

# Notes on Hecke Operators

Simon Burton

March 8, 2018

## 1 Introduction

Group representation theory concerns itself with homomorphisms from a group  $G$  to the general linear group over a field  $k$  :

$$G \rightarrow \mathrm{GL}(n, k).$$

The definition of the general linear group makes sense not just for a field  $k$ , but also for a ring, or even a semi-ring (a ring without additive inverses.) In particular, we consider the semi-ring of truth-values:

$$\mathbb{F}_1 = \{\text{false}, \text{true}\}$$

with addition as disjunction and multiplication as conjunction. The notation  $\mathbb{F}_1$  refers to the “field with one element”, which however is not a field and doesn’t have one element [5]. We have the following

**Theorem 1.** The group  $\mathrm{GL}(n, \mathbb{F}_1)$  consists of  $n \times n$  permutation matrices, and is therefore isomorphic to the permutation group  $S_n$ .

**Proof:** Adapted from [6]. ■

And so we find that group representation theory over the semi-ring of truth values is the theory of groups acting on sets.

## 2 Groups acting on sets

We say a group  $G$  *acts* on a set  $X$  when there is a group homomorphism:

$$G \rightarrow \mathrm{Aut}(X).$$

We choose not to name this homomorphism, and instead confuse the elements of  $G$  with their image in  $\mathrm{Aut}(X)$ . In this way we understood expressions such as  $gx$  for  $g \in G, x \in X$ . This is similar to how a field acts on a vector space: we don’t usually write the homomorphism, and instead just let elements of the field act on the vectors (on the left.)

We also call this setup a  $G$ -set  $X$ .

A map of  $G$ -sets  $X \rightarrow Y$  is a set function  $f : X \rightarrow Y$  that commutes with the group action. That is, for every  $g \in G$  we have the commuting square:

$$\begin{array}{ccc} X & \xrightarrow{g} & X \\ \downarrow f & & \downarrow f \\ Y & \xrightarrow{g} & Y \end{array}$$

Thinking of  $G$  as a one object category, a group action is then a set-valued functor and we see that a map of  $G$ -sets is the same as a natural transformation of functors. This gives the category of  $G$ -sets which we denote **GSet**.

For  $x \in X$  the *stabilizer* is defined

$$\text{Stab}(x) := \{g \in G \mid gx = x\}.$$

This is clearly a subgroup of  $G$ . Dually, the *fixed point set* of  $g \in G$  is the subset of  $X$  given by

$$\text{Fix}(g) := \{x \in X \mid gx = x\}.$$

**{In what sense are these really dual?}**

The *orbit* of  $x \in X$  is the set

$$\text{Orbit}(x) := \{gx \mid g \in G\}.$$

A simple calculation shows that the stabilizers of points in the same orbit are related by conjugation: given a  $G$ -set  $X$ , with  $x \in X, g \in G$ , we have

$$\text{Stab}(gx) = g\text{Stab}(x)g^{-1}.$$

Given an arbitrary group  $G$ , there are many ways to cook up a set that  $G$  acts on. The most important such recipe is the following. Let  $H$  be any subgroup of  $G$ , and let  $X = \{gH\}_{g \in G}$  be the set of left cosets of  $H$ . Then  $G$  acts on  $X$  by left-multiplication, and this action is transitive. We get the *left regular action* when  $H = \{1\}$  and we get the trivial action when  $H = G$ . But this recipe has a converse: any transitive  $G$ -set  $X$  is isomorphic to a  $G$ -set  $\{gH\}_{g \in G}$  for some subgroup  $H \in G$ . The subgroup can be chosen to be the stabilizer of any point  $x \in X$ .

We now state the *orbit-stabilizer theorem*.

**Theorem 2.** Given a  $G$ -set  $X$  and a point  $x \in X$  there is a bijection of sets:

$$\text{Orbit}(x) \times \text{Stab}(x) \cong G.$$

**Proof:** Let  $H$  be the subgroup

$$H = \text{Stab}(x).$$

Then  $G$  is partitioned into cosets  $\{gH \mid g \in G\}$ . We claim that this set of cosets is in bijection with the orbit of  $x$ , with bijection given by the relation

$$\begin{aligned} \text{Orbit}(x) &\rightarrow \{gH \mid g \in G\} \\ gx &\mapsto gH. \end{aligned}$$

To show that this relation is actually a function, let  $gx = hx$  for  $g, h \in G$ . Then  $h^{-1}gx = x$  and so  $h^{-1}g \in H$ , and the cosets  $gH$  and  $hH$  are identical. **{finish} ■**

**Example 3.** The rank-nullity theorem says that given a linear map on finite-dimensional vector spaces  $A : V \rightarrow V$ ,

$$\text{Dim}(\text{Im}(A)) + \text{Dim}(\text{Ker}(A)) = \text{Dim}(V).$$

Such a map gives a group action: it is the additive group of  $V$  acting on the set  $V$  by addition. That is, any  $v \in V$  acts on  $x \in V$  as  $v : x \mapsto x + Av$ . Now we see that given any  $x \in V$  the stabilizer subgroup  $\text{Stab}(x)$  of this action is precisely the kernel of  $A$ . The orbit of  $x$  is  $x$  plus the image of  $A$ .

Working with a vector space over a finite field, we can take the cardinality of these sets as in the formula  $|\text{Orbit}(x)||\text{Stab}(x)| = |G|$  and take the logarithm of this where the base is the size of the field and we get exactly the rank-nullity equation.

Over an infinite field this doesn't work and we need to think more along the lines of a categorified orbit-stabilizer theorem. **{Does this really work?}** In this case, for each  $x \in V$  we can find a bijection:

$$\text{Orbit}(x) \cong G/\text{Stab}(x)$$

and this bijection gives us the First Isomorphism Theorem:

$$\text{Im}(A) \cong V/\text{Ker}(A).$$

**{Question: Can we bootstrap this one more time in order to say something about the size of the homology groups in a chain complex? Perhaps thinking of a (length 2) chain complex as a 2-category?}** ■

For a  $G$ -set  $X$ , the *orbit space* is defined as the set of orbits:

$$G \backslash X := \{\text{Orbit}(x)\}_{x \in X}.$$

The following is known as “Burnside’s lemma”.

**Theorem 4.** Given a  $G$ -set  $X$ ,

$$|G \backslash X| = \frac{1}{|G|} \sum_{g \in G} |\text{Fix}(g)|.$$

**Proof:** **{todo}** ■

An action is *faithful* when for each  $g \in G$  with  $g \neq 1$  we have  $\text{Fix}(g) \neq X$ . An action is *free* when for each  $g \in G$  with  $g \neq 1$  we have  $\text{Fix}(g) = \emptyset$ .

**{define a torsor}**

A  $G$ -set with only one orbit is called *simple* (or *transitive*, or *indecomposable*).

Given two  $G$ -sets  $X$  and  $Y$ , we define the sum  $X + Y$  **{...}** and the product  $X \times Y$  **{...}**

The following theorem shows that  $G$ -sets are semi-simple.

**Theorem 5.** For a  $G$ -set  $X$ , we have

$$X = \sum_{i=1}^n X_i$$

with  $X_i$  simple, and the summation is unique up to reordering.

**Proof:** Easy. ■

The next theorem is a kind of “Schur’s lemma for  $G$ -sets”.

**Theorem 6.** Given  $G$ -sets  $X$  and  $Y$ :

- (a) For  $f : X \rightarrow Y$ , we have  $f(X)$  is a  $G$ -set,  $f(X) \subseteq Y$ .
- (b) For  $f : X \rightarrow Y$ , and  $X \neq \emptyset$ , if  $Y$  is simple then  $f$  is surjective.
- (c) For  $f : X \rightarrow X$  with  $X$  simple,  $f$  is an automorphism.

**Proof:** Easy. ■

## 2.1 The category of canonical orbits

Taken from [1, 4].

## 2.2 Double cosets

Given a map of sets  $f : X \rightarrow Y$ , that is  $G$ -invariant ie.,  $f(x) = f(gx)$  for all  $g \in G, x \in X$ , we can *lift* to a unique map  $\tilde{f} : G \backslash X \rightarrow Y$  such that

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow p & \uparrow \tilde{f} \\ & & G \backslash X \end{array}$$

where  $p$  is the projection map  $p : X \rightarrow G \backslash X$ . We use this observation in the proof of the following.

**Proposition 7.** Given a group  $G$  with subgroups  $H$  and  $K$ , the orbit-space of the  $G$ -set  $G/H \times G/K$  is in bijection with the  $H, K$  double cosets:

$$G \backslash (G/H \times G/K) \cong \{HgK\}_{g \in G}.$$

**Proof:** We will show that the following relation

$$\begin{aligned} f : G/H \times G/K &\rightarrow \{HgK\}_{g \in G} \\ f(aH, bK) &:= Ha^{-1}bK, \end{aligned}$$

defines a  $G$ -invariant function that lifts to the required bijection on the orbits.

(i) That  $f$  defines a function: for any  $h \in H, k \in K$ ,  $f(aH, bK) = Ha^{-1}bK = H(h^{-1}a^{-1})(bk)K = f(ahH, bkK)$ .

(ii) That  $f$  is  $G$ -invariant:  $f(gaH, gbK) = Ha^{-1}g^{-1}gbK = f(aH, bK)$ .

(iii)  $f$  is surjective, and so the lift  $\tilde{f}$ ,

$$\begin{array}{ccc} G/H \times G/K & \xrightarrow{f} & Y \\ & \searrow p & \uparrow \tilde{f} \\ & & G \backslash (G/H \times G/K) \end{array}$$

is also surjective.

(iv) That  $\tilde{f}$  is injective,

$$\begin{aligned} f(aH, bK) &= f(cH, dK) \text{ iff} \\ Ha^{-1}bK &= Hc^{-1}dK \text{ iff} \\ \exists h, k \in G, a^{-1}b &= hc^{-1}dk \text{ iff} \\ b &= (ahc^{-1})dk \text{ iff} \\ bK &= gdK, aH = gcH, \text{ where } g = ahc^{-1} \end{aligned}$$

And so  $(aH, bK)$  and  $(cH, dK)$  are in the same orbit. ■

**Proposition 8.** {Not sure how this goes exactly...} Let  $G$  be a group, with subgroups  $H$  and  $K$ . Considered as an equivalence relation on the elements of  $G$ , the set of double cosets  $\{HgK\}_{g \in G}$  is the finest mutual coarsening of the equivalence relations given by the right and left cosets,  $\{Hg\}_{g \in G}$  and  $\{gK\}_{g \in G}$ . In other words, as equivalence relations we have

$$\{HgK\}_{g \in G} = \{Hg\}_{g \in G} \vee \{gK\}_{g \in G}.$$

**Proof:** {todo} ■

### 2.3 Hecke operators

Let  $\mathbb{C}[X]$  denote the complex vector space with basis  $X$ . Evidently, when  $X$  is a  $G$ -set, we get a  $\mathbb{C}$ -linear representation of  $G$  on  $\mathbb{C}[X]$ . A  $\mathbb{C}$ -linear representation of  $G$  obtained in this way we call a *permutation representation*.

Given a point  $x \in X$  we denote the corresponding basis vector in  $\mathbb{C}[X]$  as  $|x\rangle$ , and corresponding dual vector as  $\langle x|$ . We also denote generic vectors in  $\mathbb{C}[X]$  by  $|v\rangle$ ,  $|u\rangle$ , etc.

Given  $G$ -sets  $X$  and  $Y$ , and points  $x \in X, y \in Y$  we define the *Hecke operator* as the linear operator

$$\mathbb{C}[X] \xrightarrow{r_{x,y}} \mathbb{C}[Y]$$

given by

$$r_{x,y} := \frac{1}{|\text{Stab}(x)||\text{Stab}(y)|} \sum_{g \in G} |gy\rangle \langle gx|.$$

**Lemma 9.** The Hecke operators are  $G$ -rep homomorphisms:

$$r_{x,y} \in \text{Hom}_{\mathbf{GRep}}(\mathbb{C}[X], \mathbb{C}[Y]).$$

**Proof:** We need to show that  $gr_{x,y}|v\rangle = r_{x,y}g|v\rangle$  for  $|v\rangle \in \mathbb{C}[X], g \in G$ . By linearity we need only consider this equation on basis vectors:  $gr_{x,y}|x'\rangle = r_{x,y}g|x'\rangle$  for  $x' \in X, g \in G$ . Computing:

$$\begin{aligned} \text{LHS} &= g \sum_{h \in H} |hy\rangle \\ &= \sum_{h \in H} gh|y\rangle \\ \text{RHS} &= \sum_{h \in G} |hy\rangle \langle hx|gx\rangle \\ &= \sum_{h \in G, hx=gx} |hy\rangle \\ &= \sum_{h \in H} gh|y\rangle. \end{aligned}$$

{what is  $H$ ?} ■

**Theorem 10.** Given two permutation representation  $\mathbb{C}[X]$  and  $\mathbb{C}[Y]$  of a group  $G$ , the Hecke operators

$$\{r_{x,y} \mid x \in X, y \in Y\}$$

form a basis for the linear space

$$\text{Hom}_{\mathbf{GRep}}(\mathbb{C}[X], \mathbb{C}[Y]).$$

**Proof:** Let  $f \in \text{Hom}_{\mathbf{GRep}}(\mathbb{C}[X], \mathbb{C}[Y])$ . Then for any  $g \in G, x \in X, y \in Y$  we have:

$$\begin{aligned} gf|x\rangle &= fg|x\rangle \\ f|x\rangle &= g^{-1}fg|x\rangle \\ \langle y|f|x\rangle &= \langle y|g^{-1}fg|x\rangle \\ &= \langle gy|f|gx\rangle. \end{aligned}$$

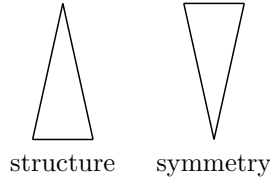
ie., the matrix for  $f$  is constant on the orbits of  $X \times Y$  and so  $f$  is a sum of Hecke operators. ■

**Corollary 11.** Given a doubly transitive action  $G \rightarrow \text{Aut}(X)$  the permutation representation  $\mathbb{C}[X]$  breaks into exactly two irreducible representations.

**Proof:** There are two Hecke operators corresponding to the diagonal matrix, and the off-diagonal matrix. The result follows by the previous theorem and Schur's lemma. ■

### 3 Kleinien geometry

Given a homogeneous “space”  $X$  the idea is to refer to, or define, geometric figures (a “line” or “point”, etc.) by the subgroup that fixes that figure.



#### 3.1 Example: $S_3$

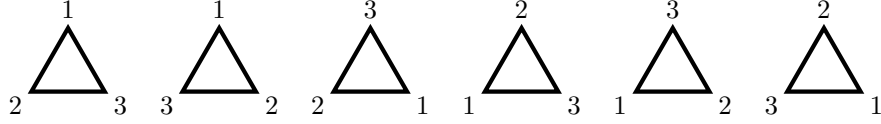
We consider the group  $G = S_3$  the permutation group on three things. Enumerating these three things, we write the elements of  $S_3$  according to their action:

$$S_3 = \{(1)(2)(3), (1)(23), (13)(2), (12)(3), (123), (132)\}.$$

This group acts on the triangle:

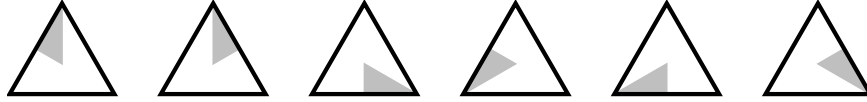


but we can only see this action if we add some *structure*. For example, we can number the points (vertices) of the triangle:

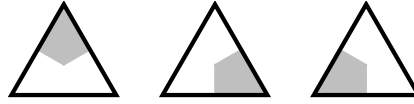


We call this kind of structure a *frame* because it allows us to see everything that is going on.

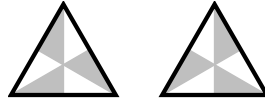
Here we do the same, but instead of numbering the points we shade a portion of the interior. This is a more geometric kind of frame.



By shading a different portion we find the structure corresponding to a *point*:



There is one other structure that we haven't found yet:



This structure we call an *orientation*.

Using the Kleinien perspective, we associate each of these structures with the subgroup of  $G$  that stabilizes the structure. And vice-versa: every subgroup of  $G$  corresponds to some kind of structure.





structure	stabilizer subgroup $H \leq G$	cosets $\{gH\}_{g \in G}$
nothing	$\{(), (23), (13), (12), (123), (132)\}$	$\{(), (23), (13), (12), (123), (132)\}$
orientation	$\{(), (123), (132)\}$	$\{(), (123), (132)\}, \{(23), (13), (12)\}$
point	$\{(), (23)\}$	$\{(), (23)\}, \{(13), (123)\}, \{(12), (132)\}$
”	$\{(), (13)\}$	$\{(), (13)\}, \{(23), (132)\}, \{(12), (123)\}$
”	$\{(), (12)\}$	$\{(), (12)\}, \{(13), (132)\}, \{(23), (123)\}$
frame	$\{()\}$	$\{(), \{(23)\}, \{(13)\}, \{(12)\}, \{(123)\}, \{(132)\}\}$

Each of the three subgroups that stabilize a point are conjugate to each other, and so the corresponding  $G$ -sets  $G/H$  are isomorphic. So in calculations we just pick one of these

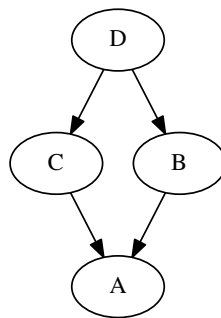
subgroups to stand for the point structure. We label each of these subgroups (structures) with the letters A, B, C and D.

structure	stabilizer subgroup	order	#cosets	#conjugates
nothing	$A = S_3$	6	1	1
orientation	$B$	3	2	1
point	$C$	2	3	3
frame	$D = \{1\}$	1	6	1

The same table in picture form:

structure	subgroup	orbit
nothing	$A$	
orientation	$B$	
point	$C$	
frame	$D$	

The ordering of the table is misleading. It's really a partially ordered set:



We next consider the conjunction (logical “and”) of two structures.

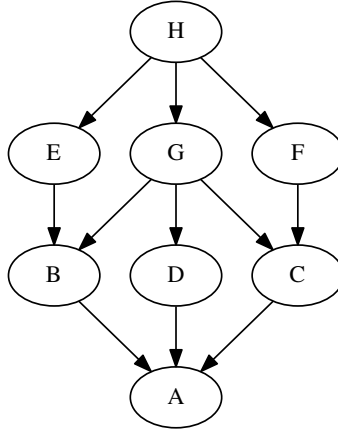
$\times$	$A$	$B$	$C$	$D$
$A$	$A$	$B$	$C$	$D$
$B$	$B$	$2B$	$D$	$2D$
$C$	$C$	$D$	$C+D$	$3D$
$D$	$D$	$2D$	$3D$	$6D$



### 3.2 Example: $D_8$

The dihedral group of order 8.

structure	stabilizer subgroup	order	#cosets	#conjugates
nothing	$A = D_8$	8	1	1
short axis	$B$	4	2	1
long axis	$C$	4	2	1
orientation	$D$	4	2	1
point	$E$	2	4	2
line	$F$	2	4	2
	$G$	2	4	1
frame	$H = \{1\}$	1	8	1



$\times$	$A$	$B$	$C$	$D$	$E$	$F$	$G$	$H$
$A$	$A$	$B$	$C$	$D$	$E$	$F$	$G$	$H$
$B$	$B$	$2B$	$G$	$G$	$2E$	$H$	$2G$	$2H$
$C$	$C$	$G$	$2C$	$G$	$H$	$2F$	$2G$	$2H$
$D$	$D$	$G$	$G$	$2D$	$H$	$H$	$2G$	$2H$
$E$	$E$	$2E$	$H$	$H$	$2E+H$	$2H$	$2H$	$4H$
$F$	$F$	$H$	$2F$	$H$	$2H$	$2F+H$	$2H$	$4H$
$G$	$G$	$2G$	$2G$	$2G$	$2H$	$2H$	$4G$	$4H$
$H$	$H$	$2H$	$2H$	$2H$	$4H$	$4H$	$4H$	$8H$

### 3.3 Example: $S_4$

$\times$	$A$	$B$	$C$	$D$	$E$	$F$	$G$	$H$	$I$	$J$	$K$
$A$	$A$	$B$	$C$	$D$	$E$	$F$	$G$	$H$	$I$	$J$	$K$
$B$	$B$	$2B$	$F$	$H$	$J$	$2F$	$J$	$2H$	$K$	$2J$	$2K$
$C$	$C$	$F$	$C+F$	$I$	$E+J$	$3F$	$G+J$	$K$	$I+K$	$3J$	$3K$
$D$	$D$	$H$	$I$	$D+I$	$2I$	$K$	$K$	$H+K$	$2I+K$	$2K$	$4K$
$E$	$E$	$J$	$E+J$	$2I$	$2E+K$	$3J$	$J+K$	$2K$	$2I+2K$	$2J+2K$	$6K$
$F$	$F$	$2F$	$3F$	$K$	$3J$	$6F$	$3J$	$2K$	$3K$	$6J$	$6K$
$G$	$G$	$J$	$G+J$	$K$	$J+K$	$3J$	$2G+K$	$2K$	$3K$	$2J+2K$	$6K$
$H$	$H$	$2H$	$K$	$H+K$	$2K$	$2K$	$2K$	$2H+2K$	$4K$	$4K$	$8K$
$I$	$I$	$K$	$I+K$	$2I+K$	$2I+2K$	$3K$	$3K$	$4K$	$2I+5K$	$6K$	$12K$
$J$	$J$	$2J$	$3J$	$2K$	$2J+2K$	$6J$	$2J+2K$	$4K$	$6K$	$4J+4K$	$12K$
$K$	$K$	$2K$	$3K$	$4K$	$6K$	$6K$	$6K$	$8K$	$12K$	$12K$	$24K$

### 3.4 Example: $GL(3, 2)$

## 4 Bibliographic notes

Group actions with applications to group theory: [2, 3].

See [4].

## References

- [1] G. E. Bredon. *Equivariant cohomology theories*, volume 34. Springer, 2006.
- [2] K. Conrad. Group actions. <http://www.math.uconn.edu/~kconrad/blurbs/grouptheory/gpaction.pdf>.
- [3] K. Conrad. Transitive group actions. <http://www.math.uconn.edu/~kconrad/blurbs/grouptheory/transitive.pdf>.
- [4] A. Dress. *Notes on the theory of representations of finite groups, Part I: The Burnside ring of a finite group and some AGN-applications*. 1971.
- [5] O. Lorscheid. F1 for everyone. *arXiv preprint arXiv:1801.05337*, 2018.
- [6] D. Speyer. Invertible matrices of natural numbers are permutations. <https://mathoverflow.net/a/62126/39775>, 2011.