

# Notes on Hecke Operators

Simon Burton

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## 1 Introduction

Group representation theory concerns itself with homomorphisms from a group  $G$  to the general linear group over a field  $k$  :

$$G \rightarrow \mathrm{GL}(n, k).$$

The definition of the general linear group makes sense not just for a field  $k$ , but also for a ring, or even a semi-ring (a ring without additive inverses.) In particular, we consider the semi-ring of truth-values:

$$\mathbb{F}_1 = \{\text{false}, \text{true}\}$$

with addition as disjunction and multiplication as conjunction. The notation  $\mathbb{F}_1$  refers to the “field with one element”, which however is not a field and doesn’t have one element [5]. We have the following

**Theorem 1.** The group  $\mathrm{GL}(n, \mathbb{F}_1)$  consists of  $n \times n$  permutation matrices, and is therefore isomorphic to the permutation group  $S_n$ .

**Proof:** Adapted from [6]. ■

And so we find that group representation theory over the semi-ring of truth values is the theory of groups acting on sets.

## 2 Groups acting on sets

We say a group  $G$  *acts* on a set  $X$  when there is a group homomorphism:

$$G \rightarrow \mathrm{Aut}(X).$$

We choose not to name this homomorphism, and instead confuse the elements of  $G$  with their image in  $\mathrm{Aut}(X)$ . In this way we understand expressions such as  $gx$  for  $g \in G, x \in X$ . This is similar to how a field acts on a vector space: we don’t usually write the homomorphism, and instead just let elements of the field act on the vectors (on the left.)

We also call this setup a  $G$ -set  $X$ .

A map of  $G$ -sets  $X \rightarrow Y$  is a set function  $f : X \rightarrow Y$  that commutes with the group action. That is, for every  $g \in G$  we have the commuting square:

$$\begin{array}{ccc} X & \xrightarrow{g} & X \\ \downarrow f & & \downarrow f \\ Y & \xrightarrow{g} & Y \end{array}$$

Thinking of  $G$  as a one object category, a group action is then a set-valued functor and we see that a map of  $G$ -sets is the same as a natural transformation of functors. This gives the category of  $G$ -sets which we denote **GSet**.

For  $x \in X$  the *stabilizer* is defined

$$\text{Stab}(x) := \{g \in G \mid gx = x\}.$$

This is clearly a subgroup of  $G$ . Dually, the *fixed point set* of  $g \in G$  is the subset of  $X$  given by

$$\text{Fix}(g) := \{x \in X \mid gx = x\}.$$

{In what sense are these really dual?}

The *orbit* of  $x \in X$  is the set

$$\text{Orbit}(x) := \{gx \mid g \in G\}.$$

The following lemma shows that the stabilizers of points in the same orbit are related by conjugation.

**Lemma 2.** Given a  $G$ -set  $X$ , with  $x \in X, g \in G$ , we have

$$\text{Stab}(gx) = g\text{Stab}(x)g^{-1}.$$

■

We now state the *orbit-stabilizer theorem*.

**Theorem 3.** Given a  $G$ -set  $X$  and a point  $x \in X$  there is a bijection of sets:

$$\text{Orbit}(x) \times \text{Stab}(x) \cong G.$$

**Proof:** Let  $H$  be the subgroup

$$H = \text{Stab}(x).$$

Then  $G$  is partitioned into cosets  $\{gH \mid g \in G\}$ . We claim that this set of cosets is in bijection with the orbit of  $x$ . The bijection is given by

$$\begin{aligned} \text{Orbit}(x) &\rightarrow \{gH \mid g \in G\} \\ gx &\mapsto gH. \end{aligned}$$

To show that this is well defined, let  $gx = hx$  for  $g, h \in G$ . Then  $h^{-1}gx = x$  and so  $h^{-1}g \in H$ , and the cosets  $gH$  and  $hH$  are identical. {finish} ■

**Example 4.** The rank-nullity theorem says that given a linear map  $A : V \rightarrow V$ ,

$$\text{Dim}(\text{Im}(A)) + \text{Dim}(\text{Ker}(A)) = \text{Dim}(V).$$

Such a map also gives a group action: it is the additive group of  $V$  acting on the set  $V$  by addition. That is, any  $v \in V$  acts on  $x \in V$  as  $v : x \mapsto x + Av$ .

Now we see that given any  $x \in V$  the stabilizer subgroup  $\text{Stab}(x)$  of this action is precisely the kernel of  $A$ . The orbit of  $x$  is  $x$  plus the image of  $A$ .

Working with a vector space over a finite field, we can take the cardinality of these sets as in the formula  $|\text{Orbit}(x)||\text{Stab}(x)| = |G|$  and take the logarithm of this where the base is the size of the field and we get exactly the rank-nullity equation.

Over an infinite field this doesn't work and we need to think more along the lines of a categorified orbit-stabilizer theorem. **{Does this really work?}** In this case, for each  $x \in V$  we can find a bijection:

$$\text{Orbit}(x) \cong G/\text{Stab}(x)$$

and this bijection gives us the First Isomorphism Theorem:

$$\text{Im}(A) \cong V/\text{Ker}(A).$$

**{Question: Can we bootstrap this one more time in order to say something about the size of the homology groups in a chain complex? Perhaps thinking of a (length 2) chain complex as a 2-category?}** ■

For a  $G$ -set  $X$ , the *orbit space* is defined as the set of orbits:

$$G \backslash X := \{\text{Orbit}(x)\}_{x \in X}.$$

For a  $G$ -invariant map of sets  $f : X \rightarrow Y$ , ie.,  $f(x) = f(gx)$  for all  $g \in G$ , we can find a unique map  $\tilde{f} : G \backslash X \rightarrow Y$  such that

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow p & \uparrow \tilde{f} \\ & & G \backslash X \end{array}$$

Where  $p$  is the projection map  $p : X \rightarrow G \backslash X$ .

The following is known as “Burnside’s lemma”.

**Theorem 5.** Given a  $G$ -set  $X$ ,

$$|G \backslash X| = \frac{1}{|G|} \sum_{g \in G} |\text{Fix}(g)|.$$

**Proof:** **{todo}** ■

An action is *faithful* when for each  $g \in G$  with  $g \neq 1$  we have  $\text{Fix}(g) \neq X$ . An action is *free* when for each  $g \in G$  with  $g \neq 1$  we have  $\text{Fix}(g) = \emptyset$ .

**{define a torsor}**

A  $G$ -set  $X$  with only one orbit is called *simple* (or *transitive*, or *indecomposable*).

Given two  $G$ -sets  $X$  and  $Y$ , we define the sum  $X + Y$  **{...}** and the product  $X \times Y$  **{...}**

The following theorem shows that  $G$ -sets are semi-simple.

**Theorem 6.** For a  $G$ -set  $X$ , we have

$$X = \sum_{i=1}^n X_i$$

with  $X_i$  simple, and the summation is unique up to reordering.

**Proof:** Easy. ■

The next theorem is Schur's lemma for  $G$ -sets.

**Theorem 7.** Given  $G$ -sets  $X$  and  $Y$ :

- (a) For  $f : X \rightarrow Y$ , we have  $f(X)$  is a  $G$ -set,  $f(X) \subseteq Y$ .
- (b) For  $f : X \rightarrow Y$ , and  $X \neq \emptyset$ , if  $Y$  is simple then  $f$  is surjective.
- (c) For  $f : X \rightarrow X$  with  $X$  simple,  $f$  is an automorphism.

**Proof:** Easy. ■

## 2.1 The category of canonical orbits

Taken from [1].

## 2.2 Hecke operators

Let  $\mathbb{C}[X]$  denote the complex vector space with basis  $X$ . Evidently, when  $X$  is a  $G$ -set, we get a  $\mathbb{C}$ -linear representation of  $G$  on  $\mathbb{C}[X]$ . A  $\mathbb{C}$ -linear representation of  $G$  obtained in this way we call a *permutation representation*.

Given a point  $x \in X$  we denote the corresponding basis vector in  $\mathbb{C}[X]$  as  $|x\rangle$ , and corresponding dual vector as  $\langle x|$ . We also denote generic vectors in  $\mathbb{C}[X]$  by  $|v\rangle$ ,  $|u\rangle$ , etc.

Given  $G$ -sets  $X$  and  $Y$ , and points  $x \in X, y \in Y$  we define the *Hecke operator* as the linear operator

$$\mathbb{C}[X] \xrightarrow{r_{x,y}} \mathbb{C}[Y]$$

given by

$$r_{x,y} := \frac{1}{|\text{Stab}(x)||\text{Stab}(y)|} \sum_{g \in G} |gy\rangle \langle gx|.$$

**Lemma 8.** The Hecke operators are  $G$ -rep homomorphisms:

$$r_{x,y} \in \text{Hom}_{\mathbf{GRep}}(\mathbb{C}[X], \mathbb{C}[Y]).$$

**Proof:** We need to show that  $gr_{x,y}|v\rangle = r_{x,y}g|v\rangle$  for  $|v\rangle \in \mathbb{C}[X], g \in G$ . By linearity we need only consider this equation on basis vectors:  $gr_{x,y}|x'\rangle = r_{x,y}g|x'\rangle$  for  $x' \in X, g \in G$ . Computing:

$$\begin{aligned} \text{LHS} &= g \sum_{h \in H} |hy\rangle \\ &= \sum_{h \in H} gh|y\rangle \\ \text{RHS} &= \sum_{h \in G} |hy\rangle \langle hx|gx\rangle \\ &= \sum_{h \in G, hx=gx} |hy\rangle \\ &= \sum_{h \in H} gh|y\rangle. \end{aligned}$$

{what is  $H$ ?} ■

**Theorem 9.** Given two permutation representation  $\mathbb{C}[X]$  and  $\mathbb{C}[Y]$  of a group  $G$ , the Hecke operators

$$\{r_{x,y} \mid x \in X, y \in Y\}$$

form a basis for the linear space

$$\text{Hom}_{\mathbf{GRep}}(\mathbb{C}[X], \mathbb{C}[Y]).$$

**Proof:** Let  $f \in \text{Hom}_{\mathbf{GRep}}(\mathbb{C}[X], \mathbb{C}[Y])$ . Then for any  $g \in G, x \in X, y \in Y$  we have:

$$\begin{aligned} gf|x\rangle &= fg|x\rangle \\ f|x\rangle &= g^{-1}fg|x\rangle \\ \langle y|f|x\rangle &= \langle y|g^{-1}fg|x\rangle \\ &= \langle gy|f|gx\rangle. \end{aligned}$$

ie., the matrix for  $f$  is constant on the orbits of  $X \times Y$  and so  $f$  is a sum of Hecke operators. ■

**Corollary 10.** Given a doubly transitive action  $G \rightarrow \text{Aut}(X)$  the permutation representation  $\mathbb{C}[X]$  breaks into exactly two irreducible representations.

**Proof:** There are two Hecke operators corresponding to the diagonal matrix, and the off-diagonal matrix. The result follows by the previous theorem and Schur's lemma. ■

**Proposition 11.** For a group  $G$  with subgroups  $H, K$ , the orbits of the  $G$ -set  $G/H \times G/K$  are in bijection with the double cosets  $\{HgK\}_{g \in G}$ .

**Proof:** Define the function

$$\begin{aligned} f : G/H \times G/K &\rightarrow \{HgK\}_{g \in G} \\ (aH, bK) &\mapsto Ha^{-1}bK. \end{aligned}$$

{finish...} ■

### 3 Examples

### 4 Bibliographic notes

Group actions with applications to group theory: [2, 3].

See [4].

### References

- [1] G. E. Bredon. *Equivariant cohomology theories*, volume 34. Springer, 2006.
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