated)
Other

Other carcinoma

Low-grade
High-grade
Other

Urethra

Not applicable
Cannot be determined

Urothelial carcinoma

Low-grade
High-grade
Other

Squamous cell carcinoma or adenocarcinoma

GX (cannot be assessed)
G1 (well differentiated)
G2 (moderately differentiated)
G3 (poorly differentiated)
Other

Other carcinoma

Low-grade
High-grade
Other

Urinary Bladder

Not applicable
Cannot be determined

Urothelial carcinoma

Low-grade
High-grade
Other

Squamous cell carcinoma or adenocarcinoma

- GX (cannot be assessed)
- G1 (well differentiated)
- G2 (moderately differentiated)
- G3 (poorly differentiated)
- Other

Other carcinoma

- Low-grade
- High-grade
- Other

Gynecologic

Endometrium

Endometrioid and Mucinous Adenocarcinomas (FIGO Grading System)

- FIGO Grade 1
- FIGO Grade 2
- FIGO Grade 3

For Other Carcinomas

- G1 (well differentiated)
- G2 (moderately differentiated)
- G3 (poorly differentiated)
- Not Applicable
- Other

Ovary, Fallopian Tube

All Carcinomas (except Clear Cell Carcinoma, Low and High Grade Serous Carcinoma, and Sertoli-Leydig Cell Tumors)

- GX (cannot be assessed)
- G1 (well differentiated)
- G2 (moderately differentiated)
- G3 (poorly differentiated)

Clear Cell Carcinoma, Low and High Grade Serous Carcinoma, and Sertoli-Leydig Cell Tumors

- Not applicable

Trophoblastic Tumors

- Not applicable

Uterine Cervix

- Not applicable
- GX (cannot be assessed)
- G1 (well differentiated)
- G2 (moderately differentiated)
- G3 (poorly differentiated)

Uterine Sarcoma

Leiomyosarcoma

- Not applicable

Endometrial Stromal Sarcoma

- Low grade
- High grade
- Cannot be assessed

Adenosarcoma (select all that apply)

- Low grade
- High grade
- With sarcomatous overgrowth
- Cannot be assessed

Vagina

- Not applicable
- GX (cannot be assessed)
- G1 (well differentiated)
- G2 (moderately differentiated)
- G3 (poorly differentiated)
- G4 (undifferentiated)
- Other

Vulva

- Not applicable
- GX (cannot be assessed)
- G1 (well differentiated)
- G2 (moderately differentiated)
- G3 (poorly differentiated)
- G4 (undifferentiated)
- Other

Head and Neck

Larynx

- Not applicable
- GX (cannot be assessed)
- G1 (well differentiated)
- G2 (moderately differentiated)
- G3 (poorly differentiated)
- Other

Lip and Oral Cavity

- Not applicable
- GX (cannot be assessed)
- G1 (well differentiated)
- G2 (moderately differentiated)
- G3 (poorly differentiated)
- Other

Major Salivary Glands

Not applicable
GX (cannot be assessed)
G1 (well differentiated)
G2 (moderately differentiated)
G3 (poorly differentiated)
Other

Nasal Cavity and Paranasal Sinuses

Not applicable
GX (cannot be assessed)
G1 (well differentiated)
G2 (moderately differentiated)
G3 (poorly differentiated)
Other

Pharynx

Not applicable
GX (cannot be assessed)
G1 (well differentiated)
G2 (moderately differentiated)
G3 (poorly differentiated)
Other

Hematologic

Bone Marrow

Not applicable

Hodgkin Lymphoma

Not applicable

Non-Hodgkin Lymphoma

Not applicable

Ocular Adnexal Lymphoma

Not applicable

Plasma Cell Neoplasms

Not applicable

Ophthalmic

Retinoblastoma

pGX (cannot be assessed)
pG1 (well differentiated)
pG2 (moderately differentiated)
pG3 (poorly differentiated)
pG4 (undifferentiated)

Uveal Melanoma

Not applicable

Skin

Melanoma

Not applicable

Merkel Cell Carcinoma

Not applicable

Squamous Cell Carcinoma

GX (cannot be assessed)

G1 (well differentiated)

G2 (moderately differentiated)

G3 (poorly differentiated)

G4 (undifferentiated)

Thorax

Heart

Not applicable

Cannot be determined

Grade 1

Grade 2

Grade 3

Other

Lung (NSCLC, Small Cell, Carcinoid -- long list of histologic types)

Not applicable

GX: Cannot be assessed

G1: Well differentiated

G2: Moderately differentiated

G3: Poorly differentiated

G4: Undifferentiated

Other

Pleural Mesothelioma

Not applicable

Thymoma and Thymic Carcinoma

Not applicable

Bone

Grade 1 (low grade)

Grade 2 (intermediate grade)

Grade 3 (high grade)

Peritoneum

Not applicable (borderline neoplasms and mesotheliomas)

GX: Cannot be assessed

G1: Well differentiated

G2: Moderately differentiated
G3: Poorly differentiated
Other

Soft Tissue

Grade 1
Grade 2
Grade 3
Ungraded sarcoma
Cannot be determined

SUPPLEMENTAL DATA FILE 3e.

LIST OF BIOMARKERS

There are hundreds of thousands of different biomarkers in oncology. Biomarkers can include genes (genomics), transcription products (transcriptomics), protein synthesis products (proteomics), cellular metabolism products (metabolomics), inter and intra-cellular process products (epigenetics), immunologic products, etc. Biomarkers vary based on the type of alterations of the cellular process and the location(s) of the changes. Biomarkers identified by one testing methodology may not be found by a different method looking for the same alteration.

As a rule, any measured biomarker should be reported with as much detail as possible, which includes information such as biomarker (with specific gene, genetic region, specific alteration), results, and method of testing (with thorough if not exhaustive description and information).

Oncology biomarkers can be broken into several broad categories as designated below. It is expected that most genomic repositories should contain at minimum all the Category 1 and many of the Category 3 biomarkers for a given disease state. With the advent of tools such as next generation sequencing as well as broader payer coverage, most of the Category 2 and many Category 4 biomarkers will also be available and should be collected.

The following tables serve for illustrative purposes only and are not exhaustive.

Category 1: Biomarkers for which there is an FDA Approved Drug and FDA Approved Test (Companion Diagnostic) by disease state:

| Disease State | Genetic Alteration (Biomarker) |
|---|--|
| Acute Myelogenous Leukemia (AML) | Internal tandem duplication (ITD) mutations and Tyrosine kinase domain mutations D835 and I836 in FLT3 |
| Acute Myeloid Leukemia (AML) | IDH2 mutations |
| Aggressive Systemic Mastocytosis (ASM) | KIT D816V mutations |
| Breast Cancer | HER2 protein overexpression or amplification |
| Chronic Lymphocytic Leukemia (CLL) | Deletion of LSI TP53 |
| Colorectal cancer (CRC) | RAS (panel) (KRAS and NRAS) |
| Colorectal cancer (CRC) | KRAS (7 somatic mutations in codons 12 and 13) |
| Colorectal cancer (CRC) | EGFR (HER1) |
| Gastric or gastroesophageal junction adenocarcinoma | HER2 protein overexpression |
| Gastrointestinal stromal tumors (GIST) | c-kit protein in CD117 antigen-expressing cells |
| Melanoma | BRAF (V600E and V600K mutations) |
| Myelodysplastic Syndrome/Myeloproliferative Disease (MDS/MPD) | PDGFRB |
| Non-Small Cell Lung Cancer (NSCLC) | BRAF (V600E) |
| Non-Small Cell Lung Cancer (NSCLC) | ROS1 (fusions) |

| | |
|------------------------------------|--|
| Non-Small Cell Lung Cancer (NSCLC) | EGFR (L858R, Exon 19 deletions) |
| Non-Small Cell Lung Cancer (NSCLC) | PD-L1 protein expression |
| Non-Small Cell Lung Cancer (NSCLC) | EGFR (T790M) |
| Non-Small Cell Lung Cancer (NSCLC) | Rearrangements of Anaplastic Lymphoma Kinase (ALK) |
| Ovarian Cancer | BRCA1 and BRCA2 sequence alterations (deletions) |

U.S. Food and Drug Administration. (2017). List of Cleared or Approved Companion Diagnostic Devices (In Vitro and Imaging Tools). [online] U S Food and Drug Administration. Available at <https://www.fda.gov/MedicalDevices/ProductsandMedicalProcedures/InVitroDiagnostics/ucm301431.htm> [Accessed 11 Oct. 2017]

Category 2: Example of biomarkers currently being studied in a clinical trial with targeted drugs

| Targeted Biomarker |
|-----------------------------|
| EGFR mut |
| MET amp |
| MET ex 14 sk |
| EGFR T790M |
| ALK transloc |
| ROS1 transloc |
| BRAF V600 |
| mTOR mut |
| TSC1 or TSC2 mut |
| BRAF nonV600 or BRAF fusion |
| GNAQ/GNA11 mut |
| SMO/PTCH1 mut |
| NF2 loss |
| cKIT mut |
| DDR2 mut |
| CCND1,2,3 amp |
| CDK4 or CDK6 amp |
| NTRK fusions |

National Cancer Institute. (2017). NCI-MATCH Trial (Molecular Analysis for Therapy Choice). [online] NCI-MATCH Precision Medicine Clinical Trial – National Cancer Institute. Available at: <https://www.cancer.gov/about-cancer/treatment/clinical-trials/nci-supported/nci-match> [Accessed on 11 Oct. 2017].

Category 3: Prognostic and/or treatment-aiding biomarkers

| Disease State | Biomarker |
|------------------------------------|----------------------------------|
| Chronic Lymphocytic Leukemia (CLL) | ZAP70 |
| Colorectal cancer (CRC) | Carcinoembryonic antigen (CEA) |
| Ovarian Cancer | Cancer Antigen 125 (CA125) |
| Pancreatic Cancer | Cancer Antigen 19.9 (CA19.9) |
| Prostate Cancer | Prostatic Specific Antigen (PSA) |

Category 4: Research Biomarkers

Biomarkers that are not in any of the other categories but which are tested usually as part of a broad panel ranging from dozens to hundreds of biomarkers.

For example, the following is a representative panel of broad biomarkers in solid tumors from Washington University in St. Louis:

ABL1, AFF3, AKT1, AKT2, AKT3, ALK, APC, AR, ATM, ATRX, BAP1, BCL2L1, BRAF, BRCA1, BRCA2, BRIP1, CCND1, CDH1, CDK12, CDK6, CDKN1A, CDKN1B, CDKN2A, CHEK2, CREBBP, CSF1R, CTNNB1, DAXX, DDR2, EGFR, ERBB2, ERBB3, ERBB4, ERCC2, ESR1, ESR2, FANCA, FAT1, FBXW7, FGFR1, FGFR2, FGFR3, FGFR4, FLT1, FLT3, FLT4, FOXL2, GATA4, GNAS, H3F3A, HIST1H3B, HRAS, IDH1, IDH2, JAK1, JAK2, KDM5C, KDR, KEAP1, KIT, KLF4, KMT2C, KMT2D, KRAS, MAP2K1, MAP2K2, MAP3K3, MCL1, MED12, MET, MLH1, MN1, MTOR, MYB, MYBL1, MYC, NF1, NF2, NFE2L2, NOTCH1, NOTCH2, NRAS, NTRK1, NTRK2, PALB2, PBRM1, PDGFRA, PDGFRB, PIK3C2B, PIK3CA, PIK3R1, PIK3R2, POLD1, POLE, PPARG, PPP2R1A, PTEN, RAD54B, RAF1, RB1, RET, RIT1, ROS1, RXRA, SETD2, SHOC2, SMAD2, SMAD4, SMARCA4, SMARCB1, SOS1, SPRED1, STK11, TEK, TERT, TGFB2, TP53, TRAF7, TSC1, TSC2, VHL and WT1

Washington University Physicians. (2017). Sequencing Tests: Cancer: Solid Tumor Gene Set. [online] Sequencing Tests – Genomics and Pathology Services | Genomics and Pathology Services. Available at: <https://gps.wustl.edu/patient-care/sequencing-tests/> [Accessed on 11 Oct. 2017].

SUPPLEMENTAL DATA FILE 3f.

RESPONSE CRITERIA AND RESPONSE

The initial dropdown menu would contain the names of 30 sets of response criteria, beginning with criteria for solid tumors and ending with criteria for hematologic cancers. Each of the 30 sets would expand to a second level containing the range of responses enumerated under that set of criteria.

CRITERIA USED

RESPONSES

| | |
|---|--------------------------|
| World Health Organization ("WHO") | Complete Response (CR) |
| | Partial Response (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) |
| | Unknown |
| Response Evaluation Criteria in Solid Tumors ("RECIST") Version 1.0 | Complete Response (CR) |
| | Partial Response (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) |
| | Unknown |
| Response Evaluation Criteria in Solid Tumors ("RECIST") Version 1.1 | Complete Response (CR) |
| | Partial Response (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) |
| | Unknown |
| MD Anderson ("MDA") Criteria for Bone Metastasis | Complete Response (CR) |
| | Partial Response (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) |
| | Unknown |
| Choi Criteria for Gastrointestinal Stromal Tumors | Complete Response (CR) |
| | Partial Response (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) |
| | Unknown |

| | |
|---|--------------------------|
| Revised Assessment in Neuro-Oncology ("RANO") Criteria for High-Grade Gliomas | Complete Response (CR) |
| | Partial Response (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) |
| | Unknown |

| | |
|--|--------------------------|
| European Association for the Study of the Liver ("EASL") Criteria for Hepatocellular Carcinoma | Complete Response (CR) |
| | Partial Response (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) |
| | Unknown |

| | |
|--|--------------------------|
| Modified RECIST ("mRECIST") for Hepatocellular Carcinoma | Complete Response (CR) |
| | Partial Response (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) |
| | Unknown |

| | |
|--|--------------------------|
| Response Evaluation Criteria in Cancer of the Liver ("RECICL") Criteria for Hepatocellular Carcinoma | Complete Response (CR) |
| | Partial Response (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) |
| | Unknown |

| | |
|--|-------------------------------------|
| Positron Emission Tomography Response Criteria in Solid Tumors ("PERCIST") | Complete Metabolic Response (CMR) |
| | Partial Metabolic Response (PMR) |
| | Stable Metabolic Disease (SMD) |
| | Progressive Metabolic Disease (PMD) |
| | Unknown |

| | |
|--|-------------------------------------|
| European Organization for Research and Treatment of Cancer ("EORTC") Criteria for Positron Emission Tomography | Complete Metabolic Response (CMR) |
| | Partial Metabolic Response (PMR) |
| | Stable Metabolic Disease (SMD) |
| | Progressive Metabolic Disease (PMD) |
| | Unknown |

| | |
|--|--------------------------|
| Immune-Related Response Criteria ("irRC") for Immunotherapeutic Agents | Complete Response (CR) |
| | Partial Response (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) |
| | Unknown |

Choose All That Apply

| | | |
|--|-------------------------------------|---|
| National Comprehensive Cancer Network® Criteria for Acute Lymphoblastic Leukemia | Blood and Bone Marrow | Complete Remission (CR) |
| | | Complete Remission with Incomplete Blood Count Recovery (CRi) |
| | | Refractory Disease |
| | | Progressive Disease (PD) |
| | | Relapsed Disease (REL) |
| | | Unknown |
| | Central Nervous System Disease | Complete Remission (CR) |
| | | Primary Induction Failure (PIF) |
| | | Relapsed Disease (REL) |
| | | Unknown |
| | Mediastinal Disease | Complete Remission (CR) |
| | | Partial Response (PR) |
| | | No Response |
| | | Progressive Disease (PD) |
| | | Relapsed Disease (REL) |
| | | Unknown |
| | Minimal Residual Disease Assessment | Molecular Complete Remission |
| | | Minimal Residual Disease |
| | | Unknown |

| | |
|---|---|
| International Working Group on Acute Myeloid Leukemia Criteria (2003) | Complete Remission (CR) |
| | Complete Remission with Incomplete Blood Count Recovery (CRi) |
| | Morphologic Leukemia-Free State |
| | Cytogenetic Complete Remission (CRc) |
| | Molecular Complete Remission (CRm) |
| | Partial Remission (PR) |
| | Treatment Failure |
| | Relapse |
| | Unknown |

| | |
|---|--|
| International Workshop on Chronic Lymphocytic Leukemia ("IWCLL") (2008) | Complete Remission (CR) |
| | Complete Remission with Incomplete Marrow Recovery (CRi) |
| | Partial Remission (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) |
| | Unknown |

| | |
|---|--|
| Lymphoma Research Foundation Workshop Revision of IWCLL for Immuno-Modulating Agents and Kinase Inhibitors (2012) | Complete Remission (CR) |
| | Complete Remission with Incomplete Marrow Recovery (CRi) |
| | Partial Remission (PR) |
| | Partial Remission with Lymphocytosis |
| | Progressive Disease (PD) |
| | Unknown |

| | |
|---|---|
| European LeukemiaNet Criteria for Chronic Myeloid Leukemia (2013) | Complete Hematologic Response (CHR) |
| | Complete Cytogenetic Response (CCyR) |
| | Partial Cytogenetic Response (PCyR) |
| | Molecularly Undetectable Leukemia |
| | Molecular Response ^{4.5} |
| | Molecular Response ^{4.0} |
| | Major Molecular Response (MMR) |
| | Unknown |

| | |
|--|---|
| National Comprehensive Cancer Network® Criteria for Chronic Myeloid Leukemia | Complete Hematologic Response |
| | Complete Cytogenetic Response (CCyR) |
| | Partial Cytogenetic Response (PCyR) |
| | Major Cytogenetic Response |
| | Minor Cytogenetic Response |
| | Complete Molecular Response (CMR) |
| | Major Molecular Response (MMR) |
| | Early Molecular Response (EMR) |
| | Relapse |
| | Unknown |

| | |
|---|--------------------------------|
| National Comprehensive Cancer Network® Criteria for Hairy Cell Leukemia | Complete Response |
| | Less Than Complete Response |

| | |
|--|---------|
| National Comprehensive Cancer Network® Criteria for Hodgkin Lymphoma (Deauville) | 1 |
| | 2 |
| | 3 |
| | 4 |
| | 5 |
| | X |
| | Unknown |

| | |
|--|---------|
| Dynamic Visual Score for Hodgkin Lymphoma (Dann) | 0 |
| | 1 |
| | 2 |
| | 3 |
| | 4 |
| | Unknown |

| | |
|---|--|
| National Comprehensive Cancer Network® Criteria for B-cell Lymphomas (Lugano) | Metabolic Complete Response |
| | Metabolic Partial Response |
| | Metabolic No Response or Stable Disease |
| | Metabolic Progressive Disease |
| | Radiologic Complete Response |
| | Radiologic Partial Response |
| | Radiologic No Response or Stable Disease |
| | Radiologic Progressive Disease |
| | Unknown |

| | |
|---|--|
| National Comprehensive Cancer Network® Criteria for T-cell Lymphomas (Lugano) | Metabolic Complete Response |
| | Metabolic Partial Response |
| | Metabolic No Response or Stable Disease |
| | Metabolic Progressive Disease |
| | Radiologic Complete Response |
| | Radiologic Partial Response |
| | Radiologic No Response or Stable Disease |
| | Radiologic Progressive Disease |
| | Unknown |

| | |
|---|-------------------------------------|
| International Harmonization Project (IHP) Criteria for Malignant Lymphoma | Complete Response (CR) |
| | Partial Response (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) or Relapse |
| | Unknown |

| | |
|---|-------------------------------------|
| Qualitative Criteria for PET Response in Malignant Lymphoma (Hicks) | Complete Response (CR) |
| | Partial Response (PR) |
| | Stable Disease (SD) |
| | Progressive Disease (PD) or Relapse |
| | Unknown |

| | |
|---|--------------------------------|
| National Comprehensive Cancer Network® Criteria for Multiple Myeloma (based on International Myeloma Working Group (IMWG) Criteria) | Sustained MRD-Negative |
| | Flow MRC-Negative |
| | Sequencing MRD-Negative |
| | Imaging Plus MRD-Negative |
| | Stringent Complete Response |
| | Complete Response |
| | Very Good Partial Response |
| | Partial Response |
| | Minimal Response |
| | Stable Disease |
| | Progressive Disease |
| | Clinical Relapse |
| | Relapse from Complete Response |
| | Relapse from MRD-Negative |
| | Unknown |

| | |
|---|---|
| Center for International Blood & Marrow Transplant Research ("CIBMTR") Criteria for Myelodysplastic Syndromes | Complete Remission (CR) |
| | Hematologic Improvement (HI) |
| | Hematologic Improvement - - Erythropoietic (HI-E) |
| | Hematologic Improvement - - Platelets (HI-P) |
| | Hematologic Improvement - - Neutrophils (HI-N) |
| | No Response (NR)/Stable Disease (SD) |
| | Progression from Hematologic Improvement (Prog from HI) |
| | Relapse from Complete Remission (Rel from CR) |
| | Progression to AML |
| | Unknown |

| | |
|--|---|
| Center for International Blood & Marrow Transplant Research ("CIBMTR") Criteria for Myeloproliferative Neoplasms | Complete Remission (CR) |
| | Hematologic Improvement (HI) |
| | No Response (NR)/Stable Disease (SD) |
| | Progression from Hematologic Improvement (Prog from HI) |
| | Relapse from Complete Remission (Rel from CR) |
| | Unknown |

| | |
|---|-------------------------|
| International Working Group ("IWG") -- 2006 Criteria for Myelodysplasia | Complete Remission (CR) |
| | Partial Remission (PR) |
| | Marrow CR |
| | Stable Disease |
| | Failure |
| | Relapse after CR or PR |
| | Cytogenetic Response |
| | Disease Progression |
| | Unknown |

| | |
|---|--------------------------------|
| MDS/MPN International Working Group Criteria for Myelodysplastic/Myeloproliferative Neoplasms in Adults ("Overlap -- MDS/MPN Criteria") -- 2015 | Complete Remission (CR) |
| | CR with Resolution of Symptoms |
| | Complete Cytogenetic Remission |
| | Partial Remission (PR) |
| | Marrow Response |
| | Clinical Benefit |
| | Stable Disease |
| | Failure |
| | Relapse after CR or PR |
| | Disease Progression |
| | Unknown |