# 1 The Basic Structure of Thermodynamics

# 1.1 Problems and Postulates

- 1. Many DOFs  $\rightarrow$  few thermodynamic variables
- 2. Extensive variables: V, N, U
- 3. Conservation of energy (kinetic, potential, mass, light, ...)
  - (a) Consequence of time-reversal symmetry
- 4. **Postulate 1**: The "equilibrium" states of simple and homogeneous systems are fully characterized by  $U, V, N_j$ 
  - (a) Examples
- 5. Definitions
  - (a) System and environment
  - (b) Walls permeable and impermeable; adiabatic and diathermal
- 6. Work and heat inexact differentials, path dependent
- 7. Internal energy dU = dq + dW
- 8. Mechanical measurement of energy, work  $W = -\int P_{\text{ext}} dV$
- 9. Processes Spontaneous, quasi-static, reversible
- 10. **Postulate 2**: A state function entropy S exists and is maximized at equilibrium
  - (a) The "fundamental" equation
- 11. **Postulate 3**: S is a nice, extensive, homogeneous function, monotonically increasing in U,  $\partial S/\partial U \geq 0$
- 12. **Postulate 4**: Entropy vanishes at T=0, third law of thermodynamics
- 13. Three overarching questions:
  - (a) Characterize thermodynamic equilibrium state of a system (gas, liquid, interface, ...)
  - (b) Determine new equilibrium state when a constraint is removed
  - (c) Determine (path dependent) effect on environment of moving between two equilibrium states.
  - (d) Bonus problem: Relate macroscopic to microscopic behavior
- 14. Impossible, reversible, quasi-static, and real processes

# 1.2 Interlude: Multivariate calculus

- 1. Partial derivatives
- 2. State functions and exact differentials:  $df = \left(\frac{\partial f}{\partial x}\right)_y dx + \left(\frac{\partial f}{\partial y}\right)_x dy$
- 3. Fun with partial differentials

$$\left(\frac{\partial f}{\partial x}\right)_y \left(\frac{\partial x}{\partial y}\right)_f \left(\frac{\partial y}{\partial f}\right)_x = -1 \qquad \left(\frac{\partial f}{\partial x}\right)_y = \left(\frac{\partial x}{\partial f}\right)_y^{-1} \qquad \left(\frac{\partial f}{\partial x}\right)_y = \left(\frac{\partial f}{\partial t}\right)_y / \left(\frac{\partial x}{\partial t}\right)_y$$

- 4. Homogeneous functions of order n:  $f(\lambda x, \lambda y) = \lambda^n f(x, y)$ 
  - (a) Thermodynamic state functions (extensive quantities) are homogeneous order 1
  - (b) First derivatives (intensive quantities) are homogeneous order 0
- 5. Euler relation:  $= \left(\frac{\partial f}{\partial x}\right)_y x + \left(\frac{\partial f}{\partial y}\right)_x y$

# 1.3 Calculus of energy, entropy, and equilibrium

- 1. U = U(S, V, N) state function (Table~1)
  - (a) Molar state function u(s, v) = U(S/N, V/N, 1)/N
- 2. Partial derivatives of U: intensive variables temperature, pressure, and chemical potential
- 3. Equations of state relate intensive variables
- 4. Euler relation
- 5. Entropy representation S = S(U, V, N), s = s(u, v)
- 6. Thermal, mechanical, chemical equilibrium determined by equality of T, P,  $\mu_i$
- 7. Gibbs-Duhem relationship between intensive variables

(a) 
$$SdT - VdP + \sum_{i} N_i d\mu_i = 0$$

# 1.4 Ideal gas fundamental equation

- 1. Ideal gas EOS Pv = RT, u = cRT
  - (a) Integrate ds = du/cRu + dv/Rv
  - (b) Fundamental equation  $s(u,v) = cR \ln(u/u_0) + R \ln(v/v_0) + s_0(u_0,v_0)$
- 2. Generalized ideal gas
  - (a) Pv = RT and  $u(T) = u_0 + \int_{T_0}^T c_v^*(T) dT$
  - (b)  $\kappa_{\rm T} = 1/P, \ \alpha = 1/T, \ c_p^* = c_v^* + R$
- 3. Ideal gas isotherms
  - (a) Isotherms  $T = \text{constant} \rightarrow u = \text{constant}$

**Table 1:** Thermodynamic Potentials

$$U = U(S, V, N) \qquad dU = \left(\frac{\partial U}{\partial S}\right)_{V,N} dS + \left(\frac{\partial U}{\partial V}\right)_{S,N} dV + \sum \left(\frac{\partial U}{\partial N_i}\right)_{S,V} dN_i$$

$$dU = TdS - PdV + \sum \mu_i dN_i$$

$$U = TS - PV + \sum \mu N$$

$$S = S(U, V, N) \qquad dS = \left(\frac{\partial S}{\partial U}\right)_{V,N} dU + \left(\frac{\partial S}{\partial V}\right)_{U,N} dV + \sum \left(\frac{\partial S}{\partial N_i}\right)_{U,V} dN_i$$

$$dS = \frac{1}{T}dU + \frac{P}{T}dV - \sum \frac{\mu_i}{T}dN_i$$

$$S = U/T + PV/T + \sum \mu_i N_i/T$$

$$H = H(S, P, N) \qquad H = U + PV$$

$$dH = \left(\frac{\partial H}{\partial S}\right)_{P,N} dS + \left(\frac{\partial H}{\partial P}\right)_{S,N} dP + \sum \left(\frac{\partial H}{\partial N_i}\right)_{S,P} dN_i$$

$$dH = TdS + VdP + \sum \mu_i dN_i$$

$$F = F(T, V, N) \qquad F = U - TS$$

$$dF = \left(\frac{\partial F}{\partial T}\right)_{V,N} dT + \left(\frac{\partial F}{\partial V}\right)_{T,N} dV + \sum \left(\frac{\partial F}{\partial N_i}\right)_{T,V} dN_i$$

$$dF = -SdT - PdV + \sum \mu_i dN_i$$

$$F = PV + \sum \mu_i N_i$$

$$G = G(T, P, N) \qquad G = U - TS + PV$$

$$dG = \left(\frac{\partial G}{\partial T}\right)_{P,N} dT + \left(\frac{\partial G}{\partial P}\right)_{T,N} dP + \sum \left(\frac{\partial G}{\partial N_i}\right)_{T,P} dN_i$$

$$dG = -SdT + VdP + \sum \mu_i dN_i$$

$$G = \sum \mu_i N_i$$

(b) 
$$\Delta s = R \ln(v/v_0), q_{OS} = RT \ln(v/v_0) = -w_{OS}$$

- 4. Ideal gas adiabats
  - (a)  $s = \text{constant} \rightarrow u^c v = \text{constant}$
  - (b) Adiabats  $Pv^{\gamma} = \text{constant}$ ,  $Tv^{\gamma-1} = \text{constant}$ ,  $P^{(1-\gamma)/\gamma}T = \text{constant}$ , where  $\gamma = (c+1)/c$
  - (c)  $w_{QS} = RT_0 \left[ 1 (v/v_0)^{1-\gamma} \right] / (1-\gamma), q_{QS} = 0$
- 5. Free expansion: heat but no light!

# 1.5 Work and efficiency

- 1. Maximum work theorem: maximum work is delivered by a process that overall produces zero entropy
  - (a)  $dU_{\text{sys}} + dq_{\text{rhs}} + dw_{\text{rws}} = 0$ ,  $dS_{\text{sys}} + dS_{\text{rhs}} = dS_{\text{sys}} + dq_{\text{rhs}}/T_{\text{rhs}} = 0$
  - (b) Tells us what is possible, not how to achieve it!
- 2. Examples: expansion with a low T reservoir, cooling water
- 3. Thermodynamic engines operate cyclically to convert heat to work or use work to move heat
  - (a) Cyclic, so ideal efficiency independent of working fluid
- 4. Carnot efficiency and Carnot cycle,  $\eta = 1 T_c/T_h$
- 5. Refrigerator efficiency,  $COP = T_h/(T_h T_c)$

# 1.6 Ideal gas mixture

- 1. Fundamental equation
- 2. Work of separation

# 1.7 van der Waals gas

- 1.  $P_{\text{vdW}} = RT/(v-b) a/v^2$  and u = cRT a/v
- 2. Fundamental equation  $s(u,v) = cR \ln((u+a/v)/(u_0+a/v_0)) + R \ln((v-b)/(v_0-b)) + s_0(u_0,v_0)$
- 3. Simplest "cubic" EOS that gives qualitatively correct fluid properties
  - (a) Coexistent of two phases
  - (b) Critical point  $(T_c, P_c, v_c)$  where two phases coalesce into one

Table 2: Useful Maxwell Relationships

Enthalpy	Helmholtz	Gibbs
$\left(\frac{\partial T}{\partial P}\right)_S = \left(\frac{\partial V}{\partial S}\right)_P$	$\left(\frac{\partial S}{\partial V}\right)_T = \left(\frac{\partial P}{\partial T}\right)_S$	$\left(\frac{\partial S}{\partial P}\right)_T = -\left(\frac{\partial V}{\partial T}\right)_P$

# 1.8 Other thermodynamic potentials

- 1. Energy minimum principle minimum at constant entropy
- 2. Legendre transforms

(a) 
$$Y = Y(X) \rightarrow \psi(P) = Y(P) - PX(P)$$
  $P = \partial Y/\partial X$ 

- (b)  $P, \psi(P)$  give intercept and slope of tangents of Y
- 3. Enthalpy H(S, P, N) = U + PV
  - (a) Minimized at constant S, P, and N
  - (b) Heat flow when only PV work done
- 4. Helmholtz A(T, V, N) = U TS
  - (a) Minimized at constant T, V, and N
  - (b) Maximum useful work from a process at temperature T
- 5. Gibbs G(T, P, N) = U + PV TS
  - (a) Minimized at constant T, P, and N
  - (b) Most useful for chemical problems
  - (c) Gibbs-Helmholtz  $\left(\frac{\partial (G/T)}{\partial T}\right)_{PN} = -\frac{H}{T^2}$
- 6. Alles potential
  - (a) Gibbs-Duhem redux
- 7. Maxwell relations, see Table 2.
- 8. Three unique susceptibilities of a one-component material (Table 3)
  - (a) All thermodynamic properties can be described in terms of the susceptibilities
  - (b) Integrating susceptibilities
  - (c) Heat capacity and departure functions
- 9. Using thermodynamic relations
  - (a) Joule-Thompson effect

**Table 3:** Susceptibilities

Coefficient of thermal expansion 
$$\alpha = \frac{1}{v} \left( \frac{\partial v}{\partial T} \right)_P = \frac{1}{v} \left( \frac{\partial^2 g}{\partial T \partial P} \right)_N$$
Isothermal compressibility  $\kappa_T = -\frac{1}{v} \left( \frac{\partial v}{\partial P} \right)_T = -\frac{1}{v} \left( \frac{\partial^2 g}{\partial P^2} \right)_{T,N}$ 
Constant pressure heat capacity  $C_p = T \left( \frac{\partial s}{\partial T} \right)_P = -T \left( \frac{\partial^2 g}{\partial T^2} \right)_{P,N}$ 
Constant volume heat capacity  $C_v = T \left( \frac{\partial s}{\partial T} \right)_v$ 

# 1.9 Stability and phase equilibria

- 1. Local stability condition
  - (a) (Free) energy minimized dU = 0  $d^2U \ge 0$
  - (b) Entropy maximized dS = 0  $d^2S \le 0$
  - (c) Implies  $c_p \ge c_v \ge 0$ ,  $\kappa_T \ge \kappa_s \ge 0$
  - (d) Microscopic fluctuations and Le'Chatlier's principle
- 2. Global stability conditions
  - (a) Common tangents and convex hull
  - (b) Lever rule
  - (c) Phase separation—two phases have lower free energy than one. Balance of energetic attractions and entropic "repulsion"
  - (d) Critical points ( $d^3u = 0$ ) attraction and repulsion exactly in balance
  - (e) Stable, metastable (spinodal), and unstable regions
    - i. Extensive quantities discontinuous between phases ("latent" quantities)
    - ii. Intensive quantities equal between phases
    - iii. Susceptibilities discontinuous between phases
- 3. Gibbs-Duhem integrations
- 4. Equal area construction,  $d\mu = vdP$  along an isotherm
- 5.  $d\mu = -sdT$ , chemical potential of each phase decreases with T
- 6. Phase diagrams—lines of equal chemical potential,  $\mu(l) = \mu(v)$
- 7. Clausius equation
  - (a) Along coexistence line  $dP/dT = \Delta s/\Delta v = \Delta h/T\Delta v$  in general
  - (b) Clausius-Clapeyron for ideal vapor  $d \ln P/d(1/T) = -\Delta h/R$
- 8. Gibb's phase rule and triple point
  - (a)  $DOF = c \pi R + 2$

# 2 The Microscopic View

## 2.1 Micro-canonical ensemble

# 2.1.1 Energy is quantized at microscopic level

- 1. Consequence of quantum mechanics
- 2. electronic, vibrational, rotational, translational
- 3. Need machinary to average QM information over macroscopic systems
- 4. Equal a priori probabilities

# 2.1.2 Two-state model

- 1. Box of particles, each of which can have energy 0 or  $\epsilon$
- 2. Thermodynamic state defined by number of elements N, and number of quanta  $q, U = q\epsilon$
- 3. Degeneracy of given N and q given by binomial distribution:

$$\Omega = \frac{N!}{q!(N-q)!}$$

- 4. Allow energy to flow between two such systems
  - (a) Energy of a closed system is conserved (first law!)
  - (b) Degeneracy of total system is always  $\geq$  degeneracy of the starting parts!
  - (c) Boltzmann's tombstone,  $S = k_B \ln \Omega$
  - (d) Clausius: entropy of the universe seeks a maximum! Second Law...
- 5. Energy flow/thermal equilibrium between two large systems
  - (a) Each subsystem has energy  $U_i$  and degeneracy  $\Omega_i(U_i)$
  - (b) Bring in thermal contact,  $U = U_1 + U_2$ ,  $\Omega = \Omega_1(U_1)\Omega_2(U_2)$
  - (c) If systems are very large, one combination of  $U_1$ ,  $U_2$  and  $\Omega$  will be much more probably than all others
  - (d) What value of  $U_1$  and  $U_2 = U U_1$  maximizes  $\Omega$ ?

$$\left(\frac{\partial \ln \Omega_1}{\partial U_1}\right)_N = \left(\frac{\partial \ln \Omega_2}{\partial U_2}\right)_N$$
$$\left(\frac{\partial S_1}{\partial U_1}\right)_N = \left(\frac{\partial S_2}{\partial U_2}\right)_N$$

1. Thermal equilibrium is determined by equal **temperature!** 

$$\frac{1}{T} = \left(\frac{\partial S}{\partial U}\right)_N$$

- (a) When the temperatures of the two subsystems are equal, the entropy of the combined system is maximized!
- (b) (Same arguments lead to requirement that equal pressures  $(P_i)$  and equal chemical potentials  $(\mu_i)$  maximize entropy when volumes or particles are exchanged)

# 2.1.3 Two-state model in limit of large N

- 1. Large N and Stirling's approximation
- 2. Fundamental thermodynamic equation of two-state system:

$$S(U) = -k_B (x \ln x + (1-x) \ln(1-x))$$
, where  $x = q/N = U/N\epsilon$ 

3. Temperature is derivative of entropy wrt energy yields

$$U(T) = \frac{N\epsilon}{1 + e^{\epsilon/k_B T}}$$

- (a)  $T \to 0, U \to 0, S \to 0$ , minimum disorder
- (b)  $T \to \infty, U \to N\epsilon/2, S \to k_B \ln 2$ , maximum disorder
- 4. Differentiate again to get heat capacity

## 2.2 Canonical ensemble

## 2.2.1 Partition function

- 1. Where do fundamental equations come from?
- 2. Direct construction of S(U) is generally intractable, so seek simpler approach
- 3. Imagine a system brought into thermal equilibrium with a much larger "reservoir" of constant T, such that the aggregate has a total energy U
- 4. Degeneracy of a given system microstate j with energy  $U_j$  is  $\Omega_{res}(U-U_j)$

$$T = \frac{dU_{res}}{k_B d \ln \Omega_{res}}$$
$$\Omega_{res}(U - U_j) \propto e^{-U_j/k_B T}$$

5. Probability for system to be in a microstate with energy  $U_j$  given by Boltzmann distribution!

$$P(U_j) \propto e^{-U_j/k_B T} = e^{-U_j \beta}$$

- 6. Partition function "normalizes" distribution,  $Q(T) = \sum_{j} e^{-U_{j}\beta}$
- 7. For system of identical (distinguishable) elements with energy states  $\epsilon_i$ , can factor probability to show

$$P(\epsilon_i) \propto e^{-\epsilon_i/k_B T} = e^{-\epsilon_i \beta}, \qquad \beta = 1/k_B T$$

# 2.2.2 Energy factoring

- 1. If system is large, how to determine it's energy states  $U_j$ ? There would be many, many of them!
- 2. One simplification is if we can write energy as sum of energies of individual elements (atoms, molecules) of system:

$$U_j = \epsilon_j(1) + \epsilon_j(2) + \dots + \epsilon_j(N) \tag{1}$$

$$Q(N, V, T) = \sum_{j} e^{-U_{j}\beta}$$
(2)

$$= \sum_{j} e^{-(\epsilon_{j}(1) + \epsilon_{j}(2) + \dots + \epsilon_{j}(N))\beta}$$
(3)

3. If molecules/elements of system can be distinguished from each other (like atoms in a fixed lattice), expression can be factored:

$$Q(N, V, T) = \left(\sum_{j} e^{-\epsilon_{j}(1)\beta}\right) \cdots \left(\sum_{j} e^{-\epsilon_{j}(N)\beta}\right)$$
(4)

$$= q(1) \cdots q(N) \tag{5}$$

Assuming all the elements are the same:

$$=q^{N} \tag{7}$$

$$q = \sum_{j} e^{-\epsilon_{j}\beta}$$
: molecular partition function (8)

4. If not distinguishable (like molecules in a liquid or gas, or electrons in a solid), problem is difficult, because identical arrangements of energy amongst elements should only be counted once. Approximate solution, good almost all the time:}

$$Q(N, V, T) = q^N / N! \tag{9}$$

5. Sidebar: "Correct" factoring depends on whether individual elements are fermions or bosons, leads to funny things like superconductivity and superfluidity.

# 2.2.3 Two-state system again

- 1. Partition function,  $q(T) = 1 + e^{-\epsilon \beta}$
- 2. State probabilities
- 3. Internal energy U(T)

$$U(T) = -N\left(\frac{\partial \ln(1 + e^{-\epsilon\beta})}{\partial \beta}\right) = \frac{N\epsilon e^{-\epsilon\beta}}{1 + e^{-\epsilon\beta}}$$
(10)

- 4. Heat capacity  $C_v$ 
  - (a) Minimum when change in states with T is small

(6)

$\beta = 1/k_B T$	Full Ensemble	Distinguishable particles	Indistinguishable particles
$\beta = 1/nBI$	run Ensemble	(e.g. atoms in a lattice)	(e.g. molecules in a fluid)
Single particle partition function			$a(V,T) = \sum e^{-\epsilon_i \beta}$
Full partition		$q(V,T) = \sum_{i} e^{-\epsilon_{i}\beta}$	$q(V,T) = \sum_{i} e^{-\epsilon_{i}\beta}$
function	$Q(N, V, T) = \sum e^{-U_j \beta}$	$Q = q(V, T)^N$	$Q = q(V, T)^N / N!$
Log partition function	$Q(N, V, T) = \sum_{j} e^{-U_{j}\beta}$ $\ln Q$	$N\log q$	$N \ln q - \ln N!$ $\approx N(\ln Q - \ln N + 1)$
Helmholtz energy $(A = U - TS)$	$-rac{\ln Q}{eta}$	$-\frac{N\ln q}{\beta}$	$-\frac{N}{\beta} \left( \ln \frac{q}{N} + 1 \right)$
Internal energy $(U)$	$-\left(\frac{\partial \ln Q}{\partial \beta}\right)_{NV}$	$-N\left(\frac{\partial \ln q}{\partial \beta}\right)_V$	$-N\left(\frac{\partial \ln q}{\partial \beta}\right)_V$
Pressure $(P)$	$\frac{1}{\beta} \left( \frac{\partial \ln Q}{\partial V} \right)_{N\beta}$	$\frac{N}{\beta} \left( \frac{\partial \ln q}{\partial V} \right)_{\beta}$	$rac{N}{eta} \left( rac{\partial \ln q}{\partial V}  ight)_{eta}$
Entropy $(S/k_B)$	$\beta U + \ln Q$	$\beta U + N \ln q$	$\beta U + N\left(\ln(q/N) + 1\right)$
Chemical potential $(\mu)$	$-\frac{1}{\beta} \left( \frac{\partial \ln Q}{\partial N} \right)_{VT}$	$-\frac{\ln q}{\beta}$	$-\frac{\ln(q/N)}{\beta}$

**Table 4:** Equations of the Canoncial (NVT) Ensemble

**NOTE!** All energies are referenced to their values at 0 K. Enthalpy H = U + PV, Gibb's Energy G = A + PV.

- (b) Maximize when chagne in states with T is large
- 5. Helmholtz energy,  $A = -\ln q/\beta$ , decreasing function of T
- 6. Entropy
- 7. Distinguishable vs.\ indistinguishable particles
  - (a) Distinguishable (e.g., in a lattice):  $Q(N, V, T) = q(V, T)^N$
  - (b) Indistinguishable (e.g., a gas):  $Q(N, V, T) \approx q(V, T)^N / N!$
- 8. Thermodynamic functions in canonical ensemble

# 2.3 Ideal gases redux

# 2.3.1 Separability

$$Q_{ig}(N, V, T) = \frac{(q_{\text{trans}}q_{\text{rot}}q_{\text{vib}})^{N}}{N!}$$

# 2.3.2 Particle-in-a-box (translational states of a gas)

- 1. Energy states  $\epsilon_n = n^2 \epsilon_0, n = 1, 2, ..., \epsilon_0$  tiny for macroscopic V
- 2.  $\Theta_{\rm trans} = \epsilon_0/k_B$  translational temperature
- 3.  $\Theta_{\rm trans} \ll T \rightarrow many$  states contribute to  $q_{\rm trans} \rightarrow {\rm integral~approximation}$

$$q_{\rm trans,1D} = \int_0^\infty e^{-x^2 \beta \epsilon_0} dx = L/\Lambda$$

$$\left( h^2 \beta \right)^{1/2}$$

$$\Lambda = \left(\frac{h^2 \beta}{2\pi m}\right)^{1/2}$$
 thermal wavelength 
$$q_{\rm trans,3D} = V/\Lambda^3$$

$$q_{\rm trans,3D} = V/\Lambda^3$$

- 4. Internal energy
- 5. Heat capacity
- 6. Equation of state (!)
- 7. Entropy: Sackur-Tetrode equation

#### Rigid rotor (rotational states of a gas) 2.3.3

- 1. energy states and degeneracies
- 2.  $\Theta_{\rm rot} = \hbar^2/2Ik_B$
- 3. "High" T  $q_{\rm rot}(T) \approx \sigma \Theta_{\rm rot}/T$

#### 2.3.4 Harmonic oscillator (vibrational states of a gas)

1.  $\Theta_{\rm vib} = h\nu/k_B$ 

#### Electronic partition functions $\rightarrow$ spin multiplicity 2.3.5

#### 2.3.6 **Solids**

- 1. Equipartition
- 2. Law of Dulong and Petitt
- 3. Einstein crystal and heat capacity
- 4. Debye crysal

Table 5: Statistical Thermodynamics of an Ideal Gas

<u>Translational DOFs</u> 3-D particle in a box model

$$\begin{split} \theta_{\rm trans} &= \frac{\pi^2 \hbar^2}{2mL^2 k_B}, \, \Lambda = h \left(\frac{\beta}{2\pi m}\right)^{1/2} \\ \text{For } T >> \Theta_{\rm trans}, \, \Lambda << L, \, q_{\rm trans} = V/\Lambda^3 \text{ (essentially always true)} \\ U_{\rm trans} &= \frac{3}{2}RT \quad C_{\rm v,trans} = \frac{3}{2}R \quad S_{\rm trans}^{\circ} = R \ln \left(\frac{e^{5/2}V^{\circ}}{N^{\circ}\Lambda^3}\right) = R \ln \left(\frac{e^{5/2}k_BT}{P^{\circ}\Lambda^3}\right) \end{split}$$

# Rotational DOFs Rigid rotor model

Linear molecule  $\theta_{\rm rot} = hcB/k_B$ 

$$q_{\rm rot} = \frac{1}{\sigma} \sum_{l=0}^{\infty} (2l+1)e^{-l(l+1)\theta_{\rm rot}/T}, \approx \frac{1}{\sigma} \frac{T}{\theta_{\rm rot}}, \quad T >> \theta_{\rm rot} \quad \sigma = \begin{cases} 1, & \text{unsymmetric} \\ 2, & \text{symmetric} \end{cases}$$

$$U_{\rm rot} = RT \quad C_{\rm v,rot} = R \quad S_{\rm rot}^{\circ} = R(1 - \ln(\sigma\theta_{\rm rot}/T))$$

Non-linear molecule  $\theta_{\text{rot},\alpha} = hcB_{\alpha}/k_B$ 

$$q_{\mathrm{rot}} \approx \frac{1}{\sigma} \left( \frac{\pi T^3}{\theta_{\mathrm{rot},\alpha} \theta_{\mathrm{rot},\beta} \theta_{\mathrm{rot},\gamma}} \right)^{1/2}, \quad T >> \theta_{\mathrm{rot},\alpha,\beta,\gamma} \quad \sigma = \text{rotational symmetry number}$$

$$U_{\rm rot} = \frac{3}{2}RT \quad C_{\rm v,rot} = \frac{3}{2}R \quad S_{\rm rot}^{\circ} = \frac{R}{2}\left(3 - \ln\frac{\sigma\theta_{\rm rot,\alpha}\theta_{\rm rot,\beta}\theta_{\rm rot,\gamma}}{\pi T^3}\right)$$

# Vibrational DOFs Harmonic oscillator model

Single harmonic mode  $\theta_{\rm vib} = h\nu/k_B$ 

$$q_{\mathrm{vib}} = \frac{1}{1 - e^{-\theta_{\mathrm{vib}}/T}} \approx \frac{T}{\theta_{\mathrm{vib}}}, \quad T >> \theta_{\mathrm{vib}}$$

$$U_{\text{vib}} = C_{\text{v,vib}} = S_{\text{vib},i}^{\circ} = R \frac{\theta_{\text{vib}}}{e^{\theta_{\text{vib}}/T} - 1} R \left( \frac{\theta_{\text{vib}}}{T} \frac{e^{\theta_{\text{vib}}/2T}}{e^{\theta_{\text{vib}}/T} - 1} \right)^{2} R \left( \frac{\theta_{\text{vib}}/T}{e^{\theta_{\text{vib}}/T} - 1} - \ln(1 - e^{-\theta_{\text{vib}}/T}) \right)$$

Multiple harmonic modes  $\theta_{\text{vib},i} = h\nu_i/k_B$ 

$$q_{\text{vib}} = \prod_{i} \frac{1}{1 - e^{-\theta_{\text{vib},i}/T}}$$

$$U_{\text{vib}} = C_{\text{v,vib}} = S_{\text{vib},i}^{\circ} = R \sum_{i} \frac{\theta_{\text{vib},i}}{e^{\theta_{\text{vib},i}/T} - 1} R \sum_{i} \left( \frac{\theta_{\text{vib},i}}{T} \frac{e^{\theta_{\text{vib},i}/2T}}{e^{\theta_{\text{vib},i}/T} - 1} \right)^{2} R \left( \frac{\theta_{\text{vib},i}/T}{e^{\theta_{\text{vib},i}/T} - 1} - \ln(1 - e^{-\theta_{\text{vib},i}/T}) \right)$$

Electronic DOFs  $q_{\text{elec}} = \text{spin multiplicity}$ 

DOF Characteristic Characteristic #states at Internal  $\approx 300 \text{ K}$ energy temperature energy Translational  $\epsilon_{\rm trans} = \frac{\hbar^2}{2mL^2} \approx 10^{-21} {\rm cm}^{-1}$   $\theta_{\rm trans} \approx 10^{-21} {\rm K}$  $\approx 10^{30}$  $U = \frac{3}{2}RT$  $\theta_{\rm rot} \approx 1~{
m K}$  $\epsilon_{\rm rot} \approx 1~{\rm cm}^{-1}$  $\approx \# \mathrm{DOF} \cdot RT$ Rotational  $\approx 100s$  $\epsilon_{\rm vib} \approx 1000~{\rm cm}^{-1}$  $\theta_{\rm vib} \approx 1000~{\rm K}$ Vibrational  $\approx 1$ non-classical,  $0 \to RT$ 

 Table 6: Contributions of Molecular Degrees of Freedom to Gas Thermodynamics

$$Q = \left(q_{\text{trans}}q_{\text{rot}}q_{\text{vib}}q_{\text{elec}}\right)^{N}/N!$$

 $\approx 1$ 

$$U = U_{\text{trans}} + U_{\text{rot}} + U_{\text{vib}} + U_{\text{elec}}, \dots$$

 $\epsilon_{\rm elec} \approx 10000~{\rm cm}^{-1}$   $\theta_{\rm elec} \approx 10000~{\rm K}$ 

# 2.3.7 Other ensembles

Electronic

- 1. Isothermal/isobaric
  - (a)  $\Delta(T, P, N) = \sum_{i} e^{-U_{i}\beta} e^{-PV_{i}\beta}$
  - (b)  $G(T, P, N) = -k_B T \ln \Delta(T, P, N)$
- 2. Grand canonical
  - (a)  $\Xi(T, V, \mu) = \sum_{i} e^{-U_{i}\beta} e^{-PV_{i}\beta} e^{\mu N_{i}\beta}$
  - (b)  $\Psi(T, V, \mu) = -k_B T \ln \Xi(T, V, \mu)$
  - (c) Langmuir isotherm example

# 3 Thermodynamics of Stuff

# 3.1 Theory of non-ideal fluids

## 3.1.1 Non-ideality

- 1. Real molecules interact through vdW interactions
  - (a) dipole-dipole, dipole-induced dipole, induced dipole-induced dipole (London dispersion)
  - (b) scale with dipole moments  $(\vec{\mu})$  and polarizability volumes  $(\alpha)$  of molecules
  - (c)  $U(r) \approx -c/r^6$
- 2. Particle-in-a-box model breaks down, have to work harder but can still get at same ideas
- 3. Configurational integral  $Q_{\text{config}} = \int \dots \int e^{-U(r)\beta} dr_1 \dots dr_n$

# 3.1.2 van der Waals gas

- 1. Hard sphere  $+1/r^6$  potential + mean-field approximation (g(r)=1)
- 2.  $Q_{\text{config}} = ((V Nb) \exp(-(\phi/2)\beta))^N \to \text{vdW EOS}$
- 3. See Hill, J. Chem. Ed. 1948, 25, 347, http://dx.doi.org/10.1021/ed025p347
- 4. Free energy has two competing contributions
- 5.  $f_{\text{vdW}} = -k_B T \ln\{(v-b)(k_B T)^{3/2}\} a/v k_B T$
- 6.  $P_{\text{vdW}} = RT/(v b) a/v^2$

# 3.1.3 Radial distribution functions, g(r), for gases, liquids, solids

# 3.1.4 Virial expansion

- 1. Configurational integral can be expanded in powers of 1/v times "virial" coefficients  $B_i(T)$
- 2.  $f(T,P) = f_{ig}(T,P) RT\{B_2(T)/v + B_3(T)/v^2 + \ldots\}$
- 3.  $Z = 1 + B_2(T)/v + B_3(T)/v^2 + \dots$
- 4. "Second" virial coefficient  $B_2(T)$  limiting low density "departure" of volume from that of an ideal gas,  $v_{\rm res}(T,v)=v-v_{\rm ig}=(RT/P)(Z-1)$

$$B_2(T) = \lim_{v \to \infty} v_{\text{res}},$$

- Virial coefficients integrate "clusters" of intermolecular interactions, 2-body, 3-body, ...
- 5.  $B_2(T) = -2\pi N_A \int_0^\infty \{e^{-u(r)/k_B T} 1\} r^2 dr$
- 6.  $B_2^{\text{vdW}}(T) = b a/RT$
- 7. Lennard-Jones potential

## 3.1.5 Modern approach is to use numerical methods

- 1. "forcefield" to represent intra- and intermolecular properties
- 2. molecular dynamics or Monte Carlo to sample interactions
- 3. Fluid property challenge at AIChE

# 3.2 Engineering representations of fluids

# 3.2.1 How to represent properties of real fluids?

- 1. Mechanical equations of state (empirical)
- 2. Thermodynamic tabulations (JANAF, steam tables)
- 3. Theoretical models (virial expansion)
- 4. Computer simulations (molecular interactions)

# 3.2.2 Thermodynamic integrations

- 1. Integrate susceptibilities
- 2. Integrate PvT relationship plus  $C_p^{ig}(T)$

# 3.2.3 Compressibility

- 1. Fluids deviate from ideality because they have finite size and interact over distances, origin of two-parameter EOS
- 2. Compressibility  $Z(T,P) = P/P^{ig} = Pv/RT$  measures deviation from ideality
- 3. Critical compressibility  $Z_c \approx 0.27$  for all "normal" fluids
- 4. Reduced variable  $T_R = T/T_c, P_R = P/P_c, v_R = v/v_c$
- 5. "Law" of corresponding states—all "normal" fluids have the same PvT behavior in reduced variables
- 6. Allows fluids to be described on generalized compressibility chart

# 3.2.4 Cubic mechanical equations of state

- 1. van der Waals,  $Z_c = 0.375$
- 2. Redlich-Kwong,  $Z_c = 0.333$
- 3. Peng-Robinson,  $Z_c = 0.307$
- 4. Empirically parameterized, all obey law of corresponding states, none perfect

# 3.2.5 Departure functions measure thermodynamic potential difference between real state and hypothetical "ideal gas" state

- 1.  $\Delta$ whatever =  $\Delta$  ideal +  $\Delta$  departure
- 2. From generalized compressibility or accurate EOS integration
- 3. Entropy departure  $s(T,P) s^{ig}(T,P) = R \ln Z(T,P) + \int_{\infty}^{v(T,P)} \left[ \left( \frac{\partial P}{\partial T} \right)_v \frac{R}{v} \right] dv$
- 4. Enthalpy departure  $h(T,P) h^{ig}(T,P) = RT(Z-1) + \int_{\infty}^{v(T,P)} \left[ T\left(\frac{\partial P}{\partial T}\right)_v P \right] dv$

# 3.2.6 Fugacity measures departure of free energy ideality

1. Define  $\mu(T, P) = \mu^{\circ}(T) + RT \ln f(T, P) / f^{\circ}(T)$ 

$$\lim_{P\to 0}\mu=-\infty \quad \lim_{P\to 0}f=P^{\mathrm{ig}}=0$$

$$\ln f/P = \frac{RT}{P} \int_{0}^{P} (Z-1)dP$$

2.  $\mu^{\alpha} = \mu^{\beta}$  implies  $f^{\alpha} = f^{\beta}$ .

3. Fugacity coefficient  $\gamma(T, P) = f(T, P)/P$ 

$$\ln \gamma = \int_{0}^{P} \frac{Z - 1}{P} dP$$

# 3.3 Single-phase mixtures

# 3.3.1 Ideal gas mixtures

- 1. Statistical mechanical approach
- 2. Properties of ideal mixture

$$\Delta u_{\text{mix}} = \Delta v_{\text{mix}} = 0$$
  $\Delta s_{\text{mix}} = -k_B \sum_{i} y_i \ln y_i$ 

- 3. Partial pressure  $P_i = y_i P$
- 4. Chemical potential  $\mu_i(y_i,T) = \mu_i^{\circ}(T) + k_B T \ln{(y_i P/P^{\circ})}$
- 5. Work of separation and Gibbs paradox

# 3.3.2 Non-ideal gas mixtures

- 1. Inconvenient that  $\lim_{y_i\to 0} \mu_i(y_i, T, P) = -\infty$
- 2. Construct fugacity to obey same equilibrium conditions as chemical potential but to tend to  $y_iP$  in infinite dilution

$$\bar{f}_i(y_i, T, P) = P^{\circ} \exp \left[ (\mu_i(y_i, T, P) - \mu_i^{\circ}(T)) / k_B T \right]$$

- 3. Chemical potential  $\mu_i(y_i, T, P) = \mu_i^{\circ}(T) + k_B T \ln(/P^{\circ})$
- 4. Fugacity coefficient  $\phi_i(y_{i,T,P}) = \bar{f}_i(y_i, T, P)/(y_i P)$
- 5. Fugacity can be computed from a mixture-explicit EOS

$$RT \ln \left(\bar{f}_i/y_i P\right) = \int_0^P \left(\bar{v}_i - \frac{RT}{P}\right) dP = \frac{1}{RT} \left(\frac{\partial (F - F^{ig})}{\partial N_i}\right)_{T, V, N_{i \neq i}} - \ln Z$$

- 6. Virial mixture equation, vdW equation, ...
- 7. Lorentz-Berthelot mixing

$$b = \sum_{i} b_{i}$$
  $a = \sum_{i} \sum_{j} y_{i} y_{j} a_{ij}$   $a_{ij} = \sqrt{a_{i} a_{j}}$ 

8. Lewis (ideal) fugacity rule  $\bar{f}_i \approx y_i f_i$  generalization of ideal gas

# 3.3.3 Ideal liquid mixtures

1. Lattice model with random distribution of molecules

$$\Delta u_{\text{mix}} = \Delta v_{\text{mix}} = 0 \qquad \Delta s_{\text{mix}} = -k_B \sum_{i} x_i \ln x_i$$
$$\mu_i(x_i, T) = \mu_i^{\circ}(T) + k_B T \ln (x_i)$$

2. True for liquids of comparable molecular dimensions and interactions

# 3.3.4 Partial molar quantities

1. For any extensive quantity or susceptibility, J:

$$\bar{J}_i = \left(\frac{\partial J}{\partial N_i}\right)_{N_{j \neq i}} \qquad J = \sum_i \bar{J}_i N_i \qquad j = \sum_i \bar{J}_i x_i$$

$$\Delta j_{\text{mix}} = \sum_i \bar{J}_i x_i - \sum_i j_i x_i$$

2. Gibbs-Duhem says partial molar properties are not independent:  $\sum_i N_i d\bar{J}_i = 0$ 

# 3.3.5 Non-ideal liquid mixtures

- 1. Bragg-Williams/mean field approximation
- 2. Differential exchange parameter  $\chi_{AB}$

$$\chi_{12} = \frac{z}{k_B T} \left( u_{12} - \frac{u_{11} + u_{22}}{2} \right)$$

3. Hildebrand regular solution and excess mixing quantities

$$\Delta g_{xs}/k_B T = \chi_{12} x_1 (1 - x_2)$$
$$\mu_1(T, x_1) = \mu_1^{\text{ideal}}(T, x_1) + k_B T \chi_{12} (1 - x_1)^2$$

- 4. Activity and activity coefficient
  - (a) Solvent convention
  - (b) Solute convention
- 5. Liquid-liquid phase diagrams
  - (a) Phase separation, critical point
- 6. Freezing point depression/boiling point elevation

## 3.3.6 Ionic mixtures

1. Debye-Huckel

# 3.4 Gas-liquid equilibria

# 3.4.1 Ideal-ideal liquid-vapor mixtures

- 1. Equal chemical potentials in each phase
- 2. Equilibrium cycle (relate to single component phase equilibrium): start from single component l-v equilibrium, compress each component to desired pressure, then mix.
- 3. Gas compression important, liquid compression (Poynting correction) less so.
- 4. Ideal vapor-liquid equilibrium  $\rightarrow$  Raoult's Law:

$$\mu_i^{\rm gas} = \mu_i^{\rm liq} \to y_i P = x_i P_i^*$$

- 5. Pressure-composition diagram
- 6. Temperature-composition diagram

# 3.4.2 Non-ideal liquid/ideal vapor

1. Regular solution

$$\chi_{12} \left\{ \begin{array}{ll} > 0 & \text{positive deviation from Raoult's Law,} \\ = 0 & \text{Raoult's Law,} \\ < 0 & \text{negative deviation from Raoult's Law} \end{array} \right.$$

- 2. Temperature-composition diagrams
  - (a) Liquid-vapor
  - (b) Eutectics, ...
- 3. Henry's Law (dilute) limit
- 4. Gibbs-Duhem consequences

# 4 Thermodynamics of Change

# 4.1 Chemical thermodynamics and equilibria

- 1. Chemical reactions
- 2. Thermodynamic potential differences
  - (a) Standard states
  - (b) Reaction entropy  $\Delta S^{\circ}(T) = S_{\rm B}^{\circ}(T) S_{\rm A}^{\circ}(T)$
  - (c) Reaction energy  $\Delta U^{\circ}(T) = U_{\rm B}^{\circ}(T) U_{\rm A}^{\circ}(T) + \Delta E(0)$
  - (d) Gibbs-Helmholtz
- 3. Equilibrium-closed system
  - (a) Equilibrium constants and algebraic solutions

**Table 7:** Equilibrium and Rate Constants

Equilibrium Constants  $a A + b B \rightleftharpoons c C + d D$ 

$$K_{eq}(T) = e^{\Delta S^{\circ}(T,V)/k_{B}} e^{-\Delta H^{\circ}(T,V)/k_{B}T}$$

$$K_{c}(T) = \left(\frac{1}{c^{\circ}}\right)^{\nu_{c}+\nu_{d}-\nu_{a}-\nu_{b}} \frac{(q_{c}/V)^{\nu_{c}}(q_{d}/V)^{\nu_{d}}}{(q_{a}/V)^{\nu_{a}}(q_{b}/V)^{\nu_{b}}} e^{-\Delta E(0)\beta}$$

$$K_{p}(T) = \left(\frac{k_{B}T}{P^{\circ}}\right)^{\nu_{c}+\nu_{d}-\nu_{a}-\nu_{b}} \frac{(q_{c}/V)^{\nu_{c}}(q_{d}/V)^{\nu_{d}}}{(q_{a}/V)^{\nu_{a}}(q_{b}/V)^{\nu_{b}}} e^{-\Delta E(0)\beta}$$

Unimolecular Reaction  $[A] \rightleftharpoons [A]^{\ddagger} \rightarrow C$ 

$$k(T) = \nu^{\ddagger} \bar{K}^{\ddagger} = \frac{k_B T}{h} \frac{\bar{q}_{\ddagger}(T)/V}{q_A(T)/V} e^{-\Delta E^{\ddagger}(0)\beta}$$

$$E_a = \Delta H^{\circ \ddagger} + k_B T$$
  $A = e^1 \frac{k_B T}{h} e^{\Delta S^{\circ \ddagger}}$ 

**Bimolecular Reaction**  $A + B \rightleftharpoons [AB]^{\ddagger} \rightarrow C$ 

$$k(T) = \nu^{\ddagger} \bar{K}^{\ddagger} = \frac{k_B T}{h} \frac{q_{\ddagger}(T)/V}{(q_A(T)/V)(q_B(T)/V)} \left(\frac{1}{c^{\circ}}\right)^{-1} e^{-\Delta E^{\ddagger}(0)\beta}$$
$$E_a = \Delta H^{\circ \ddagger} + 2k_B T \quad A = e^2 \frac{k_B T}{h} e^{\Delta S^{\circ \ddagger}}$$

- (b) Free energy minimization
- (c) Parallel reactions
- 4. Equilibrium-open system
  - (a) Reaction phase diagrams
- 5. Partition functions and  $K_{eq}$
- 6. Non-ideal activities
- 7. Electrochemical reactions

# 4.2 Non-equilibrium thermodynamics

Table 8: Physical units

$N_{\mathrm{Av}}$ :	$6.02214 \times 10^{23}$	$\mathrm{mol}^{-1}$		
1 amu:	$1.6605 \times 10^{-27}$	kg		
$k_{\mathrm{B}}$ :	$1.38065 \times 10^{-23}$	$\rm J~K^{-1}$	$8.61734 \times 10^{-5}$	${ m eV~K^{-1}}$
R:			$8.2057 \times 10^{-2}$	$\rm l~atm~mol^{-1}~K^{-1}$
$\sigma_{\mathrm{SB}}$ :	$5.6704 \times 10^{-8}$	${ m J}~{ m s}^{-1}~{ m m}^{-2}~{ m K}^{-4}$		
c:	$2.99792458 \times 10^{8}$	$\mathrm{m}\ \mathrm{s}^{-1}$		
h:	$6.62607 \times 10^{-34}$	J s	$4.13566 \times 10^{-15}$	eV s
<i>ħ</i> :	$1.05457 \times 10^{-34}$	J s	$6.58212 \times 10^{-16}$	eV s
hc:	1239.8	eV nm		
<i>e</i> :	$1.60218 \times 10^{-19}$	$\mathbf{C}$		
$m_e$ :	$9.10938215 \times 10^{-31}$	kg	0.5109989	${ m MeV}~{ m c}^{-2}$
$\epsilon_0$ :	$8.85419 \times 10^{-12}$		$5.52635 \times 10^{-3}$	$e^2 \text{ Å}^{-1} \text{ eV}^{-1}$
$e^2/4\pi\epsilon_0$ :	$2.30708 \times 10^{-28}$	J m	14.39964	
$a_0$ :	$0.529177 \times 10^{-10}$	m	0.529177	Å
$E_{\mathrm{H}}$ :	1	На	27.212	$\mathrm{eV}$