Author Guidelines for ECCV Submission

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Anonymous ECCV submission

Paper ID ***

Abstract.

1 Introduction

Features learnt by a large-scale convolutional neural network trained for the task of image classification on the Imagenet challenge have been used to achieve impressive results on different computer vision tasks. Since, these networks are high capacity learners they cannot be trained on small data sets. Consequently, in their recent work ..have used fine-tuning to improve performance. For example, ([?]CNN) used fine-tuning to boost performance on pascal detection challenge by nearly 25 % (relative) mean ap points over the performance of an un-tuned network.

Fine-tuning a network essentially involves starting from a set of pre-learned parameters and slowly updating them to minimize a target loss function. Till date, there has been little work looking at the effect of fine-tuning on various layers of a discriminatively trained convolutional neural network. In this work, we address this question. Our findings indicate that during finetuning most of the learning takes place in the top 2 fully connected layers whereas the convolutional layers are largely un-changed. We quantify this claim by first comparing entropy of filters before and after fine-tuning. Secondly, we demonstrate that keeping layers 1-5 fixed while fine-tuning leads to negligible decrease in performance. Next, we show pool-5 features can be treated as generic feature extractor on top of which non-linear classifiers can be used to achieve good results. For developing a scientific understanding of how the network trains, we analyse conv-nets trained for different number of iterations. We find that most of the learning happens quite early on and that the network naturally learns in a layer-wise fashion. Finally, we conclude by providing some insights into how important is the magnitude of feature activation, the location and so on.

This suggests, that layers 1-5 can be treated as a generic feature extraction engine which can serve as a starting point for other computer vision models. Reduce time for fine-tuning. The most commonly used conv-net architecture, first proposed by Kr consists of 5 convolutional layers followed by 2 fully connected layer.

Inspite of the impressive performance, the question of how information is represented and what various layers are encoding is unclear.

Some recent work has focussed on coming up with visualization techniques to analyze and understand the tuning properties of filters in different layers.

properties of filters in different layers. We start of f with a detailed analysis of how good are different layers for image classification. We provide an entropy analysis ...

Next, we try to answer how much information is encoded by the activity of individual filters and how important is the spatial location of where the filters activate.

Although, visually impressive its hard to draw meaningful conclusions about

Next, we show that the network trains layer-wise and the convolutional layers are well formed quite early into the training.

Next, we argue that fine-tuning majorly effects the final two layers and that layers 1-5 can be treated as a generic feature extractor. This allows us a moderate speed-up in training time.

2 Method

2.1 Network-Architecture

For all our experiments we closely follow the architecture proposed in [?]. The first 2 layers consist of 4 sublayers each - convolution (conv), followed by rectified linear units (relu), pooling (pool) and contrast normalization (norm). Layers 3, 4 are composed of convolutional units followed by relu units. Layer 5 consists of convolutional units, followed by relu and pooling. The last two layers are fully connected (fc). In this work when we refer to a layer without referring to a particular sub-layer - then for layer 1,2,5 we mean the output of the pooling stage and for layers 3,4,6,7 we mean the output of relu units.

2.2 Training Conv-Nets

We have trained all our models using the publically available code \cite{N} and Nvidia K40 GPUs. Our imagenet network was trained for 310000 iterations and achieves an error rate only about 2% higher on the ILSVRC validation set 2012. We refer to this network as the Alex-net .

2.3 Fine-Tuning

For a particular task, we fine-tune conv-nets by running SGD (Stochastic Gradient) with a starting learning rate set to $\frac{1}{10}^{th}$ of the intial learning rate of the imagenet model. This choice has been made because we do not want to drastically change the parameters of the network and overfit to the training set. At every 20,000 iterations we reduce the learning rate by a factor of 10 and use a mini-batch size of 128.

ECCV-14 submission ID *** **Fine-Tuning for PASCAL**: Closely following the work of [?] we use region proposals generated by selective search algorithm for fine-tuning. Each region is warped to a size of 227*227*3. Regions with IOU (intersection over union) > 0.5 with ground truth bounding boxes are treated as positives and rest as negatives. This results into a 21-way classification problem (20 PASCAL classes + background). We tuned this network for 70000 iterations and refer to it as the FT network in the sections below. **To-DO? Specify the process of fc-only fine-tuning. *** How does fine-tuning effect the network?

3.1 Discriminative Fine Tuning Helps

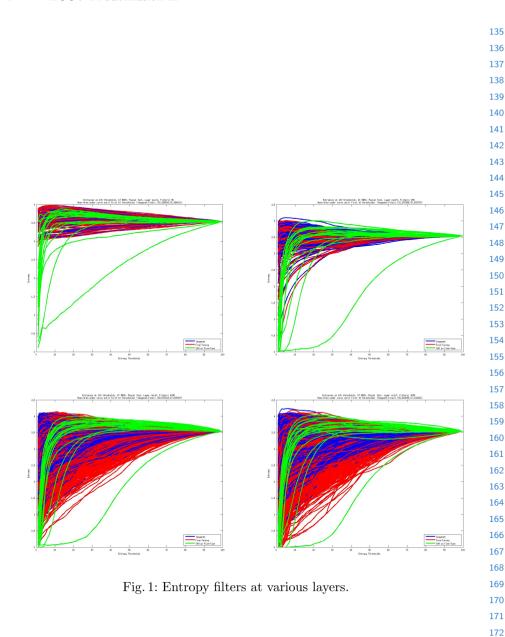
To-Do Results on SUN

3.2 How does the entropy of filters vary in the network?

Units become more specific - but entropy of individual units - doesnt really increases until one reaches layer 6. (Ground Truth Bounding Box.) Put the picture below without the fine-tuned network.

In order to estimate the entropy, for all ground truth bounding boxes, we compute activations of each filter (both in fully-connected and convolutional layers). With each activation value we associate the class-label of the bounding box. Next, we sort the scores in decreasing order and compute entropy of the histogram counts of classes at 100 equally spaced thresholds. The area under this curve is used as a measure of entropy.

(Contrast to mid-level patches idea - where greedily select filters based on entropy).



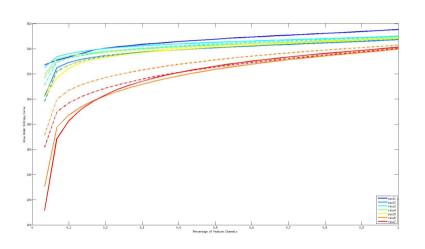


Fig. 2: The plot shows the variation in entropy of different layers of a convolutional network trained on imagenet (dot-dash line) and a network fine-tuned for object detection on PASCAL dataset.

Table 1: Effect of fine-tuning a network for 3 tasks in the PASCAL VOC-2007 Challenge

Layer	Image	Classification	GT Bo	x Classi	fication	Ι	Detection	ı
	alex-net	fc-tune all-tune	alex-net	fc-tune	all-tune	alex-net	fc-tune	all-tune
pool-5		64.6	79.1	79.1	79.5	45.0	45.0	47.6
relu-6	70.6	71.7	79.4	82.0	82.3	-	51.0	53.1
relu-7	73.6	73.2	79.9	83.4	85.2	45.5	53.3	54.1

Table 2: Layerwise effect of fine-tuning, GT-bbox classification, FT: Fine-Tuned, C-Net: Caffe-Net

Layer	C-Net	FT									
11	43.2	43.2	13	73.3	73.7	l5	79.1	79.5	17	79.9	85.1
12	67.1	67.7	14	75.5	77.8	l6	79.4	82.3			

^{**}To-Do**: Difference in Entropy

47.6252 51.2 39.3 53.3 56.6 48.2 58.5 62.2 43.2 57.4 58.8 51.0253 38.1 51.5 56.6 45.5 62.6 71.0 60.6 32.8 58.5 46.4 56.0 60.0 48.8 57.7 64.7 54.1254 17-fc-ft 62.9 65.2 47.5 39.0 30.3 63.1 68.4 59.7 34.2 58.5 52.0 53.8 60.7 65.3 53.0 30.2 55.5 46.3 57.7 62.2 53.3 255 256

The performance of the FC-FT network at layer 5 is slighly worse by 2.6 points, but at layer 7 this difference is only 0.8 points.

Next, we compare the performance of both the networks for the task of classifying ground-truth bounding boxes from PASCAL-VOC-2007 challenge.

Replacing fc-layers by other-non linear classifiers

Stacked Kernel Results *

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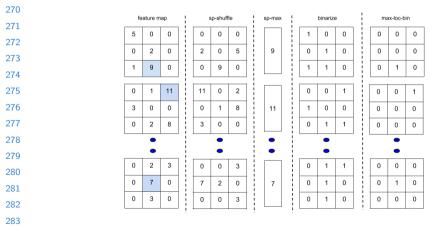


Fig. 3: Illustration of different features used in analysis described in sec. Each 3*3 block in first column is a feature map, the second column is obtained after applying an independent random shuffle to each feature map, third column (spatial max) takes the max activation value in each feature map, fourth shows the feature vector after binarization whereas the last column selects the maximum value in each feature map and also retains its location.

Table 4: Detection: Effect of various feature transformations.

Feature																					
spMax sm-bn-lc crop-1 binary pool-5	35.0	38.7	17.3	16.9	13.9	38.4	45.6	29.2	11.0	20.2	21.0	23.5	27.2	37.0	20.5	7.0	30.3	13.4	28.3	32.9	25.498
sm-bn-lc	49.1	48.0	19.0	15.2	12.9	44.7	57.0	32.8	11.9	32.5	19.0	25.0	37.5	41.6	34.8	15.6	34.1	13.0	35.7	44.9	31.2
crop-1	48.2	59.8	32.2	20.0	24.6	46.2	61.2	41.6	20.6	46.3	32.9	38.6	49.9	53.1	41.8	25.1	45.0	23.8	46.2	51.7	40.499
binary	57.9	61.3	32.6	24.7	27.5	55.0	64.7	49.8	25.3	47.4	44.5	40.3	54.6	56.4	43.6	27.1	48.4	41.6	54.3	57.6	45.7
pool-5	57.8	63.9	38.8	28.0	29.0	54.8	66.9	51.3	30.5	52.1	45.2	43.2	57.3	58.8	46.0	27.2	51.2	39.3	53.3	56.6	47.600

4.1 How important is the magnitude of activation?

4.2 How important is where a certain filter activates?

How common are Grand-Mother like Units?

How information is represented in deep architectures such as convolutional neural networks is an open question. We know that the first layer ends up learning gabor like edge detectors and that units in the final layers are very class specific. However, we are far from understanding the representations learned in the middle

Table 5: Mean AP on PASCAL-VOC 2007 Classification

Layer Name	Unroll	Spatial-Shuffle	Spatial-Max	Binarize	${\bf Binarize+Loc}$
pool-1	25.1	15.0	19.5	25.4	
pool-2	44.9	33.5	40.2	43.0	
conv-3	50.1	40.4	54.2	47.0	
conv-4	54.2	45.3	57.0	51.3	
pool-5	65.6	59.6	62.6	60.5	
relu-6	70.6	-	-	71.4	
relu-7	73.6	-	-	74.1	

layers. Developing this understanding is crucial in order to effectively devise methods capable of fully exploiting the rich feature hierarchy provided by convnets

Some recent work addressing this question has focussed on developing visualization techniques (zeiler, simoyan, google paper) to understand feature tuning of different units, [?] presented a strategy called as deconvolution which effectively uses backprojection find the regions in a given image which caused a particular unit to fire. [?] on the other hand pose tuning as an optimization problem and try to estimate optimal stimuli for a given unit in the higher layers. [?] train a massive non-convolutional network and show the presence of cat and people specific units learnt by their network. Although, these are interesting ways to analze the network they do not convey the full story. In particular, finding a few very tuned units tell us very little about what other units might be doing. Also, most of these results are subjective and it is hard to draw any conclusions about the strategy of information representation learnt by deep architectures.

The question we pose is whether the representation learned by a deep convnet consist of many "grand-mother cells" (specifically tuned units for various classes) or whether it is necessary to consider the distribution of activations across many filters to infer any semantics about the image. We develop two methods presented in the following subsections in an attempt to objectively answer this question.

5.1 Finding class specific units

In order to study the tuning properties of various units, for each PASCAL class we emperically estimate P(Class|Activationofunit > threshold) for all 256 filters in layer 5. For this purpose, we restrict ourselves to ground truth bounding boxes in contrast to full images as a single image may contain multiple objects and thereby confound any interpretations drawn from our analysis.

Each filter in Pool-5 layer appears as 6×6 spatial map. Thus for each ground truth bounding box we get 36 activation samples for a particular unit. Given, N boxes we end up with 36N samples for each filter. For each filter, we sort the activations values and use this to compute the probability of a unit representing

a class given its value is more than some threshold. We compute this probability for $1000~\mathrm{thr}$

From our analysis, we find that in order to predict the category of a given image, neither the magnitude nor the location of where filters activate is critical. On the other hand,

As described in section .. we compute the entropy of each filter in the fifth layer of the network. Next, we rank all the filters by their entropy. At pool-5, each image produces a $6\times6\times256$ feature vector (256 filter maps of size 6×6). For each filter map - we select the maximum activation which results into a 256-dimensional vector. Now, we train SVM

For tasks of image classification, bounding box classification - position does not really matter. $\,$

5.2 How many units do we need?

The tuning analysis presented in section 5.1 is not sufficient by itself to answer how many units are needed in order to classify a given image. This is because, there might be important information in simultaneous firing of a group of filters which is ignored while looking only at individual filters.

Consequently, in order to answer the above posed question we train linear a svm for each class using only a subset of 256 pool-5 filters. In particular we construct subsets of size k, where k takes the values - [1,2,3,5,10,15,20,25,30,35,40,45,50,80, 100,128,256]. A subset of size k is constucted independently for each class using a greedy selection strategy described in figure 4. We use the variation in performance with the number of filters needed as a metric to evaluate how many filters are needed for each class.

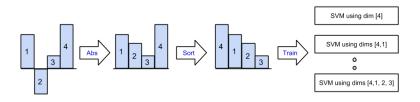


Fig. 4: Illustration of our greedy strategy for constructing subsets of filters. For each class we first train a linear-svm using the spatial-max feature transformation described in section 4.2. Spatial-max leaves us with a 256-D vector wherein each dimension has a one to one correspondence with 256 pool-5 filters. We use the magnitude of each dimension of the learnt weight vector as a proxy for the importance of that dimension towards discriminating a given class. For the purpose of illustration we describe the procedure with a 4-D weight vector shown on the extreme left. Firstly, we take the absolute value for each dimension and then sort the dimensions based on this value. Then, we chose the top k filters/dimensions from this ranked list to construct a subset of size k.

The results of our analysis are summarized in fig 5 and table 6. For classes such as persons, cars, cats we require a relatively few number of filters, but for most of the classes we need to look at around 30-40 filters to achieve atleast 90% of the full performance. This also indicates, that for a few classes yes, there are grand-mother kind of neurons but for a lot of classes the representation is distributed. Also, as expected the fine-tuned network requires activations of a fewer numbers of filters to achieve the same performance but this reduction in number of filters is not large.

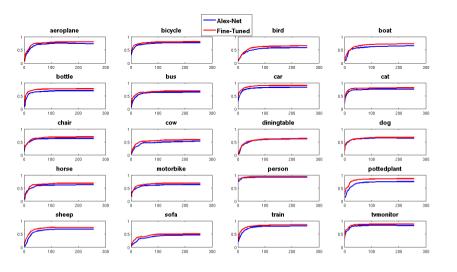


Fig. 5: Analysis of how many filters are required to classify ground truth bounding boxes for 20 categories taken from PASCAL-2007 detection challenge. The y-axis in each of plot represents classification accuracy measured as mean-ap where as x-axis stand for the number of filters.)

Table 6: Number of filters required to achieve 50%, 90% of the full performance for PASCAL classes using Alex-Net(AN) and the Fine-Tuned network(FT)

Net AP lacer bike bird boat bottle bus car cat chair cow table dog horse mbike person plant sheep sofa train to

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ΑN	50	15	3	15	15	10	10	3	2	5	15	15	2	10	3	1	10	20	25	10	2
FT	50	10	1	20	15	5	5	2	2	3	10	15	3	15	10	1	5	15	15	5	2
AN	90	40	35	80	80	35	40	30	20	35	100	80	30	45	40	15	45	50	100	45	25
FT	90	35	30	80	80	30	35	25	20	35	50	80	35	30	40	10		40			

6 Speed of Learning

 Convolutional neural networks take a long time to train. For achieving state of art accuracy on the imagenet challenge these networks are often trained on high-end GPUs for more than 7 days. Even our implementation of fine-tuning following the approach proposed in [?] takes more than 12 hours on a Nvidia Tesla-K40. A way to speed up training will allow for a rich exploration of network architectures and parameters which is currently not possible.

As a first step towards addressing this problem, we looked at the evolution of training loss and validation accuracy as the training progresses (fig 6.) The top-1 accuracy on the imagenet validation set at 15K iterations is at 29.5 % and 38.13% at 50K iterations (compared to 57.4 % at 310K iterations). The training loss rapidly increases initially and then there is a slow sluggish decay except for the point where learning rate is decimated by a factor of 10 at 100K iterations.

The first thing we try to answer is - if there is an insightful intepretation of the fast intial drop in training loss. Towards this end, we visualized layer 1 filters at different time instances. Surprisingly, we found that within 15K iterations these filters look almost identical to what they would be by the end of the training (See fig 6). This naturally leads us to ask the question what happens at other layers? Also, since it is hard to train these massive networks from sratch on small data-sets and discriminative pre-training has been found to be helpful we would also like to understand if there exists a critical point by which the network learns all that there is in order to generalize.

In order to answer these question and objectively assess the temporal evolution of quality of filters we measure classification performance of features extracted from individual layers on PASCAL-VOC-2007. The results are summarized in table 8.

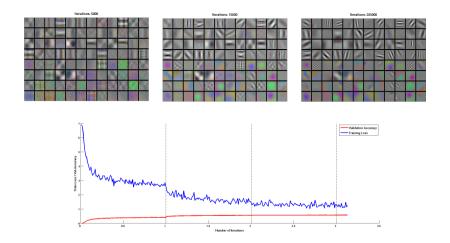


Fig. 6: Evolution of Layer 1 Filters.

It is quite surprising to note that by 15K iterations all layers are within 80% and at 50K iterations within 90% of there final performance. This observation raises two interesting questions. The first, is it the case that the network pretty early on in the training learn a good set parameters which are critical to generalization?

Table 7: Variation in classification accuracy (mean-AP) on PASCAL VOC 2007 challenge using features extracted from different layers of Alex-Net as a function of number of iterations.

Layer	5K	15K	25K	35K	45K	95K	105K	310K
pool-1	23.0	24.3	24.4	24.5	24.6	24.8	24.7	25.1
pool-2	33.7	40.4	40.9	41.8	42.0	43.2	44.0	45.0
conv-3	34.2	46.8	47.0	48.2	48.5	49.4	51.6	50.1
conv-4	33.5	49.0	48.7	50.2	50.6	51.6	54.1	54.2
pool-5	33.0	53.4	55.0	56.8	57.4	59.2	63.5	65.6
relu-6	34.2	59.7	62.6	62.7	64.1	65.6	69.3	70.6
relu-7	30.9	61.3	64.1	65.1	65.8	67.8	71.8	73.2

Table 8: What happens when we start from a network tuned only for a small number of iterations. Feature | aero bike bird boat bottle bus car cat chair cow table dog horse mbike person plant sheep sofa train tv | mAP1

21.0 53.5 63.6 41.0 25.4 44.7 40.9 34.9 49.5 45.3 31.2 48.7 54.4 42.7 51.2 39.3 53.3 56.6 47.822 15(50-50) 55.2 58.4 31.0 28.8 13.8 25.2 57.8 63.9 38.8 28.0 29.0 54.8 66.9 51.3 30.5 52.1 45.2 43.2 57.3 27.2 58.8 46.0 51.5 34.9 56.0 59.4 17(50-50) 58.7 64.8 38.2 34.9 25.9 59.5 69.5 46.2 28.7 52.4 45.2 44.3 57.3 63 4 52.4 28.0 51.5 34.9 56.0 59.4 48.6 52.7 48.8 57.7 64.7 54.23 64.3 69.6 50.1 41.8 32.0 62.6 71.0 60.6 32.8 58.5 46.4 56.0 60.0 66.9 54.2 31.5

Conclusions and Open-Challenges

The paper ends with a conclusion.

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