

# Basis and Dimension of Exponential Vector Space

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## Abstract

Exponential vector space [shortly *evs*] is an algebraic order extension of vector space in the sense that every *evs* contains a vector space and conversely every vector space can be embedded into such a structure. This *evs* structure consists of a semi-group structure, a scalar multiplication and a partial order. In this paper we have developed the concepts of basis and dimension of an *evs* by introducing the ideas of orderly independent set and generating set with the help of partial order and algebraic operations. We have found that like vector space, an *evs* does not contain basis always. We have established a necessary and sufficient condition for an *evs* to have a basis. It was shown that equality of dimension is an *evs* property but the converse is not true. We have studied the dimension of sub*evs* and found that every *evs* contains a sub*evs* with all possible lower dimensions. Lastly we have computed basis and dimension of some *evs* which help us to explore the theory of basis by creating counter examples in different aspects.

AMS Classification: 08A99, 06F99, 15A99

Key words : Vector space, exponential vector space, testing set, generator, orderly independent set, basis, dimension, feasible set.

## 1 Introduction

Exponential vector space is an algebraic ordered extension of vector space. The word ‘extension’ is used because of the fact that every exponential vector space contains a vector space and conversely every vector space can be embedded into such a structure. This structure comprises a semigroup structure, a scalar multiplication and a compatible partial order. We now start with the definition of *evs*.

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**Definition 1.1.** [7] Let  $(X, \leq)$  be a partially ordered set, ‘+’ be a binary operation on  $X$  [called *addition*] and ‘ $\cdot$ ’:  $K \times X \rightarrow X$  be another composition [called *scalar multiplication*,  $K$  being a field]. If the operations and the partial order satisfy the following axioms then  $(X, +, \cdot, \leq)$  is called an *exponential vector space* (in short *evs*) over  $K$  [This structure was initiated with the name *quasi-vector space* or *qvs* by S. Ganguly et al. in [1]].

$A_1 : (X, +)$  is a commutative semigroup with identity  $\theta$

$A_2 : x \leq y \ (x, y \in X) \Rightarrow x + z \leq y + z$  and  $\alpha \cdot x \leq \alpha \cdot y, \forall z \in X, \forall \alpha \in K$

$A_3 : (i) \ \alpha \cdot (x + y) = \alpha \cdot x + \alpha \cdot y$

(ii)  $\alpha \cdot (\beta \cdot x) = (\alpha\beta) \cdot x$

(iii)  $(\alpha + \beta) \cdot x \leq \alpha \cdot x + \beta \cdot x$

(iv)  $1 \cdot x = x$ , where ‘1’ is the multiplicative identity in  $K$ ,

$\forall x, y \in X, \forall \alpha, \beta \in K$

$A_4 : \alpha \cdot x = \theta$  iff  $\alpha = 0$  or  $x = \theta$

$A_5 : x + (-1) \cdot x = \theta$  iff  $x \in X_0 := \{z \in X : y \not\leq z, \forall y \in X \setminus \{z\}\}$

$A_6 : \text{For each } x \in X, \exists p \in X_0 \text{ such that } p \leq x.$

In the above definition, the axiom  $A_3$  (iii) indicates a rapid growth of the elements of  $X$  due to the fact  $x + x \geq 2x$  and the axiom  $A_6$  gives some positive sense of each elements. These two facts express the exponential behaviour of the elements of an evs.

In the axiom  $A_5$ , we can notice that  $X_0$  is precisely the set of all minimal elements of the evs  $X$  with respect to the partial order on  $X$  and forms the maximal vector space (within  $X$ ) over the same field as that of  $X$  ([1]). We call this vector space  $X_0$  as the ‘*primitive space*’ or ‘*zero space*’ of  $X$  and the elements of  $X_0$  as ‘*primitive elements*’.

Also given any vector space  $V$  over some field  $K$ , an evs  $X$  can be constructed (as shown below) such that  $V$  is isomorphic to  $X_0$ . In this sense, “exponential vector space” can be considered as an algebraic ordered extension of vector space.

**Example 1.2.** [7] Let  $X := \{(r, a) \in \mathbb{R} \times V : r \geq 0, a \in V\}$ , where  $V$  is a vector space over some field  $K$ . Define operations and partial order on  $X$  as follows : for  $(r, a), (s, b) \in X$  and  $\alpha \in K$ ,

(i)  $(r, a) + (s, b) := (r + s, a + b)$ ;

(ii)  $\alpha(r, a) := (r, \alpha a)$ , if  $\alpha \neq 0$  and  $0(r, a) := (0, \theta)$ ,  $\theta$  being the identity in  $V$ ;

(iii)  $(r, a) \leq (s, b)$  iff  $r \leq s$  and  $a = b$ .

Then  $X$  becomes an exponential vector space over  $K$  with the primitive space  $\{0\} \times V$  which is evidently isomorphic to  $V$ .

Initially the idea of this structure was given by S. Ganguly et al. with the name “quasi-vector space” in [1] and the following example of the hyperspace was the main motivation behind this new stucture.

**Example 1.3.** [1] Let  $\mathcal{C}(\mathcal{X})$  be the topological hyperspace consisting of all non-empty compact subsets of a Hausdörff topological vector space  $\mathcal{X}$  over the field  $\mathbb{K}$  of real or complex numbers. Then  $\mathcal{C}(\mathcal{X})$  becomes an evs with respect to the operations and partial order defined as follows. For  $A, B \in \mathcal{C}(\mathcal{X})$  and  $\alpha \in \mathbb{K}$ ,

- (i)  $A + B := \{a + b : a \in A, b \in B\}$
- (ii)  $\alpha A := \{\alpha a : a \in A\}$
- (iii) The usual set-inclusion as the partial order.

We now topologise an exponential vector space. For this we need the following concept.

**Definition 1.4.** [2] Let ‘ $\leq$ ’ be a preorder in a topological space  $Z$ ; the preorder is said to be *closed* if its graph  $G_{\leq}(Z) := \{(x, y) \in Z \times Z : x \leq y\}$  is closed in  $Z \times Z$  (endowed with the product topology).

**Theorem 1.5.** [2] A partial order ‘ $\leq$ ’ in a topological space  $Z$  will be a closed order iff for any  $x, y \in Z$  with  $x \not\leq y$ ,  $\exists$  open neighbourhoods  $U, V$  of  $x, y$  respectively in  $Z$  such that  $(\uparrow U) \cap (\downarrow V) = \emptyset$ , where  $\uparrow U := \{z \in Z : z \geq u \text{ for some } u \in U\}$  and  $\downarrow V := \{z \in Z : z \leq v \text{ for some } v \in V\}$ .

**Definition 1.6.** [7] An exponential vector space  $X$  over the field  $\mathbb{K}$  of real or complex numbers is said to be a *topological exponential vector space* if there exists a topology on  $X$  with respect to which the addition and the scalar multiplication are continuous and the partial order ‘ $\leq$ ’ is closed (Here  $\mathbb{K}$  is equipped with the usual topology).

**Remark 1.7.** If  $X$  is a topological exponential vector space then its primitive space  $X_0$  becomes a topological vector space, since restriction of a continuous function is continuous. Moreover, the closedness of the partial order ‘ $\leq$ ’ in a topological exponential vector space  $X$  readily implies (in view of Theorem 1.5) that  $X$  is Hausdörff and hence  $X_0$  becomes a Hausdörff topological vector space.

**Example 1.8.** [3] Let  $X := [0, \infty) \times V$ , where  $V$  is a vector space over the field  $\mathbb{K}$  of real or complex numbers. Define operations and partial order on  $X$  as follows : for  $(r, a), (s, b) \in X$  and  $\alpha \in \mathbb{K}$ ,

- (i)  $(r, a) + (s, b) := (r + s, a + b)$ ,
- (ii)  $\alpha(r, a) := (|\alpha|r, \alpha a)$ ,
- (iii)  $(r, a) \leq (s, b)$  iff  $r \leq s$  and  $a = b$ .

Then  $[0, \infty) \times V$  becomes an exponential vector space with the primitive space  $\{0\} \times V$  which is clearly isomorphic to  $V$ .

In this example, if we consider  $V$  as a Hausdörff topological vector space then  $[0, \infty) \times V$  becomes a topological exponential vector space with respect to the product topology, where  $[0, \infty)$  is equipped with the subspace topology inherited from the real line  $\mathbb{R}$ .

If instead of  $V$  we take the trivial vector space  $\{\theta\}$  in this example then, the resulting topological evs is  $[0, \infty) \times \{\theta\}$  which can be clearly identified with the half ray  $[0, \infty)$  of the real line.

In this paper we have developed the concept of basis and dimension of evs. We know that basis of a vector space is a minimal part of it which generates the entire space. But in evs it is impossible to express every element as a linear combination of some particular elements due to the exponential behaviour of its elements. In this paper, with the help of partial order we have developed the ideas of generating sets, orderly independent sets which help us to define basis. It has been shown that basis of an evs is identified by a minimal generating set whereas maximal orderly independent set fails to form a basis [shown by a counter example], though every basis is a maximal orderly independent set. The main difference between a vector space and an evs in this respect is that an evs may not have a basis always (like vector space). But for a topological evs, we have shown that, if it has a basis then it contains uncountably many bases. We have found out a property of every element of a basis which helped us to give a necessary and sufficient condition for an evs to have a basis. After that we have introduced the concept of dimension of an evs and shown that equality of dimension is an evs property, though two non order-isomorphic evs may have same dimension.

Lastly we have studied the dimension of subevs and shown that every evs contains subevs(s) with all possible lower dimensions. In the last section of this paper computation of basis and dimension of some evs are shown.

## 2 Prerequisites

In this section we have discussed some definitions, results and examples of exponential vector space which are very much required to develop the main context. We now first start with the definition of subevs.

**Definition 2.1.** [5] A subset  $Y$  of an exponential vector space  $X$  is said to be a *sub exponential vector space* (subevs in short) if  $Y$  itself is an exponential vector space with respect to the compositions of  $X$  being restricted to  $Y$ .

**Note 2.2.** [5] A subset  $Y$  of an exponential vector space  $X$  over a field  $K$  is a sub exponential vector space iff  $Y$  satisfies the following :

- (i)  $\alpha x + y \in Y, \forall \alpha \in K, \forall x, y \in Y$ .
- (ii)  $Y_0 \subseteq X_0 \cap Y$ , where  $Y_0 := \{z \in Y : y \not\leq z, \forall y \in Y \setminus \{z\}\}$
- (iii) For any  $y \in Y, \exists p \in Y_0$  such that  $p \leq y$ .

If  $Y$  is a subevs of  $X$  then actually  $Y_0 = X_0 \cap Y$ , since for any  $Y \subseteq X$  we have  $X_0 \cap Y \subseteq Y_0$ .  $[0, \infty) \times \{\theta\}$  is clearly a subevs of the evs  $[0, \infty) \times V$ .

We have used the following result to form a non-topological exponential vector space.

**Result 2.3.** [4] *In a topological evs  $X$  if  $a = a + x$  for some  $a, x \in X$  then  $x = \theta$ .*

To talk about an evs property of this space we have to know the idea of order-morphism.

**Definition 2.4.** [3] A mapping  $f : X \longrightarrow Y$  ( $X, Y$  being two exponential vector spaces over the field  $K$ ) is called an *order-morphism* if

- (i)  $f(x + y) = f(x) + f(y), \forall x, y \in X$
- (ii)  $f(\alpha x) = \alpha f(x), \forall \alpha \in K, \forall x \in X$
- (iii)  $x \leq y (x, y \in X) \Rightarrow f(x) \leq f(y)$
- (iv)  $p \leq q (p, q \in f(X)) \Rightarrow f^{-1}(p) \subseteq \downarrow f^{-1}(q)$  and  $f^{-1}(q) \subseteq \uparrow f^{-1}(p)$ .

A bijective (injective, surjective) order-morphism is called an *order-isomorphism* (*order-monomorphism*, *order-epimorphism* respectively).

If  $X, Y$  are two topological evs over  $\mathbb{K}$  then an order-isomorphism  $f : X \longrightarrow Y$  is said to be a *topological order-isomorphism* if  $f$  is a homeomorphism.

**Definition 2.5.** A property of an evs is called an *evs property* if it remains invariant under order-isomorphism.

The concept of order-isomorphism is competent enough to extract the structural beauty of an evs by judging the invariance of its various properties. Since the composition of two order-isomorphisms, the inverse of an order-isomorphism and the identity map are again order-isomorphisms, the concept thereby produces a partition on the collection of all evs over some common field; this helps one to distinguish two evs belonging to two different classes under this partition.

**Definition 2.6.** [5] In an evs  $X$  the *primitive* of  $x \in X$  is defined as the set

$$P_x := \{p \in X_0 : p \leq x\}$$

The axiom  $A_6$  in Definition 1.1 ensures that the primitive of each element of an evs is nonempty.

**Definition 2.7.** [5] An evs  $X$  is said to be a *single primitive evs* if  $P_x$  is a singleton set for each  $x \in X$ . Also, in a single primitive evs  $X$ ,  $P_{x+y} = P_x + P_y$  and  $P_{\alpha x} = \alpha P_x, \forall x, y \in X$  and for all scalar  $\alpha$ .

Single primitivity is an evs property [5].

**Definition 2.8.** [5] An evs  $X$  is said to be a *comparable evs* if  $\forall x, y \in X, P_x = P_y \Rightarrow x$  and  $y$  are comparable with respect to the partial order of  $X$ .

This is also an evs property [5].

We now give some examples of exponential vector space to build up some counter examples of the main section.

**Example 2.9.** [4] (**Arbitrary product of exponential vector spaces**) Let  $\{X_i : i \in \Lambda\}$  be an arbitrary family of exponential vector spaces over a common field  $K$  and  $X := \prod_{i \in \Lambda} X_i$  be the Cartesian product. Then,  $X$  becomes an exponential vector space over  $K$  with respect to the following operations and partial order :

For  $x = (x_i)_i, y = (y_i)_i \in X$  and  $\alpha \in K$  we define (i)  $x + y := (x_i + y_i)_i$ , (ii)  $\alpha x := (\alpha x_i)_i$ , (iii)  $x \ll y$  if  $x_i \leq y_i, \forall i \in \Lambda$ .

Here the notation  $x = (x_i)_i \in X$  means that the point  $x \in X$  is the map  $x : i \mapsto x_i (i \in \Lambda)$ , where  $x_i \in X_i, \forall i \in \Lambda$ . The additive identity of  $X$  is given by  $\theta = (\theta_i)_i$ ,  $\theta_i$  being the additive identity in  $X_i$ . Also the primitive space of  $X$  is given by  $X_0 = \prod_{i \in \Lambda} [X_i]_0$ .

This product space  $X$  becomes a topological exponential vector space over the field  $\mathbb{K}$  whenever each factor space  $X_i$  is a topological evs over  $\mathbb{K}$  and  $X$  is endowed with the product topology, which is the weakest topology on  $X$  so that each projection map  $p_i : X \rightarrow X_i$  given by  $p_i : x \mapsto x_i$  is continuous.

Thus for any cardinal number  $\beta$ ,  $[0, \infty)^\beta$  becomes a topological evs.

**Example 2.10.** Let  $X$  be an evs over the field  $\mathbb{K}$  (either  $\mathbb{R}$  or  $\mathbb{C}$ ) and  $V$  be a vector space over the same field  $\mathbb{K}$ . We now give operations on  $X \times V$  like  $[0, \infty) \times V$ , i.e.

for  $(x_1, e_1), (x_2, e_2), (x, e) \in X \times V$  and  $\alpha \in \mathbb{K}$

(i)  $(x_1, e_1) + (x_2, e_2) := (x_1 + x_2, e_1 + e_2)$ .

(ii)  $\alpha(x, e) := (\alpha x, \alpha e)$ .

The partial order ' $\leq$ ' is defined as :  $(x_1, e_1) \leq (x_2, e_2)$  iff  $x_1 \leq x_2$  and  $e_1 = e_2$ . Then  $X \times V$  becomes an evs over the field  $\mathbb{K}$ . Justification of this is straight forward.

**Example 2.11.** Let  $\mathcal{C}_\theta(\mathcal{X})$  be the collection of all compact subsets of a Hausdörff topological vector space  $\mathcal{X}$  containing  $\theta$  (the identity in  $\mathcal{X}$ ). So  $\mathcal{C}_\theta(\mathcal{X}) \subseteq \mathcal{C}(\mathcal{X})$ . If we take any two members  $A, B \in \mathcal{C}_\theta(\mathcal{X})$  and any  $\alpha \in \mathbb{K}$  then  $\alpha A + B \in \mathcal{C}_\theta(\mathcal{X})$ . Again  $[\mathcal{C}_\theta(\mathcal{X})]_0 = \{\{\theta\}\} = [\mathcal{C}(\mathcal{X})]_0 \cap \mathcal{C}_\theta(\mathcal{X})$ . For any  $A \in \mathcal{C}_\theta(\mathcal{X})$ ,  $\{\theta\} \subseteq A$ . This shows that  $\mathcal{C}_\theta(\mathcal{X})$  is a subevs of  $\mathcal{C}(\mathcal{X})$  [by note 2.2].

**Example 2.12.** [5] Let  $\mathcal{X}$  be a vector space over the field  $\mathbb{K}$  of real or complex numbers. Let  $\mathcal{L}(\mathcal{X})$  be the set of all linear subspaces of  $\mathcal{X}$ . We now define  $+, \cdot, \leq$  on  $\mathcal{L}(\mathcal{X})$  as follows : For  $\mathcal{X}_1, \mathcal{X}_2 \in \mathcal{L}(\mathcal{X})$  and  $\alpha \in \mathbb{K}$  define

(i)  $\mathcal{X}_1 + \mathcal{X}_2 := \text{span}(\mathcal{X}_1 \cup \mathcal{X}_2)$ , (ii)  $\alpha \cdot \mathcal{X}_1 := \mathcal{X}_1$ , if  $\alpha \neq 0$  and  $\alpha \cdot \mathcal{X}_1 := \{\theta\}$ , if  $\alpha = 0$  ( $\theta$  being the additive identity of  $\mathcal{X}$ ), (iii)  $\mathcal{X}_1 \leq \mathcal{X}_2$  iff  $\mathcal{X}_1 \subseteq \mathcal{X}_2$ .

Then  $(\mathcal{L}(\mathcal{X}), +, \cdot, \leq)$  is an exponential vector space over  $\mathbb{K}$ .

Since every element of  $\mathcal{L}(\mathcal{X})$  is an idempotent  $[\cdot : \mathcal{X}_1 + \mathcal{X}_1 = \mathcal{X}_1, \text{ for all } \mathcal{X}_1 \in \mathcal{L}(\mathcal{X})]$  we can say that there is no topology with respect to which  $\mathcal{L}(\mathcal{X})$  can be a topological evs

[Since a topological evs cannot contain any idempotent element, as follows from the Result 2.3].  $\square$

**Example 2.13.** [6] Let us consider  $\mathcal{D}^2([0, \infty)) := [0, \infty) \times [0, \infty)$ . We define  $+, \cdot, \leq$  on  $\mathcal{D}^2([0, \infty))$  as follows :

For  $(x_1, y_1), (x_2, y_2) \in \mathcal{D}^2([0, \infty))$  and  $\alpha \in \mathbb{C}$  we define

- (i)  $(x_1, y_1) + (x_2, y_2) = (x_1 + x_2, y_1 + y_2)$
- (ii)  $\alpha \cdot (x_1, y_1) = (|\alpha|x_1, |\alpha|y_1)$
- (iii)  $(x_1, y_1) \leq (x_2, y_2) \iff$  either  $x_1 < x_2$  or if  $x_1 = x_2$  then  $y_1 \leq y_2$  [dictionary order]

Then  $(\mathcal{D}^2([0, \infty)), +, \cdot, \leq)$  becomes a non-topological exponential vector space over the complex field  $\mathbb{C}$ .

**Note 2.14.** [6] For a **well-ordered** set  $I$  and an evs  $X$ , if we consider  $\mathcal{D}(X : I) := X^I$  then also, like above example, it forms a non-topological evs with dictionary order. If  $I = \{1, 2, \dots, n\}$  we shall usually denote the evs  $\mathcal{D}(X : I)$  as  $\mathcal{D}^n(X)$ . We can also generalise this by taking different evs i.e.  $\mathcal{D}(X_\alpha : \alpha \in I) := \prod_{\alpha \in I} X_\alpha$ , which also becomes a non-topological evs with dictionary order.

### 3 Basis and dimension : General discussion

In this section we have introduced the concepts of basis and dimension of an exponential vector space. These concepts are different from those already in a vector space. Like vector space it is not true that every evs contains a basis, rather it behaves like a module in this respect. We have found a necessary as well as sufficient condition for an evs to have a basis. Finally, we have computed basis and dimension of some particular evs.

**Definition 3.1.** Let  $X$  be an evs over the field  $K$  and  $x \in X \setminus X_0$ . Define

$$L(x) := \{z \in X : z \geq \alpha x + p, \alpha \in K^*, p \in X_0\}, \text{ where } K^* \equiv K \setminus \{0\}$$

We name these sets  $L(x)$  for different  $x$ 's in  $X \setminus X_0$  as *testing sets* of  $X$ .

We discuss below some properties of  $L(x)$ . First of all note that  $L(x) = \uparrow(K^*x + X_0)$ .

**Proposition 3.2.** (i)  $\forall x \in X \setminus X_0, x \in L(x)$  and  $\uparrow L(x) = L(x)$ .

(ii)  $x \leq y$  ( $x, y \in X \setminus X_0$ )  $\Rightarrow L(x) \supseteq L(y)$ .

(iii) If  $x = \alpha y + p$  for some  $\alpha \in K^*, p \in X_0$  and  $y \in X \setminus X_0$ , then  $L(x) = L(y)$ .

(iv)  $L(x) \cap X_0 = \emptyset$ .

(v) If  $a \in L(b)$  then  $L(a) \subseteq L(b)$ .

(vi) For any  $x, y \in X \setminus X_0, L(x) \cap L(y) \neq \emptyset$ .

*Proof.* (i) Immediate from definition.

(ii) Let  $z \in L(y) \Rightarrow \exists \alpha \in K^*$  and  $p \in X_0$  such that  $\alpha y + p \leq z$ . Now  $x \leq y \Rightarrow \alpha x + p \leq \alpha y + p \leq z \Rightarrow z \in L(x)$ .

(iii) As  $y \in X \setminus X_0$ , so  $x \in X \setminus X_0$ . Therefore we can talk about  $L(x)$ . Let  $z \in L(y) \Rightarrow \exists \alpha_z \in K^*$  and  $p_z \in X_0$  such that  $\alpha_z y + p_z \leq z \Rightarrow \alpha_z \alpha^{-1}(x - p) + p_z \leq z \Rightarrow \alpha_z \alpha^{-1}x + (p_z - \alpha_z \alpha^{-1}p) \leq z \Rightarrow z \in L(x)$ . Therefore  $L(y) \subseteq L(x)$ . Again  $x = \alpha y + p \Rightarrow y = \alpha^{-1}(x - p)$ . So by above argument we also have  $L(x) \subseteq L(y)$ . Thus  $L(x) = L(y)$ .

(iv) Let  $y \in L(x) \Rightarrow \exists \alpha \in K^*$  and  $p \in X_0$  such that  $\alpha x + p \leq y$ . If  $y \in X_0$  then  $\alpha x + p \in X_0 \Rightarrow x \in X_0$ . This contradiction proves that  $L(x) \cap X_0 = \emptyset$ .

(v)  $a \in L(b) \Rightarrow a \in X \setminus X_0$  and  $\exists \alpha \in K^*$ ,  $p \in X_0$  such that  $\alpha b + p \leq a \Rightarrow L(a) \subseteq L(\alpha b + p) = L(b)$  [by (ii) and (iii) above].

(vi) For any  $p \in X_0$  with  $p \leq y$ ,  $x + p \leq x + y \Rightarrow x + y \in L(x)$ . Similarly we can say that  $x + y \in L(y)$ . So,  $x + y \in L(x) \cap L(y) \Rightarrow L(x) \cap L(y) \neq \emptyset$ .  $\square$

**Definition 3.3.** A subset  $B$  of  $X \setminus X_0$  is said to be a *generator* of  $X \setminus X_0$  if

$$X \setminus X_0 = \bigcup_{x \in B} L(x)$$

**Note 3.4.** The set  $X \setminus X_0$  always generates  $X \setminus X_0$ . So generator always exists for  $X \setminus X_0$ . It is clear that any superset of a generator of  $X \setminus X_0$  is also a generator of  $X \setminus X_0$ .

**Definition 3.5.** Two elements  $x, y \in X \setminus X_0$  are said to be *orderly dependent* if either  $x \in L(y)$  or  $y \in L(x)$ .

**Definition 3.6.** Two elements  $x, y \in X \setminus X_0$  are said to be *orderly independent* if they are not orderly dependent i.e neither  $x \in L(y)$  nor  $y \in L(x)$ .

A subset  $B$  of  $X \setminus X_0$  is said to be *orderly independent* if any two members  $x, y \in B$  are orderly independent.

**Remark 3.7.** Let  $Y$  be a subevs of an evs  $X$ . Then any two orderly dependent elements of  $Y \setminus Y_0$  are also orderly dependent in  $X \setminus X_0$  because of the fact  $Y_0 \subseteq X_0$ . In other words, any two elements of  $Y \setminus Y_0$  which are orderly independent in  $X \setminus X_0$  are also orderly independent in  $Y \setminus Y_0$ . But converse is not true in general i.e two orderly independent elements in  $Y \setminus Y_0$  may not be orderly independent in  $X \setminus X_0$  [in contrast to the case of linear independence in vector space]. For example,  $\{0, 2, 5\}$  and  $\{0, -2, 3\}$  are orderly independent in  $\mathcal{C}_\theta(\mathbb{R})$  [see Example 2.11], since  $\nexists$  any  $\alpha \in \mathbb{R}^*$  such that  $\alpha\{0, 2, 5\} \subseteq \{0, -2, 3\}$  or  $\alpha\{0, -2, 3\} \subseteq \{0, 2, 5\}$  [Here  $[\mathcal{C}_\theta(\mathbb{R})]_0 = \{\{0\}\}$ ]. But these two elements are not orderly independent in  $\mathcal{C}(\mathbb{R})$ , as we can write  $\{0, -2, 3\} = \{0, 2, 5\} + \{-2\}$ , where  $\{-2\} \in [\mathcal{C}(\mathbb{R})]_0$ .

In the above context it should thus be noted that while discussing the orderly independence of two elements of a subevs  $Y$  of an evs  $X$ , there are two types of orderly independence — one with respect to  $Y$  and the other with respect to  $X$ ; while considering orderly independence with respect to  $Y$  the testing sets should be of the form

$$L_Y(y) := \{z \in Y : z \geq \alpha y + p, \alpha \in K^*, p \in Y_0\} \text{ for any } y \in Y \setminus Y_0,$$

and when considering orderly independence with respect to  $X$  the testing sets must be of the form



$L_X(y) := \{z \in X : z \geq \alpha y + p, \alpha \in K^*, p \in X_0\}$  for any  $y \in Y \setminus Y_0$ .

Since  $Y_0 \subseteq X_0$  we have  $L_Y(y) \subseteq L_X(y)$ , for any  $y \in Y \setminus Y_0$ . Thus it follows that an orderly independent set in  $Y \setminus Y_0$  need not be orderly independent in  $X \setminus X_0$ . However a set  $B (\subseteq Y \setminus Y_0)$  which is orderly independent in  $X \setminus X_0$  must be so in  $Y \setminus Y_0$ .

**Definition 3.8.** A subset  $B$  of  $X \setminus X_0$  is said to be a *basis* of  $X \setminus X_0$  if  $B$  is orderly independent and generates  $X \setminus X_0$ .

**Note 3.9.** For each  $x \in X$  either  $x \in X_0$  or  $x \in X \setminus X_0$ . If  $x \in X_0$  then it can be expressed as a finite linear combination of some basic vectors of some basis of  $X_0$  [as a vector space]. If  $x \in X \setminus X_0$  then there exists a member of some basis [if exists] of  $X \setminus X_0$  which generates  $x$ . So we can say that to represent an evs  $X$  it is necessary to consider a basis of  $X \setminus X_0$  together with a basis of  $X_0$  [in the sense of vector space]. Thus a basis of an evs  $X$  should be composed of two components, one for  $X_0$  and the other for  $X \setminus X_0$ . To express this fact in an easiest way we shall represent a basis of an evs  $X$  as  $[B : B_0]$ , where  $B$  is a basis of  $X \setminus X_0$  and  $B_0$  is a basis of  $X_0$  [as a vector space]. If for an evs  $X$ ,  $X_0 = \{\theta\}$  then we shall consider  $B_0 = \{\theta\}$ , since in that case  $X_0$  has no basis.

**Theorem 3.10.** For a topological evs  $X$ ,  $X \setminus X_0$  either has no basis or has uncountably many bases.

*Proof.* Let  $B$  be a basis of  $X \setminus X_0$ . Then  $G_\alpha := \{\alpha x : x \in B\}$  and  $H_p := \{x + p : x \in B\}$  are also bases of  $X \setminus X_0$ , for any  $\alpha \in K^*$  and any  $p \in X_0$ . This holds because of the result  $L(x) = L(\alpha x + p)$  [proposition 3.2]. If  $G_\alpha = G_\beta$  for any  $\alpha, \beta \in K^*$  then  $\alpha x = \beta x$ ,  $\forall x \in B$  [ $\because \alpha x \neq \beta y$  for any  $x, y \in B$  as  $B$  is orderly independent]. If we choose  $\alpha, \beta \in K^*$  such that  $|\alpha| < |\beta|$  then using continuity of the scalar multiplication of the topological evs  $X$  we must have  $x = \theta$  [ $\because \alpha x = \beta x \Rightarrow (\alpha\beta^{-1})^n x = x, \forall n \in \mathbb{N}$  which implies by taking limit  $n \rightarrow \infty$  that  $x = \theta$ , as  $|\alpha\beta^{-1}| < 1$ ] — a contradiction. Thus it follows that for any  $\alpha, \beta \in K^*$  with  $|\alpha| < |\beta|$  we must have  $G_\alpha \neq G_\beta$ . This immediately justifies that there are uncountably many bases of  $X \setminus X_0$ .

If  $X_0$  contains more than one element then for  $p, q \in X_0$  we may consider  $H_p, H_q$ . If  $H_p = H_q$  then  $B$  being orderly independent we must have  $x + p = x + q, \forall x \in B$ . Then by result 2.3 it follows that  $p = q$ . Since  $X$  is a topological evs,  $X_0$  is a Hausdörff topological vector space. So if  $X_0 \neq \{\theta\}$  then it must be uncountable and hence ensures the existence of uncountably many bases of  $X \setminus X_0$ .  $\square$

For a non-topological evs it may so happen that  $G_\alpha = B$  for every  $\alpha \in K^*$  [this will be discussed in the next section]. However, an evs (topological or not) need not have a basis. We show in the next section that the evs  $\mathcal{D}([0, \infty) : \mathbb{N})$  discussed in Note 2.14 cannot have a basis. The following result shows that having basis is an evs property.

**Result 3.11.** Let  $\phi : X \rightarrow Y$  be an order-isomorphism. Then

- (1) for any generator  $B$  of  $X \setminus X_0$ ,  $\phi(B)$  is a generator of  $Y \setminus Y_0$ .
- (2) for any orderly independent subset  $B$  of  $X \setminus X_0$ ,  $\phi(B)$  is also an orderly independent subset of  $Y \setminus Y_0$ .

Thus, for a basis  $B$  of  $X \setminus X_0$ ,  $\phi(B)$  becomes a basis of  $Y \setminus Y_0$ .

*Proof.* (1)  $B \subseteq X \setminus X_0 \Rightarrow \phi(B) \subseteq Y \setminus Y_0$  [As  $\phi(X_0) = Y_0$ ]. Let  $y \in Y \setminus Y_0 \Rightarrow \phi^{-1}(y) \in X \setminus X_0 \Rightarrow \exists b \in B$  and  $\alpha \in K^*$ ,  $p \in X_0$  such that  $\phi^{-1}(y) \geq \alpha b + p \Rightarrow y \geq \alpha \phi(b) + \phi(p) \Rightarrow y \in L(\phi(b)) \subseteq \bigcup_{b \in B} L(\phi(b))$ . Therefore  $Y \setminus Y_0 \subseteq \bigcup_{b \in B} L(\phi(b))$ . Again by the proposition 3.2,  $L(\phi(b)) \cap Y_0 = \emptyset, \forall b \in B$ . So  $Y \setminus Y_0 = \bigcup_{b \in B} L(\phi(b))$ . Thus  $\phi(B)$  is a generator of  $Y \setminus Y_0$ .

(2) We first show that for any two orderly dependent members  $y_1, y_2$  of  $Y \setminus Y_0$ ,  $\phi^{-1}(y_1), \phi^{-1}(y_2)$  are orderly dependent in  $X \setminus X_0$ . As  $y_1, y_2$  are orderly dependent so without loss of generality we can take  $y_1 \in L(y_2) \Rightarrow \exists \alpha \in K^*$  and  $p \in Y_0$  such that  $\alpha y_2 + p \leq y_1$ . Then  $\phi^{-1}$  also being an order-isomorphism we have  $\phi^{-1}(\alpha y_2 + p) \leq \phi^{-1}(y_1) \Rightarrow \alpha \phi^{-1}(y_2) + \phi^{-1}(p) \leq \phi^{-1}(y_1) \Rightarrow \phi^{-1}(y_1) \in L(\phi^{-1}(y_2))$  [as  $\phi^{-1}(p) \in X_0$ ]. This justifies our assertion. Then contra-positively, the result follows.  $\square$

The next theorem characterises a basis (if exists) of  $X \setminus X_0$ , for any evs  $X$ .

**Theorem 3.12.** A subset of  $X \setminus X_0$  is a basis of  $X \setminus X_0$  iff it is a minimal generating subset of  $X \setminus X_0$ . [Here minimal generating subset  $B$  of  $X \setminus X_0$  means there does not exist any proper subset of  $B$  which can generate  $X \setminus X_0$ .]

*Proof.* Let us suppose  $B$  be a basis of  $X \setminus X_0$ . Then  $B$  generates  $X \setminus X_0$ . Now  $B$  being an orderly independent subset of  $X \setminus X_0$ , if we take an element  $x \in B$  then  $\forall y \in B \setminus \{x\}$ ,  $x$  and  $y$  are orderly independent. Therefore  $x \notin L(y), \forall y \in B \setminus \{x\}$ . This shows that  $B \setminus \{x\}$  cannot generate  $X \setminus X_0$  and this holds for any  $x \in B$ . Therefore  $B$  is a minimal generator of  $X \setminus X_0$ .

Conversely, suppose  $B$  be a minimal generator of  $X \setminus X_0$ . For any two members  $x, y \in B$  if  $x \in L(y)$  then by proposition 3.2,  $L(x) \subseteq L(y) \Rightarrow B \setminus \{x\}$  also generates  $X \setminus X_0$ , which contradicts that  $B$  is a minimal generator of  $X \setminus X_0$ . Again, if  $y \in L(x)$  we get similar contradiction. So neither  $x \in L(y)$  nor  $y \in L(x) \Rightarrow x, y$  are orderly independent. Arbitrariness of  $x, y$  shows that  $B$  is an orderly independent subset of  $X \setminus X_0$ . Consequently,  $B$  is a basis of  $X \setminus X_0$ .  $\square$

**Result 3.13.** Every basis of  $X \setminus X_0$  is a maximal orderly independent subset of  $X \setminus X_0$ . [Here maximal orderly independent subset  $B$  of  $X \setminus X_0$  means there does not exist any orderly independent subset of  $X \setminus X_0$  containing  $B$ .]

*Proof.* Let  $B$  be a basis of  $X \setminus X_0$ . Then for any  $x \in X \setminus (B \cup X_0)$ ,  $\exists b \in B$  such that  $x \in L(b)$ . This shows that  $B \cup \{x\}$  is not orderly independent. Thus  $B$  is maximal orderly independent in  $X \setminus X_0$ .  $\square$

Converse of above result is not true in general i.e maximal orderly independent subset of  $X \setminus X_0$  may not be a basis of  $X \setminus X_0$ . For example, in the evs  $\mathcal{C}_\theta(\mathcal{X})$  [discussed in 2.11] let us consider the collection

$$\mathcal{G} := \{A \in \mathcal{C}_\theta(\mathcal{X}) : A \text{ consists of three distinct elements of } \mathcal{X}\}$$

Then  $\mathcal{G} \subset \mathcal{C}_\theta(\mathcal{X}) \setminus \{\{\theta\}\}$ . Now we define a relation ' $\sim$ ' on  $\mathcal{G}$  by " $A \sim B$  iff  $A = \alpha B$  for some  $\alpha \in \mathbb{K}^*$ ". Then this relation becomes an equivalence relation on  $\mathcal{G}$ . Let us consider the subcollection  $\mathcal{H}$  of  $\mathcal{G}$  taking exactly one member from each equivalence class produced by the equivalence relation ' $\sim$ '. Then  $\mathcal{H}$  becomes an orderly independent subset of  $\mathcal{C}_\theta(\mathcal{X}) \setminus \{\{\theta\}\}$ , because any two elements  $A, B \in \mathcal{G}$  are orderly dependent iff  $A = \alpha B$  for some  $\alpha \in \mathbb{K}^*$  and hence belong to the same equivalence class. For any member  $C \in \mathcal{C}_\theta(\mathcal{X}) \setminus (\mathcal{H} \cup [\mathcal{C}_\theta(\mathcal{X})]_0)$  if  $\text{card}(C) \geq 3$  then there exists a member  $A_C \in \mathcal{H}$  and  $\alpha \in \mathbb{K}^*$  such that  $\alpha A_C \subseteq C$ . If  $\text{card}(C) = 2$  then also there exists  $\beta \in \mathbb{K}^*$  and  $A_C \in \mathcal{H}$  such that  $C \subseteq \beta A_C$ . This shows that  $\mathcal{H} \cup \{C\}$  is orderly dependent. So we can say that  $\mathcal{H}$  forms a maximal orderly independent set in  $\mathcal{C}_\theta(\mathcal{X}) \setminus \{\{\theta\}\}$ . But it does not generate  $\mathcal{C}_\theta(\mathcal{X}) \setminus \{\{\theta\}\}$ . In fact, for any  $D \in \mathcal{C}_\theta(\mathcal{X})$  with  $\text{card}(D) = 2$  there does not exist any  $A \in \mathcal{H}$  such that  $D \in L(A)$ , since each member of  $L(A)$  contains three or more elements of  $\mathcal{X}$ . Hence  $\mathcal{H}$  cannot be a basis of  $\mathcal{C}_\theta(\mathcal{X}) \setminus [\mathcal{C}_\theta(\mathcal{X})]_0$  although it is maximal orderly independent [here note that  $[\mathcal{C}_\theta(\mathcal{X})]_0 = \{\{\theta\}\}$ ].

**Remark 3.14.** If  $A$  is an orderly independent set in  $X \setminus X_0$  then for any  $a_1, a_2 \in A$  with  $a_1 \neq a_2$  neither  $a_1 \in L(a_2)$  nor  $a_2 \in L(a_1)$ . In other words, if  $a_1 \in L(a_2)$  for some  $a_1, a_2 \in A$  then  $a_1 = a_2$ . Moreover any two elements of an orderly independent set  $A$  must be incomparable with respect to the partial order ' $\leq$ ' of the evs  $X$ ; in fact,  $x \leq y \Rightarrow y \in L(x)$ .

**Lemma 3.15.** Let  $A$  and  $B$  be two bases of  $X \setminus X_0$ . Then for any  $a \in A$ , there exists one and only one  $b_a \in B$  such that  $L(a) = L(b_a)$ .

*Proof.* As  $B$  is a basis of  $X \setminus X_0$ , so for the member  $a \in A$ , there must exist some  $b \in B$  such that  $a \in L(b)$ . Let us suppose,  $\exists b_1, b_2 \in B$  such that  $a \in L(b_1) \cap L(b_2) \Rightarrow L(a) \subseteq L(b_1) \cap L(b_2)$  [by proposition 3.2] — (\*). Again  $a \in L(b_1) \Rightarrow \exists \alpha \in K^*$  and  $p \in X_0$  such that  $\alpha b_1 + p \leq a$  — (\*\*). Now since  $A$  is a basis, so for  $b_1, b_2 \in B$ ,  $\exists a_1, a_2 \in A$  such that  $b_1 \in L(a_1)$  and  $b_2 \in L(a_2) \Rightarrow L(b_1) \subseteq L(a_1)$  and  $L(b_2) \subseteq L(a_2)$  [by proposition 3.2]. By (\*),  $L(a) \subseteq L(a_1)$  and  $L(a) \subseteq L(a_2) \Rightarrow a \in L(a_1)$  and  $a \in L(a_2)$ . As  $a, a_1, a_2$  are members of the basis  $A$ , so we can say that  $a_2 = a = a_1$  [by above remark 3.14]. Therefore  $b_1, b_2 \in L(a) \Rightarrow \exists \alpha_1, \alpha_2 \in K^*$  and  $p_1, p_2 \in X_0$  such that  $\alpha_1 a + p_1 \leq b_1$  and  $\alpha_2 a + p_2 \leq b_2$  — (\*\*\*) . From (\*\*) and (\*\*\*), we get  $b_2 \geq \alpha_2 a + p_2 \geq \alpha_2 (\alpha b_1 + p) + p_2 = \alpha_2 \alpha b_1 + (\alpha_2 p + p_2)$ .  $\therefore b_2 \in L(b_1)$  [As  $\alpha_2 \alpha \in K^*$  and  $\alpha_2 p + p_2 \in X_0$ ]. Since  $b_1, b_2$  are members of the basis  $B$  so  $b_2 = b_1$  [by above remark 3.14]. Thus there exists one and only one member (say)  $b_a \in B$  such that  $a \in L(b_a)$  and also  $b_a \in L(a) \Rightarrow L(a) \subseteq L(b_a)$  and  $L(b_a) \subseteq L(a) \Rightarrow L(a) = L(b_a)$ .  $\square$

**Theorem 3.16.** *If  $A$  and  $B$  are two bases of  $X \setminus X_0$  then  $\text{card}(A) = \text{card}(B)$ .*

*Proof.* From the proof of the above lemma 3.15 we can say that for each  $a \in A$ ,  $\exists$  unique  $b \in B$  such that  $L(b) = L(a)$ . This property creates a one to one correspondence between  $A$  and  $B$ . Hence the theorem.  $\square$

This theorem motivates us to introduce the concept of dimension of an evs.

**Definition 3.17.** For an evs  $X$  we define *dimension* of  $X \setminus X_0$  as

$$\dim(X \setminus X_0) := \text{card}(B), \text{ where } B \text{ is a basis of } X \setminus X_0.$$

Then we shall represent *dimension* of the evs  $X$  as  $\dim X := [\dim(X \setminus X_0) : \dim X_0]$ . If  $X_0 = \{\emptyset\}$ , dimension of  $X_0$  will be taken as 0, since then  $X_0$  has no basis [as vector space].

**Note 3.18.** Theorem 3.16 makes the above definition well-defined. From result 3.11 we can say that if  $X$  and  $Y$  are order-isomorphic evs then  $\dim X = \dim Y$ . Here by “ $\dim X = \dim Y$ ” we mean  $\dim(X \setminus X_0) = \dim(Y \setminus Y_0)$  as well as  $\dim X_0 = \dim Y_0$ . However, converse of this is not true in general i.e there are evs  $X, Y$  such that  $\dim X = \dim Y$  but  $X, Y$  are not order-isomorphic. This will be clear in the next section, when we shall compute the dimension of some particular evs.

**Result 3.19.** *Let  $X$  be an evs and  $B$  be a basis of  $X \setminus X_0$ . Then  $\downarrow x \setminus X_0 \subseteq L(x)$ , for each  $x \in B$ .*

*Proof.* Let  $x \in B$  and  $y \in \downarrow x \setminus X_0$ . Since  $B$  is a basis of  $X \setminus X_0$ ,  $\exists x_1 \in B$  such that  $y \in L(x_1) \Rightarrow \exists \alpha_1 \in K^*$  and  $p_1 \in X_0$  such that  $\alpha_1 x_1 + p_1 \leq y \Rightarrow \alpha_1 x_1 + p_1 \leq x$  [ $\because y \leq x$ ]  $\Rightarrow x \in L(x_1)$ . Since  $B$  is orderly independent and both  $x, x_1 \in B$ , we can say by remark 3.14 that  $x = x_1$ . Therefore  $y \in L(x)$ . Thus  $\downarrow x \setminus X_0 \subseteq L(x)$ , for each  $x \in B$ .  $\square$

This result reveals an important property of each member of a basis of  $X \setminus X_0$  which helps us to set up a precise domain of basic elements of  $X \setminus X_0$ . The collection of all  $x$  in  $X \setminus X_0$  satisfying the property stated in the above result 3.19 makes our task of finding a basis of  $X \setminus X_0$  easier. To make this assertion precise let us consider the following :

For an evs  $X$ , let

$$Q(X) := \{x \in X \setminus X_0 : (\downarrow x \setminus X_0) \subseteq L(x)\}$$

From result 3.19 we can say that  $B \subseteq Q(X)$ , for any basis  $B$  of  $X \setminus X_0$ . It is thus enough to find any basis of  $X \setminus X_0$  within  $Q(X)$ . We call this set  $Q(X)$  as *feasible set* of  $X$ . At this point it is important to note that  $Q(X)$  may be empty; in fact, if for an evs  $X$ ,  $Q(X) = \emptyset$  then such evs  $X$  cannot have any basis (as we have claimed earlier). We shall encounter such evs later. If for an evs  $X$ ,  $Q(X) \neq \emptyset$  then also  $X$  may not have a basis. In fact, we shall prove shortly a theorem which will characterise, in terms of  $Q(X)$ , when  $X$  will have a basis. We now prove a lemma which will be useful in the sequel.

**Lemma 3.20.** *For an evs  $X$ , if  $x \in Q(X)$  then for each  $y \in \downarrow x \setminus X_0$ ,  $L(x) = L(y)$ .*

*Proof.*  $y \in \downarrow x \setminus X_0 \Rightarrow y \leq x$ . So by proposition 3.2 we have  $L(x) \subseteq L(y)$ . Again by construction of  $Q(X)$ ,  $x \in Q(X) \Rightarrow \downarrow x \setminus X_0 \subseteq L(x) \Rightarrow y \in L(x) \Rightarrow L(y) \subseteq L(x)$  [by proposition 3.2]. Thus  $L(x) = L(y)$ .  $\square$

The following theorem may be compared with the so-called ‘*Replacement theorem*’ in the context of basis of a vector space.

**Theorem 3.21.** *For an evs  $X$ , let  $B$  be a basis of  $X \setminus X_0$  and  $x \in B$ . Then for any  $y \in \downarrow x \setminus X_0$ ,  $(B \setminus \{x\}) \cup \{y\}$  is also a basis of  $X \setminus X_0$ .*

*Proof.* Let  $A = (B \setminus \{x\}) \cup \{y\}$ . As  $y \in \downarrow x \setminus X_0$  and  $x \in B \subseteq Q(X)$  so by lemma 3.20,  $L(x) = L(y)$ . Therefore  $X \setminus X_0 = \bigcup_{z \in B} L(z) = \bigcup_{z \in A} L(z) \Rightarrow A$  generates  $X \setminus X_0$ . To show that  $A$  is orderly independent it is sufficient to show that for any  $z \in B \setminus \{x\}$ ,  $z, y$  are orderly independent. If not, then for some  $z_1 \in B \setminus \{x\}$  either  $y \in L(z_1)$  or  $z_1 \in L(y)$ . Now if  $y \in L(z_1)$  then by proposition 3.2,  $L(y) \subseteq L(z_1) \Rightarrow x \in L(x) = L(y) \subseteq L(z_1)$  which contradicts that  $x, z_1$  are two members of the basis  $B$ . Again if  $z_1 \in L(y)$  then  $z_1 \in L(y) = L(x)$  which again contradicts that  $x, z_1$  are orderly independent. Thus it follows that  $A$  is orderly independent.  $\square$

The above theorem makes it convenient to construct new basis from old one. The following theorem is the key to ensure the existence of a basis of an evs.

**Theorem 3.22.** *An evs  $X$  has a basis iff  $Q(X)$  is a generator of  $X \setminus X_0$ .*

*Proof.* Let us suppose  $X$  has a basis  $[B : B_0]$ , where  $B$  and  $B_0$  are bases of  $X \setminus X_0$  and  $X_0$  respectively. Then by the result 3.19,  $B \subseteq Q(X)$ . As  $B$  is a generator of  $X \setminus X_0$  so  $Q(X)$  is also a generator of  $X \setminus X_0$ .

Conversely, suppose  $Q(X)$  is a generator of  $X \setminus X_0 \Rightarrow Q(X) \neq \emptyset$ . We now give a relation  $\sim$  in  $Q(X)$  as follows : For  $x, y \in Q(X)$ , we say  $x \sim y \Leftrightarrow L(x) = L(y)$ . Then obviously this becomes an equivalence relation on  $Q(X)$ . Let us consider a collection taking exactly one representative from each equivalence class and denote this collection as  $B$ . Then  $B \subseteq Q(X) \subseteq X \setminus X_0$ . Also  $x, y \in B$  with  $x \neq y \Leftrightarrow x, y \in Q(X)$  and  $L(x) \neq L(y)$ . We claim that  $B$  is a basis of  $X \setminus X_0$ . Let  $z \in X \setminus X_0 \Rightarrow \exists x_z \in Q(X)$  [ $\because Q(X)$  is a generator] such that  $z \in L(x_z) \Rightarrow \exists$  an element  $x'_z \in B$  such that  $L(x_z) = L(x'_z)$  and hence  $z \in L(x'_z) \Rightarrow B$  generates  $X \setminus X_0$ . Now we have to show that  $B$  is orderly independent. Suppose not, then  $\exists$  two distinct elements  $x_1, x_2 \in B$  such that they are orderly dependent. So without loss of generality we can think that  $x_1 \in L(x_2)$ . Now  $x_1 \in L(x_2) \Rightarrow \exists \alpha \in \mathbb{K}^*$  and  $p \in X_0$  such that  $\alpha x_2 + p \leq x_1$ . Since  $x_1 \in Q(X)$  [as  $B \subseteq Q(X)$ ] and  $\alpha x_2 + p \in \downarrow x_1 \setminus X_0$ , using lemma 3.20 we can say that  $L(\alpha x_2 + p) = L(x_1)$  and then by proposition 3.2 we have  $L(x_2) = L(\alpha x_2 + p) = L(x_1)$  — which contradicts that  $x_1, x_2$  are two distinct elements of  $B$ . Thus  $B$  becomes a basis of  $X \setminus X_0$ . Let us take any basis  $B_0$  of  $X_0$ . Then  $[B : B_0]$  becomes a basis of  $X$ .  $\square$

From the proof of the above theorem it is clear that the hypothesis of  $Q(X)$  being a generator of  $X \setminus X_0$  is only used to justify that  $B$ , constructed using the equivalence relation  $\sim$  within  $Q(X)$ , is a generator of  $X \setminus X_0$ ; to ensure the orderly independence of  $B$ , the structure of  $Q(X)$  is enough. Thus we can conclude that for any evs  $X$ ,  $Q(X)$  (if nonempty) always contains an orderly independent set like  $B$  (as constructed in the proof of the above theorem 3.22). This orderly independent set is also a maximal orderly independent set in  $Q(X)$ . In fact, if  $D$  be another orderly independent set in  $Q(X)$  such that  $B \subset D$  then for any  $x \in D$ ,  $\exists z \in B$  such that  $L(x) = L(z) \Rightarrow x = z$  [ $\because x, z \in D$  and  $D$  is orderly independent] and hence  $x \in B$ . Thus  $B = D$ . Summarising all these facts we get the following theorem.

**Theorem 3.23.** *For an evs  $X$ , if  $Q(X) \neq \emptyset$  then it contains a maximal orderly independent set.*

The next theorem is useful in finding a basis of  $X \setminus X_0$ , for any evs  $X$ .

**Theorem 3.24.** *For an evs  $X$ , every maximal orderly independent set of  $Q(X)$  is a basis of  $X \setminus X_0$ , provided  $Q(X)$  generates  $X \setminus X_0$ .*

*Proof.* Let  $B$  be a maximal orderly independent set in  $Q(X)$ . Since  $Q(X)$  generates  $X \setminus X_0$ , for any  $x \in X \setminus X_0$ ,  $\exists z \in Q(X)$  such that  $x \in L(z)$ . If  $z \in B$  we are done. If  $z \notin B$  then  $B$  being a maximal orderly independent set in  $Q(X)$ ,  $B \cup \{z\}$  is orderly dependent. So  $\exists b \in B$  such that either  $z \in L(b)$  or  $b \in L(z)$ . If  $z \in L(b)$  then by proposition 3.2,  $x \in L(z) \subseteq L(b)$ . If  $b \in L(z)$ ,  $\exists \alpha \in \mathbb{K}^*$  and  $p \in X_0$  such that  $b \geq \alpha z + p$ . Then  $b \in B \subseteq Q(X) \Rightarrow L(z) = L(b)$  [by lemma 3.20]  $\Rightarrow x \in L(b)$ . Thus  $B$  generates  $X \setminus X_0$ . Consequently  $B$  is a basis of  $X \setminus X_0$ .  $\square$

The above theorem 3.24 shows the converse of the result 3.13 to some extent; as we have explained, just after the result 3.13, through the example of  $\mathcal{C}_\theta(\mathcal{X})$  that every maximal orderly independent subset of  $X \setminus X_0$  need not be a basis of  $X \setminus X_0$ , the above theorem 3.24 shows that every maximal orderly independent subset of  $Q(X)$  [but *not only* of  $X \setminus X_0$ ] becomes a basis of  $X \setminus X_0$ , provided of course  $Q(X)$  generates  $X \setminus X_0$  [note that the necessity of  $Q(X)$  being a generator of  $X \setminus X_0$  is the principal key for an evs  $X$  to have a basis]. From remark 3.14 we may recall one more point that while finding a basis of  $X \setminus X_0$ , we have to gather only suitable incomparable elements from  $Q(X)$ . In this context it should also be noted that any two elements of  $Q(X)$  need not be orderly independent. In fact, for any  $x \in Q(X)$  if  $y \in \downarrow x \setminus X_0$  then also  $y \in Q(X)$  [see result 3.26(ii)]. Clearly this  $x, y$  are orderly dependent, since  $L(x) = L(y)$ .

**Result 3.25.** *If  $X$  and  $Y$  are order-isomorphic then  $Q(X)$  and  $Q(Y)$  are in a one-to-one correspondence.*

*Proof.* Let  $\phi : X \rightarrow Y$  be an order-isomorphism. We now show that  $\phi(Q(X)) = Q(Y)$ . Let  $x_0 \in Q(X) \Rightarrow \downarrow x_0 \setminus X_0 \subseteq L(x_0)$ . Also let  $y \in \downarrow \phi(x_0) \setminus Y_0 \Rightarrow y \leq \phi(x_0)$  and  $y \notin Y_0 \Rightarrow \phi^{-1}(y) \leq x_0$  and  $\phi^{-1}(y) \notin X_0 \Rightarrow \phi^{-1}(y) \in \downarrow x_0 \setminus X_0 \subseteq L(x_0) \Rightarrow \exists \alpha \in \mathbb{K}^*$  and  $p \in X_0$  such that  $\alpha x_0 + p \leq \phi^{-1}(y) \Rightarrow \alpha \phi(x_0) + \phi(p) \leq y \Rightarrow y \in L(\phi(x_0))$  [ $\because \phi(p) \in Y_0$ ]. Therefore  $\downarrow \phi(x_0) \setminus Y_0 \subseteq L(\phi(x_0)) \Rightarrow \phi(Q(X)) \subseteq Q(Y)$ . Similarly we can say that  $\phi^{-1}(Q(Y)) \subseteq Q(X)$  [ $\because \phi^{-1}$  is an order-isomorphism from  $Y$  onto  $X$ ]  $\Rightarrow Q(Y) \subseteq \phi(Q(X))$ .  $\therefore \phi(Q(X)) = Q(Y)$ . Thus  $Q(X)$  and  $Q(Y)$  are in a one-to-one correspondence.  $\square$

**Result 3.26.** (i) If  $x \in Q(X)$  then for any  $\alpha \in \mathbb{K}^*$  and  $p \in X_0$ ,  $\alpha x + p \in Q(X)$  i.e  $Q(X)$  is closed under dilation and translation by primitive elements.

(ii) If  $x \in Q(X)$  then  $\downarrow x \setminus X_0 \subseteq Q(X)$  i.e  $\downarrow Q(X) \setminus X_0 \subseteq Q(X)$ .

*Proof.* (i)  $x \in Q(X) \Rightarrow \downarrow x \setminus X_0 \subseteq L(x)$ . We now show that  $\downarrow (\alpha x + p) \setminus X_0 \subseteq L(\alpha x + p)$ . Let  $y \in \downarrow (\alpha x + p) \setminus X_0 \Rightarrow y \leq \alpha x + p$  and  $y \notin X_0 \Rightarrow \alpha^{-1}(y - p) \leq x$  and  $y \notin X_0 \Rightarrow \alpha^{-1}(y - p) \in \downarrow x \setminus X_0 \Rightarrow \alpha^{-1}(y - p) \in L(x) \Rightarrow \exists \beta \in \mathbb{K}^*$  and  $q \in X_0$  such that  $\beta x + q \leq \alpha^{-1}(y - p) \Rightarrow \alpha(\beta x + q) + p \leq y \Rightarrow \alpha\beta x + \alpha q + p \leq y \Rightarrow y \in L(x)$  [ $\because \alpha q + p \in X_0$ ]  $\Rightarrow y \in L(\alpha x + p)$  [ $\because L(\alpha x + p) = L(x)$ , by proposition 3.2]. Therefore  $\alpha x + p \in Q(X)$ .

(ii) Let  $y \in \downarrow x \setminus X_0$ . Then by lemma 3.20,  $L(x) = L(y)$ . Now for each  $z \in \downarrow y \setminus X_0$  we have  $z \leq y \leq x$  with  $z \notin X_0 \Rightarrow L(z) = L(x)$  [by lemma 3.20]  $\Rightarrow z \in L(x) = L(y)$ . Thus  $\downarrow y \setminus X_0 \subseteq L(y)$ . Consequently,  $y \in Q(X)$  and hence  $\downarrow x \setminus X_0 \subseteq Q(X)$ .  $\square$

As we have explained in remark 3.7 regarding orderly independence in a subevs of an evs, the theory of basis of a subevs does not behave nicely like the theory of basis of a subspace of a vector space. However we have the following theorems and examples which reveal some technical aspects of dimension theory of evs.

**Theorem 3.27.** Every evs contains a subevs of dimension  $[1 : 0]$ .

*Proof.* Let  $X$  be an evs over  $\mathbb{K}$  and  $B(x) := \left\{ \sum_{i=1}^n \alpha_i x : \alpha_i \in \mathbb{K}, n \in \mathbb{N} \right\}$ , where  $x \in \uparrow \theta \setminus \{\theta\}$ . Then for any  $\alpha, \beta \in \mathbb{K}$  and any  $\sum_{i=1}^n \alpha_i x, \sum_{j=1}^m \beta_j x \in B(x)$  we have  $\alpha \sum_{i=1}^n \alpha_i x + \beta \sum_{j=1}^m \beta_j x = \sum_{i=1}^n \alpha \alpha_i x + \sum_{j=1}^m \beta \beta_j x \in B(x)$ . Also  $[B(x)]_0 = \{\theta\} = B(x) \cap X_0$  and for any  $y \in B(x)$ ,  $\theta \leq y$  [ $\because \theta \leq x$ ]. So  $B(x)$  forms a subevs of  $X$  for any  $x \in \uparrow \theta \setminus \{\theta\}$ . In this case  $\{x\}$  forms a basis of  $B(x) \setminus [B(x)]_0$ . In fact, for any  $\sum_{i=1}^n \alpha_i x \in B(x)$ ,  $\alpha_j x + \theta \leq \sum_{i=1}^n \alpha_i x$  for some  $j \in \{1, 2, \dots, n\}$  for which  $\alpha_j \neq 0$ . Again any singleton set consisting of a non-zero element is always orderly independent. So we can say that  $\dim B(x) = [1 : 0]$ .  $\square$

The following example shows that corresponding to any cardinal  $\alpha$ , there exists an evs of dimension  $[\alpha : 0]$ .

**Example 3.28.** For any cardinal number  $\alpha$ , let us consider the evs  $[0, \infty)^\alpha$ , discussed in Example 2.9. Let us take a set  $I$  such that  $\text{card}(I) = \alpha$ . We now show that  $B := \{e_i : i \in I\}$  is a basis of  $[0, \infty)^\alpha$ , where  $e_i = (\delta_j^i)_{j \in I}$  and  $\delta_j^i = \begin{cases} 1, & \text{when } i = j \\ 0, & \text{when } i \neq j \end{cases}$

For any  $x \in [0, \infty)^\alpha \setminus [0, \infty)^\alpha_0$  [here  $[0, \infty)^\alpha_0 = \{\theta\}$  and  $\theta = (z_j)_{j \in I}$ , where  $z_j = 0, \forall j \in I$ ] with representation  $x = (x_j)_{j \in I}, \exists p \in I$  such that  $x_p \neq 0 \Rightarrow x_p e_p \leq x$  [ $\because x_j \geq 0, \forall j \in I \Rightarrow x_p e_p + \theta \leq x \Rightarrow x \in L(e_p) \Rightarrow B$  generates  $[0, \infty)^\alpha \setminus [0, \infty)^\alpha_0$ ]. Now clearly any two members of  $B$  are orderly independent in  $[0, \infty)^\alpha \setminus [0, \infty)^\alpha_0$ . This shows that  $B$  is a basis of  $[0, \infty)^\alpha \setminus [0, \infty)^\alpha_0$ . Therefore  $\dim[0, \infty)^\alpha = [\alpha : 0]$ , since  $\text{card}(B) = \text{card}(I) = \alpha$  and  $\dim[0, \infty)^\alpha_0 = \dim\{\theta\} = 0$ .

Thus for any two cardinal numbers  $\alpha, \beta$  with  $\alpha \neq \beta$ ,  $[0, \infty)^\alpha$  and  $[0, \infty)^\beta$  cannot be order-isomorphic, since they are of different dimension. We now show that for any two cardinal numbers  $\alpha, \beta$ , there exists an evs of dimension  $[\alpha : \beta]$ . For this we need the following theorem first.

**Theorem 3.29.** For an evs  $X$  and a vector space  $V$ , both being over the common field  $\mathbb{K}$ , the evs  $Y := X \times V$  has a basis iff the evs  $X$  has a basis [The evs  $X \times V$  is discussed in example 2.10]. Also  $\dim(X \times V) = [\dim(X \setminus X_0) : \dim X_0 + \dim V]$ .

*Proof.* Let  $X$  has a basis. We first show that  $A := \{(b, \theta_V) : b \in B\}$  is a basis of  $Y \setminus Y_0$ , where  $B$  is a basis of  $X \setminus X_0$  and  $\theta_V$  is the identity of  $V$ . As  $B$  is orderly independent in  $X \setminus X_0$  we can say that any two members of  $A$  are orderly independent  $\Rightarrow A$  is an orderly independent set in  $Y \setminus Y_0$ . Let  $(x, v) \in Y \setminus Y_0 \Rightarrow x \in X \setminus X_0$  [ $\because Y_0 = X_0 \times V$ ]. Since  $B$  generates  $X \setminus X_0$ , for this  $x, \exists b \in B$  such that  $x \in L(b) \Rightarrow \alpha b + p \leq x$  for some  $\alpha \in \mathbb{K}^*$  and  $p \in X_0 \Rightarrow \alpha(b, \theta_V) + (p, v) = (\alpha b + p, v) \leq (x, v)$  and  $(p, v) \in [X \times V]_0 \Rightarrow (x, v) \in L((b, \theta_V)) \Rightarrow A$  is a generator of  $Y \setminus Y_0$ . So  $A$  becomes a basis of  $Y \setminus Y_0$ . Consequently  $Y$  has a basis. Now  $\dim(Y \setminus Y_0) = \text{card}(A) = \text{card}(B) = \dim(X \setminus X_0)$  and  $\dim[X \times V]_0 = \dim(X_0 \times V) = \dim X_0 + \dim V$ . Therefore  $\dim(X \times V) = [\dim(X \setminus X_0) : \dim X_0 + \dim V]$ .

Conversely, suppose  $Y := X \times V$  has a basis. Let  $B$  be a basis of  $Y \setminus Y_0$ . Now consider  $B' := \{x : (x, v_x) \in B \text{ for some } v_x \in V\}$ . Then  $x \in B' \Rightarrow x \notin X_0$ . Therefore  $B' \subseteq X \setminus X_0$ . We now show that  $B'$  forms a basis of  $X \setminus X_0$ . For any  $z \in X \setminus X_0, (z, \theta_V) \in Y \setminus Y_0$ . As  $B$  is a basis of  $Y \setminus Y_0, \exists (x, v_x) \in B$  such that  $\alpha(x, v_x) + (p, v) \leq (z, \theta_V)$  for some  $\alpha \in \mathbb{K}^*$  and  $(p, v) \in [X \times V]_0 = X_0 \times V \Rightarrow (\alpha x + p, \alpha v_x + v) \leq (z, \theta_V) \Rightarrow \alpha x + p \leq z \Rightarrow z \in L(x)$ . So  $B'$  generates  $X \setminus X_0$ . If two members of  $B'$  say  $x', z'$  are orderly dependent then without loss of generality we can take  $x' \in L(z') \Rightarrow \exists \alpha \in \mathbb{K}^*$  and  $p \in X_0$  such that  $\alpha z' + p \leq x' \Rightarrow \alpha(z', v_{z'}) + (p, v_{x'} - \alpha v_{z'}) \leq (x', v_{x'}) \Rightarrow (x', v_{x'})$  and  $(z', v_{z'})$  are orderly dependent in  $Y \setminus Y_0$ . Therefore we can say that  $B'$  is orderly independent in  $X \setminus X_0$  as  $B$  is orderly independent in  $Y \setminus Y_0$ . So  $B'$  becomes a basis of  $X \setminus X_0$ . Consequently,  $X$  has a basis.  $\square$



**Example 3.30.** For any two cardinal numbers  $\alpha, \beta$  there exists an evs  $X$  such that  $\dim X = [\alpha : \beta]$ . For example, if we consider the evs  $X := Y \times E$ , where  $Y$  is an evs whose dimension is  $[\alpha : 0]$  (existence of such evs has been established in example 3.28) and  $E$  is a vector space with dimension  $\beta$ , then by above theorem 3.29  $\dim X = [\alpha : \beta]$ .

**Theorem 3.31.** Let  $X$  be an evs whose dimension is  $[\alpha : \beta]$ . Also let  $\gamma$  and  $\delta$  be two cardinal numbers such that  $\gamma \leq \alpha$  and  $\delta \leq \beta$ . Then  $\exists$  a subevs  $Y$  of  $X$  such that  $\dim Y = [\gamma : \delta]$ .

*Proof.* Let  $B$  be a basis of  $X \setminus X_0$ . Then  $\text{card}(B) = \alpha$ . Since  $\gamma \leq \alpha$ , there exists  $C \subseteq B$  such that  $\text{card}(C) = \gamma$ . For each  $c \in C$  we choose one element  $p_c \in P_c$  and fix it.

**Case 1 :** If  $\delta < \gamma$  then  $\exists E \subsetneq C$  such that  $\text{card}(E) = \delta$ . Consider the set

$$D := E \cup \{c - p_c : c \in C \setminus E\}$$

Since  $C$  is orderly independent it follows that  $\text{card}(D) = \text{card}(C) = \gamma$ . As  $L(c - p_c) \neq L(c)$  it follows that  $D$  is an orderly independent set in  $X \setminus X_0$ . Also consider for any  $d \in D$ ,  $q_d = p_d$  if  $d \in E$  otherwise  $q_d = \theta$ . Then there exists a subspace  $W$  of the vector space  $X_0$  such that  $q_d \in W, \forall d \in D$  and  $\dim W = \delta$ .

**Case 2 :** If  $\gamma \leq \delta$  then consider  $D = C$  and  $q_d = p_d, \forall d \in D$ . Then also there exists a subspace  $W$  of  $X_0$  such that  $q_d \in W, \forall d \in D$  and  $\dim W = \delta$ .

Thus for both cases we get

(i) an orderly independent set  $D$  in  $X \setminus X_0$  whose cardinality is  $\gamma$ .

(ii) a subspace  $W$  of  $X_0$  such that  $q_d \in W$  where  $q_d < d$ ,<sup>1</sup>  $\forall d \in D$  and  $\dim W = \delta$ .

Now we consider the set

$$G(D) := \left\{ \sum_{i=1}^n \alpha_i d_i + p : \alpha_i \in \mathbb{K}, d_i \in D, p \in W, n \in \mathbb{N} \right\}$$

**Step 1 :** In this step we will prove that  $G(D)$  becomes a subevs of  $X$  with  $D \subseteq G(D)$  and  $[G(D)]_0 = W$ .

For any  $d \in D$ ,  $d = 1 \cdot d + \theta \in G(D) \Rightarrow D \subseteq G(D)$ . Also for any  $p \in W$ ,  $0 \cdot d + p \in G(D) \Rightarrow W \subseteq G(D)$ . For any two elements  $x = \sum_{i=1}^m \alpha_i d_i + p$ ,  $y = \sum_{j=1}^n \beta_j d_j + q$  in  $G(D)$  and any

two scalars  $\alpha, \beta$ ,  $\alpha x + \beta y = \sum_{i=1}^m \alpha \alpha_i d_i + \sum_{j=1}^n \beta \beta_j d_j + (\alpha p + \beta q) \in G(D)$  [as  $W$  is a subspace].

Let  $y \in [G(D)]_0$ . Then  $y$  is a minimal element of  $G(D)$ . As  $y \in G(D)$ ,  $y$  can be written as  $y = \sum_{i=1}^n \alpha_i d_i + p$ . Our claim is that all  $\alpha_i = 0$ . If not, there exists  $j \in \{1, 2, \dots, n\}$  such that

$\alpha_j \neq 0$ . Then there exists  $q_{d_j} \in W$  such that  $q_{d_j} < d_j \Rightarrow \sum_{i=1}^n \alpha_i q_{d_i} + p < y$  which contradicts

that  $y \in [G(D)]_0$ , as  $\sum_{i=1}^n \alpha_i q_{d_i} + p \in W \subseteq G(D)$ . So all  $\alpha_i = 0$ . Therefore  $y = p \in W \Rightarrow [G(D)]_0 \subseteq W \subseteq G(D) \cap X_0$ . Therefore  $[G(D)]_0 = G(D) \cap X_0 = W$  [by Note 2.2]. Also for

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<sup>1</sup>Here the notation ' $q_d < d$ ' is used to mean that  $q_d \leq d$  but  $q_d \neq d$ .

any  $x = \sum_{i=1}^n \alpha_i d_i + p \in G(D)$ ,  $\sum_{i=1}^n \alpha_i q_{d_i} + p \in W = [G(D)]_0$  such that  $x \geq \sum_{i=1}^n \alpha_i q_{d_i} + p$ . Thus it follows that  $G(D)$  is a subevs of  $X$ .

**Step 2 :** In this step we shall show that  $D$  is a basis of  $G(D) \setminus [G(D)]_0$ . Since  $D$  is an orderly independent subset of  $X \setminus X_0$  and  $G(D)$  is a subevs of  $X$  containing  $D$ , by remark 3.7 we can say that  $D$  is orderly independent in  $G(D) \setminus [G(D)]_0$ . Now let  $y \in G(D) \setminus [G(D)]_0$ . Then  $y$  can be written as  $y = \sum_{i=1}^n \alpha_i d_i + p$ , where not all  $\alpha_i = 0$ . Let

$\alpha_j \neq 0$ . Then  $\alpha_j d_j + \left( \sum_{\substack{i=1 \\ i \neq j}}^n \alpha_i q_{d_i} + p \right) \leq y$ . As  $\left( \sum_{\substack{i=1 \\ i \neq j}}^n \alpha_i q_{d_i} + p \right) \in W = [G(D)]_0$ , so  $y \in L(d_j)$  in  $G(D) \setminus [G(D)]_0$ . Thus  $D$  becomes a basis of  $G(D) \setminus [G(D)]_0$ .

Therefore  $\dim G(D) = [\text{card}(D) : \dim W] = [\gamma : \delta]$ .  $\square$

## 4 Computation of basis and dimension of some evs

In this section we shall discuss the existence of basis of some particular evs and thereby compute their dimensions. We show that there are evs which do not have basis.

**Theorem 4.1.** *Let  $X$  be a single-primitive comparable topological evs. Then  $X$  has a basis and  $\dim X = [1 : \dim X_0]$ .*

*Proof.* Since  $X$  is single-primitive, for each  $z \in X$  let us write  $P_z = \{p_z\}$ . Let  $x \in \uparrow \theta$  with  $x \neq \theta$ . Then  $P_x = \{p_x\} = \{\theta\}$ . Now for  $y \in X \setminus X_0$ ,  $y - p_y \in \uparrow \theta$ . Then  $X$  being comparable evs,  $x$  and  $y - p_y$  are comparable as  $P_x = P_{y-p_y} = \{\theta\}$ . If  $x \leq y - p_y$  then  $x + p_y \leq y \Rightarrow y \in L(x)$ . If  $x > y - p_y$  our claim is that there exists  $\alpha \in \mathbb{K}^*$  such that  $\alpha x \leq y - p_y$  with  $|\alpha| < 1$ . For, otherwise we can choose a sequence  $\{\alpha_n\}$  in  $\mathbb{K}^*$  such that  $y - p_y < \alpha_n x \forall n \in \mathbb{N}$  and  $\alpha_n \rightarrow 0$  as  $n \rightarrow \infty$ . Since  $X$  is a topological evs we then have  $y - p_y \leq \theta$  [taking limit  $n \rightarrow \infty$ ] — a contradiction. So there must exist one  $\alpha \in \mathbb{K}^*$  such that  $\alpha x \leq y - p_y \Rightarrow y \in L(x)$ . Thus  $L(x) = X \setminus X_0$ . Clearly  $\{x\}$  is orderly independent. Therefore  $\{x\}$  is a basis of  $X \setminus X_0$ . Consequently  $X$  has a basis and  $\dim X = [1 : \dim X_0]$ .  $\square$

As the evs  $[0, \infty) \times V$  is a single primitive comparable evs by above theorem we can say that  $\dim([0, \infty) \times V) = [1 : \dim V]$ , for any Hausdörff topological vector space  $V$ . So in particular, if  $V = \{\theta\}$  then the resulting evs is order-isomorphic to  $[0, \infty)$  and hence  $\dim[0, \infty) = [1 : 0]$ . We have shown in the previous section that  $\dim[0, \infty)^\alpha = [\alpha : 0]$ , for any cardinal  $\alpha$ . This can also be justified from the following more general example.

**Example 4.2.** Let  $\{X_i : i \in I\}$  be an arbitrary collection of exponential vector spaces, over the common field  $\mathbb{K}$ , each having a basis. Let  $B_i$  be a basis of  $X_i \setminus [X_i]_0$ ,  $\forall i \in I$ . Consider the product evs  $X := \prod_{i \in I} X_i$  [see Example 2.9]. Then  $X_0 = \prod_{i \in I} [X_i]_0$ . For any

$j \in I$  consider the set  $D_j := \prod_{i \in I} C_i$ , where  $C_i := \begin{cases} \{\theta_{X_i}\}, & \text{when } i \neq j \\ B_j, & \text{when } i = j \end{cases}$ . Here  $\theta_{X_i}$  is the identity in  $X_i$ . Then  $D_j \subseteq X \setminus X_0$ ,  $\forall j \in I$ . Let  $D := \bigcup_{j \in I} D_j$ . Then  $D \subseteq X \setminus X_0$ . Now two different members in different  $D_i$  are orderly independent. As each  $B_i$  is a basis of  $X_i$ , so two different members of one  $D_i$  are orderly independent. Thus any two different members of  $D$  are orderly independent and hence  $D$  is orderly independent in  $X \setminus X_0$ . We now show that  $D$  is a basis of  $X \setminus X_0$ . For any  $x = (x_i)_{i \in I} \in X \setminus X_0$ ,  $\exists$  some  $k \in I$  such that  $x_k \in X_k \setminus [X_k]_0 \Rightarrow \exists b_k \in B_k$ ,  $\alpha_k \in \mathbb{K}^*$  and  $p_k \in [X_k]_0$  such that  $\alpha_k b_k + p_k \leq x_k$ . Now for  $i \neq k$ ,  $\exists p_i \in [X_i]_0$  such that  $p_i \leq x_i$ . Let  $b = (b_i)_{i \in I}$ , where  $b_i = \theta_{X_i}$  for  $i \neq k$  and  $p = (p_i)_{i \in I} \in X_0$ . Then  $\alpha_k b + p = (\alpha_k b_i + p_i)_{i \in I} \leq (x_i)_{i \in I} = x$  and  $b \in D_k \subset D \Rightarrow x \in L(b)$ . This shows that  $D$  generates  $X \setminus X_0$  and hence is a basis of  $X \setminus X_0$ . Consequently,  $X$  has a basis and  $\dim X = [\text{card}(D) : \dim X_0]$ .

If  $I$  be finite then  $\text{card}(D) = \sum_{i \in I} \text{card}(D_i) = \sum_{i \in I} \text{card}(B_i) = \sum_{i \in I} \dim(X_i \setminus [X_i]_0)$  and  $\dim X_0 = \sum_{i \in I} \dim[X_i]_0$ . For any four cardinal number  $\alpha, \beta, \gamma, \delta$  if we use the notation  $[\alpha + \gamma : \beta + \delta] = [\alpha : \beta] + [\gamma : \delta]$  then we can write

$$\dim \prod_{i \in I} X_i = \left[ \sum_{i \in I} \dim(X_i \setminus [X_i]_0) : \sum_{i \in I} \dim[X_i]_0 \right] = \sum_{i \in I} [\dim(X_i \setminus [X_i]_0) : \dim[X_i]_0]$$

If  $I$  be infinite then also we get the similar expression as above, provided the sums (over  $I$ ) be properly defined.

If all  $X_i$ 's are same, say  $X_i = Y$ ,  $\forall i \in I$  and  $\text{card}(I) = \alpha$  then we have

$$\dim(Y^\alpha) = [\alpha \cdot \dim(Y \setminus Y_0) : \alpha \cdot \dim Y_0]$$

Thus it follows that for any cardinal  $\alpha$ ,  $\dim[0, \infty)^\alpha = [\alpha : 0]$ , since  $\dim[0, \infty) = [1 : 0]$ .

**Theorem 4.3.** *For every Hausdörff topological vector space  $\mathcal{X}$ ,  $\mathcal{C}(\mathcal{X})$  [discussed in 1.3] has a basis.*

*Proof.* Let us consider the relation ' $\sim$ ' on  $\mathcal{X} \setminus \{\theta\}$ , defined as

$$x \sim y \Leftrightarrow \exists \alpha \in \mathbb{K}^* \text{ such that } x = \alpha y$$

Then ' $\sim$ ' becomes an equivalence relation on  $\mathcal{X} \setminus \{\theta\}$ . Let us construct a set  $\mathcal{X}'$  taking exactly one representative from each equivalence class relative to ' $\sim$ ' and consider the set

$$\mathcal{N} := \{\{\theta, x\} : x \in \mathcal{X}'\}$$

We now show that  $\mathcal{N}$  becomes a basis of  $\mathcal{C}(\mathcal{X}) \setminus [\mathcal{C}(\mathcal{X})]_0$ . If  $A \in \mathcal{C}(\mathcal{X}) \setminus [\mathcal{C}(\mathcal{X})]_0$ , then there must exist two elements  $x, y$  of  $\mathcal{X}$  with  $\{x, y\} \subseteq A$  and  $x \neq y$ . Then  $\{\theta, x - y\} + \{y\} = \{x, y\} \subseteq A$ . Now  $x - y \in \mathcal{X} \setminus \{\theta\} \Rightarrow \exists z \in \mathcal{X}'$  and  $\alpha \in \mathbb{K}^*$  such that  $x - y = \alpha z$ . So we can write  $\alpha\{\theta, z\} + \{y\} \subseteq A \Rightarrow A \in L(\{\theta, z\})$ . Therefore  $\mathcal{N}$  generates  $\mathcal{C}(\mathcal{X}) \setminus [\mathcal{C}(\mathcal{X})]_0$ . We now show that  $\mathcal{N}$  is an orderly independent set in  $\mathcal{C}(\mathcal{X}) \setminus [\mathcal{C}(\mathcal{X})]_0$ . For any two elements  $\{\theta, x\}$  and  $\{\theta, y\}$  in  $\mathcal{N}$ , if  $\{\theta, x\} \in L(\{\theta, y\})$  then  $\exists \alpha \in \mathbb{K}^*$  such that  $\alpha\{\theta, y\} + \{z\} \subseteq \{\theta, x\}$  for

some  $z \in \mathcal{X} \Rightarrow \{z, \alpha y + z\} = \{\theta, x\}$  [ $\because z \neq \alpha y + z$ ]  $\Rightarrow$  either  $z = \theta$  or  $z = x$ . If  $z = \theta$  then  $\alpha y = x$  which means that  $x, y$  belong to the same equivalence class relative to ' $\sim$ ' and hence  $\{\theta, x\}, \{\theta, y\}$  cannot be two distinct elements of  $\mathcal{N}$  — which is not the case. If  $z = x$  then  $\alpha y + x = \theta \Rightarrow x = -\alpha y$  which again leads to the same contradiction. This proves that any two elements of  $\mathcal{N}$  are orderly independent. Therefore  $\mathcal{N}$  is orderly independent in  $\mathcal{C}(\mathcal{X}) \setminus [\mathcal{C}(\mathcal{X})]_0$  and hence becomes a basis of  $\mathcal{C}(\mathcal{X}) \setminus [\mathcal{C}(\mathcal{X})]_0$ . Consequently,  $\mathcal{C}(\mathcal{X})$  has a basis and  $\dim \mathcal{C}(\mathcal{X}) = [\text{card}(\mathcal{N}) : \dim \mathcal{X}]$ .  $\square$

**Remark 4.4.** We have shown in the above theorem 4.3 that  $\mathcal{N}$  forms a basis of  $\mathcal{C}(\mathcal{X}) \setminus [\mathcal{C}(\mathcal{X})]_0$ . We now show that this basis depends on a basis (as vector space) of  $\mathcal{X}$ .

(i) If  $\mathcal{X}$  be a Hausdörff topological vector space of dimension 1, then any non-zero element of  $\mathcal{X}$  is a scalar multiple of a single basic vector of  $\mathcal{X}$  and hence  $\mathcal{N}$  contains exactly one element. So  $\dim \mathcal{C}(\mathcal{X}) = [1 : 1]$ . For that reason dimension of  $\mathcal{C}(\mathbb{R})$  over  $\mathbb{R}$  is  $[1 : 1]$  and dimension of  $\mathcal{C}(\mathbb{C})$  over  $\mathbb{C}$  is  $[1 : 1]$ .

(ii) Let  $\mathcal{X}$  be a Hausdörff topological vector space of dimension 2 and  $B = \{a, b\}$  be a basis of  $\mathcal{X}$ . We first show that  $\mathcal{X}' = \{a + \beta b : \beta \in \mathbb{K}\} \cup \{b\}$ , where  $\mathcal{X}'$  is as defined in the proof of the theorem 4.3. Any two distinct elements  $a + \beta_1 b, a + \beta_2 b \in \mathcal{X} \setminus \{\theta\}$  must lie in two different equivalence classes relative to ' $\sim$ ', since for any  $\alpha \in \mathbb{K}^*$  if  $\alpha(a + \beta_1 b) = a + \beta_2 b$  then  $\alpha = 1$  and hence  $\beta_1 = \beta_2$  [as  $\{a, b\}$  is a linearly independent subset of  $\mathcal{X}$ ] — this contradicts that  $a + \beta_1 b \neq a + \beta_2 b$ . Also linear independence of  $a, b$  implies that  $a + \beta b$  and  $b$  must lie in two different equivalence classes relative to ' $\sim$ ', for any  $\beta \in \mathbb{K}$ . Now for any non-zero element  $x \in \mathcal{X}$ ,  $\exists \alpha, \beta \in \mathbb{K}$  (not both zero) such that  $x = \alpha a + \beta b$  [since  $\{a, b\}$  is a basis of  $\mathcal{X}$ ]. If  $\alpha \neq 0$  then  $x = \alpha(a + \beta\alpha^{-1}b) \Rightarrow x$  lies in the class (relative to ' $\sim$ ') whose representative is  $(a + \beta\alpha^{-1}b)$ . If  $\alpha = 0$  then  $x$  lies in the equivalence class (relative to ' $\sim$ ') whose representative is  $b$ . Therefore  $\mathcal{X}' = \{a + \beta b : \beta \in \mathbb{K}\} \cup \{b\}$ . Now the map  $\alpha \mapsto a + \alpha b$  creates a bijection between  $\mathbb{K}$  and  $\mathcal{X}' \setminus \{b\}$ . So we can say that cardinality of  $\mathcal{X}'$  and hence cardinality of  $\mathcal{N}$  is  $c$ , the cardinality of the set of real numbers  $\mathbb{R}$ . Therefore  $\dim \mathcal{C}(\mathcal{X}) = [c : 2]$ . For that reason dimension of  $\mathcal{C}(\mathbb{C})$  over  $\mathbb{R}$  is  $[c : 2]$ .

(iii) In a similar manner as above we can show that for a *well-ordered* basis  $B$  of a Hausdörff topological vector space  $\mathcal{X}$ ,

$$\mathcal{X}' = (e_1 + \langle B_1 \rangle) \cup (e_2 + \langle B_2 \rangle) \cup \cdots \cup (e_n + \langle B_n \rangle) \cup \cdots$$

where  $B = \{e_1, e_2, \dots, e_n, \dots\}$ ,  $B_1 = B \setminus \{e_1\}$ ,  $B_n = B_{n-1} \setminus \{e_n\}$ ,  $\forall n \geq 2$  and  $\langle B_i \rangle$  denotes the linear span of  $B_i$  in  $\mathcal{X}$ ,  $\forall i$ .

**Theorem 4.5.** For every vector space  $\mathcal{X}$ , the evs  $\mathcal{L}(\mathcal{X})$  has a basis. [The evs  $\mathcal{L}(\mathcal{X})$  is discussed in Example 2.12]

*Proof.* Let  $\mathcal{T}$  be the collection of all one dimensional subspaces of  $\mathcal{X}$ . We now show that  $\mathcal{T}$  forms a basis of  $\mathcal{L}(\mathcal{X}) \setminus [\mathcal{L}(\mathcal{X})]_0$ . For any non-trivial subspace  $\mathcal{Y}$  of  $\mathcal{X}$ , there exists a non-zero element  $x \in \mathcal{Y}$  such that  $\langle x \rangle \subseteq \mathcal{Y}$  [here  $\langle x \rangle$  denotes the linear span of  $x$

in  $\mathcal{X}$ . So  $\mathcal{Y} \in L(< x >)$ . Also  $< x > \in \mathcal{T}$ . Thus  $\mathcal{T}$  generates  $\mathcal{L}(\mathcal{X}) \setminus [\mathcal{L}(\mathcal{X})]_0$ . For any two distinct elements  $< x >, < y > \in \mathcal{T}$ , if  $\alpha < x > \subseteq < y >$  for some  $\alpha \in \mathbb{K}^*$  then  $< x > = \alpha < x > \subseteq < y > \Rightarrow < x > = < y >$  which contradicts that  $< x >$  and  $< y >$  are distinct. So we can say that  $\mathcal{T}$  is an orderly independent subset of  $\mathcal{L}(\mathcal{X}) \setminus [\mathcal{L}(\mathcal{X})]_0$ . Therefore  $\mathcal{T}$  forms a basis of  $\mathcal{L}(\mathcal{X}) \setminus [\mathcal{L}(\mathcal{X})]_0$ . Consequently  $\mathcal{L}(\mathcal{X})$  has a basis and  $\dim \mathcal{L}(\mathcal{X}) = [\text{card}(\mathcal{T}) : 0]$ , since  $[\mathcal{L}(\mathcal{X})]_0 = \{\{\theta\}\}$ .  $\square$

From above theorem we can immediately get the following result. Also  $\mathcal{T}$  is the *only* basis of  $\mathcal{L}(\mathcal{X}) \setminus [\mathcal{L}(\mathcal{X})]_0$ .

**Result 4.6.**  $\dim \mathcal{L}(\mathcal{X}) = [1 : 0]$ , when  $\dim \mathcal{X} = 1$  and  $\dim \mathcal{L}(\mathcal{X}) = [c : 0]$ , when  $\dim \mathcal{X} = 2$ ,  $c$  being the cardinality of the set of all reals  $\mathbb{R}$ .

**Note 4.7.** From the previous result we can say that  $\dim \mathcal{L}(\mathbb{R}) = [1 : 0]$  which is same with the  $\dim[0, \infty)$ . But  $\mathcal{L}(\mathbb{R})$  and  $[0, \infty)$  are not order-isomorphic as first one is non-topological evs whereas second one is a topological evs and being topological is an evs property. This example shows that converse part of the statement that equality of dimension is an evs property which we have discussed in 3.18 is not true.

**Theorem 4.8.** For any  $n \in \mathbb{N}$ ,  $\mathcal{D}^n[0, \infty)$  has a basis and  $\dim \mathcal{D}^n[0, \infty) = [1 : 0]$ .

*Proof.* We first show that  $(0, 0, \dots, 0, 1)$  generates  $\mathcal{D}^n[0, \infty) \setminus [\mathcal{D}^n[0, \infty)]_0$ . Let  $x = (x_1, \dots, x_n) \in \mathcal{D}^n[0, \infty) \setminus [\mathcal{D}^n[0, \infty)]_0$ . Since  $[\mathcal{D}^n[0, \infty)]_0 = \{(0, \dots, 0)\}$ , there exists  $i \in \{1, 2, \dots, n\}$  such that  $x_i \neq 0$  and  $x_j = 0$ , for all  $j < i$ . If  $i < n$  then obviously  $(0, 0, \dots, 0, 1) \leq x$ . If  $i = n$  then  $\frac{x_i}{2}(0, 0, \dots, 0, 1) \leq x$ . In any case  $x \in L((0, 0, \dots, 1))$ . Since  $\{(0, \dots, 0, 1)\}$  is orderly independent it follows that  $\{(0, \dots, 0, 1)\}$  is a basis of  $\mathcal{D}^n[0, \infty) \setminus [\mathcal{D}^n[0, \infty)]_0$  and hence  $\dim \mathcal{D}^n[0, \infty) = [1 : 0]$ .  $\square$

Following example shows that there exist an evs which has no basis.

**Theorem 4.9.**  $X := \mathcal{D}([0, \infty) : \mathbb{N})$  has no basis.

*Proof.* Let  $x = (x_i)_{i \in \mathbb{N}} \in X \setminus X_0$ . Since here  $X_0 = \{(0, 0, \dots)\}$ , there must exist a least positive integer  $p$  such that  $x_p \neq 0$ . If we consider  $y = (y_i)_{i \in \mathbb{N}}$ , where  $y_i = x_i, \forall i \neq p, p+1$  and  $y_p = 0, y_{p+1} = 1$  then  $y \leq x$  and  $y \notin X_0$ ; but there does not exist any  $\alpha \in \mathbb{K}^*$  such that  $\alpha x \leq y$  — which means that  $y \notin L(x)$ . This shows that  $x \notin Q(X)$  and this holds for any non-zero element  $x$  of  $X$ . Therefore  $Q(X) = \emptyset$ . So  $\mathcal{D}([0, \infty) : \mathbb{N})$  has no basis.  $\square$

Looking at the proof of the above theorems we can get the following generalised theorem.

**Theorem 4.10.** For a well-ordered set  $I$ ,  $\mathcal{D}(X : I)$  has a basis iff  $I$  has a maximum element.

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