1 The Basic Structure of Thermodynamics

1.1 Problems and Postulates

- 1. Many DOFs \rightarrow few thermodynamic variables
- 2. Extensive variables: V, N, U
- 3. Three overarching questions:
 - (a) Characterize thermodynamic equilibrium state of a system
 - (b) Determine differences in thermodynamic quantities between two states, and corresponding effect on environment
 - (c) Determine equilibrium state when a constraint is removed
 - (d) Bonus problem: Relate macroscopic to microscopic behavior
- 4. Conservation of energy
- 5. Postulate 1: Equilibrium states exist
 - (a) Examples
- 6. Definitions
 - (a) System and environment
 - (b) Walls permeable and impermeable; adiabatic and diathermal
 - (c) Processes Spontaneous, quasi-static, reversible
- 7. Work and heat inexact differentials, path dependent
- 8. Internal energy dU = dq + dW
- 9. Mechanical measurement of energy, work $W = -\int P_{\text{ext}} dV$
- 10. **Postulate 2**: A state function entropy S exists and is maximized at equilibrium
 - (a) The "fundamental" equation
- 11. **Postulate 3**: S is a nice, extensive, homogeneous function, monotonically increasing in U, $\partial S/\partial U \geq 0$
- 12. **Postulate 4**: Entropy vanishes at T=0, third law of thermodynamics
- 13. Impossible, reversible, quasi-static, and real processes

1.2 Interlude: Multivariate calculus

- 1. Partial derivatives
- 2. State functions and exact differentials: $df = \left(\frac{\partial f}{\partial x}\right)_y dx + \left(\frac{\partial f}{\partial y}\right)_x dy$
- 3. Fun with partial differentials

$$\left(\frac{\partial f}{\partial x}\right)_y \left(\frac{\partial x}{\partial y}\right)_f \left(\frac{\partial y}{\partial f}\right)_x = -1 \qquad \left(\frac{\partial f}{\partial x}\right)_y = \left(\frac{\partial x}{\partial f}\right)_y^{-1} \qquad \left(\frac{\partial f}{\partial x}\right)_y = \left(\frac{\partial f}{\partial t}\right)_y / \left(\frac{\partial x}{\partial t}\right)_y = \left(\frac{\partial x}{\partial t}\right)_y / \left(\frac{\partial x}{\partial t}\right)_y + \left(\frac{\partial x}{\partial t}\right)_y$$

- 4. Homogeneous functions of order n: $f(\lambda x, \lambda y) = \lambda^n f(x, y)$
 - (a) Thermodynamic state functions (extensive quantities) are homogeneous order 1
 - (b) First derivatives (intensive quantities) are homogeneous order 0
- 5. Euler relation: $= \left(\frac{\partial f}{\partial x}\right)_y x + \left(\frac{\partial f}{\partial y}\right)_x y$

1.3 Calculus of energy, entropy, and equilibrium

- 1. U = U(S, V, N) state function (Table~1)
 - (a) Molar state function u(s, v) = U(S/N, V/N, 1)/N
- 2. Partial derivatives of U: intensive variables temperature, pressure, and chemical potential
- 3. Equations of state relate intensive variables
- 4. Euler relation
- 5. Entropy representation S = S(U, V, N), s = s(u, v)
- 6. Thermal, mechanical, chemical equilibrium determined by equality of T, P, μ_i
- 7. Gibbs-Duhem relationship between intensive variables

(a)
$$SdT - VdP + \sum_{i} N_i d\mu_i = 0$$

1.4 Ideal and van der Waals gases

- 1. Ideal gas EOS Pv = RT, u = cRT
 - (a) Fundamental equation $s(u,v) = cR \ln(u/u_0) + R \ln(v/v_0) + s_0(u_0,v_0)$
- 2. Generalized ideal gas
 - (a) Pv = RT and $u(T) = u_0 + \int_{T_0}^T c_v^*(T) dT$
 - (b) $\kappa_{\rm T} = 1/P$, $\alpha = 1/T$, $c_p^* = c_v^* + R$
- 3. Isothermal process ($\Delta T = 0$)
 - (a) Ideal gas: $\Delta u = 0$, $\Delta s = R \ln(v/v_0)$, $q_{\rm QS} = RT \ln(v/v_0) = -w_{\rm QS}$
- 4. Adiabatic ($\Delta s = 0$) processes
 - (a) Ideal gas adiabats ($\gamma=(c+1)/c$): $Pv^{\gamma}=c,\,Tv^{\gamma-1}=c',\,P^{(1-\gamma)/\gamma}T=c''$
 - (b) $w_{QS} = RT_0 \left[1 (v/v_0)^{1-\gamma} \right] / (1-\gamma), q_{QS} = 0$
- 5. Free expansion: heat but no light!
- 6. van der Waals gas
 - (a) $P_{\text{vdW}} = RT/(v-b) a/v^2$ and u = cRT a/v

Table 1: Thermodynamic Potentials

$$U = U(S, V, N) \qquad dU = \left(\frac{\partial U}{\partial S}\right)_{V,N} dS + \left(\frac{\partial U}{\partial V}\right)_{S,N} dV + \sum \left(\frac{\partial U}{\partial N_i}\right)_{S,V} dN_i$$

$$dU = TdS - PdV + \sum \mu_i dN_i$$

$$U = TS - PV + \sum \mu N$$

$$S = S(U, V, N) \qquad dS = \left(\frac{\partial S}{\partial U}\right)_{V,N} dU + \left(\frac{\partial S}{\partial V}\right)_{U,N} dV + \sum \left(\frac{\partial S}{\partial N_i}\right)_{U,V} dN_i$$

$$dS = \frac{1}{T}dU + \frac{P}{T}dV - \sum \frac{\mu_i}{T}dN_i$$

$$S = U/T + PV/T + \sum \mu_i N_i/T$$

$$H = H(S, P, N) \qquad H = U + PV$$

$$dH = \left(\frac{\partial H}{\partial S}\right)_{P,N} dS + \left(\frac{\partial H}{\partial P}\right)_{S,N} dP + \sum \left(\frac{\partial H}{\partial N_i}\right)_{S,P} dN_i$$

$$dH = TdS + VdP + \sum \mu_i dN_i$$

$$F = F(T, V, N) \qquad F = U - TS$$

$$dF = \left(\frac{\partial F}{\partial T}\right)_{V,N} dT + \left(\frac{\partial F}{\partial V}\right)_{T,N} dV + \sum \left(\frac{\partial F}{\partial N_i}\right)_{T,V} dN_i$$

$$dF = -SdT - PdV + \sum \mu_i dN_i$$

$$F = PV + \sum \mu_i N_i$$

$$G = G(T, P, N) \qquad G = U - TS + PV$$

$$dG = \left(\frac{\partial G}{\partial T}\right)_{P,N} dT + \left(\frac{\partial G}{\partial P}\right)_{T,N} dP + \sum \left(\frac{\partial G}{\partial N_i}\right)_{T,P} dN_i$$

$$dG = -SdT + VdP + \sum \mu_i dN_i$$

$$G = \sum \mu_i N_i$$

- (b) Fundamental equation $s(u, v) = cR \ln((u + a/v)/(u_0 + a/v_0)) + R \ln((v b)/(v_0 b)) + s_0(u_0, v_0)$
- (c) Simplest "cubic" EOS that gives qualitatively correct fluid properties
 - i. Coexistent of two phases
 - ii. Critical point (T_c, P_c, v_c) where two phases coalesce into one

1.5 Work and efficiency

- 1. Maximum work theorem: maximum work is delivered by a process that overall produces zero entropy
 - (a) $dU_{\text{sys}} + dq_{\text{rhs}} + dw_{\text{rws}} = 0$, $dS_{\text{sys}} + dS_{\text{rhs}} = dS_{\text{sys}} + dq_{\text{rhs}}/T_{\text{rhs}} = 0$
 - (b) Tells us what is possible, not how to achieve it!
- 2. Examples: expansion with a low T reservoir, separation, cooling water
- 3. Thermodynamic engines operate cyclically to convert heat to work or use work to move heat
- 4. Carnot efficiency and Carnot cycle, $\eta = 1 T_c/T_h$

1.6 Other thermodynamic potentials

- 1. Energy minimum principle minimum at constant entropy
- 2. Legendre transforms
 - (a) $Y = Y(X) \rightarrow \psi(P) = Y(P) PX(P)$ $P = \partial Y/\partial X$
 - (b) $P, \psi(P)$ give intercept and slope of tangents of Y
- 3. Enthalpy H(S, P, N) = U + PV
 - (a) Minimized at constant S, P, and N
 - (b) Heat flow when only PV work done
- 4. Helmholtz A(T, V, N) = U TS
 - (a) Minimized at constant T, V, and N
 - (b) Maximum useful work from a process at temperature T
- 5. Gibbs G(T, P, N) = U + PV TS
 - (a) Minimized at constant T, P, and N
 - (b) Most useful for chemical problems
 - (c) Gibbs-Helmholtz $\left(\frac{\partial (G/T)}{\partial T}\right)_{PN} = -\frac{H}{T^2}$
- 6. Alles potential
 - (a) Gibbs-Duhem redux
- 7. Maxwell relations, see Table 2.

Table 2: Useful Maxwell Relationships

Enthalpy Helmholtz Gibbs
$$\left(\frac{\partial T}{\partial P} \right)_S = \left(\frac{\partial V}{\partial S} \right)_P \quad \left(\frac{\partial S}{\partial V} \right)_T = \left(\frac{\partial P}{\partial T} \right)_S \quad \left(\frac{\partial S}{\partial P} \right)_T = - \left(\frac{\partial V}{\partial T} \right)_P$$

Table 3: Susceptibilities

Coefficient of thermal expansion	α	$\frac{1}{v} \left(\frac{\partial v}{\partial T} \right)_P$	$\frac{1}{v} \left(\frac{\partial^2 g}{\partial T \partial P} \right)_N$
Isothermal compressibility	κ_T	_	$-\frac{1}{v} \left(\frac{\partial^2 g}{\partial P^2} \right)_{T,N}$
Constant pressure heat capacity	C_p	$T\left(\frac{\partial s}{\partial T}\right)_P$	$-T\left(\frac{\partial^2 g}{\partial T^2}\right)_{P.N}$
Constant volume heat capacity	C_v	$T\left(\frac{\partial s}{\partial T}\right)_v$	2,21

- 8. Three unique susceptibilities of a one-component material (Table 3)
 - (a) All thermodynamic properties can be described in terms of the susceptibilities
 - (b) Integrating susceptibilities
 - (c) Heat capacity and departure functions
- 9. Using thermodynamic relations
 - (a) Joule-Thompson effect

1.7 Stability and phase equilibria

- 1. Local stability condition
 - (a) (Free) energy minimized dU = 0 $d^2U \ge 0$
 - (b) Entropy maximized dS = 0 $d^2S \le 0$
 - (c) Implies $c_p \ge c_v \ge 0$, $\kappa_T \ge \kappa_s \ge 0$
 - (d) Microscopic fluctuations and Le'Chatlier's principle
- 2. Global stability conditions
 - (a) Common tangents and convex hull
 - (b) Lever rule
 - (c) Phase separation—two phases have lower free energy than one. Balance of energetic attractions and entropic "repulsion"
 - (d) Critical points ($d^3u = 0$) attraction and repulsion exactly in balance
 - (e) Stable, metastable (spinodal), and unstable regions

- i. Extensive quantities discontinuous between phases ("latent" quantities)
- ii. Intensive quantities equal between phases
- iii. Susceptibilities discontinuous between phases
- 3. Gibbs-Duhem integrations
- 4. Equal area construction, $d\mu = vdP$ along an isotherm
- 5. $d\mu = -sdT$, chemical potential of each phase decreases with T
- 6. Phase diagrams—lines of equal chemical potential, $\mu(l) = \mu(v)$
- 7. Clausius equation
 - (a) Along coexistence line $dP/dT = \Delta s/\Delta v = \Delta h/T\Delta v$ in general
 - (b) Clausius-Clapeyron for ideal vapor $d \ln P/d(1/T) = -\Delta h/R$
- 8. Gibb's phase rule and triple point
 - (a) $DOF = c \pi R + 2$

2 The Microscopic View

2.1 Micro-canonical ensemble

2.1.1 Energy is quantized at microscopic level

- 1. Consequence of quantum mechanics
- 2. electronic, vibrational, rotational, translational
- 3. Need machinary to average QM information over macroscopic systems
- 4. Equal a priori probabilities

2.1.2 Two-state model

- 1. Box of particles, each of which can have energy 0 or ϵ
- 2. Thermodynamic state defined by number of elements N, and number of quanta $q, U = q\epsilon$
- 3. Degeneracy of given N and q given by binomial distribution:

$$\Omega = \frac{N!}{q!(N-q)!}$$

- 4. Allow energy to flow between two such systems
 - (a) Energy of a closed system is conserved (first law!)
 - (b) Degeneracy of total system is always \geq degeneracy of the starting parts!
 - (c) Boltzmann's tombstone, $S = k_B \ln \Omega$
 - (d) Clausius: entropy of the universe seeks a maximum! Second Law...

- 5. Energy flow/thermal equilibrium between two large systems
 - (a) Each subsystem has energy U_i and degeneracy $\Omega_i(U_i)$
 - (b) Bring in thermal contact, $U = U_1 + U_2$, $\Omega = \Omega_1(U_1)\Omega_2(U_2)$
 - (c) If systems are very large, one combination of U_1 , U_2 and Ω will be much more probably than all others
 - (d) What value of U_1 and $U_2 = U U_1$ maximizes Ω ?

$$\left(\frac{\partial \ln \Omega_1}{\partial U_1}\right)_N = \left(\frac{\partial \ln \Omega_2}{\partial U_2}\right)_N$$
$$\left(\frac{\partial S_1}{\partial U_1}\right)_N = \left(\frac{\partial S_2}{\partial U_2}\right)_N$$

1. Thermal equilibrium is determined by equal **temperature!**

$$\frac{1}{T} = \left(\frac{\partial S}{\partial U}\right)_N$$

- (a) When the temperatures of the two subsystems are equal, the entropy of the combined system is maximized!
- (b) (Same arguments lead to requirement that equal pressures (P_i) and equal chemical potentials (μ_i) maximize entropy when volumes or particles are exchanged)

2.1.3 Two-state model in limit of large N

- 1. Large N and Stirling's approximation
- 2. Fundamental thermodynamic equation of two-state system:

$$S(U) = -k_B (x \ln x + (1-x) \ln(1-x))$$
, where $x = q/N = U/N\epsilon$

3. Temperature is derivative of entropy wrt energy yields

$$U(T) = \frac{N\epsilon}{1 + e^{\epsilon/k_B T}}$$

- (a) $T \to 0, U \to 0, S \to 0$, minimum disorder
- (b) $T \to \infty, U \to N\epsilon/2, S \to k_B \ln 2$, maximum disorder
- 4. Differentiate again to get heat capacity

2.2 Canonical ensemble

2.2.1 Partition function

- 1. Where do fundamental equations come from?
- 2. Direct construction of S(U) is generally intractable, so seek simpler approach
- 3. Imagine a system brought into thermal equilibrium with a much larger "reservoir" of constant T, such that the aggregate has a total energy U

4. Degeneracy of a given system microstate j with energy U_j is $\Omega_{res}(U-U_j)$

$$T = \frac{dU_{res}}{k_B d \ln \Omega_{res}}$$
$$\Omega_{res}(U - U_j) \propto e^{-U_j/k_B T}$$

5. Probability for system to be in a microstate with energy U_j given by Boltzmann distribution!

$$P(U_i) \propto e^{-U_j/k_B T} = e^{-U_j \beta}$$

- 6. Partition function "normalizes" distribution, $Q(T) = \sum_{i} e^{-U_{i}\beta}$
- 7. For system of identical (distinguishable) elements with energy states ϵ_i , can factor probability to show

$$P(\epsilon_i) \propto e^{-\epsilon_i/k_B T} = e^{-\epsilon_i \beta}, \quad \beta = 1/k_B T$$

2.2.2**Energy factoring**

- 1. If system is large, how to determine it's energy states U_i ? There would be many, many of
- 2. One simplification is if we can write energy as sum of energies of individual elements (atoms, molecules) of system:

$$U_j = \epsilon_j(1) + \epsilon_j(2) + \dots + \epsilon_j(N) \tag{1}$$

$$Q(N, V, T) = \sum_{j} e^{-U_{j}\beta}$$

$$= \sum_{j} e^{-(\epsilon_{j}(1) + \epsilon_{j}(2) + \dots + \epsilon_{j}(N))\beta}$$

$$(3)$$

$$= \sum_{j} e^{-(\epsilon_{j}(1) + \epsilon_{j}(2) + \dots + \epsilon_{j}(N))\beta}$$
(3)

3. If molecules/elements of system can be distinguished from each other (like atoms in a fixed lattice), expression can be factored:

$$Q(N, V, T) = \left(\sum_{j} e^{-\epsilon_{j}(1)\beta}\right) \cdots \left(\sum_{j} e^{-\epsilon_{j}(N)\beta}\right)$$
(4)

$$= q(1) \cdots q(N) \tag{5}$$

Assuming all the elements are the same: (6)

$$=q^{N} \tag{7}$$

$$q = \sum_{j} e^{-\epsilon_{j}\beta}$$
: molecular partition function (8)

4. If not distinguishable (like molecules in a liquid or gas, or electrons in a solid), problem is difficult, because identical arrangements of energy amongst elements should only be counted once. Approximate solution, good almost all the time:

$$Q(N, V, T) = q^N / N! \tag{9}$$

5. Sidebar: "Correct" factoring depends on whether individual elements are fermions or bosons, leads to funny things like superconductivity and superfluidity.

2.2.3 Two-state system again

- 1. Partition function, $q(T) = 1 + e^{-\epsilon \beta}$
- 2. State probabilities
- 3. Internal energy U(T)

$$U(T) = -N\left(\frac{\partial \ln(1 + e^{-\epsilon\beta})}{\partial \beta}\right) = \frac{N\epsilon e^{-\epsilon\beta}}{1 + e^{-\epsilon\beta}}$$
(10)

- 4. Heat capacity C_v
 - (a) Minimum when change in states with T is small
 - (b) Maximize when chagne in states with T is large
- 5. Helmholtz energy, $A = -\ln q/\beta$, decreasing function of T
- 6. Entropy
- 7. Distinguishable vs.\ indistinguishable particles
 - (a) Distinguishable (e.g., in a lattice): $Q(N, V, T) = q(V, T)^N$
 - (b) Indistinguishable (e.g., a gas): $Q(N, V, T) \approx q(V, T)^N / N!$
- 8. Thermodynamic functions in canonical ensemble

2.3 Ideal gases redux

2.3.1 Separability

$$Q_{ig}(N, V, T) = \frac{(q_{\text{trans}}q_{\text{rot}}q_{\text{vib}})^{N}}{N!}$$

2.3.2 Particle-in-a-box (translational states of a gas)

- 1. Energy states $\epsilon_n = n^2 \epsilon_0, n = 1, 2, ..., \epsilon_0$ tiny for macroscopic V
- 2. $\Theta_{\rm trans} = \epsilon_0/k_B$ translational temperature
- 3. $\Theta_{\rm trans} \ll T \rightarrow many$ states contribute to $q_{\rm trans} \rightarrow {\rm integral~approximation}$

$$q_{\rm trans,1D} = \int_0^\infty e^{-x^2\beta\epsilon_0} dx = L/\Lambda$$

$$\Lambda = \left(\frac{h^2\beta}{2\pi m}\right)^{1/2} \text{ thermal wavelength}$$

$$q_{\rm trans,3D} = V/\Lambda^3$$

- 4. Internal energy
- 5. Heat capacity
- 6. Equation of state (!)
- 7. Entropy: Sackur-Tetrode equation

Table 4: Equations of the Canoncial (NVT) Ensemble

$\beta = 1/k_B T$	Full Ensemble	Distinguishable particles (e.g. atoms in a lattice)	Indistinguishable particles (e.g. molecules in a fluid)
Single particle partition function		$q(V,T) = \sum_{i} e^{-\epsilon_{i}\beta}$	$q(V,T) = \sum_{i} e^{-\epsilon_{i}\beta}$
Full partition function	$Q(N, V, T) = \sum_{j} e^{-U_{j}\beta}$ $\ln Q$	$Q = q(V, T)^N$	$Q = q(V, T)^N / N!$
Log partition function	$\ln Q$	$N\log q$	$N \ln q - \ln N!$ $\approx N(\ln Q - \ln N + 1)$
Helmholtz energy $(A = U - TS)$	$-rac{\ln Q}{eta}$	$-\frac{N\ln q}{\beta}$	$-\frac{N}{\beta} \left(\ln \frac{q}{N} + 1 \right)$
Internal energy (U)	$-\left(\frac{\partial \ln Q}{\partial \beta}\right)_{NV}$	$-N\left(\frac{\partial \ln q}{\partial \beta}\right)_V$	$-N\left(\frac{\partial \ln q}{\partial \beta}\right)_V$
Pressure (P)	$\frac{1}{\beta} \left(\frac{\partial \ln Q}{\partial V} \right)_{N\beta}$	$\frac{N}{\beta} \left(\frac{\partial \ln q}{\partial V} \right)_{\beta}$	$\frac{N}{\beta} \left(\frac{\partial \ln q}{\partial V} \right)_{\beta}$
Entropy (S/k_B)	$\beta U + \ln Q$	$\beta U + N \ln q$	$\beta U + N\left(\ln(q/N) + 1\right)$
Chemical potential (μ)	$-\frac{1}{\beta} \left(\frac{\partial \ln Q}{\partial N} \right)_{VT}$	$-rac{\ln q}{eta}$	$-\frac{\ln(q/N)}{\beta}$

NOTE! All energies are referenced to their values at 0 K. Enthalpy H=U+PV, Gibb's Energy G=A+PV.

2.3.3 Rigid rotor (rotational states of a gas)

- 1. energy states and degeneracies
- 2. $\Theta_{\rm rot} = \hbar^2/2Ik_B$
- 3. "High" T $q_{\rm rot}(T) \approx \sigma \Theta_{\rm rot}/T$

2.3.4 Harmonic oscillator (vibrational states of a gas)

1. $\Theta_{\rm vib} = h\nu/k_B$

2.3.5 Electronic partition functions \rightarrow spin multiplicity

2.3.6 Solids

- 1. Equipartition
- 2. Law of Dulong and Petitt
- 3. Einstein crystal and heat capacity
- 4. Debye crysal

2.3.7 Other ensembles

- 1. Isothermal/isobaric
 - (a) $\Delta(T, P, N) = \sum_{i} e^{-U_{i}\beta} e^{-PV_{i}\beta}$
 - (b) $G(T, P, N) = -k_B T \ln \Delta(T, P, N)$
- 2. Grand canonical
 - (a) $\Xi(T, V, \mu) = \sum_{j} e^{-U_{j}\beta} e^{-PV_{j}\beta} e^{\mu N_{j}\beta}$
 - (b) $\Psi(T, V, \mu) = -k_B T \ln \Xi(T, V, \mu)$
 - (c) Langmuir isotherm example

3 Thermodynamics of Stuff

3.1 Theory of non-ideal fluids

3.1.1 Non-ideality

- 1. Real molecules interact through vdW interactions
 - (a) dipole-dipole, dipole-induced dipole, induced dipole-induced dipole (London dispersion)
 - (b) scale with dipole moments $(\vec{\mu})$ and polarizability volumes (α) of molecules
 - (c) $U(r) \approx -c/r^6$
- 2. Particle-in-a-box model breaks down, have to work harder but can still get at same ideas
- 3. Configurational integral $Q_{\text{config}} = \int \dots \int e^{-U(r)\beta} dr_1 \dots dr_n$

Table 5: Statistical Thermodynamics of an Ideal Gas

Translational DOFs 3-D particle in a box model

$$\begin{split} \theta_{\rm trans} &= \frac{\pi^2 \hbar^2}{2mL^2 k_B}, \, \Lambda = h \left(\frac{\beta}{2\pi m}\right)^{1/2} \\ \text{For } T >> \Theta_{\rm trans}, \, \Lambda << L, \, q_{\rm trans} = V/\Lambda^3 \text{ (essentially always true)} \end{split}$$

$$U_{\rm trans} = \frac{3}{2}RT \quad C_{\rm v,trans} = \frac{3}{2}R \quad S_{\rm trans}^{\circ} = R \ln \left(\frac{e^{5/2}V^{\circ}}{N^{\circ}\Lambda^{3}}\right) = R \ln \left(\frac{e^{5/2}k_{B}T}{P^{\circ}\Lambda^{3}}\right)$$

Rotational DOFs Rigid rotor model

Linear molecule $\theta_{\rm rot} = hcB/k_B$

$$q_{\rm rot} = \frac{1}{\sigma} \sum_{l=0}^{\infty} (2l+1)e^{-l(l+1)\theta_{\rm rot}/T}, \approx \frac{1}{\sigma} \frac{T}{\theta_{\rm rot}}, \quad T >> \theta_{\rm rot} \quad \sigma = \begin{cases} 1, & \text{unsymmetric} \\ 2, & \text{symmetric} \end{cases}$$

$$U_{\text{rot}} = RT$$
 $C_{\text{v,rot}} = R$ $S_{\text{rot}}^{\circ} = R(1 - \ln(\sigma\theta_{\text{rot}}/T))$

Non-linear molecule $\theta_{\text{rot},\alpha} = hcB_{\alpha}/k_B$

$$q_{\mathrm{rot}} \approx \frac{1}{\sigma} \left(\frac{\pi T^3}{\theta_{\mathrm{rot},\alpha} \theta_{\mathrm{rot},\beta} \theta_{\mathrm{rot},\gamma}} \right)^{1/2}, \quad T >> \theta_{\mathrm{rot},\alpha,\beta,\gamma} \quad \sigma = \mathrm{rotational\ symmetry\ number}$$

$$U_{\rm rot} = \frac{3}{2}RT \quad C_{\rm v,rot} = \frac{3}{2}R \quad S_{\rm rot}^{\circ} = \frac{R}{2}\left(3 - \ln\frac{\sigma\theta_{\rm rot,\alpha}\theta_{\rm rot,\beta}\theta_{\rm rot,\gamma}}{\pi T^3}\right)$$

Vibrational DOFs Harmonic oscillator model

Single harmonic mode $\theta_{\rm vib} = h\nu/k_B$

$$q_{\rm vib} = \frac{1}{1 - e^{-\theta_{\rm vib}/T}} \approx \frac{T}{\theta_{\rm vib}}, \quad T >> \theta_{\rm vib}$$

$$U_{\text{vib}} = C_{\text{v,vib}} = S_{\text{vib},i}^{\circ} = R \frac{\theta_{\text{vib}}}{e^{\theta_{\text{vib}}/T} - 1} R \left(\frac{\theta_{\text{vib}}}{T} \frac{e^{\theta_{\text{vib}}/2T}}{e^{\theta_{\text{vib}}/T} - 1} \right)^{2} R \left(\frac{\theta_{\text{vib}}/T}{e^{\theta_{\text{vib}}/T} - 1} - \ln(1 - e^{-\theta_{\text{vib}}/T}) \right)$$

Multiple harmonic modes $\theta_{\text{vib},i} = h\nu_i/k_B$

$$q_{\text{vib}} = \prod_{i} \frac{1}{1 - e^{-\theta_{\text{vib},i}/T}}$$

$$U_{\text{vib}} = C_{\text{v,vib}} = S_{\text{vib},i}^{\circ} = R \sum_{i} \frac{\theta_{\text{vib},i}}{e^{\theta_{\text{vib},i}/T} - 1} R \sum_{i} \left(\frac{\theta_{\text{vib},i}}{T} \frac{e^{\theta_{\text{vib},i}/2T}}{e^{\theta_{\text{vib},i}/T} - 1} \right)^{2} R \left(\frac{\theta_{\text{vib},i}/T}{e^{\theta_{\text{vib},i}/T} - 1} - \ln(1 - e^{-\theta_{\text{vib},i}/T}) \right)$$

Electronic DOFs $q_{\text{elec}} = \text{spin multiplicity}$

Internal DOF Characteristic Characteristic #states at $\approx 300 \text{ K}$ temperature energy energy Translational $\epsilon_{\rm trans} = \frac{\hbar^2}{2mL^2} \approx 10^{-21} {\rm cm}^{-1}$ $\theta_{\rm trans} \approx 10^{-21} {\rm K}$ $\approx 10^{30}$ $U = \frac{3}{2}RT$ $\epsilon_{\rm rot} \approx 1~{\rm cm}^{-1}$ $\theta_{\rm rot} \approx 1 \ {\rm K}$ $\approx \# \mathrm{DOF} \cdot RT$ Rotational $\approx 100s$ $\epsilon_{\rm vib} \approx 1000~{\rm cm}^{-1}$ $\theta_{\rm vib} \approx 1000 \; {\rm K}$ ≈ 1 non-classical, $0 \to RT$ Vibrational $\epsilon_{\rm elec} \approx 10000~{\rm cm}^{-1}$ $\theta_{\rm elec} \approx 10000~{\rm K}$ Electronic ≈ 1 0

 Table 6: Contributions of Molecular Degrees of Freedom to Gas Thermodynamics

$$Q = \left(q_{\text{trans}}q_{\text{rot}}q_{\text{vib}}q_{\text{elec}}\right)^{N}/N!$$

$$U = U_{\text{trans}} + U_{\text{rot}} + U_{\text{vib}} + U_{\text{elec}}, \dots$$

3.1.2 van der Waals gas

- 1. Hard sphere $+1/r^6$ potential + mean-field approximation (g(r)=1)
- 2. $Q_{\text{config}} = ((V Nb) \exp(-(\phi/2)\beta))^N \to \text{vdW EOS}$
- 3. See Hill, J. Chem. Ed. 1948, 25, 347, http://dx.doi.org/10.1021/ed025p347
- 4. Free energy has two competing contributions
- 5. $f_{\text{vdW}} = -k_B T \ln\{(v-b)(k_B T)^{3/2}\} a/v k_B T$
- 6. $P_{\text{vdW}} = RT/(v b) a/v^2$

3.1.3 Radial distribution functions, g(r), for gases, liquids, solids

3.1.4 Virial expansion

- 1. Configurational integral can be expanded in powers of 1/v times "virial" coefficients $B_i(T)$
- 2. $f(T,P) = f_{ig}(T,P) RT\{B_2(T)/v + B_3(T)/v^2 + \ldots\}$
- 3. $Z = 1 + B_2(T)/v + B_3(T)/v^2 + \dots$
- 4. "Second" virial coefficient $B_2(T)$ limiting low density "departure" of volume from that of an ideal gas, $v_{\text{res}}(T, v) = v v_{\text{ig}} = (RT/P)(Z 1)$

$$B_2(T) = \lim_{v \to \infty} v_{\text{res}},$$

- Virial coefficients integrate "clusters" of intermolecular interactions, 2-body, 3-body, ...
- 5. $B_2(T) = -2\pi N_A \int_0^\infty \{e^{-u(r)/k_B T} 1\} r^2 dr$
- 6. $B_2^{\text{vdW}}(T) = b a/RT$
- 7. Lennard-Jones potential

3.1.5 Modern approach is to use numerical methods

- 1. "forcefield" to represent intra- and intermolecular properties
- 2. molecular dynamics or Monte Carlo to sample interactions
- 3. Fluid property challenge at AIChE

3.2 Engineering representations of fluids

3.2.1 How to represent properties of real fluids?

- 1. Mechanical equations of state (empirical)
- 2. Thermodynamic tabulations (JANAF, steam tables)
- 3. Theoretical models (virial expansion)
- 4. Computer simulations (molecular interactions)

3.2.2 Thermodynamic integrations

- 1. Integrate susceptibilities
- 2. Integrate PvT relationship plus $C_p^{ig}(T)$

3.2.3 Compressibility

- 1. Fluids deviate from ideality because they have finite size and interact over distances, origin of two-parameter EOS
- 2. Compressibility $Z(T,P) = P/P^{ig} = Pv/RT$ measures deviation from ideality
- 3. Critical compressibility $Z_c \approx 0.27$ for all "normal" fluids
- 4. Reduced variable $T_R = T/T_c$, $P_R = P/P_c$, $v_R = v/v_c$
- 5. "Law" of corresponding states—all "normal" fluids have the same PvT behavior in reduced variables
- 6. Allows fluids to be described on generalized compressibility chart

3.2.4 Cubic mechanical equations of state

- 1. van der Waals, $Z_c = 0.375$
- 2. Redlich-Kwong, $Z_c = 0.333$
- 3. Peng-Robinson, $Z_c = 0.307$
- 4. Empirically parameterized, all obey law of corresponding states, none perfect

3.2.5 Departure functions measure thermodynamic potential difference between real state and hypothetical "ideal gas" state

- 1. Δ whatever = Δ ideal + Δ departure
- 2. From generalized compressibility or accurate EOS integration
- 3. Entropy departure $s(T,P) s^{ig}(T,P) = R \ln Z(T,P) + \int_{\infty}^{v(T,P)} \left[\left(\frac{\partial P}{\partial T} \right)_{v} \frac{R}{v} \right] dv$
- 4. Enthalpy departure $h(T, P) h^{ig}(T, P) = RT(Z 1) + \int_{\infty}^{v(T, P)} \left[T\left(\frac{\partial P}{\partial T}\right)_{ij} P \right] dv$

3.2.6 Fugacity measures departure of free energy ideality

1. Define $\mu(T, P) = \mu^{\circ}(T) + RT \ln f(T, P) / f^{\circ}(T)$

$$\lim_{P \to 0} \mu = -\infty \quad \lim_{P \to 0} f = P^{ig} = 0$$

$$\ln f/P = \frac{RT}{P} \int_0^P (Z-1)dP$$

- 2. $\mu^{\alpha} = \mu^{\beta}$ implies $f^{\alpha} = f^{\beta}$.
- 3. Fugacity coefficient $\gamma(T, P) = f(T, P)/P$

$$\ln \gamma = \int_0^P \frac{Z - 1}{P} dP$$

3.3 Single-phase mixtures

3.3.1 Ideal gas mixtures

- 1. Statistical mechanical approach
- 2. Properties of ideal mixture

$$\Delta u_{\text{mix}} = \Delta v_{\text{mix}} = 0$$
 $\Delta s_{\text{mix}} = -k_B \sum_{i} y_i \ln y_i$

- 3. Partial pressure $P_i = y_i P$
- 4. Chemical potential $\mu_i(y_i, T) = \mu_i^{\circ}(T) + k_B T \ln (y_i P/P^{\circ})$
- 5. Work of separation and Gibbs paradox

3.3.2 Non-ideal gas mixtures

- 1. Inconvenient that $\lim_{y_i\to 0} \mu_i(y_i, T, P) = -\infty$
- 2. Construct fugacity to obey same equilibrium conditions as chemical potential but to tend to y_iP in infinite dilution

$$\bar{f}_i(y_i, T, P) = P^{\circ} \exp \left[(\mu_i(y_i, T, P) - \mu_i^{\circ}(T)) / k_B T \right]$$

- 3. Chemical potential $\mu_i(y_i, T, P) = \mu_i^{\circ}(T) + k_B T \ln(P)$
- 4. Fugacity coefficient $\phi_i(y_{i,T,P}) = \bar{f}_i(y_i,T,P)/(y_iP)$
- 5. Fugacity can be computed from a mixture-explicit EOS

$$RT \ln \left(\bar{f}_i/y_i P\right) = \int_0^P \left(\bar{v}_i - \frac{RT}{P}\right) dP = \frac{1}{RT} \left(\frac{\partial (F - F^{ig})}{\partial N_i}\right)_{T, V, N_{i \neq i}} - \ln Z$$

- 6. Virial mixture equation, vdW equation, ...
- 7. Lorentz-Berthelot mixing

$$b = \sum_{i} b_{i}$$
 $a = \sum_{i} \sum_{j} y_{i} y_{j} a_{ij}$ $a_{ij} = \sqrt{a_{i} a_{j}}$

8. Lewis (ideal) fugacity rule $\bar{f}_i \approx y_i f_i$ generalization of ideal gas

3.3.3 Ideal liquid mixtures

1. Lattice model with random distribution of molecules

$$\Delta u_{\text{mix}} = \Delta v_{\text{mix}} = 0 \qquad \Delta s_{\text{mix}} = -k_B \sum_{i} x_i \ln x_i$$
$$\mu_i(x_i, T) = \mu_i^{\circ}(T) + k_B T \ln (x_i)$$

2. True for liquids of comparable molecular dimensions and interactions

3.3.4 Partial molar quantities

1. For any extensive quantity or susceptibility, J:

$$\bar{J}_i = \left(\frac{\partial J}{\partial N_i}\right)_{N_{j\neq i}} \qquad J = \sum_i \bar{J}_i N_i \qquad j = \sum_i \bar{J}_i x_i$$

$$\Delta j_{\text{mix}} = \sum_i \bar{J}_i x_i - \sum_i j_i x_i$$

2. Gibbs-Duhem says partial molar properties are not independent: $\sum_i N_i d\bar{J}_i = 0$

3.3.5 Non-ideal liquid mixtures

- 1. Bragg-Williams/mean field approximation
- 2. Differential exchange parameter χ_{AB}

$$\chi_{12} = \frac{z}{k_B T} \left(u_{12} - \frac{u_{11} + u_{22}}{2} \right)$$

3. Hildebrand regular solution and excess mixing quantities

$$\Delta g_{xs}/k_B T = \chi_{12} x_1 (1 - x_2)$$

$$\mu_1(T, x_1) = \mu_1^{\text{ideal}}(T, x_1) + k_B T \chi_{12} (1 - x_1)^2$$

- 4. Activity and activity coefficient
 - (a) Solvent convention
 - (b) Solute convention
- 5. Liquid-liquid phase diagrams
 - (a) Phase separation, critical point
- 6. Freezing point depression/boiling point elevation

3.3.6 Ionic mixtures

1. Debye-Huckel

3.4 Gas-liquid equilibria

3.4.1 Ideal-ideal liquid-vapor mixtures

- 1. Equal chemical potentials in each phase
- 2. Equilibrium cycle (relate to single component phase equilibrium): start from single component l-v equilibrium, compress each component to desired pressure, then mix.
- 3. Gas compression important, liquid compression (Poynting correction) less so.
- 4. Ideal vapor-liquid equilibrium \rightarrow Raoult's Law:

$$\mu_i^{\rm gas} = \mu_i^{\rm liq} \to y_i P = x_i P_i^*$$

- 5. Pressure-composition diagram
- 6. Temperature-composition diagram

3.4.2 Non-ideal liquid/ideal vapor

1. Regular solution

$$\chi_{12} \left\{ \begin{array}{ll} > 0 & \text{positive deviation from Raoult's Law,} \\ = 0 & \text{Raoult's Law,} \\ < 0 & \text{negative deviation from Raoult's Law} \end{array} \right.$$

- 2. Temperature-composition diagrams
 - (a) Liquid-vapor
 - (b) Eutectics, ...
- 3. Henry's Law (dilute) limit
- 4. Gibbs-Duhem consequences

4 Thermodynamics of Change

4.1 Chemical thermodynamics and equilibria

- 1. Chemical reactions
- 2. Thermodynamic potential differences
 - (a) Standard states
 - (b) Reaction entropy $\Delta S^{\circ}(T) = S_{\rm B}^{\circ}(T) S_{\rm A}^{\circ}(T)$
 - (c) Reaction energy $\Delta U^{\circ}(T) = U_{\rm B}^{\circ}(T) U_{\rm A}^{\circ}(T) + \Delta E(0)$
 - (d) Gibbs-Helmholtz
- 3. Equilibrium-closed system
 - (a) Equilibrium constants and algebraic solutions
 - (b) Free energy minimization
 - (c) Parallel reactions
- 4. Equilibrium-open system
 - (a) Reaction phase diagrams
- 5. Partition functions and K_{eq}
- 6. Non-ideal activities
- 7. Electrochemical reactions

4.2 Non-equilibrium thermodynamics

Table 7: Equilibrium and Rate Constants

Equilibrium Constants $a A + b B \rightleftharpoons c C + d D$

$$K_{eq}(T) = e^{\Delta S^{\circ}(T,V)/k_{B}} e^{-\Delta H^{\circ}(T,V)/k_{B}T}$$

$$K_{c}(T) = \left(\frac{1}{c^{\circ}}\right)^{\nu_{c}+\nu_{d}-\nu_{a}-\nu_{b}} \frac{(q_{c}/V)^{\nu_{c}}(q_{d}/V)^{\nu_{d}}}{(q_{a}/V)^{\nu_{a}}(q_{b}/V)^{\nu_{b}}} e^{-\Delta E(0)\beta}$$

$$K_{p}(T) = \left(\frac{k_{B}T}{P^{\circ}}\right)^{\nu_{c}+\nu_{d}-\nu_{a}-\nu_{b}} \frac{(q_{c}/V)^{\nu_{c}}(q_{d}/V)^{\nu_{d}}}{(q_{a}/V)^{\nu_{a}}(q_{b}/V)^{\nu_{b}}} e^{-\Delta E(0)\beta}$$

Unimolecular Reaction $[A] \rightleftharpoons [A]^{\ddagger} \rightarrow C$

$$k(T) = \nu^{\ddagger} \bar{K}^{\ddagger} = \frac{k_B T}{h} \frac{\bar{q}_{\ddagger}(T)/V}{q_A(T)/V} e^{-\Delta E^{\ddagger}(0)\beta}$$

$$E_a = \Delta H^{\circ \ddagger} + k_B T$$
 $A = e^1 \frac{k_B T}{h} e^{\Delta S^{\circ \ddagger}}$

Bimolecular Reaction $A + B \rightleftharpoons [AB]^{\ddagger} \rightarrow C$

$$k(T) = \nu^{\ddagger} \bar{K}^{\ddagger} = \frac{k_B T}{h} \frac{q_{\ddagger}(T)/V}{(q_A(T)/V)(q_B(T)/V)} \left(\frac{1}{c^{\circ}}\right)^{-1} e^{-\Delta E^{\ddagger}(0)\beta}$$
$$E_a = \Delta H^{\circ \ddagger} + 2k_B T \quad A = e^2 \frac{k_B T}{h} e^{\Delta S^{\circ \ddagger}}$$

Table 8: Physical units

N_{Av} :	6.02214×10^{23}	mol^{-1}		
1 amu:		kg		
k_{B} :	1.38065×10^{-23}	0	8.61734×10^{-5}	${ m eV~K^{-1}}$
R:	8.314472	$\rm J~K^{-1}~mol^{-1}$	8.2057×10^{-2}	$\rm l~atm~mol^{-1}~K^{-1}$
σ_{SB} :	5.6704×10^{-8}	${ m J}~{ m s}^{-1}~{ m m}^{-2}~{ m K}^{-4}$		
c:	2.99792458×10^{8}	${ m m~s^{-1}}$		
<i>h</i> :	6.62607×10^{-34}	J s	4.13566×10^{-15}	eV s
<i>ħ</i> :	1.05457×10^{-34}	J s	6.58212×10^{-16}	eV s
hc:	1239.8	eV nm		
<i>e</i> :	1.60218×10^{-19}	\mathbf{C}		
m_e :	$9.10938215 \times 10^{-31}$	kg	0.5109989	
ϵ_0 :	8.85419×10^{-12}	$C^2 J^{-1} m^{-1}$	5.52635×10^{-3}	$e^2 \text{ Å}^{-1} \text{ eV}^{-1}$
$e^2/4\pi\epsilon_0$:	2.30708×10^{-28}	J m	14.39964	eV Å
a_0 :	0.529177×10^{-10}	m	0.529177	Å
E_{H} :	1	На	27.212	eV