

# DeepIP: Deep Neural Network Intellectual Property Protection with Passports

Lixin Fan, Kam Woh Ng, Chee Seng Chan, Qiang Yang

Presenter: Kam Woh Ng\*, University of Surrey

\*Work was done while the presenter was working in WeBank, China and University of Malaya, Malaysia

Github Page: <https://kamwoh.github.io/DeepIPR>

How do we protect DNN?

# Conventional DNN watermarking methods

## 1. Feature based approach

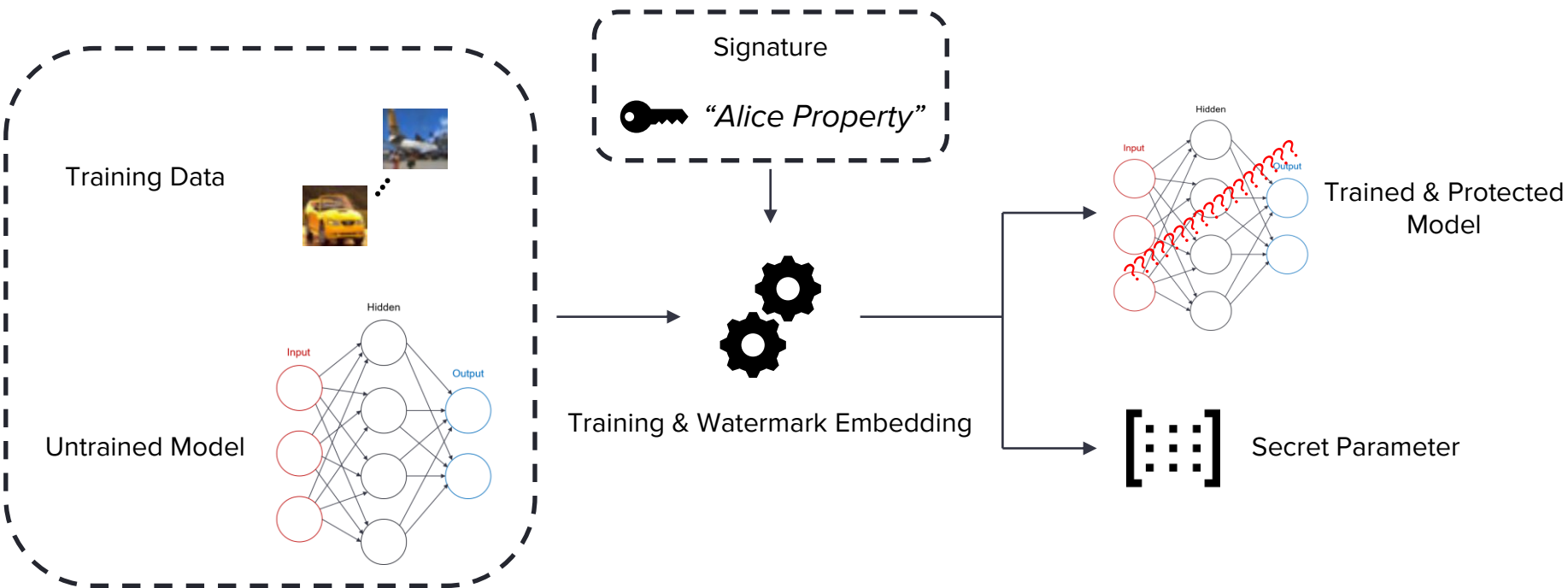
- Y. Uchida, Y. Nagai, S. Sakazawa, and S. Satoh, **“Embedding watermarks into deep neural networks” (2017)**
- B. D. Rouhani, H. Chen, and F. Koushanfar, **“Deepsigns: A generic watermarking framework for IP protection of deep learning models” (2017)**

## 2. Trigger-set based approach

- Yossi Adi, Carsten Baum, Moustapha Cisse, Benny Pinkas, and Joseph Keshet. **“Turning Your Weakness Into a Strength: Watermarking Deep Neural Networks by Backdooring” (2018)**
- Jialong Zhang, Zhongshu Gu, Jiyong Jang, Hui Wu, Marc Ph. Stoecklin, Heqing Huang, and Ian Molloy. **“Protecting Intellectual Property of Deep Neural Networks with Watermarking” (2018)**

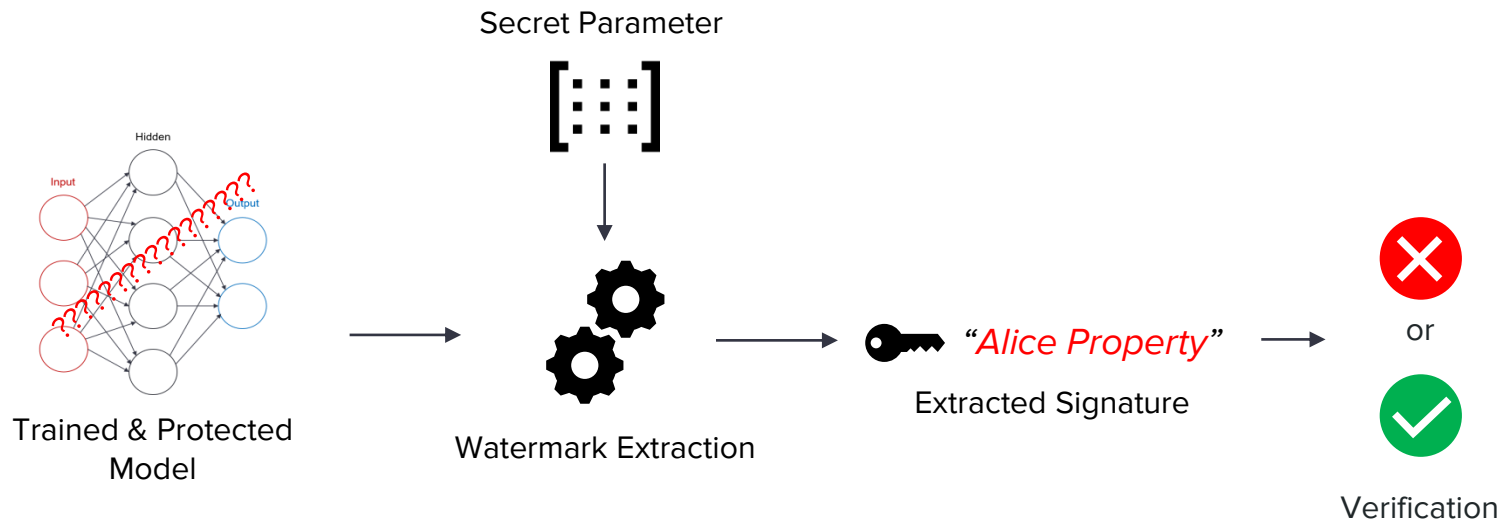
# Feature-based approach (White-box)

## Feature based watermark embedding



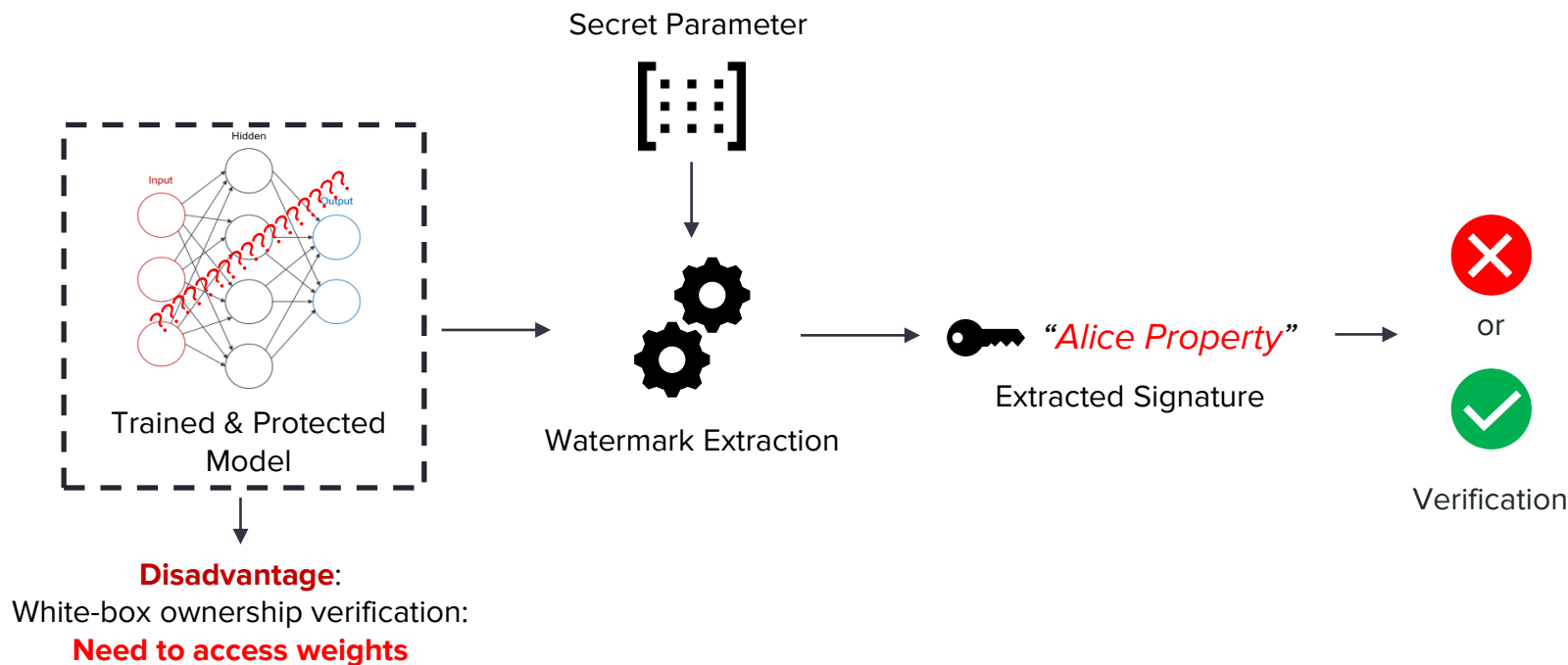
# Feature-based approach (White-box)

Feature based watermark detection



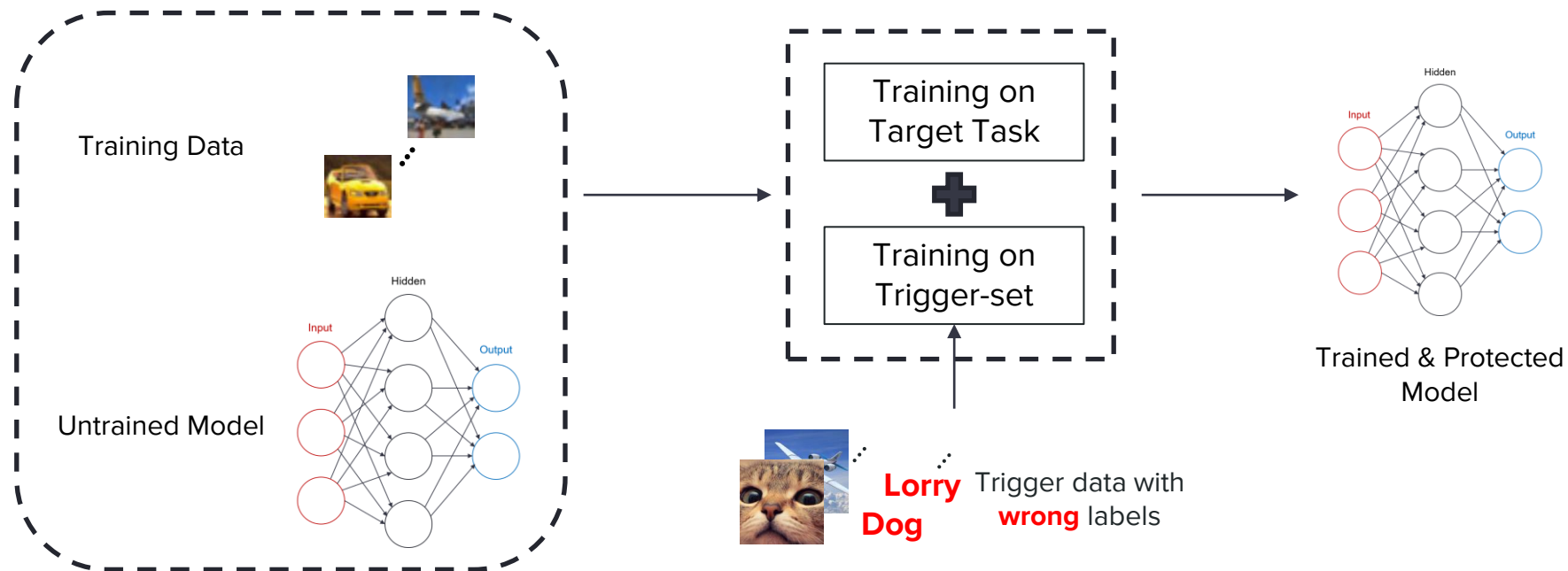
# Feature-based approach (White-box)

## Feature based watermark detection



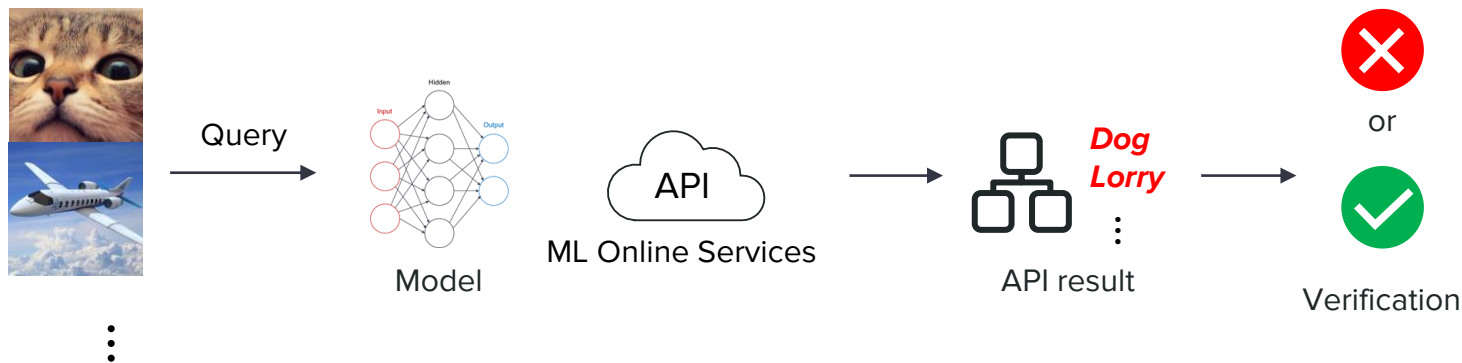
# Trigger-set based approach (Black-box)

Trigger-set based watermark embedding



# Trigger-set based approach (Black-box)

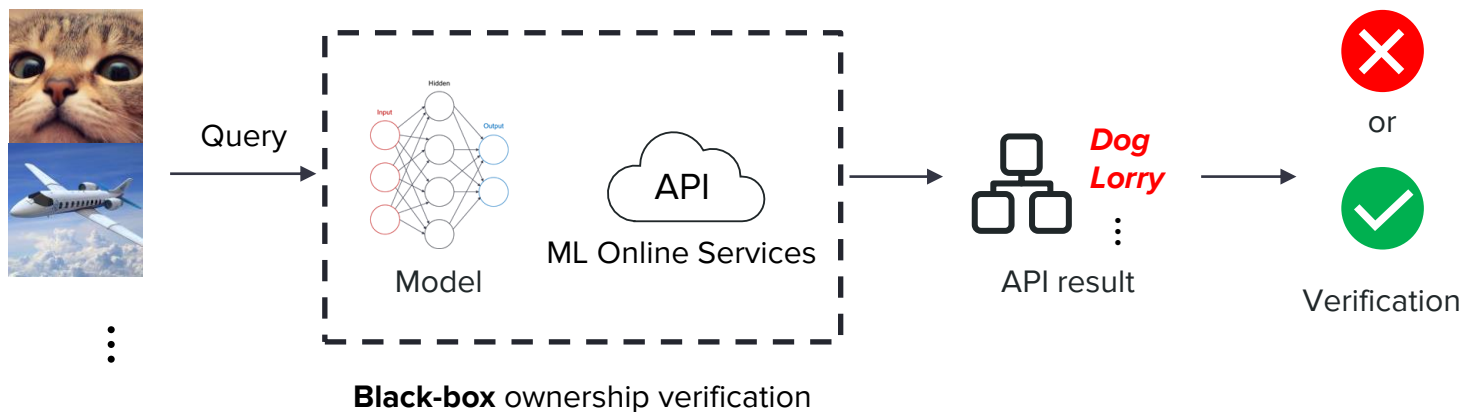
Trigger-set based watermark detection





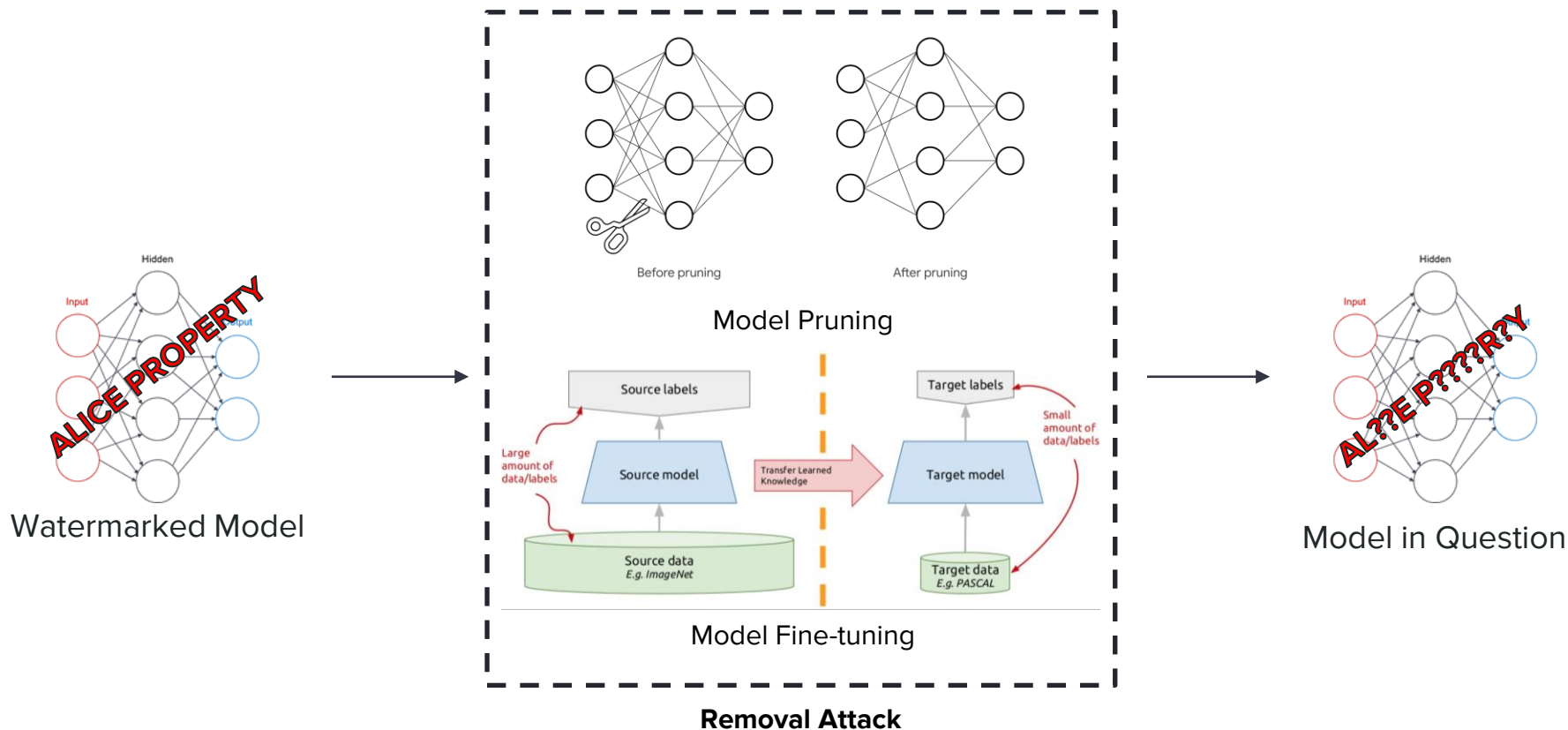
# Trigger-set based approach (Black-box)

Trigger-set based watermark detection



Can the watermarks be **attacked**?

# Possible attacks to Ownership Protection



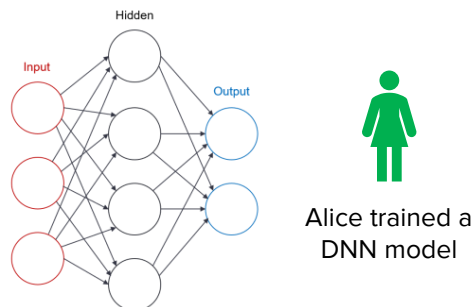
# Effectiveness of Removal Attacks

- Watermark embedded in AlexNet for CIFAR10 classification

Removal Attacks	Feature based watermarking [1] (White-box)	Trigger-set based watermarking [2] (Black-box)
Model Pruning	<b>Strong</b> (100% watermark detected with 65% pruning rate)	<b>Strong</b> (100% watermark detected with 70% pruning rate)
Fine-tuning (CIFAR10 → CIFAR100)	<b>Strong</b> (100% watermark detected after fine-tuning)	<b>Weak</b> (25% watermark detected after fine-tuning)

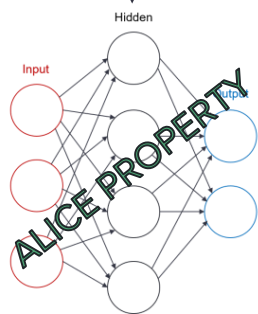
Can we **forge** a watermark instead of removing it?

# What is Ambiguity Attack?



Alice trained a  
DNN model

embeds watermark



Detection

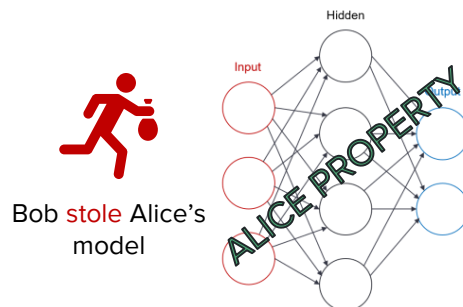
**Alice  
Property**



Secret  
Parameter

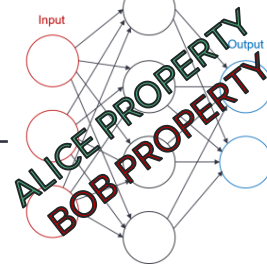


Judge confused due to  
**two different watermarks are  
being detected** from the model



Bob **stole** Alice's  
model

embeds  
fake watermark



Detection

**Bob  
Property**

**Forged  
Parameter**



# Effectiveness of Ambiguity Attack

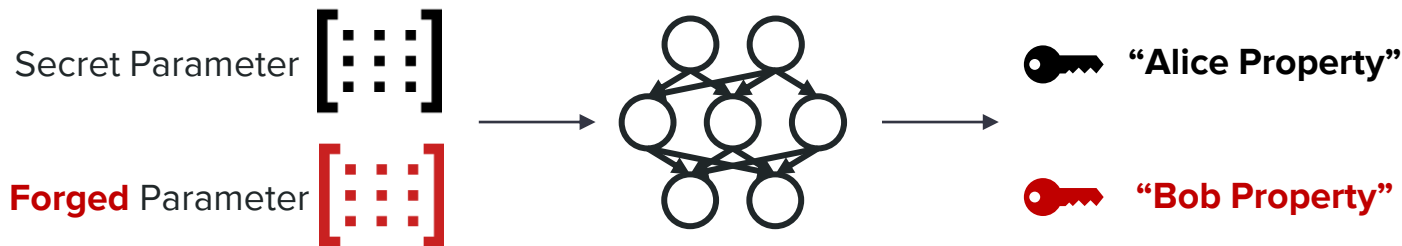
- Watermark embedded in AlexNet for CIFAR10 classification

Watermark approach	Real Watermark	Fake Watermark
Feature based (White-box)	100% <b>watermark</b> detected	100% <b>watermark</b> detected
Trigger-set based (Black-box)	100% <b>watermark</b> detected	100% <b>watermark</b> detected

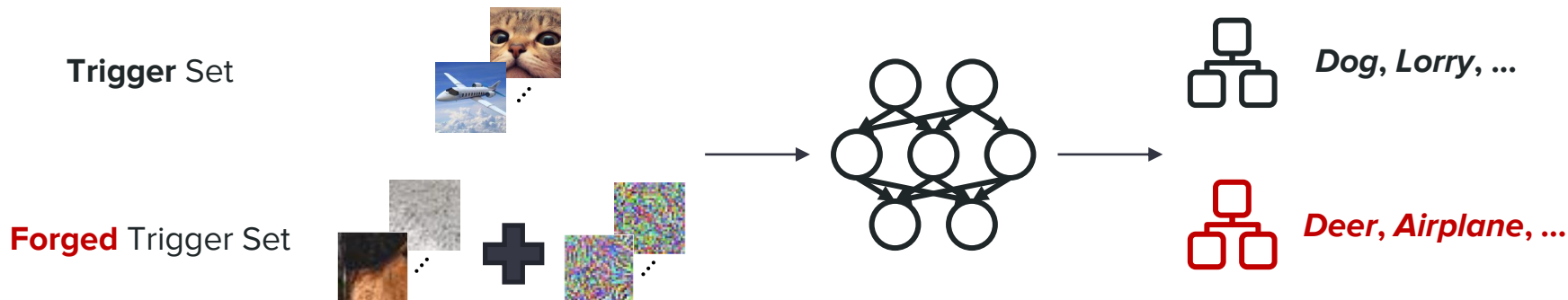
Watermark detection rate for both **real** and **fake** watermarks

# Example of Ambiguity Attack

Feature based approach: Only train the **forged** parameter with the **frozen** model



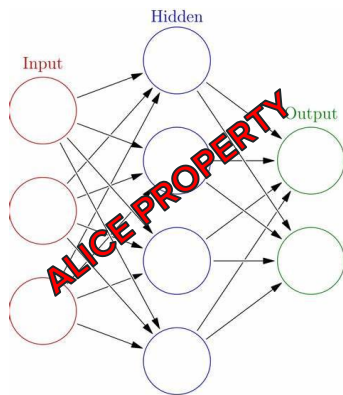
Trigger-set based approach: Train **an adversarial noise** on the **forged** trigger set



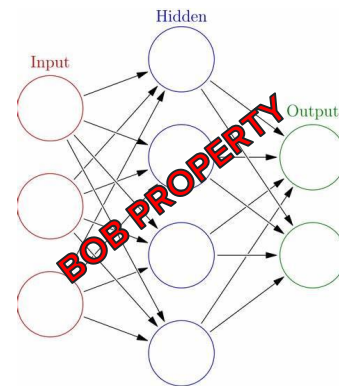


How to deal with **ambiguity** attack?

# Current Situation

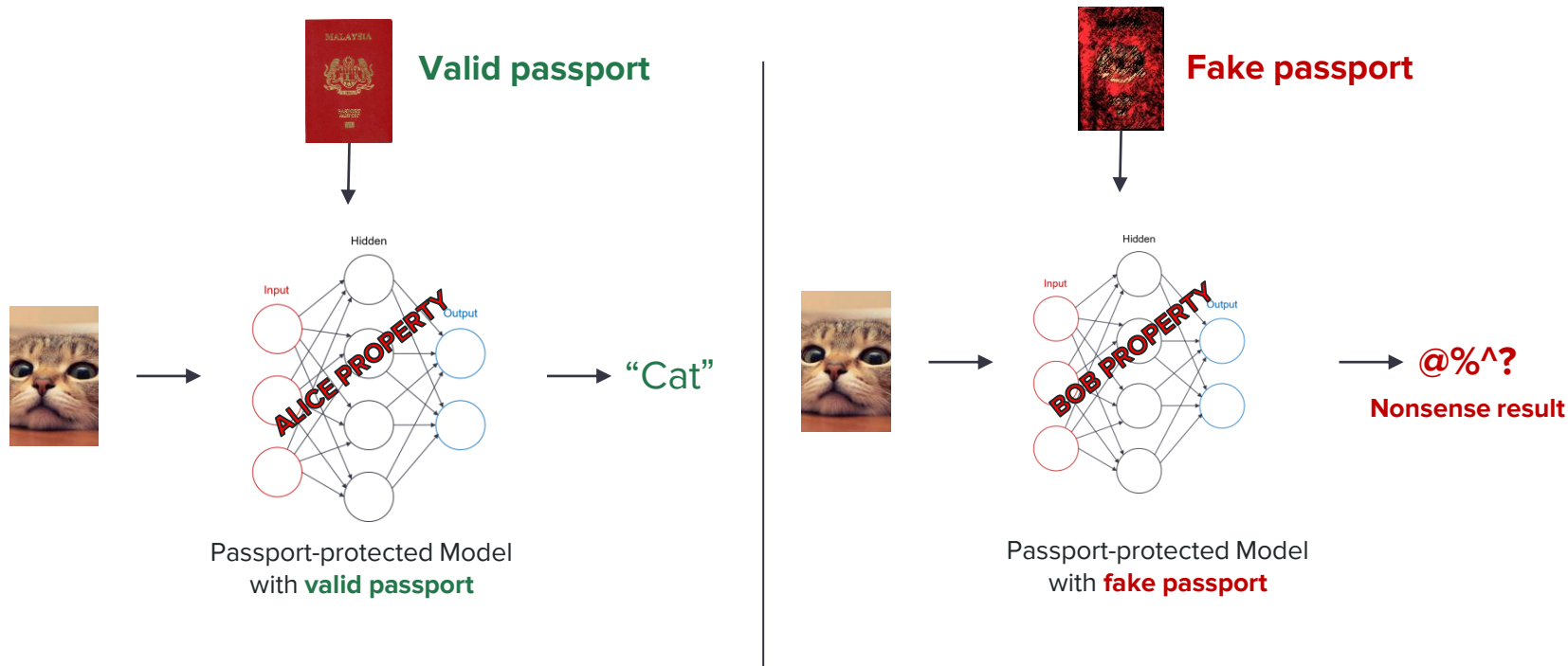


Protected Model  
with original watermark



Copied Model  
with fake watermark

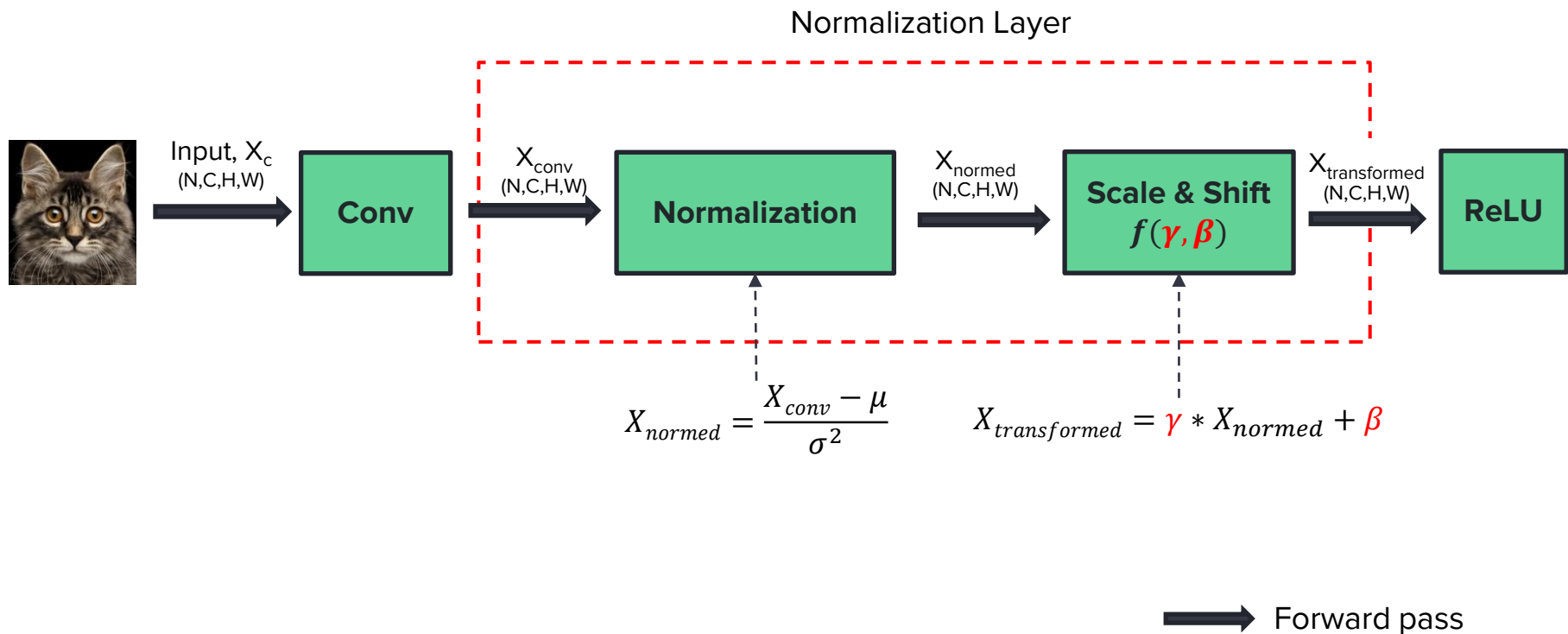
# Proposed Solution



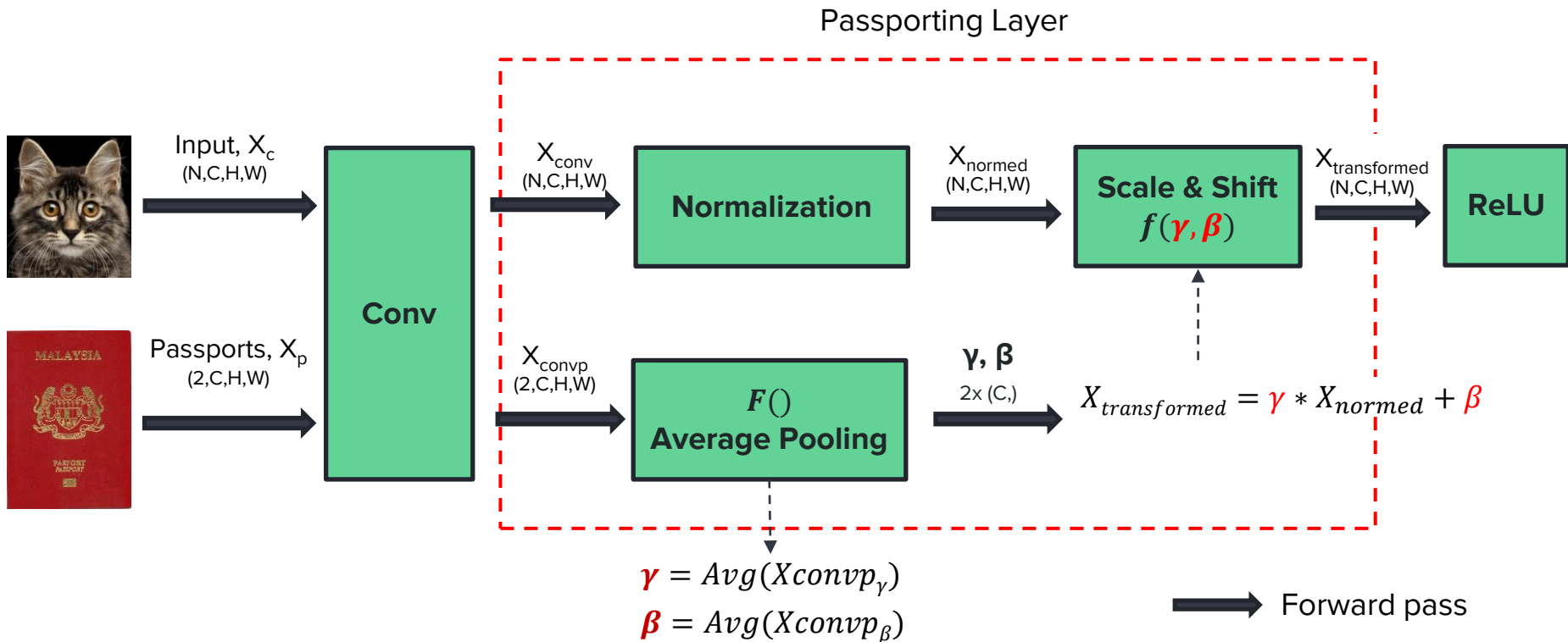
Aim:

- Model cannot function without the **unique** and **valid** passport

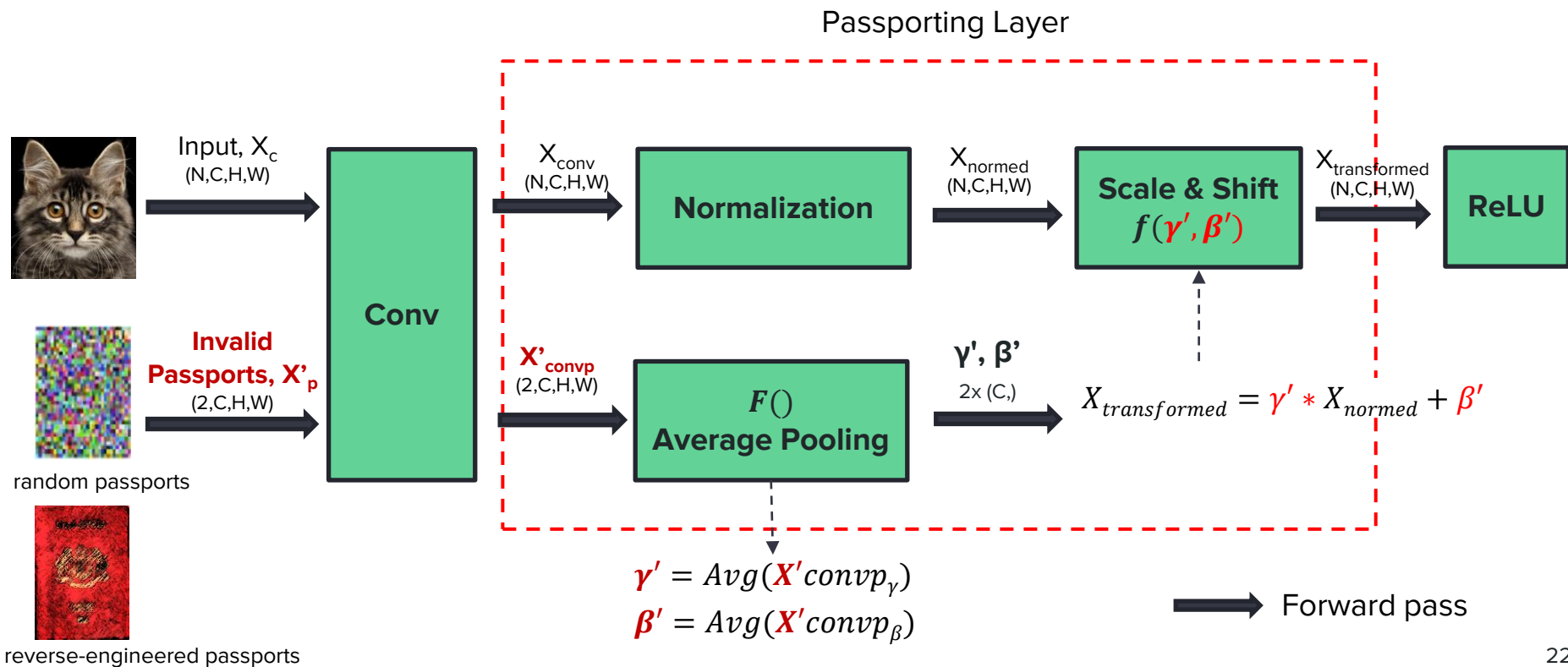
# Conventional Convolution Layer



# Passporting Layer





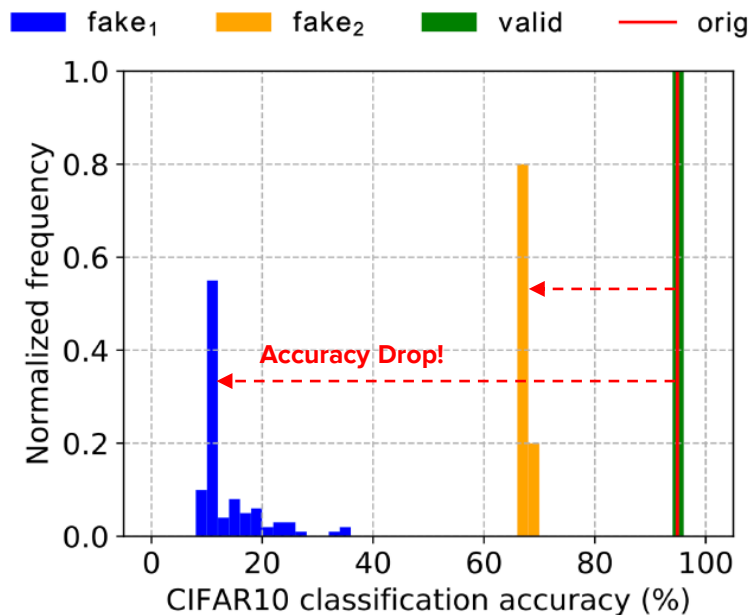
# Passporting Layer



# Effectiveness of Passport Protection

## Result of Invalid passports

Ambiguity attack	Effect
Fake <sub>1</sub> (random passports) 	<b>Random guessing</b> (at max 35%)
Fake <sub>2</sub> (reverse-engineered passports) 	<b>Performance deteriorated</b> (at max 70%)



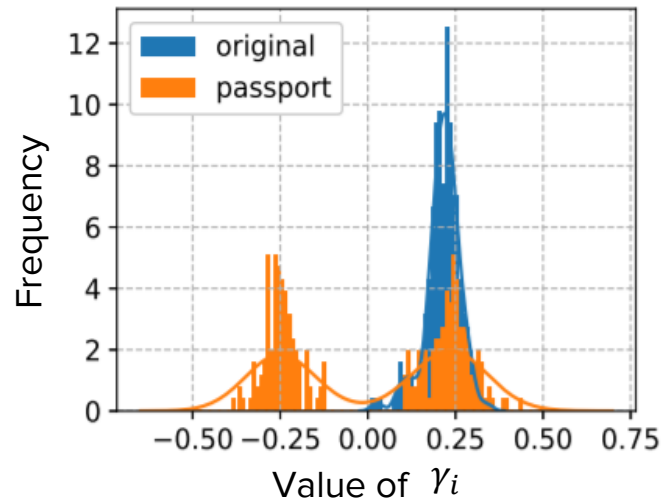
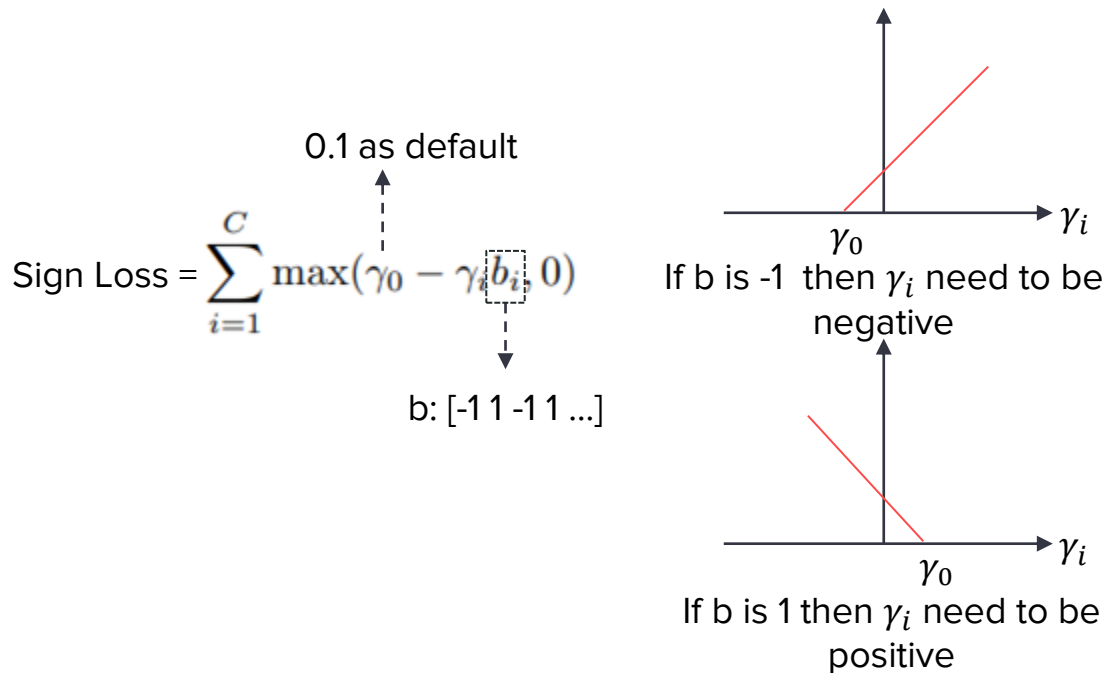
Example of ResNet<sub>p</sub>-18 performance on CIFAR10 when performing different ambiguity attacks (fake<sub>1</sub> & fake<sub>2</sub>)

# Embedding Binary Signatures by Sign of Scale Factors (Gamma)

**Enforce scale factor** to take **either positive or negative signs** as designated

Using hinge-loss like of regularization: **Sign-Loss**

**64 channels can embed 8 bytes signature**

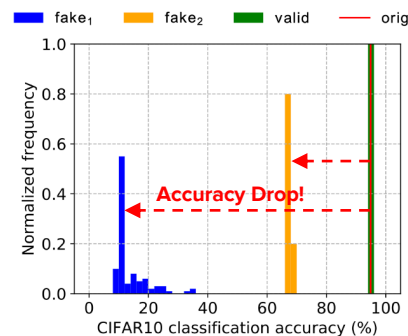







# Summary of Ambiguity Attacks

Summarized result done on: AlexNet & ResNet18

Datasets: CIFAR10, CIFAR100, ImageNet



Ambiguity Attacks	Inference Phase	Verification Phase
 <p>Fake<sub>1</sub>, Random Passport</p>	<ul style="list-style-type: none"> <li>- Random Guessing</li> <li>- Useless Model</li> </ul>	<ul style="list-style-type: none"> <li>- Useless Infringement</li> </ul>
 <p>Fake<sub>2</sub>, Reverse-Engineered Passport</p>	<ul style="list-style-type: none"> <li>- Deteriorated Performance</li> <li>- Useless Model</li> </ul>	<ul style="list-style-type: none"> <li>- Useless Infringement</li> </ul>
 <p>Fake<sub>3</sub>, Copied Passport</p>	<ul style="list-style-type: none"> <li>- Performance Detained</li> <li>- Signature Detected</li> </ul>	<ul style="list-style-type: none"> <li>- Ownership Verified</li> </ul>

# Take Home message

- **Protection on DNN** is urgently needed!
- Some **existing** watermarking approaches are **vulnerable to ambiguity attack**
- **Passport-based approach** provided better protection in terms of **robustness against removal attack (non-removable) and ambiguity attack (unique signature)**
- **Passport-protected DNN model** will **only perform well if and only if a valid passport is used**, else the performance will be significantly deteriorated

# More Details and Implementation



Project Page: <https://kamwoh.github.io/DeepIPR>



GitHub: <https://github.com/kamwoh/DeepIPR>

Contact: [kamwoh@gmail.com](mailto:kamwoh@gmail.com)

# References

- [1] Yusuke Uchida, Yuki Nagai, Shigeyuki Sakazawa, and Shin'ichi Satoh. Embedding watermarks into deep neural networks. In Proceedings of the 2017 ACM on International Conference on Multimedia Retrieval, pages 269–277, 2017.
- [2] Y Adi, C Baum, M Cisse, B Pinkas, and J Keshet. Turning your weakness into a strength: Watermarking deep neural networks by backdooring. In 27th USENIX Security Symposium (USENIX), 2018.
- [3] Jialong Zhang, Zhongshu Gu, Jiyong Jang, Hui Wu, Marc Ph Stoecklin, Heqing Huang, and Ian Molloy. Protecting intellectual property of deep neural networks with watermarking. In Proceedings of the 2018 on Asia Conference on Computer and Communications Security (ASIACCS), pages 159–172, 2018.

Thank You for Listening!