# Geochronological data processing with R and IsoplotR

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## Part I Basic geochronology

## Introduction

The use of naturally occurring radioactive isotopes to date minerals and rocks is the oldest branch of isotope geology. The foundations of these so-called isotopic or radiometric dating methods were laid shortly after the turn of the XX<sup>th</sup> century with the discovery of the laws of radioactive decay by eminent physicists such as Ernest Rutherford and Frederick Soddy (Rutherford and Soddy, 1902a; Rutherford and Soddy, 1902b). The application of these principles to the field of Geology and the calibration of the geological time scale were pioneered by Arthur Holmes (1911), Holmes (1913), and Holmes (1947). Initially, radiometric geochronology was exclusively based on uranium and its daughter products, but with the development of increasingly sensitive analytical equipment, ever more isotopic 'clocks' were added over the course of the century: Rb/Sr (Hahn et al., 1943), <sup>14</sup>C (Libby, 1946), K/Ar (Aldrich and Nier, 1948), <sup>238</sup>U fission tracks (Price and Walker, 1963), <sup>40</sup>Ar/<sup>39</sup>Ar (Merrihue and Turner, 1966), Sm/Nd (Lugmair, 1974), etc.

The first part of these lecture notes provides a basic introduction to all these methods. Chapter 2 reviews the basic principles of radioactive decay, which form the basis of all isotopic dating techniques. It will derive the fundamental age equation and introduce the concepts of secular equilibrium, which will be revisited in later chapters. Chapter 3 provides the briefest of introductions to the world of mass spectrometry. It will sketch the basic operating principles of the instruments used to acquire the datasets that will be used for R programming exercises later on. Chapters 4–8 provide basic introductions to the radiocarbon, Rb–Sr, Sm–Nd, U–Pb, Ar–Ar, U–Th–He, fission track and Th–U methods, which will be fleshed out further in Part 2 of the notes.

Chapter 9 presents a primer in error propagation which is extremely important because, to quote K.R. Ludwig "The uncertainty of the age is as important as the age itself" (Ludwig, 2003). Chapter 10 contains a collection of exercises that are meant to be solved by pencil on paper, whereas Chapter 11 contains a collection of practical exercises that require the R programming language. In these exercises, you will process some raw data files for the U–Pb, Ar–Ar and fission track methods. The purpose of these exercises is to provide a glimpse into the 'black box' data processing software that is normally used by geochronologists to turn mass spectrometer data into tables of isotopic ratios for further processing with the IsoplotR package that is the subject of Part 2 of this book.

The core of these notes is formed by Prof. Peter van den Haute's lecture notes (in Dutch) at the University of Ghent. This was expanded with additional material, exercises, and practicals. Some figures were modified from published sources, including Allègre (2008), Braun, Van Der Beek, and Batt (2006), and Galbraith (2005). These books are recommended further reading material, as is the detailed textbook by Dickin (2005), from which both Allègre (2008) and van den Haute heavily borrowed. Additional lecture material, including the data files used in the programming practicals of Chapter 11, can be found at https://github.com/pvermees/geotopes/.

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## Basic notions of radiometric geochronology

#### 2.1 Isotopes and radioactivity

Thanks to discoveries by Niels Bohr, Ernest Rutherford, Arnold Sommerfeld, Joseph Thomson and James Chadwick, we know that rocks and minerals are made of atoms, atoms are made of a nucleus and an electron cloud, and the nucleus is made of nucleons of which there are two kinds: protons and neutrons. The total number of nucleons in the atomic nucleus is called the mass number (A). The number of protons (which equals the number of electrons in a neutral atom) is called the atomic number (Z). The chemical properties of a nuclide solely depend on the atomic number, which therefore forms the basis of the Periodic Table of Elements. The number of neutrons in the atomic nucleus may take on a range of values for any given element, corresponding to different isotopes of said element. For example,  ${}_{8}^{16}$ O is an isotope of oxygen with 16 nucleons of which 8 are protons (and N = A-Z = 16-8 = 8 are neutrons). Adding one extra neutron to the nucleus produces a second oxygen isotope,  ${}_{8}^{17}$ O, with identical chemical properties as  ${}^{16}_{8}$ O, but slightly different physical properties (e.g. boiling temperature). Adding another neutron produces  $^{18}_{8}$ O which, with 8 protons and 10 neutrons, is more than 10% heavier than  $^{16}_{8}$ O. Due to this mass difference, the  ${}^{18}_{8}$ O/ ${}^{16}_{8}$ O ratio undergoes mass fractionation by several natural processes, forming the basis of  ${}_{8}^{18}O/{}_{8}^{16}O$  palaeothermometry (see the second half of this course). When we try to add yet another neutron to the atomic nucleus of oxygen, the nucleus becomes unstable and undergoes radioactive decay. Therefore, no  $^{19}_{8}$ O exists in nature.

## 2.2 Radioactivity

As mentioned before, the Periodic Table of Elements (aka 'Mendeleev's Table') arranges the elements according to the atomic number and the configuration of the electron cloud. The equally important *Chart of Nuclides* uses both the number of protons and neutrons as row and column indices. At low masses, the stable nuclides are found close to the  $1 \div 1$  line (N  $\approx$  Z), with the radionuclides found at higher and lower ratios. At higher atomic numbers, the stable nuclides are found at higher mass numbers, reflecting the fact that more neutrons are required to keep the protons together. For example,  $^{208}_{82}$ Pb, which is the heaviest stable nuclide, has 44 more neutrons than protons. The unstable nuclides (or *radionuclides*), such as  $^{209}_{82}$ Pb or  $^{19}_{80}$ O may survive for time periods of femtoseconds to billions of years depending on the degree of instability, which generally scales with the 'distance' from the curve of stable nuclides. Radionuclides eventually disintegrate to a stable form by means of a number of different mechanisms:

#### 1. $\alpha$ -decay

The atomic nucleus (e.g.,  $^{238}_{92}$ U,  $^{235}_{92}$ U,  $^{232}_{90}$ Th,  $^{147}_{62}$ Sm) loses an  $\alpha$  particle, i.e. the equivalent of a  $^{4}_{2}$ He nucleus. When these nuclei acquire electrons, they turn into Helium atoms, forming the basis of the U-Th-He chronometer, which is further discussed in Section 7.1. The recoil energy of the decay is divided between the  $\alpha$  particle and the parent nucleus, which eventually relaxes into its ground state by emitting  $\gamma$ -radiation, i.e. photons with a wavelength of  $10^{-12}$ m or less. In addition to the

aforementioned U-Th-He method,  $\alpha$ -decay is central to the  $^{147}\mathrm{Sm}$ - $^{143}\mathrm{Nd}$  (Section 4.2),  $^{235}\mathrm{U}$ - $^{207}\mathrm{Pb}$ ,  $^{238}\mathrm{U}$ - $^{206}\mathrm{Pb}$  and  $^{232}\mathrm{Th}$ - $^{208}\mathrm{Pb}$  methods (Section 5).

#### 2. $\beta$ -decay

Comprises negatron ( $\beta^-$ ) and positron ( $\beta^+$ ) emission, in which either an electron or a positron is emitted from the nucleus, causing a transition of (N,Z)  $\rightarrow$  (N-1,Z+1) for  $\beta^-$  decay and (N,Z)  $\rightarrow$  (N+1,Z-1) for  $\beta^+$  decay. For example, the oxygen isotope  $^{19}_8$ O discussed in Section 2.1 decays to  $^{19}_9$ F by  $\beta^-$  emission. In contrast with  $\alpha$  particles, which are characterized by discrete energy levels,  $\beta$  particles are characterised by a continuous energy spectrum. The difference between the maximum kinetic energy and the actual kinetic energy of any given emitted electron or positron is carried by a neutrino (for  $\beta^+$  decay) or an anti-neutrino (for  $\beta^-$  decay). Just like  $\alpha$  decay,  $\beta$  decay is also accompanied by  $\gamma$ -radiation, arising from two sources: (a) relaxation into the ground state of the excited parent nucleus and (b) spontaneous annihilation of the unstable positron in  $\beta^+$  decay.  $\beta^-$  decay is important for the  $^{40}$ K- $^{40}$ Ca,  $^{87}$ Rb- $^{87}$ Sr (Section 4.2) and  $^{14}$ C- $^{14}$ N (Section 4.1) clocks. It also occurs as part of the  $^{235}$ U- $^{207}$ Pb,  $^{238}$ U- $^{206}$ Pb and  $^{232}$ Th- $^{208}$ Pb decay series (Sections 5 and 8).  $\beta^+$  decay is found in the  $^{40}$ K- $^{40}$ Ar system (Section 6).

#### 3. electron capture

This is a special form of decay in which an 'extra-nuclear' electron (generally from the K-shell) is captured by the nucleus. This causes a transformation of  $(N,Z) \rightarrow (N+1,Z-1)$ , similar to positron emission, with which it often co-exists. The vacant electron position in the K-shell is filled with an electron from a higher shell, releasing X-rays ( $\sim 10^{-10}$ m wavelength), which is the diagnostic signal of electron capture. This mechanism occurs in the  $^{40}$ K- $^{40}$ Ar decay scheme (Section 6).

#### 4. nuclear fission

Extremely large nuclei may disintegrate into two daughter nuclei of unequal size, releasing large amounts of energy ( $\sim 200$  MeV). The two daughter nuclei move in opposite directions from the parent location, damaging the crystal lattice of the host mineral in their wake. The two daughter nuclides are generally radioactive themselves, giving rise to  $\beta$ -radiation before coming to rest as stable isotopes.  $^{238}_{92}$ U is the only naturally occurring nuclide that undergoes this type of radioactive decay in measurable quantities, and even then it only occurs once for every  $\sim 2\times 10^6$   $\alpha$ -decay events. Nevertheless, the fission mechanism forms the basis of an important geochronological method, in which the damage zones or 'fission tracks' are counted (Section 7.2). Nuclear fission can also be artificially induced, by neutron irradiation of  $^{235}$ U, e.g.:

$$^{235}_{92}\text{U} + \text{n} \rightarrow ^{236}_{92}\text{U} \rightarrow ^{90}_{36}\text{Kr} + ^{143}_{56}\text{Ba} + 3\text{n} + \text{energy}$$
 (2.1)

Note that every neutron on the left hand side of this formula generates three neutrons on the right hand side. The latter may react with further <sup>235</sup>U nuclei and generate a chain reaction. This forms the basis of nuclear reactors, the atom bomb and the 'external detector' method (Section 7.2).

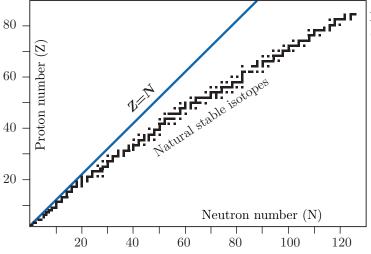


Figure 2.1: A schematic 'Chart of Nuclides' (modified from Allègre, 2008).

#### 2.3 The age equation

A characteristic property of radioactive decay is its absolute independence of external physical and chemical effects. In other words, it is not affected by changes in pressure, temperature, or the molecular bonds connecting a radioactive nuclide to neighbouring atoms. This means that the rate at which a radioactive parent decays to a radiogenic daughter per unit time, i.e. dP/dt only depends on P, the number of parent atoms present. The decay constant  $\lambda$  expresses the likelihood that a radioactive disintegration takes place in any given time (i.e.,  $\lambda$  has units of atoms per atoms per year). This can be expressed mathematically with the following differential equation:

$$\frac{dP}{dt} = -\lambda P \tag{2.2}$$

Integrating this equation over time yields:

$$P = P_{\circ}e^{-\lambda t} \tag{2.3}$$

where  $P_{\circ}$  is the number of parent atoms present at time t=0. Since this number is generally unknown (one exception is  $^{14}$ C, see Section 4.1), Equation 2.3 generally cannot be used in this form. We can, however, measure the *present* number of parent and daughter nuclides in the sample. Rewriting Equation 2.3:

$$P_{\circ} = Pe^{\lambda t} \tag{2.4}$$

and bearing in mind that  $P_{\circ} = P + D$ , we obtain:

$$D = P(e^{\lambda t} - 1) \tag{2.5}$$

This equation forms the foundation of most geochronological methods. It can be rewritten explicitly as a function of time:

$$t = \frac{1}{\lambda} \ln \left( \frac{D}{P} + 1 \right) \tag{2.6}$$

The degree of instability of a radioactive nuclide can be expressed by  $\lambda$  or by the half life  $t_{1/2}$ , which is the time required for half of the parent nuclides to decay. This follows directly from Equation 2.3:

$$\frac{P_{\circ}}{2} = P_{\circ}e^{-\lambda t_{1/2}} \Rightarrow t_{1/2} = \frac{\ln(2)}{\lambda}$$
 (2.7)

As a rule of thumb, the detection limit of a radiometric geochronometer is reached after about 10 half lives. Thus,  $^{14}$ C goes back  $\sim 50,000$  years,  $^{10}$ Be 10 million and  $^{40}$ K 10 billion years.

## 2.4 Decay series

Sometimes the radiogenic daughter  $(D_1)$  of a radioactive parent is radioactive as well, decaying to a daughter of its own  $(D_2)$ , which may be radioactive again etc., until a stable daughter  $(D_*)$  is reached. Considering the simplest case of one intermediate daughter:

$$P \xrightarrow{\lambda_P} D_1 \xrightarrow{\lambda_1} D_*$$
 (2.8)

The increase (or decrease) of the number of atoms per unit time for each of the nuclides is given by:

for 
$$P: dP/dt = -\lambda_P P$$
 (2.9)

for 
$$D_1: dD_1/dt = \lambda_P P - \lambda_1 D_1$$
 (2.10)

for 
$$D_*: dD_*/dt = \lambda_1 D_1$$
 (2.11)

The number of parent atoms P can be written as a function of t:

$$P = P_{\circ}e^{-\lambda_P t} \tag{2.12}$$

Plugging Equation 2.12 into 2.10 yields

$$dD_1/dt = \lambda_P P_{\circ} e^{-\lambda_P t} - \lambda_1 D_1 \tag{2.13}$$

Solving this differential equation yields the evolution of  $D_1$  with time. Assuming that  $D_1 = 0$  at t = 0:

$$D_1 = \frac{\lambda_P}{\lambda_1 - \lambda_P} P_{\circ} \left[ e^{-\lambda_P t} - e^{-\lambda_1 t} \right]$$
 (2.14)

If  $\lambda_P \ll \lambda_1$  (by a factor of 10 or greater), and  $t \gg 1/\lambda_1$  then  $e^{-\lambda_1 t}$  becomes vanishingly small relative to  $e^{-\lambda_P t}$  so that Equation 2.14 can be simplified:

$$D_1 = \frac{\lambda_P}{\lambda_1 - \lambda_P} P_0 e^{-\lambda_P t} \tag{2.15}$$

or, alternatively:

$$D_1 = \frac{\lambda_P}{\lambda_1 - \lambda_P} P \tag{2.16}$$

This means that the ratio of  $D_1$  and P remains constant through time. If  $\lambda_P \ll \lambda_1$ , then  $\lambda_1 - \lambda_P \approx \lambda_1$ , from which it follows that:

$$D_1 = \frac{\lambda_P}{\lambda_1} P \tag{2.17}$$

Rearranging:

$$D_1 \lambda_1 = P \lambda_P \tag{2.18}$$

or, equivalently:

$$\frac{P}{D_1} = \frac{t_{1/2}(P)}{t_{1/2}(D_1)} \tag{2.19}$$

This is the secular equilibrium in which the number of atoms of both radioactive members is proportional to their respective half lives. In the geochronological isotope systems  $^{235}\text{U}/^{207}\text{Pb}$ ,  $^{238}\text{U}/^{206}\text{Pb}$  and  $^{232}\text{Th}/^{207}\text{Pb}$ , the lead isotopes are the end points of a long decay series comprised of several  $\alpha$  and  $\beta^-$  disintegrations, in which the decay constants of the parent nuclide is orders of magnitude shorter than the other nuclides in the chain. For a decay series like that, Equation 2.18 can be generalised to:

$$D_n \lambda_n = \dots = D_2 \lambda_2 = D_1 \lambda_1 = P \lambda_P \tag{2.20}$$

This means that the entire series is in equilibrium, so that all members occur in mutually constant proportions. The number of atoms of the stable end member  $D_*$  is given by:

$$D_* = P_\circ - P - D_1 - D_2 - \dots - D_n \tag{2.21}$$

Using Equation 2.20, this becomes:

$$D_* = P_{\circ} - P - \frac{P\lambda_P}{\lambda_1} - \frac{P\lambda_P}{\lambda_2} - \dots - \frac{P\lambda_P}{\lambda_n}$$
 (2.22)

or

$$D_* = P_{\circ} - P\left(1 + \frac{\lambda_P}{\lambda_1} - \frac{\lambda_P}{\lambda_2} - \dots - \frac{\lambda_P}{\lambda_n}\right)$$
 (2.23)

Since each of the ratios  $\lambda_P/\lambda_1$ ,  $\lambda_P/\lambda_2$ , etc. are vanishingly small, we can simplify Equation 2.23 as:

$$D_* = P_{\circ} - P = P\left(e^{\lambda_P t} - 1\right) \tag{2.24}$$

This means that the accumulation of the final Pb isotope of the aforementioned three decay series is only a function of the decay of the parent isotope. All intermediate decay steps are therefore inconsequential. In rare cases, however, the isotopic equilibrium is disturbed by a dissolution or recrystallisation event, say. The intermediate parent/daughter pairs can then be used to date phenomena occurring over much shorter time scales than those probed by the U-Pb method (Section 8).

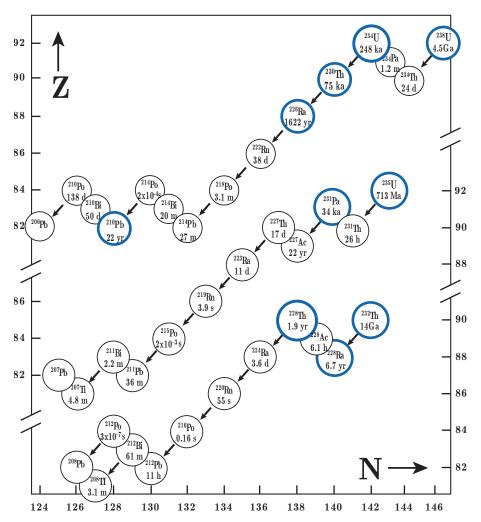


Figure 2.2: The decay series of  $^{232}$ Th,  $^{235}$ U and  $^{238}$ U, which form the basis of the U-Th-Pb, U-Th-He and U-Th-series methods (modified from Allègre, 2008).

## Analytical techniques

Isotope geochemistry is based on the accurate and precise determination of elemental and isotopic compositions of rocks and minerals. Although some of the earliest geochronological methods (notably the <sup>14</sup>C method, see Section 4.1) were based on the detection of radioactivity by means of Geiger-Müller counters and liquid scintillation detectors, nearly all modern isotope geochemistry is done by mass spectrometry.

### 3.1 Mass spectrometry

A mass spectrometer is a device that separates electrically charged atoms or molecules based on their mass, enabling precise measurement of the isotopic composition. A mass spectrometer consists of the following parts:

- 1. ion source: this can be either a filament (similar to that found in an incandescent light bulb), a plasma torch, a primary ion beam, or a spray chamber, among other possibilities.
- 2. mass analyser: this can be an electromagnet (possibly combined with an electrostatic field), or a rapidly fluctuating electric field.
- 3. ion detector: this is, essentially, a volt meter.

In the remainder of this section, we will assume the source to be a filament and the mass analyser to be an electromagnet.

After pumping the mass spectrometer down to (ultra-)high vacuum conditions ( $10^{-6}$  to  $10^{-9}$  mbar), the sample enters the ion source as a gas, where it is bombarded with electrons. The resulting ions (with charge e) are accelerated in an electric field (with potential difference V) and collimated to a narrow beam. This beam is sent through a magnetic field (with strength H) which deflects it into a circular trajectory with a radius proportional to the ion mass (m). This results in a physical separation of the incoming ion beam into various outgoing beams. The beams of interest are steered into the ion detector which, in its simplest design (the so-called 'Faraday Cup') consists of a long and narrow cup. The ion beam is neutralised in the cup by electrons flowing from ground through a resistor. The potential difference across this resistor is measured and registered on a computer for further processing.

The electric field transfers a certain amount of kinetic energy to the ions:

$$E = eV = \frac{mv^2}{2} \tag{3.1}$$

With e is the electrical charge (in multiples of  $1.60219 \times 10^{-19}$ C, which is the elementary charge of an electron. Because each type of ion has a different mass  $(m, \text{ in multiples of } 1.660538 \times 10^{-27} \text{kg}$ , the atomic mass unit), their terminal velocity (v) differs as well:

$$v = \sqrt{\frac{2eV}{m}} \tag{3.2}$$

The mass analyser deflects the ions according to the following equation:

$$Hev = \frac{mv^2}{r} \tag{3.3}$$

Substituting Equation 3.2 into 3.3 yields:

$$H\sqrt{\frac{2eV}{m}} = \frac{2V}{r} \tag{3.4}$$

from which it follows that:

$$r = \frac{1}{H} \sqrt{\frac{2mV}{e}}$$
and 
$$H = \frac{1}{r} \sqrt{\frac{2mV}{e}}$$
(3.5)

Equation 3.5 allows us to calculate the radius of the ion trajectory for any given mass-to-charge ratio m/e. Note that light isotopes are more strongly deflected than equally charged heavy ones. Equation 3.5 can also be used to calculate the magnetic field strength required to deflect an ion beam with a given m/e ratio into the collector. This is more practical because most mass spectrometers have a fixed radius so that the different ions must be collected by varying H. Some modern mass spectrometers are equipped with multiple ion collectors the enabling simultaneous analysis of several ionic masses.

Filament

Magnet

High voltage

COLLECTOR

Amplifier

Faraday cup

High voltage

Figure 3.1: Schematic diagram of a sector-field noble gas or TIMS mass spectrometer (modified from Allègre, 2008).

Several types of mass spectrometers are used for geoscience applications:

#### 1. Thermal Ionisation Mass Spectrometry (TIMS).

The sample is dissolved and subjected to careful chemical separation procedures (liquid chromatography) in order to separate the parent and daughter elements to a high level of purity. The resulting solutions are spiked and deposited on a tungsten or tantalum filament, which is brought to a glow by an electric current and thus produces ions. These are separated by a large electromagnet and analysed in one or more Faraday cups. TIMS is very time consuming but produces extremely precise results (‰-level precision on the ages).

#### 2. Inductively Coupled Plasma Mass Spectrometry (ICP-MS).

The sample is vapourised in one of two ways: either by introducing a liquid into a spray chamber, or by firing an ultraviolet laser at a solid sample and transporting the resulting aerosol into the ion source with a carrier gas (typically helium). The ion source itself consists of an argon flow which is heated to a temperature of approximately 10,000K by sending a radiofrequency current through a coil. This breaks up all the molecular bonds and produces a plasma (i.e. a 'soup' of ions and electrons) which enters the high vacuum chamber through a tiny opening. The mass analyser can either be a sector magnet or a quadrupole (which consists of four metal rods generating a rapidly fluctuating electrical field). ICP-MS offers a higher throughput than TIMS, especially in laser ablation mode,

where hundreds of ages can be measured per day. However, this increased throughput comes at the expense of precision, which is on the percent level (better in solution mode).

3. Secondary ion mass spectrometry (SIMS)

Prior to the development of laser ablation (LA-) ICP-MS, the only other method to produce spot measurements in solid samples was by firing a beam of negative (e.g. oxygen) or positive (e.g. caesium) ions at the target under high vacuum. This releases ('sputters') positive (or negative, in the case of a Cs beam) secondary ions from the sample surface, which are accelerated by an electrostatic field and sent to a sector field mass spectrometer. Although SIMS has been replaced by LA-ICP-MS in some applications, it remains an important instrument in the geochronological toolbox because (a) it offers higher spatial resolution than laser ablation (5- $10\mu m$  vs.  $25-50\mu m$ ) and (b) can measure light ions (e.g, hydrogen) more reliably than LA-ICP-MS.

4. Noble gas mass spectrometry

The noble gases (He, Ne, Ar, Kr, Xe) require a different class of mass spectrometer than the rest of the periodic table because, as their name suggests: 1) they are gases 2) that do not ionise easily. Noble gasses are liberated from solid state materials by heating or laser ablation under ultra-high vacuum conditions. To remove any unwanted gas species (such as CO, CO<sub>2</sub>, hydrocarbons, etc.) that may interfere with the noble gas measurements, the released gas is exposed to reactive metals, liquid  $N_2$  'cold traps' and other 'gettering' devices in a 'noble gas extraction line' for a duration of 5–30 minutes. The extraction line removes all the reactive gas species until only the inert noble gases remain. It is only after this lengthy delay that the purified noble gases are ionised by electron bombardment, and analysed on the actual mass spectrometer.

5. Accelerator Mass Spectrometer (AMS)

The AMS combines two mass spectrometers with a ('tandem' type) particle accelerator. Ions are produced by a SIMS source and steered through a first mass analyser, which selects all ions of a desired mass (e.g., mass 14:  $^{14}C^-$ ,  $^{12}CH_2^-$ , ...). The resulting beam is accelerated in the first part of the tandem accelerator by a potential difference of several million eV, and sent through a thin chamber filled with a 'stripper' gas. Collisions of stripper gas atoms with the incoming ions destroys any molecular bonds and forms 3+ ions in the process. The beam now consists of purely atomic ions, which are accelerated in the second part of the accelerator and steered into a second mass analyser. The AMS has revolutionised the  $^{14}C$  method by enabling the analysis of extremely small (mg-sized) samples (see Section 4.1), and has enabled a whole new field of geochronology based on the analysis of terrestrial cosmogenic radionuclides. The main limitation of AMS is its high cost. Currently only two AMS facilities are operating in the UK (in Oxford and Glasgow).

## 3.2 Isotope dilution

Besides determining isotopic compositions, the mass spectrometer can also be used to measure elemental concentrations, using a method called *isotope dilution*. This is done by mixing the sample solution (whose isotopic composition has already been determined) with a known quantity of a solution with a different (but known) isotopic composition and known elemental concentration. The latter solution is called the *spike*. The isotopic composition of the mixture is analysed by mass spectrometry. The measured isotopic ratio  $R_m$  for an element with two isotopes ( ${}^aX$  and  ${}^bX$ ) is given by:

$$R_{m} = \frac{N^{a}X_{N} + S^{a}X_{S}}{N^{b}X_{N} + S^{b}X_{S}}$$
(3.6)

with

N= the number of atoms of X in the sample S= the number of atoms of X in the spike  ${}^aX_N, {}^bX_N=$  the atomic abundance of isotope a (or b) in the  ${}^aX_S, {}^bX_S$  sample (or spike)  $({}^aX_N + {}^bX_N = {}^aX_S + {}^bX_S = 1)$ 

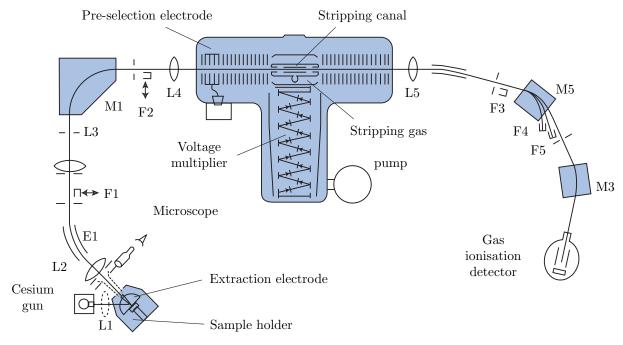


Figure 3.2: Schematic diagram of an Accelerator Mass Spectrometer (AMS) (modified from Allègre, 2008).

N is the only unknown in Equation 3.6, which can therefore be rewritten as:

$$N = S \frac{{}^{a}X_{S} - R_{m}{}^{b}X_{S}}{R_{m}{}^{b}X_{N} - {}^{a}X_{N}}$$
(3.7)

N can also be expressed as a function of the isotopic ratios in the sample  $R_N(={}^aX_N/{}^bX_N)$  and in the spike  $R_S(={}^aX_S/{}^bX_S)$ . The atomic abundance of  ${}^aX$  and  ${}^bX$  in the sample are given by:

$${}^{a}X_{N} = \frac{R_{N}}{R_{N} + 1} \text{ and } {}^{b}X_{N} = \frac{1}{R_{N} + 1}$$
 (3.8)

and in the spike:

$$^{a}X_{S} = \frac{R_{S}}{R_{S} + 1} \text{ and } {}^{b}X_{S} = \frac{1}{R_{S} + 1}$$
 (3.9)

Substituting Equations 3.9 and 3.8 into 3.7 yields:

$$N = S \frac{(R_N + 1)(R_S - R_m)}{(R_S + 1)(R_m - R_N)}$$
(3.10)

Equations 3.7 and 3.10 give the atomic concentration of X (in atoms/g). Dividing N by Avogadro's number  $N_A$  and multiplying with the atomic weights (g/mol) yields the corresponding weight percentages. Isotope dilution is a very powerful method because:

- 1. It does not require quantative separation of the elements of interest.
- 2. Chemical purification removes unwanted interferences from other species.
- 3. The method is very sensitive, so extremely low concentrations can be measured (ppb or less).

## 3.3 Sample-standard bracketing

Isotope dilution is the 'gold standard' for isotope geochemistry, recommended when the most accurate and precise results are desired. Unfortunately, isotope dilution is also very time consuming and cannot

be readily applied to micro-analytical techniques such as LA-ICP-MS and SIMS. In those cases, and alternative method is used, which is less precise (%- rather than %- level precision) but quicker. The idea is to normalise the signal ratios recorded by the mass spectrometer to a standard of known age. As before, let P be a radioactive parent which decays to a radiogenic daughter D. Suppose that we can measure both nuclides on the same mass spectrometer, yielding two electronic signal intensities  $S_P$  and  $S_D$ . These signals may be recorded in units of V, A, or Hz. We cannot directly use the signal ratios as a proxy for the isotopic ratio:

$$\frac{D}{P} \neq \frac{S_D}{S_P}$$

because the parent and daughter are two different elements with different chemical properties and ionisation efficiencies. We can, however, assume that the isotopic ratio is proportional to the signal ratio:

$$\frac{D}{P} = C \frac{S_D}{S_P} \tag{3.11}$$

Thus, if we double D (and thus D/P), we would also expect to double  $S_D$  (and thus  $S_D/S_P$ ). To determine the constant of proportionality C, we analyse a standard of known age  $(t_s)$  and, hence (D/P)-ratio (due to Equation 2.5):

$$C = \left(e^{\lambda_P t_s} - 1\right) \frac{S_P^s}{S_D^s} \tag{3.12}$$

where  $\lambda_P$  is the decay constant of the parent and  $S_P^s/S_D^s$  is the (inverse) signal ratio of the standard.

## Simple parent-daughter pairs

## 4.1 <sup>14</sup>C dating

There are two stable isotopes of carbon:  $^{12}$ C and  $^{13}$ C, and one naturally occurring radionuclide:  $^{14}$ C. The half life of  $^{14}$ C is only 5,730 years, which is orders of magnitude shorter than the age of the Earth. Therefore, no primordial radiocarbon remains and all  $^{14}$ C is cosmogenic. The main production mechanism is through secondary cosmic ray neutron reactions with  $^{14}$ N in the stratosphere:  $_{1}^{74}$ N (n,p)  $_{6}^{14}$ C. Any newly formed  $^{14}$ C rapidly mixes with the rest of the atmosphere creating a spatially uniform carbon composition, which is incorporated into plants and the animals that eat them. Prior to the industrial revolution, a gram of fresh organic carbon underwent 13.56 ( $\beta^{-}$ ) decays per minute. When a plant dies, it ceases to exchange carbon with the atmosphere and the  $^{14}$ C concentration decays with time according to Equation 2.2:

$$\frac{d^{14}C}{dt} = -\lambda_{14} \times {}^{14}C \tag{4.1}$$

where  $\lambda_{14} = 0.120968 \text{ ka}^{-1}$ . Thus, the radiocarbon concentration is directly proportional to the radioactivity, which can be measured by  $\beta$ -counting. This can then be used to calculate the radiocarbon age by rearranging Equation 2.3:

$$t = -\frac{1}{\lambda_{14}} \ln \left[ \frac{d^{14}C/dt}{(d^{14}C/dt)_{\circ}} \right]$$
 (4.2)

where  $(d^{14}C/dt)_{\circ}$  is the original level of  $\beta$  activity. This method was developed by Willard Libby in 1949, for which he was awarded the Nobel Prize in 1960. As mentioned before,  $(d^{14}C/dt)_{\circ}$  was 13.56 prior to the industrial revolution, when thousands of tonnes of 'old' carbon were injected into the atmosphere, resulting in a gradual lowering of the radiocarbon concentration until 1950, when nuclear testing produced an opposite effect, leading to a doubling of the atmospheric <sup>14</sup>C activity in 1963. Since the banning of atmospheric nuclear testing, radiocarbon concentrations have steadily dropped until today, where they have almost fallen back to their pre-industrial levels. But even prior to these anthropogenic effects, <sup>14</sup>C concentrations underwent relatively large fluctuations as a result of secular variations of the Earth's magnetic field and, to a lesser extent, Solar activity. These variations in  $(d^{14}C/dt)_{\circ}$  can be corrected by comparison with a precisely calibrated production rate curve, which was constructed by measuring the <sup>14</sup>C activity of tree rings (dendrochronology).

Since the 1980's,  $\beta$ -counting has been largely replaced by accelerator mass spectrometry (AMS, see Section 3.1), in which the  $^{14}$ C concentration is measured directly relative to a stable isotope such as  $^{13}$ C. Although this has not significantly pushed back the age range of the radiocarbon method, it has nevertheless revolutionised the technique by reducing the sample size requirements by orders of magnitude. It is now possible to analyse individual seeds or tiny fragments of precious objects such as the Turin Shroud, which was dated at AD1260-1390.

#### 4.2 The Rb-Sr method

Trace amounts of Rb and Sr are found in most minerals as substitutions for major elements with similar chemical properties. Rb is an alkali metal that forms single valent positive ions with an ionic radius of 1.48 Å, which is similar to K<sup>+</sup> (1.33 Å). Rb is therefore frequently found in K-bearing minerals such as micas, K-feldspar and certain clay minerals. Strongly evolved alkalic rocks such as syenites, trachites and rhyolites often contain high Rb concentrations. Rb contains two isotopes of constant abundance: <sup>85</sup>Rb (72.1854%) and <sup>87</sup>Rb (27.8346%). Sr is an alkaline earth metal that forms bivalent positive ions with a radius of 1.13 Å, similar to Ca<sup>2+</sup> (ionic radius 0.99 Å). It therefore substitutes Ca<sup>2+</sup> in many minerals such as plagioclase, apatite, gypsum and calcite in sites with 8 neighbours, but not in pyroxene where Ca<sup>2+</sup> has a coordination number of 6. Native Sr<sup>2+</sup> can also substitute K<sup>+</sup> in feldspars (where radiogenic Sr is expected to be found), but this substitution is limited and requires the simultaneous replacement of Si<sup>4+</sup> by Al<sup>3+</sup> in order to preserve electric neutrality. Sr therefore predominantly occurs in Ca-rich undifferentiated rocks such as basalts. Sr contains four isotopes (<sup>84</sup>Sr, <sup>86</sup>Sr, <sup>87</sup>Sr and <sup>88</sup>Sr) with variable abundance due to the variable amount of radiogenic <sup>87</sup>Sr. However, the non-radiogenic <sup>84</sup>Sr/<sup>86</sup>Sr and <sup>86</sup>Sr/<sup>88</sup>Sr-ratios are constant with values of 0.056584 and 0.1194, respectively. The Rb-Sr chronometer is based on the radioactive decay of <sup>87</sup>Rb to <sup>87</sup>Sr:

$$^{87}Rb \rightarrow ^{87}Sr + \beta^- + \nu + 0.275MeV$$
 (4.3)

Where  $\nu$  indicates an antineutrino. The number of radiogenic <sup>87</sup>Sr atoms produced by this reaction after a time t is given by:

$$^{87}Sr^* = ^{87}Rb(e^{\lambda_{87}t} - 1) \tag{4.4}$$

where  $^{87}Rb$  is the actual number of  $^{87}Rb$  atoms per unit weight and  $\lambda_{87}$  is the decay constant  $1.42 \times 10^{-11} \text{ a}^{-1}$  (t<sub>1/2</sub> =  $4.88 \times 10^{10} \text{a}$ ). In addition to this radiogenic  $^{87}Sr$ , most samples will also contain some 'ordinary' Sr. The total number of  $^{87}Sr$  atoms measured is therefore given by:

$$^{87}Sr = ^{87}Sr^* + ^{87}Sr_{\circ} \tag{4.5}$$

with  $^{87}Sr_{\circ}$  the initial  $^{87}Sr$  present at the time of isotopic closure. Combining Equations 4.5 and 4.3, we obtain:

$${}^{87}Sr = {}^{87}Sr_{\circ} + {}^{87}Rb(e^{\lambda_{87}t} - 1)$$

$$(4.6)$$

Dividing this by the non-radiogenic <sup>86</sup>Sr yields

$$\frac{^{87}Sr}{^{86}Sr} = \left(\frac{^{87}Sr}{^{86}Sr}\right) + \frac{^{87}Rb}{^{86}Sr}(e^{\lambda_{87}t} - 1) \tag{4.7}$$

The method can be applied to single minerals or to whole rocks. Given the very long half life, the optimal time scale ranges from the formation of the solar system to the late Palaeozoic (300-400 Ma). To measure a Rb/Sr age, the weight percentage of Rb is measured by means of X-ray fluorescence, ICP-OES or similar techniques, and the  $^{87}$ Sr/ $^{86}$ Sr ratio is determined by mass spectrometry (isotope dilution). The  $^{87}$ Rb/ $^{86}$ Sr-ratio is then calculated as:

$$\frac{^{87}Rb}{^{86}Sr} = \frac{Rb}{Sr} \frac{Ab(^{87}Rb)A(Sr)}{Ab(^{86}Sr)A(Rb)}$$
(4.8)

Where  $Ab(\cdot)$  signifies 'abundance' and  $A(\cdot)$  'atomic weight'.

#### 4.3 Isochrons

Equation 4.8 can be used in one of two ways. A first method is to use an assumed value for (87Sr/86Sr)<sub>o</sub>, based on the geological context of the sample. This method is only reliable for samples with a high Rb/Sr ratio (e.g., biotite) because in that case, a wrong value for (87Sr/86Sr)<sub>o</sub> has only a minor effect on the age. A second and much better method is to analyse several minerals of the same sample and plot them on a (87Rb/86Sr) vs. (87Sr/86Sr) diagram (Figure 4.1). Due to Equation 4.7, this should form a linear

array (the so-called *isochron*) with slope  $(e^{\lambda_{87}t} - 1)$  and intercept  $(^{87}Sr/^{86}Sr)_{\circ}$ . Both parameters can be determined by linear regression, allowing us to quantify the 'goodness of fit' of the data and obviating the need to assume any initial Sr-ratios.

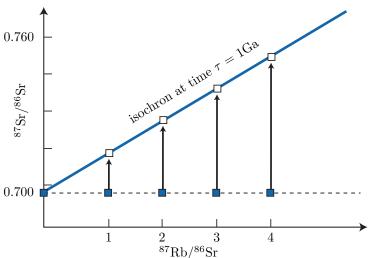


Figure 4.1: Schematic evolution of the  $^{87}\mathrm{Sr}/^{86}\mathrm{Sr}$ -system as a function of time for multiple aliquots of a hypothetical sample with initial ratio  $(^{87}\mathrm{Sr}/^{86}\mathrm{Sr})_{\circ}=0.700$ . The slope of the isochron is a function of the age as per Equation 4.7 (modified from Allègre, 2008).

#### 4.4 The Sm-Nd method

The elements Neodymium (Z=60) and Samarium (Z=62) are so-called 'rare earth elements'. All elements of this family have similar chemical properties. They nearly all form 3+ ions of roughly equal albeit slightly decreasing size with atomic number. The ionic radius of Nd and Sm is 1.08 and 1.04 Å, respectively. As the name suggests, rare earth elements rarely form the major constituents of minerals. One notable exception is monazite, which is a rare earth phosphate. In most cases, the rare earth elements are found in trace amounts of up to 0.1% in apatite [Ca<sub>5</sub>(PO<sub>4</sub>)<sub>3</sub>(OH,Cl,F)] and zircon [ZrSiO<sub>4</sub>]. Both Sm and Nd are slightly enriched in feldspar, biotite and apatite and thus tend to be found in higher concentrations in differentiated (alkalic) magmatic rocks.

Because their chemical properties are so similar, geological processes are rarely capable of fractionating the Sm and Nd concentrations. Therefore, the Sm/Nd ratio in most rocks generally falls in a narrow range of 0.1 to 0.5 (the Sm/Nd ratio of the solar system being 0.31). One exception is garnet, in which Sm/Nd ratios > 1 have been found. Partial melting of mafic minerals such as pyroxene and olivine produces lower Sm/Nd ratios in the fluid phase than the solid residue. The Sm/Nd ratio of magmatic rocks therefore decreases with increasing differentiation.

Natural Sm contains seven naturally occurring isotopes, three of which are radioactive ( $^{147}$ Sm,  $^{148}$ Sm and  $^{149}$ Sm). Only  $^{147}$ Sm has a sufficiently short half life to be useful for geochronology. Nd also contains seven isotopes, of which only one is radioactive ( $^{144}$ Nd) but with a very long half life.  $^{143}$ Nd is the radiogenic daughter of  $^{147}$ Sm and is formed by  $\alpha$ -decay. This forms the basis of the Sm-Nd chronometer. Analogous to the Rb-Sr method (Equation 4.4), we can write:

$$^{143}Nd^* = ^{147}Sm(e^{\lambda_{147}t} - 1) \tag{4.9}$$

Hence:

$$t = \frac{1}{\lambda_{147}} \ln \left( \frac{^{143}Nd^*}{^{147}Sm} + 1 \right) \tag{4.10}$$

With  $\lambda_{147} = 6.54 \times 10^{-12} a^{-1}$  ( $t_{1/2} = 1.06 \times 10^{11}$ a). Since most samples contain some initial Nd, the preferred way to calculate Sm/Nd ages is by analysing several minerals in a rock and create an isochron,

similar to the Rb/Sr method (Section 4.3):

$$\frac{^{143}Nd}{^{144}Nd} = \left(\frac{^{143}Nd}{^{144}Nd}\right)_{0} + \frac{^{147}Sm}{^{144}Nd}\left(e^{\lambda_{147}t} - 1\right) \tag{4.11}$$

All measurements are done by mass spectrometry using isotope dilution. Because of the identical atomic masses of  $^{147}$ Sm and  $^{147}$ Nd, it is necessary to perform a chemical separation between Sm and Nd prior to analysis.

The Sm/Nd method is generally applied to basic and ultrabasic igneous rocks (basalt, peridotite, komatiite) of Precambrian to Palaeozoic age. The method thus complements the Rb/Sr method, which is preferentially applied to acidic rock types. The Sm/Nd method can also be applied to high grade metamorphic rocks (granulites, eclogites) as well as meteorites (shergottites, nahklites). Since the rare earths are significantly less mobile than Rb and Sr, the Sm/Nd is more reliable in rocks that have been disturbed by weathering or metamorphism.

## The U-Pb system

U and Th are found on the extremely heavy end of the Periodic Table of Elements. All their isotopes are radioactive and exhibit  $\alpha$ -decay and sometimes even spontaneous fission (see Section 7.2). <sup>232</sup>Th, <sup>235</sup>U and <sup>238</sup>U each form the start of long decay series comprising multiple  $\alpha$ - and  $\beta$  emissions which eventually produce various isotopes of Pb:

$${}^{238}U \rightarrow {}^{206}Pb + 8\alpha + 6\beta + 47 \text{MeV}$$

$${}^{235}U \rightarrow {}^{207}Pb + 7\alpha + 4\beta + 45 \text{MeV}$$

$${}^{232}Th \rightarrow {}^{208}Pb + 6\alpha + 4\beta + 40 \text{MeV}$$
(5.1)

Each of these three decay series is unique, i.e. no isotope occurs in more than one series (Figure 2.2). Furthermore, the half life of the parent isotope is much longer than any of the intermediary daughter isotopes, thus fulfilling the requirements for secular equilibrium (Section 2.4). We can therefore assume that the  $^{206}$ Pb is directly formed by the  $^{238}$ U, the  $^{207}$ Pb from the  $^{235}$ U and the  $^{208}$ Pb from the  $^{232}$ Th. Several chronometers are based on the  $\alpha$ -decay of U and Th:

- The U-Th-Pb method (Section 5.1)
- The Pb-Pb method (Section 5.2)
- The U-Th-He method (Section 7.1)

## 5.1 The U-(Th-)Pb method

Natural Pb consists of four isotopes <sup>204</sup>Pb, <sup>206</sup>Pb, <sup>207</sup>Pb and <sup>208</sup>Pb. The ingrowth equations for the three radiogenic Pb isotopes are given by:

$${}^{206}Pb^* = {}^{238}U\left(e^{\lambda_{238}t} - 1\right)$$

$${}^{207}Pb^* = {}^{235}U\left(e^{\lambda_{235}t} - 1\right)$$

$${}^{208}Pb^* = {}^{232}Th\left(e^{\lambda_{232}t} - 1\right)$$

$$(5.2)$$

With  $\lambda_{238}=1.55125 \times 10^{-10} a^{-1}$  (t<sub>1/2</sub> = 4.468 Gyr),  $\lambda_{235}=9.8485 \times 10^{-10} a^{-1}$  (t<sub>1/2</sub> = 703.8 Myr), and  $\lambda_{232}=0.495 \times 10^{-10} a^{-1}$  (t<sub>1/2</sub> = 14.05 Gyr). The corresponding age equations are:

$$t_{206} = \frac{1}{\lambda_{238}} \ln \left( \frac{^{206}Pb^*}{^{238}U} + 1 \right)$$

$$t_{207} = \frac{1}{\lambda_{235}} \ln \left( \frac{^{207}Pb^*}{^{235}U} + 1 \right)$$

$$t_{208} = \frac{1}{\lambda_{232}} \ln \left( \frac{^{208}Pb^*}{^{232}Th} + 1 \right)$$
(5.3)

Some igneous minerals (notably zircon) conveniently incorporate lots of U and virtually no Pb upon crystallisation. For those minerals, the non-radiogenic Pb can be safely neglected (at least for relatively young ages), so that we can assume that  $Pb \approx Pb^*$ . This assumption cannot be made for other minerals,

young ages, and high precision geochronology. In those cases, the inherited component (aka 'common Pb') needs to be quantified, which is done by normalising to non-radiogenic <sup>204</sup>Pb:

$$\frac{{}^{206}P_b}{{}^{204}P_b} = \left(\frac{{}^{206}P_b}{{}^{204}P_b}\right) + \frac{{}^{238}U}{{}^{204}P_b} \left(e^{\lambda_{238}t} - 1\right) 
 - \frac{{}^{207}P_b}{{}^{204}P_b} = \left(\frac{{}^{207}P_b}{{}^{204}P_b}\right) + \frac{{}^{235}U}{{}^{204}P_b} \left(e^{\lambda_{235}t} - 1\right) 
 - \frac{{}^{208}P_b}{{}^{204}P_b} = \left(\frac{{}^{208}P_b}{{}^{204}P_b}\right) + \frac{{}^{232}T_b}{{}^{204}P_b} \left(e^{\lambda_{232}t} - 1\right)$$
(5.4)

where  $\left(\frac{^xPb}{^{204}Pb}\right)_{\circ}$  stands for the common Pb component for isotope x. The corresponding age equations then become:

$$t_{206} = \frac{1}{\lambda_{238}} \ln \left( \frac{\left(\frac{206P_b}{204P_b}\right) - \left(\frac{206P_b}{204P_b}\right)_{\circ}}{\frac{238U_b}{204P_b}} + 1 \right)$$

$$t_{207} = \frac{1}{\lambda_{235}} \ln \left( \frac{\left(\frac{207P_b}{204P_b}\right) - \left(\frac{207P_b}{204P_b}\right)_{\circ}}{\frac{225U_b}{204P_b}} + 1 \right)$$

$$t_{208} = \frac{1}{\lambda_{232}} \ln \left( \frac{\left(\frac{208P_b}{204P_b}\right) - \left(\frac{208P_b}{204P_b}\right)_{\circ}}{\frac{232T_b}{204P_b}} + 1 \right)$$
(5.5)

U-Pb dating grants access to two separate geochronometers ( $^{206}\text{Pb}/^{238}\text{U}$  and  $^{207}\text{Pb}/^{235}\text{U}$ ) based on different isotopes of the same parent-daughter pair (i.e. U & Pb). This built-in redundancy provides a powerful internal quality check which makes the method arguably the most robust and reliable dating technique in the geological toolbox. The initial Pb composition can either be determined by analysing the Pb composition of a U-poor mineral (e.g., galena or feldspar) or by applying the isochron method to samples with different U and Th concentrations. As is the case for any isotopic system, the system needs to remain 'closed' in order to yield meaningful isotopic ages. This sometimes is not the case, resulting in a loss of Pb and/or U. Such losses cause the  $^{206}\text{Pb}/^{238}\text{U}$ - and  $^{207}\text{Pb}/^{235}\text{U}$ -clocks to yield different ages. Note that isotopic closure is required for all intermediary isotopes as well. Critical isotopes are the highly volatile  $^{226}\text{Rn}$  ( $t_{1/2}$ =1.6ka) and  $^{222}\text{Rn}$  ( $t_{1/2}$ =3.8d). Initially, the U-Pb method was applied to U-ores, but nowadays it is predominantly applied to accessory minerals such zircon and, to a lesser extent, apatite, monazite and allanite.

#### 5.2 The Pb-Pb method

The  $^{207}$ Pb/ $^{206}$ Pb method is based on the U-Pb method and is obtained by dividing the two U-Pb members of Equation 5.2 (or 5.4), and taking into account that the average natural  $^{238}$ U/ $^{235}$ U-ratio is 137.818:

$$\frac{^{207}Pb^*}{^{206}Pb^*} = \frac{\binom{^{207}Pb}{^{204}Pb} - \binom{^{207}Pb}{^{204}Pb}}{\binom{^{206}Pb}{^{204}Pb} - \binom{^{206}Pb}{^{204}Pb}}_{\circ} = \frac{1}{137.818} \frac{e^{\lambda_{235}t} - 1}{e^{\lambda_{238}t} - 1}$$
(5.6)

The left hand side of this equation contains only Pb isotopic ratios. Note that these are *only* a function of time. Equations 5.6 has no direct solution and must be solved iteratively. The Pb-Pb method has the following advantages over conventional U-Pb dating:

- There is no need to measure uranium.
- The method is insensitive to recent loss of U and even Pb, because this would not affect the isotopic ratio of the Pb.

In practice, the Pb-Pb method is rarely applied by itself but is generally combined with the U-Pb technique. The expected  $(^{207}Pb/^{206}Pb)^*$ -ratio for recently formed rocks and minerals can be calculated from Equation 5.6 by setting t $\rightarrow$ 0:

$$\left(\frac{^{207}Pb}{^{206}Pb}\right)_{p}^{*} = \frac{\lambda_{235}}{137.818\lambda_{238}} = 0.04607$$
(5.7)

This ratio was progressively higher as one goes back further in time. It was  $\approx 0.6$  during the formation of Earth.

#### 5.3 Concordia

It sometimes happens that the U-Th-Pb trio of chronometers does not yield mutually consistent ages. It is then generally found that  $t_{208} < t_{206} < t_{207} < t_{207/206}$  which, again, shows that the Pb-Pb clock is least sensitive to open system behaviour. From Equation 5.2, we find that:

$$\frac{\frac{206Pb^*}{238U}}{\frac{207Pb^*}{235U}} = e^{\lambda_{235}t} - 1 \text{ and}$$

$$\frac{e^{\lambda_{235}t} - 1}{\frac{207Pb^*}{235U}} = e^{\lambda_{235}t} - 1$$
(5.8)

If we plot those  $^{206}{\rm Pb^*}/^{238}{\rm U}$ - and  $^{207}{\rm Pb^*}/^{235}{\rm U}$ -ratios which yield the same ages (t) against one another, they form a so-called 'concordia' curve. The concordia diagram is a very useful tool for investigating and interpreting disruptions of the U-Pb system caused by 'episodic lead loss'. This means that a mineral (of age  $T_{\circ}$ , say) has lost a certain percentage of its radiogenic Pb at a time  $T_{1}$  after its formation (e.g., during metamorphism), after which the system closes again and further accumulation of radiogenic Pb proceeds normally until the present. On the concordia diagram of multiple aliquots of a sample, this scenario will manifest itself as a linear array of datapoints connecting the concordant  $^{206}{\rm Pb^*}/^{238}{\rm U}$  -  $^{207}{\rm Pb^*}/^{235}{\rm U}$  composition expected at  $T_{\circ}$  with that expected at  $T_{1}$ . With time, the data shift further away from the origin. The upper intercept of the linear array (aka discordia line) can be used to estimate the crystallisation age, whereas the lower intercept yields the age of metamorphism. The greater the distance from the expected composition at t, the greater the degree of Pb loss and the greater the linear extrapolation error on the crystallisation age (Figure 5.1).

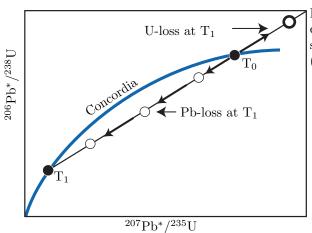


Figure 5.1: 'Wetherill' concordia diagram showing concordant (filled symbols) and discordant (empty symbols) analyses affected by different degrees of Pb (or U) loss (modified from Allègre, 2008).

#### 5.4 Detrital geochronology

Zircon (ZrSiO<sub>4</sub>) is a common U-Th-bearing accessory mineral in acidic igneous rocks, which form the main proto-sources of the siliciclastic sediments. Zircon is a very durable mineral that undergoes minimal chemical alteration or mechanical abrasion. Therefore, zircon crystals can be considered time capsules carrying the igneous and metamorphic history of their proto-sources. The probability distribution of a representative sample of zircon U-Pb ages from a detrital population can serve as a characteristic fingerprint that may be used to trace the flow of sand through sediment routing systems. As a provenance tracer, zircon U-Pb data are less susceptible to winnowing effects than conventional petrographic techniques. Using modern microprobe technology (SIMS and LA-ICP-MS, see Chapter 3.1), it is quite easy to date, say, a hundred grains of zircon in a matter of just a few hours. Due to the robustness of zircons as a tracer of sedimentary provenance, and the relative ease of dating them, the use of detrital zircon U-Pb geochronology has truly exploded in recent years. A literature survey using the keywords 'detrital', 'zircon', and 'provenance' indicates that the proliferation of detrital zircon studies has followed an exponential trend, with the number of publications doubling roughly every five years over the past two decades. At present, nearly a thousand detrital zircon publications appear each year.

An extensive survey of late Archaean sandstones from the Jack Hills in Australia have revealed a subpopulation of detrital zircons with Hadean (4.1-4.2 Ga) U-Pb ages. These are the oldest terrestrial minerals known to science, predating the oldest igneous rocks by 300 million years. The isotopic composition of oxygen, hafnium and other elements in the zircon represents a unique window into the earliest stages of Earth evolution. They indicate that liquid water was present on the surface of our planet early on in its history. This isotopic evidence is corroborated by the geological observation that the Hadean zircons are preserved in fluvial deposits.

## The K-Ar system

Potassium has three naturally occurring isotopes:  $^{39}$ K,  $^{40}$ K and  $^{41}$ K.  $^{40}$ K is radioactive and undergoes branched decay to  $^{40}$ Ca (by electron emission  $\lambda_{\beta-}=4.962\times 10^{-10}yr^{-1}$ ) and  $^{40}$ Ar (by electron capture  $\lambda_e=0.581\times 10^{-10}yr^{-1}$ ) with a combined half life of 1.248 billion years. The positron emission mechanism mentioned in Chapter 2.2 has an extremely long half life and can therefore safely be neglected. In addition to  $^{40}$ Ar, argon has two more stable isotopes:  $^{36}$ Ar and  $^{38}$ Ar. Argon makes up  $\sim 1\%$  of the terrestrial atmosphere, with a fixed isotopic composition of  $^{40}$ Ar/ $^{36}$ Ar = 298.5 and  $^{38}$ Ar/ $^{36}$ Ar = 0.187. The argon contained in K-bearing minerals is made up of a mixture of radiogenic ( $^{40}$ Ar\*) and non-radiogenic gas ( $^{40}$ Ar $_{\circ}$ ):

$$\begin{array}{rcl}
^{40}Ar &= ^{40}Ar_{\circ} + ^{40}Ar^{*} \\
\text{where } ^{40}Ar^{*} &= \frac{\lambda_{c}}{\lambda} ^{40}K\left(e^{\lambda t} - 1\right)
\end{array} (6.1)$$

with  $\lambda$  the total decay constant of  $^{40}$ K ( $\lambda = \lambda_e + \lambda_{\beta-} = 5.543 \times 10^{-10} yr^{-1}$ ).

## 6.1 K-Ar dating

The  $^{40}{
m K} \to ^{40}{
m Ar}^*$  decay scheme forms the basis of the K-Ar geochronometer, with the following age equation:

$$t = \frac{1}{\lambda} \ln \left[ 1 + \frac{\lambda}{\lambda_e} \left( \frac{^{40}Ar^*}{^{40}K} \right) \right] \tag{6.2}$$

Taking into account the 'contaminated' (aka 'excess' or 'inherited') argon component  $^{40}Ar_{\circ}$  and analysing several cogenetic rocks or minerals with different K (and therefore  $^{40}Ar^{*}$ ) contents, an *isochron* equation can be formed by division through  $^{36}Ar$ :

$$\frac{^{40}Ar}{^{36}Ar} = \left(\frac{^{40}Ar}{^{36}Ar}\right)_{0} + \frac{\lambda_{e}}{\lambda} \frac{^{40}K}{^{36}Ar} \left(e^{\lambda t} - 1\right) \tag{6.3}$$

which can be solved for t. Alternatively, we can simply assume that all the inherited argon has an atmospheric origin, so that  $(^{40}Ar)^{36}Ar)_{\circ} = 298.5$ .

## 6.2 $^{40}$ Ar/ $^{39}$ Ar dating

From an analytical perspective, K-Ar dating is a two step process. Because K (an alkali metal) and Ar (a noble gas) cannot be measured on the same analytical equipment, they must be analysed separately on two different aliquots of the same sample. This limitation is overcome by the  $^{40}{\rm Ar}/^{39}{\rm Ar}$  technique, which is a clever variation of the K-Ar method. The idea is to subject the sample to neutron irradiation and convert a small fraction of the  $^{39}{\rm K}$  to synthetic  $^{39}{\rm Ar}$ , which has a half life of 269 years. The age equation can then be rewritten as follows:

$$t_x = \frac{1}{\lambda} \ln \left[ 1 + J \left( \frac{^{40}Ar^*}{^{39}Ar} \right)_x \right] \tag{6.4}$$

where 'x' stands for 'sample' and J is a constant of proportionality which encapsulates the efficiency of the  $^{39}$ K (n,p)  $^{39}$ Ar reaction and into which the factor  $\lambda/\lambda_e$  is folded as well. The J-value can be determined by analysing a standard of known age  $t_s$  which was co-irradiated with the sample:

$$t_s = \frac{1}{\lambda} \ln \left[ 1 + J \left( \frac{^{40}Ar^*}{^{39}Ar} \right)_s \right] \tag{6.5}$$

In which the subscript 's' stands for 'standard'. The great advantage of equation 6.4 over 6.2 is that all measurements can be completed on the same aliquot and using a single instrument, namely a noble gas mass spectrometer, which can analyse extremely small (down to  $\mu$ g-sized) samples.

The  $^{40}\mathrm{Ar}/^{39}\mathrm{Ar}$ -method also allows the analyst to investigate the extent of argon loss by means of stepwise heating experiments. This is done by degassing the sample under ultra-high vacuum conditions in a resistance furnace. At low temperatures, the weakly bound Ar is released, whereas the strongly bound Ar is released from the crystal lattice at high temperatures until the sample eventually melts. Plotting the apparent ages against the cumulative fraction of  $^{39}\mathrm{Ar}$  released yields an  $^{40}\mathrm{Ar}/^{39}\mathrm{Ar}$  age spectrum (Figure 6.1). If a rock or mineral has remained closed since its formation, the  $^{40}\mathrm{Ar}/^{39}\mathrm{Ar}$ -ratio should remain constant over the course of the different heating steps, forming an 'age plateau'. More complex (e.g. rising) release spectra, on the other hand, are diagnostic of complex thermal histories featuring partial argon loss. 'saddle' shaped release spectra are indicative of 'excess' argon. The composition of the inherited argon gas can be determined using a variant of the isochron method, assuming that all  $^{36}Ar$  is inherited:

$$\frac{^{40}Ar}{^{36}Ar} = \left(\frac{^{40}Ar}{^{36}Ar}\right)_{0} + \frac{^{39}Ar}{^{36}Ar} \frac{e^{\lambda t} - 1}{J}$$
 (6.6)

If the Ar contamination is constant throughout the entire sample, then the  $\frac{^{40}Ar}{^{36}Ar}$ -measurements will be arranged along a linear trend whose slope is a function of  $\frac{^{40}Ar^*}{^{39}Ar}$  and, hence, the age.

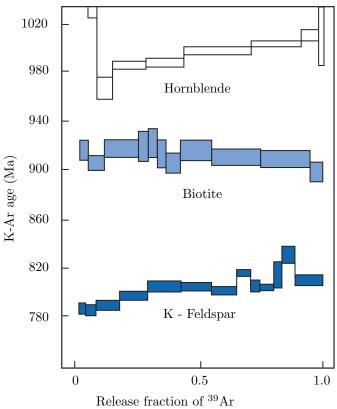


Figure 6.1: <sup>40</sup>Ar/<sup>39</sup>Ar age spectra obtained by stepwise heating of three different K-bearing minerals. Biotite exhibits a flat 'plateau', indicating a simple history of rapid crystallisation and/or cooling. K-feldspar shows a rising age spectrum, consistent with a more complex evolution comprising multiple growth phases and/or thermal resetting. Finally, hornblende shows a 'U-shaped' release spectrum in which the first heating step releases a large amount of 'excess' argon (modified from Allègre, 2008).

## 6.3 Applications

The K-Ar and  $^{40}$ Ar/ $^{39}$ Ar-methods are some of the most widely used geochronometers and important tools in the calibration of the geologic time scale. The method is applicable to rocks and minerals >  $10^6$ yr. Obviously, younger materials require more careful treatment of the inherited argon components.

- Magmatic rocks: formation ages can only be obtained for rapidly cooled volcanic rocks, using either mineral separates (sanidine, biotite, hornblende) or whole rocks. Pyroclastics and obsidian may yield reliable ages only if they are unaltered and contain little non-radiogenic argon. Plutonic rocks typically cool much slower than volcanic rocks and generally yield cooling ages rather than formation ages.
- Sedimentary rocks: K-Ar dating of *authigenic* mineral phases has often been attempted but remains difficult. Glauconite has been used successfully in some cases. Dating detrital minerals such as white mica (muscovite, phengite) in fluvial sediments is frequently used to study the metamorphic history of the hinterland.
- Metamorphic rocks: pelitic metamorphic rocks tend to be rich in K-bearing micas and amphiboles, which can easily be dated with the K-Ar and  $^{40}\mathrm{Ar}/^{39}\mathrm{Ar}$  methods, but require careful interpretation. In high grade metamorphic terranes, the apparent ages can either reflect the metamorphic crystallisation history or the postmetamorphic cooling history. Low grade metamorphic terranes, on the other hand, carry a risk of containing inherited argon components from previous evolutionary stages.

## Thermochronology

The temperature sensitivity of the K-Ar system (Section 6) is a characteristic feature not only of this method, but of a separate class of geochronometers known as 'thermochronometers'. The most important of these methods are the U-Th-He 7.1 and fission track 7.2 techniques, which are becoming increasingly popular as a means of investigating Earth surface processes.

#### 7.1 The U-Th-He method

When U and Th decay to various isotopes of Pb (Section 5.1), they do so by  $\alpha$ -emission (Section 2.2). When  $\alpha$  particles acquire electrons, they become helium atoms. Thus, not only Pb content, but also the He content increases relative to U and Th through time, forming the basis of the U-Th-He chronometer:

$${}^{4}He = \left[ 8\frac{137.818}{138.818} (e^{\lambda_{238}t} - 1) + 7\frac{1}{138.818} (e^{\lambda_{235}t} - 1) \right] U + 6(e^{\lambda_{232}t} - 1)Th + 0.1499(e^{\lambda_{147}t} - 1)Sm$$

$$(7.1)$$

Where the values '8', '7' and '6' refer to the number of  $\alpha$ -particles produced in the decay chains of  $^{238}$ U,  $^{235}$ U and  $^{232}$ Th, respectively (Figure 2.2), 137.818 is the (average) ratio of naturally occurring  $^{238}$ U and  $^{235}$ U in accessory minerals, and the last term accounts for the (often negligible) accumulation of helium by Sm-decay (Section 4.4). It was Ernest Rutherford who first proposed that the U-Th-He decay scheme could be used as an absolute dating technique, making it the oldest radiometric chronometer. Early experiments on uraninite (UO<sub>2</sub>) by Robert John Strutt ( $^{4th}$  Baron Rayleigh) at Imperial College London in 1905 yielded ages that were systematically too young. This was correctly attributed to the volatile nature of the helium atom, which diffuses out of most minerals at low temperatures and therefore yields only minimum ages. As a result, the method was largely abandoned until 1987, when American geochronologist Peter Zeitler realised that this 'leaky' behaviour provided a powerful means of reconstructing the thermal evolution of rocks and minerals.

Let C(x,y,z) be the He-concentration as a function of the spatial coordinates x, y and z. The evolution of C with time (t) is given by the diffusion equation ('Fick's law'):

$$\frac{\partial C}{\partial t} = D \left( \frac{\partial^2 C}{\partial x^2} + \frac{\partial^2 C}{\partial y^2} + \frac{\partial^2 C}{\partial z^2} \right) \tag{7.2}$$

Where D is the 'diffusion coefficient', which varies exponentially with temperature (T) according to the 'Arrhenius Law':

$$D = D_{\circ}e^{-\frac{E_a}{RT}} \tag{7.3}$$

with  $D_o$  the 'frequency factor',  $E_a$  the 'activation energy' and R the ideal gas constant (8.3144621 J/mol.K). By taking the logarithm of both sides of Equation 7.3, we obtain (Figure 7.1):

$$\ln(D) = \ln(D_{\circ}) - \frac{E_a}{RT} \tag{7.4}$$

Both the frequency factor and the activation energy can be determined from diffusion experiments, in which a He-bearing mineral is subjected to a step-heating experiment similar to the kind we saw in the  ${}^{40}\text{Ar}/{}^{39}\text{Ar}$  method (Section 6.2).

Let us now consider the situation of a mineral which (a) accumulates He through radioactive decay of U and Th, (b) loses He by thermal diffusion, and (c) undergoes monotonic cooling at a variable rate dT/dt. At high temperatures, the He will be lost quickly but as time progresses, the thermal diffusion becomes increasingly sluggish until the He is eventually 'locked' into the crystal lattice and the isotopic system is effectively *closed*. If the thermal history is so that 1/T increases linearly with time, then it is possible to calculate an equivalent 'closure temperature'  $T_c$ . This is known as 'Dodson's equation':

$$\frac{E_a}{RT_c} = \ln\left(\frac{ART_c^2 D_o/r^2}{E_a dT/dt}\right) \tag{7.5}$$

where r = is the effective grain size (radius) of the mineral and A is a geometric factor (55 for a sphere, 27 for a cylinder and 8.7 for a plane sheet). Thus the U-Th-He age calculated at the end of the aforementioned thermal history equals that which would have been obtained if He accumulated linearly since the rock passed through  $T_c$ .

Although the closure temperature concept is an oversimplification of reality, it has great intuitive appeal. Consider, for example, a vertical transect in a rapidly exhuming mountain range. The apparent U-Th-He ages along such a transect are approximately given by the time elapsed since the respective rocks have passed through  $T_c$ . For apatite  $[Ca_5(PO_4)_3(OH,F,Cl)]$ , this is  $\sim 60^{\circ}C$ , which corresponds to a depth (assuming a thermal gradient of  $30^{\circ}C/km$ ) of 1.5-2km. Thus, the rocks at the high elevations along the transect will have passed through  $T_c$  before those collected at the bottom of the transect, and the corresponding U-Th-He ages will therefore increase with elevation. Moreover, the rate of increase of age increase with elevation can be used to estimate the exhumation rate of the orogen.

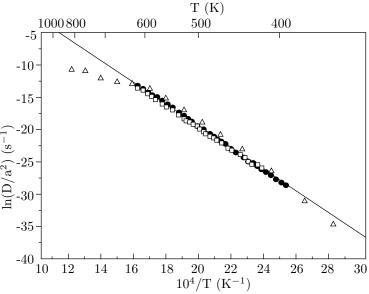


Figure 7.1: 'Arrhenius' diagram of three step-heating experiments of  $^4$ He in apatite, showing simple diffusion behaviour in agreement with Equation 7.3. Extrapolating the linear trend to geological time scales yields a 'closure temperature' of  $\sim 60^{\circ}$ C (Equation 7.5). Modified from Braun, Van Der Beek, and Batt (2006).

#### 7.2 Fission tracks

In addition to  $\alpha$ ,  $\beta$  and  $\gamma$  decay, which form the basis of the U-Th-Pb (Section 5) and U-Th-He (Section 7.1) methods, a tiny fraction (1/1,000,000) of the  $^{238}$ U atoms decay by *spontaneous fission* (Section 2.2). In this decay mechanism, the parent nuclide (i.e.,  $^{238}$ U) decays into two daughter nuclides of roughly equal mass (e.g., Ba and Kr). These two particles carry a large amount of energy ( $\sim 170$  MeV) and, having a positive charge, strongly repel each other. Each of the two fission fragments travels through the crystal lattice of the host mineral, leaving a trail of damage behind. Although fission tracks can be

directly observed by transmission electron microscopy (TEM), a more practical approach is to etch (a polished surface of) the host mineral with acid. This enlarges the damage zones and makes it possible to count them under an ordinary petrographic microscope. The volume density  $n_s$  (in cm<sup>-3</sup>) of the fission tracks is given by:

$$n_s = \frac{\lambda_f}{\lambda} [^{238}U] \left( e^{\lambda t} - 1 \right) \tag{7.6}$$

where [ $^{238}U$ ] stands for the volume density the  $^{238}U$  atoms,  $\lambda_f$  is the fission decay constant ( $8.46\times10^{-17}$  yr $^{-1}$ ) and  $\lambda$  is the total decay constant of  $^{238}U$  ( $1.55125\times10^{-10}$ yr $^{-1}$ , see Section 5). The (unobservable) volume density of the tracks is related to the (observable) surface density  $\rho_s$  (in cm $^{-2}$ ) by:

$$\rho_s = g_s L n_s \tag{7.7}$$

Where  $g_s$  is geometry factor ( $g_s=1$  if determined on an internal and  $g_s=1/2$  on an external surface) and L is the etchable length of a fission track ( $\sim 15 \mu m$ ). Rearranging Equation 7.6 for time:

$$t = \frac{1}{\lambda} \ln \left( \frac{\lambda}{\lambda_f} \frac{\rho_s}{[^{238}U]g_s L} + 1 \right) \tag{7.8}$$

In practice, [ $^{238}U$ ] is determined by irradiating the (etched) sample with thermal neutrons in a reactor. This irradiation induces synthetic fission of  $^{235}U$  in the mineral (Equation 2.1). These tracks can be monitored by attaching a mica detector to the polished mineral surface and etching this monitor subsequent to irradiation (Figure 7.2). The surface density of the induced tracks in the mica detector ( $\rho_i$ ) is a function of the nuclear cross section of the neutron-induced fission reaction on  $^{235}U$  and the neutron fluence in the reactor, both of which are unknown. A pragmatic solution to this problem is found by irradiating the sample along with a standard of known age, and lumping all the unknown parameters together into a calibration factor ( $\zeta$ ), so that the age of the sample reduces to:

$$t = \frac{1}{\lambda} \ln \left( 1 + \frac{g_i}{g_s} \lambda \zeta \rho_d \frac{\rho_s}{\rho_i} \right) \tag{7.9}$$

where  $g_s = 1$ ,  $g_i = 1/2$  and  $\rho_d$  is the surface density of the induced fission tracks in a dosimeter glass of known (and constant) U concentration. The latter value is needed to 'recycle' the  $\zeta$  value from one irradiation batch to the next, as neutron fluences might vary through time, or within a sample stack.

Laboratory experiments have revealed that fission tracks are sensitive to heat. For example, it suffices that apatite is heated to 500°C for 1 hour for all the latent fission tracks to anneal. Moderate heating shortens the tracks and reduces their surface density and, hence, the apparent age of the sample. In boreholes, the apparent fission track age remains constant until a depth is reached where the ambient temperature is high enough for the tracks to be reduced in size and number. This region is called the *Partial Annealing Zone* (PAZ). Below the PAZ, no tracks are retained (Figure 7.3). The reduction of the surface density of the spontaneous tracks per unit time can be written as a function of temperature (T, in Kelvin):

$$\frac{d\rho_s}{dt} = -C\rho_s e^{-E_a/kT} \tag{7.10}$$

where C is a material constant,  $E_a$  is the activation energy for track shortening and k is the Boltzmann constant  $(8.616 \times 10^{-5} \text{ eV/K})$ . Integration of Equation 7.10 yields:

$$\ln\left(\frac{\rho_{\circ}}{\rho}\right) = C \ t \ e^{-E_a/kT} \tag{7.11}$$

where  $\rho_{\circ}$  is the initial track density prior to heating. Taking logarithms:

$$\ln(t) = \frac{E_A}{kT} + \ln\left[\ln\left(\frac{\rho_o}{\rho}\right)\right] - \ln(C)$$
 (7.12)

For any given retention coefficient  $\rho_{\circ}/\rho$ , there exists a linear relationship between ln(t) and 1/T. This is an Arrhenius trend similar to the one described by Equation 7.3 in the context of U-Th-He thermochronology. By extrapolating the Arrhenius diagram to long time scales ( $t \sim 10^6 \text{yr}$ ), it is possible

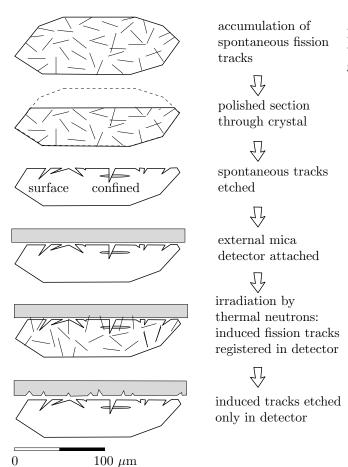


Figure 7.2: Schematic diagram illustrating the external detector method for fission track geochronology (modified from Galbraith, 2005).

to calculate a 'closure temperature'  $T_c$  similar to that which is calculated for the U-Th-He system. For a patite,  $T_c \approx 100^{\circ}C$ , whereas for zircon,  $T_c \approx 240^{\circ}C$ .

If a sample has spent some of its time inside the PAZ, then the subpopulation of its fission tracks formed during that thime will be shorter than those that subsequently formed above the PAZ. The probability distribution of the fission track lengths can be determined by measuring the distance between the two tips of a large number of (100, say) horizontally confined fission tracks under the optical microscope. Sophisticated inverse modelling algorithms have been developed to interpret these length distributions and extract continuous time-temperature (t-T) paths from them. Such modelling exercises have become an integral part of modern fission track studies.

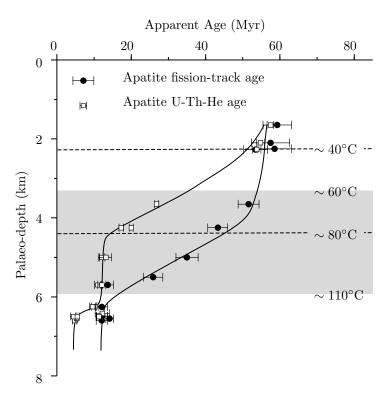


Figure 7.3: Vertical profile of U-Th-He and fission track ages in apatite, collected along the footwall of an exhumed normal fault block in the White Mountains of eastern California. Samples at the highest elevations have resided at shallow depths for up to 55 Myr. Dashed lines show the extent of the 'Partial Retention Zone' (PRZ), in which apatite has partially lost its radiogenic helium. The grey area indicates the 'Partial Annealing Zone', where fission tracks have been shortened. At low elevations, young ages are observed, indicating rapid exhumation by the normal fault at 12 Ma. Additionally, the U-Th-He data show a hint of a second exhumation phase at 5 Ma (modified from Stockli, Farley and Dumitru, 2000, Geology v. 28, no. 11, p. 983-986).

## U-series disequilibrium methods

In Section 2.4, we saw that the <sup>235</sup>U-<sup>207</sup>Pb and <sup>238</sup>U-<sup>206</sup>Pb decay series generally reach a state of *secular equilibrium*, in which the activity (expressed in decay events per unit time) of each intermediate daughter product is the same, so that:

$$D_n\lambda_n = \cdots = D_2\lambda_2 = D_1\lambda_1 = P\lambda_P$$

as described by Equation 2.20. However, certain natural processes can disturb this equilibrium situation, such as chemical weathering, precipitation from a solution, (re-)crystallisation etc. The leads to two new types of chronometric systems:

- 1. An intermediate daughter isotope in the decay series is separated from its parent nuclide incorporated into a rock or sediment, and decays according to its own half life.
- 2. A parent nuclide has separated itself from its previous decay products and it takes some time for secular equilibrium to be re-established.

This idea is most frequently applied to the  $^{238}$ U-decay series, notably  $^{230}$ Th and  $^{234}$ U. The first type of disequilibrium dating forms the basis of the  $^{234}$ U- $^{238}$ U and  $^{230}$ Th methods (Sections 8.1 and 8.2). The second forms the basis of the  $^{230}$ Th- $^{238}$ U method (Section 8.3)

## 8.1 The $^{234}$ U- $^{238}$ U method

The activity ratio of  $^{238}$ U to its third radioactive daughter  $^{234}$ U in the world's oceans is  $A(^{234}U)/A(^{238}U)$   $\equiv \gamma_o \approx 1.15$ . The slight enrichment of the  $^{234}$ U over  $^{238}$ U is attributed to  $\alpha$ -recoil of its immediate parent  $^{234}$ Th and the fact that  $^{234}$ U is more 'loosely bound' inside the crystal lattice of the host mineral, because it is preferentially seated in sites which have undergone radiation damage. Once the oceanic U is incorporated into the crystal structure of marine carbonates, the radioactive equilibrium gradually restores itself with time. The total activity of  $^{234}$ U is made up of a component which is supported by secular equilibrium (and equals the activity of  $^{238}U$ ) and an 'excess' component, which decays with time:

$$A(^{234}U) = A(^{238}U) + A(^{234}U)_{\circ}^{x} e^{-\lambda_{234}t}$$
(8.1)

where  $A(^{234}U)^x_{\circ}$  is the initial amount of excess  $^{234}$ U and  $\lambda_{234}=2.8234\times 10^{-6}~\rm{yr}^{-1}$  (t<sub>1/2</sub> = 245.5 kyr). Let  $A(^{234}U)_{\circ}$  be the initial total  $^{234}$ U activity. Then:

$$A(^{234}U) = A(^{238}U) + \left[A(^{234}U)_{\circ} - A(^{238}U)\right]e^{-\lambda_{234}t}$$
(8.2)

Dividing by  $A(^{238}U)$ :

$$\frac{A(^{234}U)}{A(^{238}U)} = 1 + [\gamma_0 - 1]e^{-\lambda_{234}t}$$
(8.3)

Which can be solved for t until about 1 Ma.

## 8.2 The <sup>230</sup>Th method

U and Th are strongly incompatible elements. This causes chemical fractionation and disturbs the secular equilibrium of the  $^{238}$ U decay series in young volcanic rocks. It is commonly found that the activity ratio  $A(^{230}Th)/A(^{238}U)>1$ . As expected, the secular equilibrium between  $^{234}$ U and  $^{238}$ U is not disturbed by chemical fractionation, so that  $A(^{234}U)/A(^{238}U)=1$ . The total  $^{230}$ Th activity is given by:

$$A(^{230}Th) = A(^{230}Th)_{\circ}^{x} e^{-\lambda_{230}t} + A(^{238}U)(1 - e^{-\lambda_{230}t})$$
(8.4)

where  $A(^{230}Th)_{\circ}^{x}$  is the initial amount of 'excess'  $^{230}$ Th at the time of crystallisation and  $A(^{238}U)=A(^{234}U)$  due to secular equilibrium of the U isotopes. Thus, the first term of Equation 8.4 increases with time from 0 to  $A(^{238}U)$  while the second term decreases from  $A(^{230}Th)_{\circ}^{x}$  to 0. Dividing by  $A(^{232}Th)$  yields a linear relationship between  $A(^{230}Th)/A(^{232}Th)$  and  $A(^{238}U)/A(^{232}Th)$ :

$$\frac{A(^{230}Th)}{A(^{232}Th)} = \frac{A(^{230}Th)_{\circ}^{x}}{A(^{232}Th)}e^{-\lambda_{230}t} + \frac{A(^{238}U)}{A(^{232}Th)}(1 - e^{-\lambda_{230}t})$$
(8.5)

This forms an isochron with slope  $(1 - e^{-\lambda_{230}t})$ , from which the age t can be calculated. This method is applicable to volcanic rocks and pelitic ocean sediments ranging from 3ka to 1Ma.

#### 8.3 The <sup>230</sup>Th-<sup>238</sup>U method

Uranium is significantly more soluble in water than Th. As a result, the intermediate daughter  $^{230}$ Th is largely absent from sea water. Thus, lacustrine and marine carbonate rocks contain some U but virtually no Th at the time of formation. The  $^{230}$ Th activity increases steadily with time as a result of  $^{234}$ U decay. The total  $^{230}$ Th activity consists of a growing component  $A(^{230}Th)^s$  that is in secular equilibrium with  $^{238}$ U and a shrinking component  $A(^{230}Th)^x$  of 'excess'  $^{230}$ Th produced by the surplus of  $^{234}$ U commonly found in ocean water (see section 8.1):

$$A(^{230}Th) = A(^{230}Th)^s + A(^{230}Th)^x$$
(8.6)

with: 
$$A(^{230}Th)^s = A(^{238}U)(1 - e^{-\lambda_{230}t})$$
 (8.7)

and: 
$$A(^{230}Th)^x = \frac{\lambda_{230}}{\lambda_{230} - \lambda_{234}} A(^{234}U)^x_{\circ} \left(e^{-\lambda_{234}t} - e^{-\lambda_{230}t}\right)$$
 (8.8)

In which the expression for  $A(^{230}Th)^x$  follows from Equation 2.14 and  $A(^{234}U)^x_{\circ}$  denotes the initial amount of excess  $^{234}$ U activity (as in Section 8.1). Taking into account that  $A(^{234}U)^x_{\circ} = A(^{234}U)_{\circ} - A(^{238}U)$ , and dividing by  $A(^{238}U)$ , we obtain:

$$\frac{A(^{230}Th)^x}{A(^{238}U)} = \frac{\lambda_{230}}{\lambda_{230} - \lambda_{234}} (\gamma_0 - 1) \left( e^{-\lambda_{234}t} - e^{-\lambda_{230}t} \right)$$
(8.9)

in which  $\gamma_0 \equiv A(^{234}U)/A(^{238}U)$  as defined in Section 8.1. The formation age of the carbonate can be calculated by substituting Equations 8.7 and 8.9 into 8.6 and solving for t.

$$\frac{A(^{230}Th)}{A(^{238}U)} = 1 - e^{-\lambda_{230}t} + \frac{\lambda_{230}}{\lambda_{230} - \lambda_{234}} (\gamma_{\circ} - 1) \left( e^{-\lambda_{234}t} - e^{-\lambda_{230}t} \right)$$
(8.10)

If  $\gamma_0 = 1$  (i.e., the water is in secular equilibrium for U), then Equation 8.6 simplifies to:

$$\frac{A(^{230}Th)}{A(^{238}U)} = 1 - e^{-\lambda_{230}t} \tag{8.11}$$

If  $\gamma_o \neq 0$ , Equation 8.11 yields ages that are systematically too old, unless t < 100ka and  $\gamma_o \leq 1.15$ .

## Error propagation

All the methods and equations presented thus far have assumed that all parameters are either known or measured with infinite precision. In reality, however, the analytical equipment used to measure isotopic compositions, elemental concentrations and radioactive half-lives is not perfect. It is crucially important that we quantify the resulting analytical uncertainty before we can reliably interpret the resulting ages.

For example, suppose that the extinction of the dinosaurs has been dated at 65 Ma in one field location, and a meteorite impact has been dated at 64 Ma elsewhere. These two numbers are effectively meaningless in the absence of an estimate of precision. Taken at face value, the dates imply that the meteorite impact took place 1 million years after the mass extinction, which rules out a causal relationship between the two events. If, however, the analytical uncertainty is significantly greater than 1 Myr (e.g.  $64 \pm 2$  Ma and  $65 \pm 2$  Ma), then such of a causal relationship remains very plausible.

#### 9.1 Some basic definitions

Suppose that our geochronological age (t) is calculated as a function (f) of some measurements (x and y):

$$t = f(x, y) \tag{9.1}$$

Suppose that we have performed a large number (n) of replicate measurements of x and y:

$$\begin{cases} x = \{x_1, x_2, \dots, x_i, \dots, x_n\} \\ y = \{y_1, y_2, \dots, y_i, \dots, y_n\} \end{cases}$$
(9.2)

It is useful to define the following summary statistics:

1. The mean:

$$\begin{cases}
\overline{x} \equiv \frac{1}{n} \sum_{i=1}^{n} x_i \\
\overline{y} \equiv \frac{1}{n} \sum_{i=1}^{n} y_i
\end{cases}$$
(9.3)

is a useful definition for the 'most representative' value of x and y, which can be plugged into Equation 9.1 to calculate the 'most representative' age.

2. The variance:

$$\begin{cases} s[x]^2 \equiv \frac{1}{n-1} \sum_{i=1}^n (x_i - \overline{x})^2 \\ s[y]^2 \equiv \frac{1}{n-1} \sum_{i=1}^n (y_i - \overline{y})^2 \end{cases}$$
(9.4)

with s[x] and s[y] the 'standard deviations', is used to quantify the amount of dispersion around the mean

3. The covariance:

$$s[x,y] \equiv \frac{1}{n-1} \sum_{i=1}^{n} (x_i - \overline{x})(y_i - \overline{y})$$

$$(9.5)$$

quantifies the degree of correlation between variables x and y.

 $\overline{x}$ ,  $\overline{y}$ ,  $s[x]^2$ ,  $s[y]^2$  and s[x,y] can all be estimated from the input data (x,y). These values can then be used to infer  $s[t]^2$ , the variance of the calculated age t, a process that is known as 'error propagation'. To this end, recall the definition of the variance (Equation 9.4):

$$s[t]^{2} \equiv \frac{1}{n-1} \sum_{i=1}^{n} (t_{i} - \bar{t})^{2}$$
(9.6)

We can estimate  $(t_i - \bar{t})$  by differentiating Equation 9.1:

$$t_{i} - \overline{t} = (x_{i} - \overline{x})\frac{\partial f}{\partial x} + (y_{i} - \overline{y})\frac{\partial f}{\partial y}$$

$$(9.7)$$

Plugging Equation 9.7 into 9.6, we obtain:

$$s[t]^{2} = \frac{1}{n-1} \sum_{i=1}^{n} \left[ (x_{i} - \overline{x}) \left( \frac{\partial f}{\partial x} \right) + (y_{i} - \overline{y}) \left( \frac{\partial f}{\partial y} \right) \right]^{2}$$

$$(9.8)$$

$$= s[x]^{2} \left(\frac{\partial f}{\partial x}\right)^{2} + s[y]^{2} \left(\frac{\partial f}{\partial y}\right)^{2} + 2 s[x, y] \frac{\partial f}{\partial x} \frac{\partial f}{\partial y}$$

$$(9.9)$$

This is the general equation for the propagation of uncertainty with two variables, which is most easily extended to more than two variables by reformulating Equation 9.9 into a matrix form:

$$s[t]^{2} = \begin{bmatrix} \frac{\partial t}{\partial x} & \frac{\partial t}{\partial y} \end{bmatrix} \begin{bmatrix} s[x]^{2} & s[x, y] \\ s[x, y] & s[y]^{2} \end{bmatrix} \begin{bmatrix} \frac{\partial t}{\partial x} \\ \frac{\partial t}{\partial y} \end{bmatrix}$$
(9.10)

where the innermost matrix is known as the *variance-covariance* matrix and the outermost matrix (and its transpose) as the *Jacobian matrix*. Let us now apply this equation to some simple functions.

### 9.2 Examples

Let x and y indicate measured quantities associated with analytical uncertainty. And let a and b be some error free parameters.

1. addition:

$$t = ax + by \Rightarrow \frac{\partial t}{\partial x} = a, \frac{\partial t}{\partial y} = b$$
  
 
$$\Rightarrow s[t]^2 = a^2 s[x]^2 + b^2 s[y]^2 + 2ab \ s[x, y]$$
 (9.11)

2. subtraction:

$$t = ax - by \Rightarrow s[t]^2 = a^2 s[y]^2 + b^2 s[y]^2 - 2ab \ s[x, y]$$
(9.12)

3. multiplication:

$$t = axy \Rightarrow \frac{\partial t}{\partial x} = ay, \frac{\partial t}{\partial y} = ax$$

$$\Rightarrow s[t]^2 = (ay)^2 s[x]^2 + (ax)^2 s[y]^2 + 2a^2 xy \ s[x, y]$$

$$\Rightarrow \left(\frac{s[t]}{t}\right)^2 = \left(\frac{s[x]}{x}\right)^2 + \left(\frac{s[y]}{y}\right)^2 + 2\frac{s[x, y]}{xy}$$

$$(9.13)$$

4. division:

$$t = a\frac{x}{y} \Rightarrow \left(\frac{s[t]}{t}\right)^2 = \left(\frac{s[x]}{x}\right)^2 + \left(\frac{s[y]}{y}\right)^2 - 2\frac{s[x,y]}{xy} \tag{9.14}$$

5. exponentiation:

$$t = a e^{bx} \Rightarrow \frac{\partial f}{\partial x} = ab e^{bx} \Rightarrow s[t]^2 = (bt)^2 s[x]^2$$
(9.15)

6. logarithms:

$$t = a \ln(bx) \Rightarrow \frac{\partial f}{\partial x} = \frac{a}{x} \Rightarrow s[t]^2 = a^2 \left(\frac{s[x]}{x}\right)^2$$
 (9.16)

7. power:

$$t = ax^b \Rightarrow \frac{\partial f}{\partial x} = b\frac{ax^b}{x} \Rightarrow \left(\frac{s[t]}{t}\right)^2 = b^2 \left(\frac{s[x]}{x}\right)^2$$
 (9.17)

### 9.3 Accuracy vs. precision

Recall the definition of the arithmetic mean (Equation 9.3):

$$\overline{x} \equiv \frac{1}{n} \sum_{i=1}^{n} x_i$$

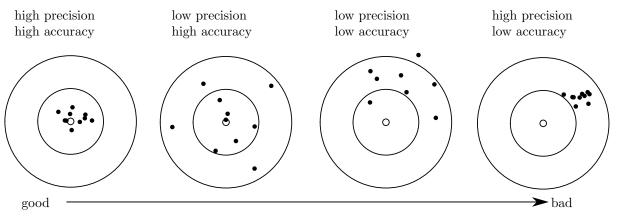
Applying the equation for the error propagation of a sum (Equation 9.11):

$$s[\overline{x}]^2 = \frac{1}{n} \sum_{i=1}^n s[x_i]^2 = \frac{s[x]^2}{n}$$
(9.18)

where we assume that all n measurements were done independently, so that  $cov(x_i, x_j) = 0 \forall i, j$ . The standard deviation of the mean is known as the standard error:

$$s[\overline{x}] = \frac{s[x]}{\sqrt{n}} \tag{9.19}$$

This means that the standard error of the mean monotonically decreases with the square root of sample size. In other words, we can arbitrarily increase the *precision* of our analytical data by acquiring more data. However, it is important to note that the same is generally not the case for the *accuracy* of those data. The difference between precision and accuracy is best explained by a darts board analogy:



Whereas the analytical precision can be computed from the data using the error propagation formulas introduced above, the only way to get a grip on the accuracy is by analysing another sample of independently determined age. Such test samples are also known as 'secondary standards'.

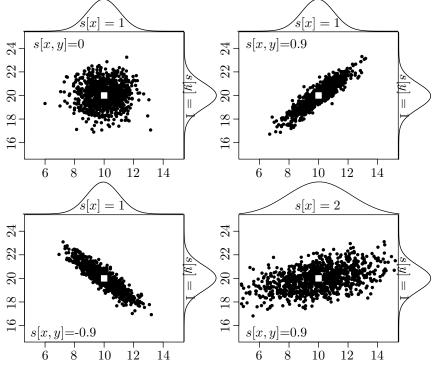


Figure 9.1: Four datasets of 100 random numbers (black dots) which have the same means (white squares) but different (co-)variance structures. The marginal distributions of the x and y variables are shown as 'bell curves' on the top and right axis of each plot.

# Chapter 10

# Exercises

- 1. If helium ions (mass number = 4) are accelerated with a voltage of 10 kV in a mass spectrometer, at what speed are they emitted from the source? Recall that 1 atomic mass unit (amu) =  $1.66 \times 10^{-27}$  kg and the elementary charge is  $1.602 \times 10^{-19}$  C. [s/wy 269 :13MSUV]
- 2. We are trying to estimate the Rb concentration in a rock for the purpose of whole rock Rb-Sr dating. To this end, we use a spike with a Rb concentration of 7.5 ppm containing 99.4% <sup>87</sup>Rb and 0.6% <sup>85</sup>Rb (these are atomic abundances). We mix 3.5g of the spike with 0.25g of sample dissolved in 50g. The <sup>87</sup>Rb/<sup>85</sup>Rb-ratio of the mixture is 1.55, as measured by mass spectrometry. What is the Rb concentration in ppm? Note that natural Rb comprises of 27.825% <sup>87</sup>Rb and 72.165% <sup>85</sup>Rb. [udd 07]: Jamsuy]
- 3. A biotite contains 465ppm Rb and 30ppm Sr with a  $^{87}\mathrm{Sr}/^{86}\mathrm{Sr}$ -ratio of 2.50. Given an initial  $^{87}\mathrm{Sr}/^{86}\mathrm{Sr}$ -ratio of 0.7035, what is the age of the biotite? Natural Rb has an atomic mass of 85.4678 and comprises 72.165%  $^{85}\mathrm{Rb}$  and 27.825%  $^{87}\mathrm{Rb}$ , which has a half life of  $t_{1/2}=48.8$  Gyr. Sr has an atomic mass of 87.62. Its non-radiogenic isotopes occur with the following abundances:  $^{84}\mathrm{Sr}/^{86}\mathrm{Sr}=0.056584$  and  $^{86}\mathrm{Sr}/^{88}\mathrm{Sr}=0.1194$ . [89 98.7 :Jamsuy]
- 4. Consider a zircon with the following composition: U=792.1 ppm, Th=318.6 ppm, Pb=208.2 ppm. Atomic masses for U, Th and Pb in the zircon are 238.04, 232.04 and 205.94, respectively. The isotopic composition of the Pb is as follows:  $^{204}Pb=0.048\%$ ,  $^{206}Pb=80.33\%$ ,  $^{207}Pb=9.00\%$ ,  $^{208}Pb=10.63\%$ . Assume the following initial Pb composition: 204:206:207:208=1.00:16.25:15.51:35.73. The decay constants for  $^{238}U$ ,  $^{235}U$  and  $^{232}Th$  are given in Section 5.  $^{238}U/^{235}U=137.818$ . Calculate the  $^{206}Pb/^{238}U$ ,  $^{207}Pb/^{235}U$ ,  $^{208}Pb/^{232}Th$  and  $^{207}Pb/^{206}Pb$ -age of the zircon. Give an account of its formation history.

[sD 983.1 bas 485.1, £53.1, 604.1 :rewerf]

- 5. A biotite was separated from granite and dated with the K-Ar method. The analytical data are as follows:  $K_2O = 8.45$  weight %, radiogenic  $^{40}Ar = 6.015 \times 10^{-10}$  mol/g. What is the K-Ar age of the biotite? The atomic mass of K is 39.098 (and oxygen 15.9994), with an isotopic composition that comprises 93.258%  $^{39}K$ , 6.730%  $^{41}K$  and 0.01167%  $^{40}K$ , which has a half-life of  $t_{1/2} = 1.248$  Gyr. Recall that only 10.72% of the  $^{40}K$  decays to  $^{40}Ar$ , with the remaining 89.28% turning into  $^{40}Ca$ . [PW 1.27% JJAMSUV]
- 6. Consider a biotite with a conventional K-Ar age of 384Ma. A  $^{40}{\rm Ar}/^{39}{\rm Ar}$  step-heating experiment yields the following data:

```
\% ^{39}Ar released | 7 15 20 25 35 70 100 ^{40}Ar* /^{39}Ar | 2.27 4.97 6.68 9.58 10.25 10.10 10.26
```

The analysis was done using a co-irradiated 1.062 Ga biotite age standard yielding a  $^{40}\mathrm{Ar}^*/^{39}\mathrm{Ar}$ -ratio of 27.64. Construct the  $^{40}\mathrm{Ar}/^{39}\mathrm{Ar}$  age spectrum and use this to comment on the thermal history of the host rock.  $t_{1/2}(^{40}\mathrm{Ar}) = 1.248~\mathrm{Gyr}$ .

[Answer: 115, 243, 319, 442, 470, 463 and 470 Ma]

- 7. How many cm<sup>3</sup> of helium does a rock weighing 1 kg and containing 2 ppm of uranium produce after 1 billion years? The molar volume of an ideal gas is 22.414 litres. Uranium has an atomic mass of 238.04 with  $^{238}\text{U}/^{235}\text{U} = 137.818$ . Decay constants of U are given in Section 5. [ $_{\epsilon}$ UID  $_$
- 8. Repeated analysis of the Fish Canyon zircon age standard (t=27.8 Ma) yields the following fission track data:

| $ ho_s$                          | $ ho_i$                       | $ ho_d$                       |  |
|----------------------------------|-------------------------------|-------------------------------|--|
| $(\times 10^5 \mathrm{cm}^{-2})$ | $(\times 10^6 {\rm cm}^{-2})$ | $(\times 10^5 {\rm cm}^{-2})$ |  |
| 36.56                            | 6.282                         | 2.829                         |  |
| 38.97                            | 7.413                         | 3.313                         |  |
| 56.53                            | 7.878                         | 2.457                         |  |
| 41.05                            | 8.578                         | 3.485                         |  |
| 45.87                            | 6.985                         | 2.482                         |  |

Compute the average  $\zeta$ -calibration factor and use this to calculate the zircon fission track ages of the following rocks:

|                  | $ ho_s$                       | $ ho_i$                       | $ ho_d$                       |
|------------------|-------------------------------|-------------------------------|-------------------------------|
|                  | $(\times 10^5 {\rm cm}^{-2})$ | $(\times 10^6 {\rm cm}^{-2})$ | $(\times 10^5 {\rm cm}^{-2})$ |
| Tardree rhyolite | 60.49                         | 2.66                          | 1.519                         |
| Bishop tuff      | 6.248                         | 1.299                         | 0.081                         |

The half-life of  $^{238}{\rm U}$  is  ${\rm t_{1/2}}=4.47~{\rm Gyr}$ . [Ex  $_{79}-{\rm dousig}$  :19M LG  $_{79}-{$ 

9. A fossil mollusc has been found in a Quaternary beach formation and its activity ratio measured as A( $^{230}$ Th) / A( $^{238}$ U) = 0.6782. Determine the age of the fossil assuming that  $\gamma_{\circ}=1.15$  and given that the half lives of  $^{230}$ Th and  $^{234}$ U are 75,380 and 245,500 years, respectively. [IANOII :IDMSUY]

# Chapter 11

# Programming practicals

In this Chapter you will process some real geochronological datasets using R. R is a free and open programming language that works on any operating system, including Windows, OS-X and Unix/Linux. It can be downloaded and installed from http://r-project.org. Section 11.1 presents a brief R tutorial, which covers most commands that you will need for the subsequent computer practicals.

#### 11.1 Introduction to R

A number of different graphical user interfaces (GUIs) are available to interact with R. The most popular of these are Rgui, RStudio, RCommander and Tinn-R.

1. Starting these applications or running R in a text terminal presents the user with a command line prompt. Anything that is typed after the > symbol will be evaluated immediately. Thus, we can use R as a calculator:

```
> 1 + 1
> sqrt(2)
> exp(log(10))
> 31 %% 14
```

2. Alternatively, code can also be saved as text (using a built-in text editor) and saved as mycode.R, say. This code can then be copied and pasted at the command line prompt. Or it can be called from the command line using the source() function:

```
> source('mycode.R')
```

3. In the remainder of this tutorial, we will assume that your code is run from a text file unless explicitly stated otherwise. The # symbol marks the beginning of a comment. R ignores anything that follows it:

```
# The arrow symbol is used to assign a value to a
# variable. Note that the arrow can point both ways:
foo <- 2
4 -> bar
print(foo*bar)

# Defining a vector of multiple values:
myvec <- c(0,1,2,3,4,5)
# or, equivalently:
myvec <- seq(0,5)
# or</pre>
```

```
myvec <- seq(from=0,to=5,by=1)</pre>
myvec <- 0:5
# Turn myvec into a 2x3 matrix:
mymat <- matrix(myvec,nrow=2)</pre>
# Accessing one or more elements from a vector or matrix:
x \leftarrow myvec[3]
y <- myvec[1:3]
z \leftarrow mymat[1,2:3]
# Change the third value in the second row of mymat to 10:
mymat[2,3] < -10
# Change the entire second column of mymat to -1:
mymat[,2] <- -1
# Transpose of a matrix:
flipped <- t(mymat)</pre>
# Element-wise multiplication (*)
# vs. matrix multiplication (%*%):
rectangle <- mymat * mymat</pre>
square <- mymat %*% flipped
```

4. Lists are used to store more complex data objects:

```
mylist <- list(v=myvec,m=mymat,nine=9)</pre>
```

The three components of mylist can be accessed in a number of equivalent ways. For example, the first item (v) of mylist can be accessed either as mylistv, as mylist[1] or as mylist[v].

Data frames are list-like tables:

You can access the items in the data frame myframe either like a list (e.g. myframe\$period) or like a matrix (myframe[,'period']):

5. If you want to learn more about a function, type help() or ? at the command line prompt:

```
> help(c)
> ?matrix
```

6. In addition to R's built-in functions, you can also define your own:

```
cube <- function(n){
    return(n^3)
}
# Using this function to take the cube of 3:
c3 <- cube(3)</pre>
```

```
# Conditional statement:
toss <- function(){
    if (runif(1)>0.5){ # runif(n) draws n random
        print("head") # numbers between 0 and 1
    } else {
        print("tail")
    }
}
# Use a for loop to toss 10 virtual coins:
for (i in 1:10) {
        toss()
}
```

7. The purpose of the practical exercises in Sections 11.2-11.5 is to process datasets contained in external data files. For this you will need to be able to navigate through your file system and load the necessary files:

```
> ls()  # list all the variables
> rm(list=ls()) # clear the current workspace
> getwd()  # get the current working directory
> setwd("path_to_a_valid_directory")
```

8. Use the above commands to navigate to the directory containing the file named RbSr.csv. Then read this file into memory:

```
RbSr <- read.csv("RbSr.csv",header=TRUE)</pre>
```

Type names(RbSr) or colnames(RbSr) at the command prompt to list the variable names (column headers) contained in this dataset.

9. Let us now perform an isochron regression (Section 4.2) through these Rb-Sr data:

```
# Plot Sr87Sr86 against Rb87Sr86:
plot(RbSr[,'Rb87Sr86'],RbSr[,'Sr87Sr86'],type="p")
# fit a linear model to the data
fit <- lm(Sr87Sr86 ~ Rb87Sr86, data = RbSr)</pre>
```

10. fit is a 'list' object. Type str(fit) at the command prompt to see its structure. One of its items is fit\$coefficients, which contains the slope and the intercept of the linear fit. Alternatively, we can also access these values using the coef() function. The following code uses this function to calculate the isochron age:

```
# define the 87Rb decay constant (in Ma-1):
lam87 <- 1.3972e-5 # according to Villa et al. (2015)
# compute the age from the slope:
tRbSr <- log(1 + coef(fit)[2])/lam87
# add the best fit line to the existing plot:
abline(fit)
# label with the isochron age:
title(tRbSr)</pre>
```

- 11. One of the most powerful features of R is the availability of thousands of 'packages' providing additional functionality to the built-in functions. For example, let us download and install the IsoplotR package from the 'Comprehensive R Archive Network' (CRAN):
  - > install.packages('IsoplotR')
- 12. We can use IsoplotR to redo the isochron regression exercise using a more rigorous weighted regression algorithm that takes into account the analytical uncertainties in both the <sup>87</sup>Sr/<sup>86</sup>Sr- and <sup>87</sup>Rb/<sup>86</sup>Sr- ratios:

```
# load the functionality of the IsoplotR package:
library('IsoplotR')

# load the data (see ?read.data for details):
RbSr2 <- read.data('RbSr.csv',method='Rb-Sr',format=1)

# compute and plot the isochron diagram:
isochron(RbSr2)</pre>
```

Even though IsoplotR is powerful, convenient, and popular, we will not use it in the remainder of these notes. Instead, we will carry out all our calculations in base R because this will give you a more fundamental understanding of geochronology.

#### 11.2 U-Th-Pb data reduction

You are supplied with two data files that were produced by the quadrupole laser ablation ICP-MS system at UCL's London Geochronology Centre. At the time of the analysis, this instrument could not resolve <sup>204</sup>Pb from the isobaric interference at <sup>204</sup>Hg. Therefore, it is not possible to apply a common lead correction as explained in Section 5. However, this does not cause any major issues to us because:

- 1. The mineral analysed is zircon, which incorporates very little common Pb in its crystal structure during crystallisation.
- 2. The ages are sufficiently old for the radiogenic Pb to dominate the common Pb component by orders of magnitude.

In this exercise, we will use standard-sample bracketing (Section 3.3) to process some raw mass spectrometer data in R:

- 1. Load the input files 91500.csv (sample) and GJ1.csv (standard) into memory.
- 2. Plot the <sup>238</sup>U signal against time. The resulting curve consists of three segments: (i) the first 20 seconds record the background ('blank') signal of the ICP-MS, measured while the laser was switched off; (ii) 20 seconds into the run, the laser is turned on and the ions arrive in the ICP-MS; (iii) After the signal has ramped up quickly, it slowly drops over time as the laser goes out of focus whilst drilling deeper into the sample. This is the 'signal'.
- 3. Compute the arithmetic mean U and Pb blank (measurements before 20 seconds), and subtract them from the signal (measurements after 25 seconds). Do this for both the sample and the standard. You will get two times four vectors, for <sup>206</sup>Pb, <sup>207</sup>Pb, <sup>235</sup>U and <sup>238</sup>U.
- 4. Use the four blank corrected signal vectors to form two pairs of  $^{206}\text{Pb}/^{238}\text{U}$  and  $^{207}\text{Pb}/^{235}\text{U}$  vectors.
- 5. Take the arithmetic mean of the  $^{206}\text{Pb}/^{238}\text{U}$  and  $^{207}\text{Pb}/^{235}\text{U}$  ratio vectors. You will now have two pairs of numbers representing the  $measured~^{206}\text{Pb}/^{238}\text{U}$  and  $^{207}\text{Pb}/^{235}\text{U}$  ratios for the sample and the standard.

- 6. Given that GJ-1 has a known age of 600.4 Ma, what are its expected <sup>206</sup>Pb/<sup>238</sup>U, and <sup>207</sup>Pb/<sup>235</sup>U ratios? Is there a significant difference between the measured and the expected ratios? What could be causing this?
- 7. Calculate a correction factor by dividing the expected GJ-1 ratios by the measured values.
- 8. Apply the correction factor calculated in step 7 to the measured ratios for sample 91500. This gives us two estimated atomic  $^{206}$ Pb/ $^{238}$ U and  $^{207}$ Pb/ $^{235}$ U ratios.
- 9. What is the age of 91500?
- 10. Can you plot the results on a Wetherill concordia diagram?

## 11.3 $^{40}$ Ar/ $^{39}$ Ar data reduction

In this exercise, we will reduce some synthetic  $^{40}\mathrm{Ar}/^{39}\mathrm{Ar}$  data. You are provided with three input files:

- 1. smpl.csv: <sup>36</sup>Ar, <sup>39</sup>Ar and <sup>40</sup>Ar as a function of time (t) for the sample.
- 2. stnd.csv: the same data for the standard, which is a Fish Canyon sanidine with a conventional K-Ar age of 27.8 Ma.
- 3. blnk.csv: a 'blank' run, i.e. a measurement of the background levels of Argon present in the mass spectrometer in the absence of a sample.

To perform the data reduction, please follow the following steps:

- 1. Load the three input files.
- 2. Plot the <sup>40</sup>Ar signal of the sample against time. Do the same for the <sup>36</sup>Ar signal in the blank. What is the difference?
- 3. Perform a linear regression of the  $^{36}$ Ar,  $^{39}$ Ar and  $^{40}$ Ar signals through time and determine the intercept at t=0.
- 4. Subtract the 'time zero' intercepts of the blank from those of the sample and standard.
- 5. Apply an atmospheric correction assuming that all <sup>36</sup>Ar has an atmospheric origin.
- 6. Calculate the J-value of the standard.
- 7. Calculate the age of the sample.

## 11.4 Error propagation

This exercise will build on the results from the previous two practicals.

- 1. Plot the  $^{206}$ Pb/ $^{238}$ U-ratios of the sample against those of the standard (data from Section 11.2). Verify that the covariance between the two can safely be neglected.
- 2. Calculate the standard errors of the mean  $^{206}\text{Pb}/^{238}\text{U}$  signal ratios for the sample (91500) and the standard (GJ-1) using the mean and sd functions.
- 3. Propagate the standard errors of the atomic  $^{206}\text{Pb}/^{238}\text{U}$  and  $^{207}\text{Pb}/^{235}\text{U}$ -ratios calculated in step 8 of Section 11.2.
- 4. Propagate the analytical uncertainties of the U-Pb age, ignoring the covariance terms. Recall that

$$t = \frac{1}{\lambda_{238}} \ln \left( 1 + \frac{^{206}Pb}{^{238}U} \right)$$

If you want you can use the simplifying approximation that  $\ln(1+X) \approx X$  if  $X \ll 1$  (this assumption may not be correct for the  $^{207}{\rm Pb}/^{235}{\rm U}$ -age).

- 5. Compute the analytical uncertainties associated with the linear extrapolation of the argon signals of the sample and the standard in Section 11.3. In R, the covariance matrix of the slope and intercept can be simply obtained from the vcov(fit) function, where fit is the output of the lm function (see item 9 of Section 11.1). The corresponding standard errors are then found by taking the square root of the diagonal elements of this matrix.
- 6. Use these error estimates to propagate the analytical uncertainty of the J-value and the sample age. Again you can use the linear approximation to the age equation mentioned in point 4.

#### 11.5 Fission tracks

In this exercise, you will use your programming skills to calculate some fission track ages. You are given the following datasets:

- 1. DUR.csv: a table with two columns listing the number of spontaneous tracks  $N_s$  and induced tracks  $N_i$  counted in 25 grains of an apatite age standard (t = 31.4 Ma) from Durango, Mexico. Note that these pairs of tracks were counted over the same area, so that  $\rho_s/\rho_i = N_s/N_i$  in Equation 7.9.
- 2. MD.csv: a similar table for an apatite sample from Mount Dromedary, Australia.

You will need to:

- 1. Rewrite Equation 7.9 in terms of the  $\zeta$  calibration factor and use this new formula to calculate the  $\zeta$  factor for each single grain analysis of the Durango age standard. Use a dosimeter track density of  $\rho_D = 300,000 \text{ cm}^{-2}$ .
- 2. Use the mean of these  $\zeta$  factors to calculate the age of the Mount Dromedary sample (i.e., the single grain ages and their mean).
- 3. Propagate the analytical uncertainties for each of those single grain ages, using the fact that fission track counts (N, say) follow a Poisson distribution for which it is true that:

$$\sigma_N^2 = N$$

To simplify the calculations, you can also use the following approximation:

$$\frac{1}{\lambda} \ln \left( 1 + \frac{g_i}{g_s} \lambda \zeta \rho_d \frac{N_s}{N_i} \right) \approx \frac{g_i}{g_s} \zeta \rho_d \frac{N_s}{N_i}$$

4. How does the single grain age precision of the fission track method compare to the U-Pb and <sup>40</sup>Ar/<sup>39</sup>Ar age uncertainties in Sections 11.2 and 11.3? Also compare with the standard deviation and standard error of the mean age of Mount Dromedary apatite.

# Part II Advanced geochronology

# Chapter 12

# Introduction

Part 1 of these notes gave a very basic introduction to geochronology. At this basic level, it is possible to write one's own data processing software from scratch, as we have done in the R practicals. Unfortunately this is not so easy at a more advanced level. Research-grade geochronological data processing chains involve several layers of highly specialised software packages:

- 1. A first layer of software controls the mass spectrometer and extracts the raw time resolved isotopic signals from it. This software generally comes with the mass spectrometer, and was written and designed by engineers who may be completely unfamiliar with the geological applications of the equipment.
- 2. The output files from this low level software are passed on to a second layer of software, which processes the raw mass spectrometer, combines standard with standards, performs isotope dilution calculations, etc. This 'middleware' is sometimes written by geologists, and sometimes by companies. Examples are Iolite, GLITTER, Squid, LADR and ET\_Redux for U-Pb geochronology; ArArCalc and Pychron for Ar-Ar geochronology, etc.
- 3. The output files produced by the second layer of data processing software require further processing for more advanced statistical analysis and visualisation. IsoplotR is a software package that fulfils this role.

Chapter 14 provides a brief introduction to the design philosophy and operating principles of IsoplotR, which will be explored further in later chapters. Chapter 13 introduces the important subject of error correlations, and shows how these are captured by IsoplotR's different input formats. We will see that error correlations plays a fundamental role in all of IsoplotR's methods. Chapter ?? reviews the subject of linear regression, which underpins the construction of isochrons. IsoplotR currently implements three different types of error weighted linear regression algorithms that account for error correlations between variables and between aliquots in two or three dimensions. Chapter ?? explains how these three methods represent different approaches of dealing with overdispersion. Chapter ?? introduces a weighted mean plot to visualise multiple age estimates and proposes a heuristic method to detect outliers. Chapter ?? presents three approaches to construct confidence intervals for isochron ages, weighted means and so forth. It introduces a profile log-likelihood method for the calculation of asymmetric confidence intervals.

Chapter ?? discusses three further methods to visualise multi-aliquot collections of ages. Cumulative age distributions (CADs) and kernel density estimates (KDEs) show the frequency distribution of the age measurements but do not explicitly take into account the analytical uncertainties. The radial plot is introduced as a more appropriate data visualisation tool for 'heteroscedastic' data (i.e. data with unequal measurements uncertainties). The radial plot provides a good vehicle to assess the dispersion of multi-aliquot datasets. Overdispersed datasets require further processing with continuous or discrete mixture models that are discussed in Chapter ??.

With these basic statistical building blocks in place, the remainder of the notes cover issues that are specific to individual geochronometers and their geological applications. They will be discussed in the same order as they are listed in <code>IsoplotR</code>'s graphical user interface.

Chapter ?? provides an in-depth discussion of IsoplotR's U-Pb functionality. This includes an overview of the various input formats, concordia ages, discordia regression, common-Pb correction methods and initial disequilibrium corrections. Chapter ?? covers the subject of detrital U-Pb geochronology, which includes a discussion of discordance filters, maximum depositional age estimation and multidimensional scaling analysis. Chapter ?? covers U-Th dating and Chapter ?? thermochronology, including both the traditional external detector method and the new LA-ICP-MS based approach.

Finally, Section ?? sets out a roadmap for future developments to improve the accuracy and precision of geochronological data, and to provide closer integration of IsoplotR with earlier steps of the data processing chain.

# Chapter 13

# Some statistical considerations

### 13.1 The normal distribution

Geochronological data processing is generally concerned with isotopic ratio measurements, which are acquired by mass spectrometers and are affected by random detector noise. Unless explicitly specified otherwise, we will assume that this noise follows a Gaussian distribution. In one dimension, this distribution is described by the following probability density function (pdf):

$$f(x|\mu,\sigma) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left[-\frac{(x-\mu)^2}{2\sigma^2}\right]$$
(13.1)

where  $\mu$  is the **mean** and  $\sigma$  is the **standard deviation**. It can be mathematically proven that the *sum* of n randomly selected values converges to a Gaussian distribution, provided that n is large enough. This convergence is guaranteed *regardless of the distribution of the original data*. This mathematical law is called the **Central Limit Theorem**. Physically processes such as thermal diffusion are characterised by white noise and Brownian walks which are, in effect, additive processes. So it makes sense that these give rise to Gaussian distributions. In fact, these additive processes are so common that the Gaussian distribution is also known as the normal distibution, implying that all other distributions are 'abnormal'.

 $\mu$  and  $\sigma$  are unknown but can be estimated from the data. This can be done using the **method of maximum likelihood**. Given n data points  $\{x_1, x_2, \ldots, x_n\}$  drawn from a normal distribution, we can formulate the normal likelihood function as

$$\mathcal{L}(\mu, \sigma | x_1, x_2, \dots, x_n) = \prod_{i=1}^n f(x_i | \mu, \sigma)$$
(13.2)

 $\mu$  and  $\sigma$  can be estimated by maximising the likelihood or, equivalently, the log-likelihood:

$$\mathcal{LL}(\mu, \sigma | x_1, x_2, \dots, x_n) = \sum_{i=1}^n \ln \left[ f(x_i | \mu, \sigma) \right]$$

$$= \sum_{i=1}^n -\ln[\sigma] - \frac{1}{2} \ln[2\pi] - \frac{(x_i - \mu)^2}{2\sigma^2}$$
(13.3)

Taking the derivative of  $\mathcal{LL}$  with respect to  $\mu$  and setting it to zero:

$$\frac{\partial \mathcal{L}\mathcal{L}}{\partial \mu} = -\sum_{i=1}^{n} \frac{x_i - \mu}{\sigma^2} = 0$$

$$\Rightarrow n\mu - \sum_{i=1}^{n} x_i = 0$$

$$\Rightarrow \bar{x} = \frac{1}{n} \sum_{i=1}^{n} x_i$$
(13.4)

which is the same as Equation 9.3. Using the same strategy to estimate  $\sigma$ :

$$\frac{\partial \mathcal{L}\mathcal{L}}{\partial \sigma} = \sum_{i=1}^{n} -\frac{1}{\sigma} + \frac{(x_i - \mu)^2}{\sigma^3} = 0$$

$$\Rightarrow \sum_{i=1}^{n} \frac{(x_i - \mu)^2}{\sigma^3} = \frac{n}{\sigma}$$

$$\Rightarrow \hat{\sigma} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (x_i - \mu)^2}$$
(13.5)

which is the formula for the standard deviation that we saw in Section 9.1:

$$s[x] = \sqrt{\frac{1}{n-1} \sum_{i=1}^{n} (x_i - \bar{x})^2}$$
 (13.6)

There are just two differences between Equations 13.6 and Equation 13.5:

- 1. Equation 13.5 uses the population mean  $\mu$ , whereas Equation 13.6 uses the sample mean  $\bar{x}$ .
- 2. Equation 13.5 divides the sum of the squared differences between the measurements and the mean by n, whereas Equation 13.6 divides it by (n-1).

The two differences are related to each other. The subtraction of 1 from n is called the **Bessel correction** and accounts for the fact that by using an estimate of the mean  $(\bar{x})$ , rather than the true value of the mean  $(\mu)$ , we introduce an additional source of uncertainty in the estimate of the standard deviation. This additional uncertainty is accounted for by subtracting one **degree of freedom** from the model fit.

The normal distribution can be generalised to two dimensions using a pdf that comprises five parameters: the means  $\mu_x$  and  $\mu_y$ , the standard deviations  $\sigma_x$  and  $\sigma_y$ , and the covariance  $\sigma_{x,y}$ :

$$f(x,y|\mu_x,\mu_y,\sigma_x,\sigma_y,\sigma_{x,y}) = \frac{\exp\left(-\left[(x-\mu_x) \quad (y-\mu_y)\right] \begin{bmatrix} \sigma_x^2 \sigma_{x,y} \\ \sigma_{x,y} \sigma_y^2 \end{bmatrix}^{-1} \begin{bmatrix} x-\mu_x \\ y-\mu_y \end{bmatrix} / 2\right)}{2\pi\sqrt{\begin{vmatrix} \sigma_x^2 \sigma_{x,y} \\ \sigma_{x,y} \sigma_y^2 \end{vmatrix}}}$$
(13.7)

One-dimensional projections of the data on the x- and y-axis yield two univariate Gaussian distributions (Figure 9.1). Again using the method of maximum likelihood, it is possible to estimate the covariance as (proof omitted):

$$\hat{\sigma}_{x,y} = \sum_{i=1}^{n} \frac{1}{n} (x_i - \mu_x)(y_i - \mu_y)$$
(13.8)

or, if  $\mu_x$  and  $\mu_y$  are unknown and must be estimated from the data as well:

$$s[x,y] = \sum_{i=1}^{n} \frac{1}{n-1} (x_i - \bar{x})(y_i - \bar{y})$$
(13.9)

Thus we can estimate the **covariance matrix** (Equation 9.10) of the bivariate normal distribution as:

$$\Sigma_{x,y} = \begin{bmatrix} s[x]^2 & s[x,y] \\ s[x,y] & s[y]^2 \end{bmatrix}$$

Finally, we can define the **correlation coefficient** as:

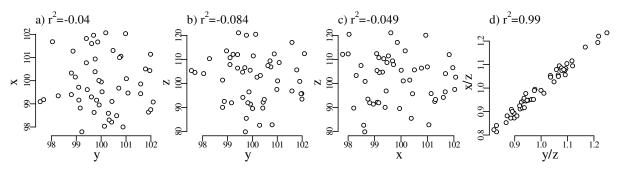
$$r \equiv \frac{s[x,y]}{\sqrt{s[x]s[y]}} \approx \frac{\sigma[x,y]}{\sqrt{\sigma[x]\sigma[y]}} \equiv \rho \tag{13.10}$$

## 13.2 Error correlations

Consider the generic age equation for a radioactive parent P that decays to a radiogenic daughter D in the presence of an inherited component that can be traced by normalising to a non-radiogenic isotope d of the daughter element:

 $t = \frac{1}{\lambda} \ln \left( \frac{[D/d] - [D/d]_{\circ}}{[P/d]} - 1 \right)$  (13.11)

For example, P, D and d might be  $^{87}$ Rb,  $^{87}$ Sr and  $^{86}$ Sr for Rb–Sr geochronology, or  $^{238}$ U,  $^{206}$ Pb and  $^{204}$ Pb for U–Pb geochronology. Chapter 9 showed that error propagation of the age t requires the characterisation of the full covariance structure of the isotopic ratio data including their mean values, their standard errors and their covariances or error correlations. This covariance structure is estimated from the raw mass spectrometer data using the low level data processing software mentioned in Chapter 12. The error propagation of isotopic ratio data could be greatly simplified if the covariances were negligible. Unfortunately this is generally not the case. To prove this point, consider the following set of synthetic mass spectrometer data:



where x, y and z are uncorrelated (normally distributed) random numbers, but the ratios y/z and x/z are strongly correlated. The *spurious correlation* between ratios like this was first described by Pearson (1896). It is strongest when the common nuclide z is measured less precisely than the remaining two nuclides x and y. If the summary statistics of x, y and z are known, then it is possible to predict the correlation coefficient:

$$r\left[\frac{y}{z}, \frac{x}{z}\right] \approx \frac{(s[z]/z)^2}{\sqrt{(s[x]/x)^2 + (s[z]/z)^2}\sqrt{(s[y]/y)^2 + (s[z]/z)^2}}$$
 (13.12)

For example, consider the following hypothetical Re-Os abundance estimates:

$$y = {}^{187}{\rm Os} = 2,000 \pm 10$$
 fmol;  $x = {}^{187}{\rm Re} = 30,000 \pm 100$  fmol and  $z = {}^{188}{\rm Os} = 200 \pm 2$  fmol

then the  $(^{187}\mathrm{Os}/^{188}\mathrm{Os})$  and  $(^{187}\mathrm{Re}/^{188}\mathrm{Os})$  isotope ratio estimates exhibit a correlation coefficient of

$$r\left[\frac{^{187}\text{Os}}{^{188}\text{Os}}, \frac{^{187}\text{Re}}{^{188}\text{Os}}\right] = \frac{\left(\frac{2}{200}\right)^2}{\sqrt{\left(\frac{100}{30,000}\right)^2 + \left(\frac{2}{200}\right)^2}\sqrt{\left(\frac{10}{2,000}\right)^2 + \left(\frac{2}{200}\right)^2}} = 0.85$$

The strong error correlation between the two variables on the Re–Os isochron diagram are manifested as narrow and steeply inclined error ellipses. The same phenomenon manifests itself in all isotopic ratio data to a lesser or greater degree:

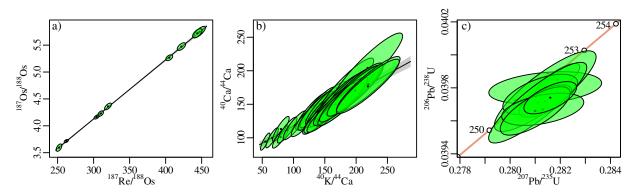


Figure 13.1: Examples of correlated uncertainties shown as confidence ellipses in a) Re–Os and b) K–Ca isochron and c) Wetherill U–Pb concordia space.

For some geochronometers, the error correlations can be reduced by recasting the isotopes into two new ratios z/y vs. x/y. If y is measured more precisely than x and z, then this reduces the spurious correlation coefficient. For example, revisiting the earlier Re–Os example:

$$r\left[\frac{^{188}\text{Os}}{^{187}\text{Os}}, \frac{^{187}\text{Re}}{^{187}\text{Os}}\right] = \frac{\left(\frac{10}{2000}\right)^2}{\sqrt{\left(\frac{100}{30,000}\right)^2 + \left(\frac{10}{2000}\right)^2}\sqrt{\left(\frac{2}{200}\right)^2 + \left(\frac{10}{2000}\right)^2}} = 0.37$$

The same change of variables can be applied to other geochronometers as well:

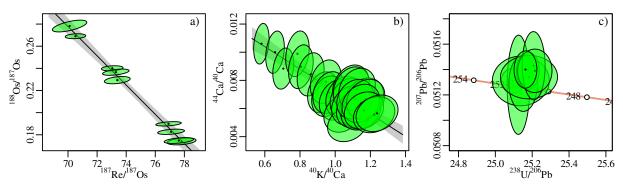


Figure 13.2: Recasting the data of Figure 13.1 into an inverse ratio form reduces the error correlations for the Re–Os, K–Ca and U–Pb data.

Given a data table of conventional ratios (X = x/z and Y = y/z), it is possible to calculate the inverse ratios (X' = x/y and Y' = z/y), their uncertainties (s[X'] and s[Y']) and error correlations (r[X', Y']) using the following equations:

$$\begin{cases} X' = \frac{X}{Y} \\ Y' = \frac{1}{Y} \\ \left(\frac{s[X']}{X'}\right)^2 = \left(\frac{s[X]}{X}\right)^2 - 2r[X,Y]\left(\frac{s[X]}{X}\right)\left(\frac{s[Y]}{Y}\right) + \left(\frac{s[Y]}{Y}\right)^2 \\ \left(\frac{s[Y']}{Y'}\right)^2 = \left(\frac{s[Y]}{Y}\right)^2 \\ r[X'Y'] = \left(\frac{X'}{s[X']}\right)\left[\left(\frac{Y}{s[Y]}\right) - r[X,Y]\left(\frac{X}{s[X]}\right)\right] \end{cases}$$
s perfectly symmetric in the sense that it can also be used to convert inverse isochron

This transformation is perfectly symmetric in the sense that it can also be used to convert inverse isochron ratios to conventional ones. To do this, it suffices to swap X' and Y' for X and Y and vice versa. IsoplotR carries out these conversions on the fly. So if a data file provides the isotopic composition as conventional ratios, then it is possible to plot the data as inverse ratios without worrying about the details of the conversion.

## 13.3 Linear regression

As briefly discussed in Chapter 4, isochrons are an important instrument of high precision, high accuracy geochronology. Given several aliquots from a single sample, they allow the non-radiogenic component of the daughter nuclide to be quantified and separated from the radiogenic component. A conventional isochron is obtained by fitting a straight line through the conventional isochron ratios introduced in Section 13.2. The slope and intercept then yield the radiogenic daughter-parent ratio and the non-radiogenic daughter composition, respectively (Nicolaysen, 1961). In its simplest form, isochrons are fitted by ordinary least squares regression.

Consider a set of n bivariate data points  $x = \{x_1, x_2, \dots, x_n\}$  and  $y = \{y_1, y_2, \dots, y_n\}$ . The best fit straight line through these data can be found by minimising the sum of the squared residuals:

$$S = \sum_{i=1}^{n} (y_i - a - bx_i)^2$$
(13.14)

where a is the intercept and b the slope. However this method does not take into account the analytical uncertainties of the isotopic ratio measurements. In a first step, let us consider the situation where only the dependent variable (y) is affected by significant analytical uncertainty, and let  $s[y] = \{s[y_1], s[y_2], \ldots, s[y_n]\}$  be the corresponding standard errors. Then the least squares criterion can be modified to create a weighted regression algorithm:

$$S_w = \sum_{i=1}^n \left( \frac{y_i - a - bx_i}{s[y_i]} \right)^2 \tag{13.15}$$

Alternatively (and equivalently), the best fit line can also be obtained by maximising the log-likelihood:

$$\mathcal{LL} = -\sum_{i=1}^{n} \ln(2\pi s[y_i]) - \frac{S_w}{2}$$
(13.16)

To illustrate the usefulness of the weighted regression algorithm, consider a simple three-point example. Let  $\mathbf{x} = \{10, 20, 40\}$  and  $\mathbf{y} = \{20, 30, 50\}$  be the *true* x- and y-coordinates of the three points<sup>1</sup>. It is easy to see that these fall on a perfect line with intercept  $\mathbf{a} = 10$  and slope  $\mathbf{b} = 1$ . Let  $s[\mathbf{y}] = \{1, 1, 10\}$  be the analytical uncertainties of  $\mathbf{y}$ , so that the third point is ten times less precise than the first two. Further let  $\mathbf{y} = \{20, 30, 60\}$  be a random realisation of  $\mathbf{y}$ . Then the best ordinary least squares fit through  $\mathbf{x} = \mathbf{x}$  and  $\mathbf{y}$  has an intercept of  $\mathbf{a} = 5.0$  and a slope of  $\mathbf{b} = 1.36$ . This poor result is strongly influenced by the third, least precise data point. Subjecting the same dataset to weighted linear regression yields  $\mathbf{a} = 9.4$  and  $\mathbf{b} = 1.04$ . This is a far more accurate result (Figure 13.3.a).

In isochron regression, it is typical for not only y but also x to be affected by analytical uncertainty. In this case, the best fit line can be found by modifying the likelihood function (Titterington and Halliday, 1979; York, 1969; York et al., 2004):

$$\mathcal{LL}_{y} = -\frac{1}{2} \sum_{i=1}^{n} \ln(2\pi |\Sigma_{i}|) - \frac{1}{2} \sum_{i=1}^{n} \left[ X_{i} - \hat{X}_{i} \right]^{T} \Sigma_{i}^{-1} \left[ X_{i} - \hat{X}_{i} \right]$$
(13.17)

where

$$X_i = \begin{bmatrix} x_i \\ y_i \end{bmatrix} , \hat{X}_i = \begin{bmatrix} \hat{x}_i \\ a + b\hat{x}_i \end{bmatrix} \text{ and } \Sigma_i = \begin{bmatrix} s[x_i]^2 & s[x_i, y_i] \\ s[x_i, y_i] & s[y_i]^2 \end{bmatrix}$$
 (13.18)

where  $\hat{x}_i$  are the *estimated* values of  $\mathbf{x}_i$  for any value of a or b.  $s[x_i, y_i]$  is the covariance of the  $i^{\text{th}}$  measurement's x- and y-uncertainties. To illustrate the importance of these covariance terms, consider a second three-point example:

<sup>&</sup>lt;sup>1</sup>In the remainder of this paper, bold face will be used to mark the true values, whereas normal face will be used to mark the actual measurements (i.e. the true value plus some random analytical uncertainty).

| i | $\mathbf{x}$ | $s[m{x}]$ | $\mathbf{y}$ | $s[oldsymbol{y}]$ | $s[oldsymbol{x}_i, oldsymbol{y}_i]$ |
|---|--------------|-----------|--------------|-------------------|-------------------------------------|
| 1 | 10           | 1         | 20           | 1                 | 0.9                                 |
| 2 | 20           | 1         | 30           | 1                 | 0.9                                 |
| 3 | 30           | 1         | 40           | 1                 | -0.9                                |

which again defines a straight line with intercept a=10 and slope b=1. Let  $x=\{10,20,28\}$  and  $y=\{20,30,42\}$  be a random realisation of  $\mathbf{x}$  and  $\mathbf{y}$ . Suppose that we ignored or did not know the covariance terms. In that case the ordinary and weighted regression algorithms would yield the same outcome because all the samples have the same standard errors  $(s[x_i]=s[y_i]=1$  for all i). The resulting intercept and slope would then be a=7.2 and b=1.21. However, if we do take into account the covariances, then the maximum likelihood algorithm yields a=9.3 and b=1.05, which is much closer to the true values of a=10 and b=1 (Figure 13.3.b).

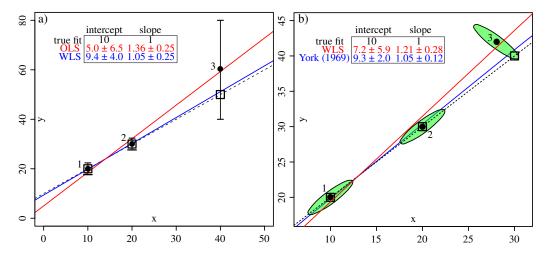


Figure 13.3: Illustration of the benefits of error-weighted linear regression. The black squares mark the true (x,y)-coordinates of three samples drawn from a (dashed) line with intercept a=10 and slope b=1. The black dots mark random realisations of these samples, given Gaussian uncertainties with uncertainties shown as 95% confidence bars or ellipses. a) three samples with analytical uncertainty in the y-variable only. The ordinary least squares fit ignoring these uncertainties is shown in red (a=5.0,b=1.36), the weighted least squares fit in blue (a=9.4,b=1.04). b) three samples with correlated uncertainties in both the x- and y-variable. Ignoring the error correlations yields the red fit (a=7.2,b=1.21). Accounting for the error correlations produces the blue fit (a=9.3,b=1.05).

## 13.4 The mean square of weighted deviates (MSWD)

The extent to which the observed scatter in the data around the best fit isochron line can be explained by the analytical uncertainties can be assessed using a chi-square test. To this end, we define the chi-square statistic as:

$$\chi_{stat}^{2} = \sum_{i=1}^{n} \left[ X_{i} - \hat{X}_{i} \right]^{T} \Sigma_{i}^{-1} \left[ X_{i} - \hat{X}_{i} \right]$$
(13.19)

in which we recognise the second term of Equation 13.17, which is the matrix formulation of the sum of squares. For example, consider the dataset shown in Figure 13.3.b:

| i | x  | s[x] | y  | s[y] | $s[\hat{x}_i, \hat{y}_i]$ | $\hat{x}$ | $\hat{y}$ |
|---|----|------|----|------|---------------------------|-----------|-----------|
| 1 | 10 | 1    | 20 | 1    | 0.9                       | 10.13     | 19.96     |
| 2 | 20 | 1    | 30 | 1    | 0.9                       | 19.75     | 30.09     |
| 3 | 28 | 1    | 42 | 1    | -0.9                      | 29.58     | 40.43     |

where the  $\hat{x}$  and  $\hat{y}$  values were estimated using the York (1969) algorithm. Then

$$\begin{split} \chi_{stat}^2 &= \left[ \begin{array}{ccc} (10-10.13) & (20-19.96) \end{array} \right] \left[ \begin{array}{ccc} 1^2 & 0.9^2 \\ 0.9^2 & 1^2 \end{array} \right]^{-1} \left[ \begin{array}{ccc} (10-10.13) \\ (20-19.96) \end{array} \right] \\ &+ \left[ \begin{array}{ccc} (20-19.75) & (30-30.09) \end{array} \right] \left[ \begin{array}{ccc} 1^2 & 0.9^2 \\ 0.9^2 & 1^2 \end{array} \right]^{-1} \left[ \begin{array}{ccc} (20-19.75) \\ (30-30.09) \end{array} \right] \\ &+ \left[ \begin{array}{ccc} (28-29.58) & (42-40.43) \end{array} \right] \left[ \begin{array}{ccc} 1^2 & 0.9^2 \\ 0.9^2 & 1^2 \end{array} \right]^{-1} \left[ \begin{array}{ccc} (28-29.58) \\ (42-40.43) \end{array} \right] = 3.32 \end{split}$$

We can compare this value with a chi-square distribution with df = (k-1)n - k degrees of freedom, where k is the dimensionality of the linear fit. In the case of our example dataset, k = 2 and n = 3, so df = 1. The **p-value** is defined as the probability of observing a value greater than  $\chi^2_{stat}$  under this distribution:

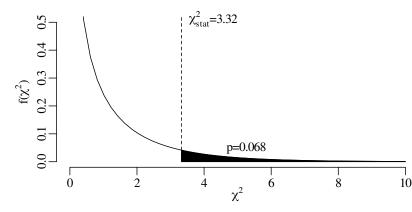


Figure 13.4: Chi-square distribution with 1 degree of freedom. The p-value for an outcome of  $\chi^2_{stat}=3.32$  is the integral of the black area.

If the p-value falls below a cutoff value of 0.05, say, then this indicates that the data are 'overdispersed' with respect to the formal analytical uncertainties around the best-fit line. In contrast, p-values that are much greater than 0.95 are indicative of 'underdispersed' data, possibly reflecting overestimated analytical uncertainties.

An alternative way to assess the degree of over- or underdispersion is by dividing the chi-square statistic  $\chi^2_{stat}$  by the number of degrees of freedom df. The resulting numerical value is called the 'Mean Square of the Weighted Deviates' (MSWD, McIntyre et al., 1966) by geochronologists, but is known as the 'reduced chi-square statistic' elsewhere. For sufficiently large samples and, hence, degrees of freedom, the distribution of the MSWD statistic converges to a normal distribution with a mean of 1. However for small samples there is a comparatively greater probability of obtaining an MSWD value that is greater or smaller than 1:

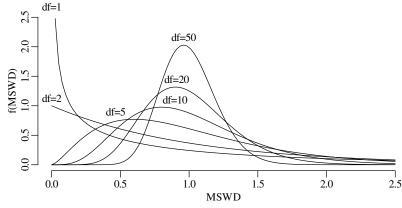


Figure 13.5: Expected distribution of the MSWD for different degrees of freedom. With increasing sample size, the distribution of the MSWD converges to a normal distribution with mean 1.

The MSWD is a very useful device to assess the degree to which the observed scatter of the data around the best fit can be explained by the analytical uncertainties. Three scenarios are possible:

1. If the analytical uncertainties alone explain the total scatter around the true mean, then the MSWD is expected to take on a value of  $\approx 1$ .

- 2. Data sets that exhibit MSWD values close to zero are said to be "underdispersed" with respect to the analytical uncertainties. This indicates some problem with the error propagation, which is often due to undetected systematic effects.
- 3. Finally, MSWD values > 1 can often be attributed to some form of geological dispersion. This overdispersion carries geological significance.

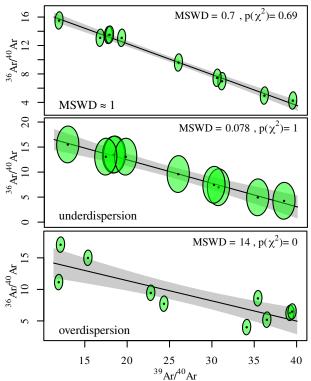


Figure 13.6: Three different synthetic <sup>40</sup>Ar/<sup>39</sup>Ar data sets shown as inverse isochron plots. The analytical uncertainties are shown as 95% error ellipses. The grey band represents a 95% confidence envelope for the best fit line using the York (1969) algorithm. Each of the data sets consists of 10 aliquots that are affected by a combination of analytical and geological dispersion. The relative importance of these two sources of scatter can be assessed using the mean square of weighted deviates (MSWD) and the p-value of the chi-square test. The case where  $MSWD \approx 1$  is the easiest to interpret: it means that the scatter of the data around the best fit line can be completely explained by the analytical uncertainty. The case where MSWD < 1 may seem like a good outcome but it is in fact a bad one because it indicates that there may be a problem with the error propagation. A high p-value or low MSWD may happen once but red flags should go up if all samples in a study show this type of behaviour. Finally the case where MSWD> 1 is not good or bad but 'interesting'. This requires further investigation as discussed in Section 13.5.

## 13.5 Dealing with overdispersion

IsoplotR offers three strategies ('models') to deal with overdispersed datasets:

- 1. inflate the analytical uncertainties until MSWD=1;
- 2. ignore the analytical uncertainties;
- 3. quantify the overdispersion.

Let us consider the simple case of a 2-dimensional dataset  $\{x_1, y_1\}, \dots, \{x_i, y_i\}, \dots, \{x_n, y_n\}$ . Data are overdispersed with respect to the analytical uncertainties if:

$$MSWD \equiv \sum_{i=1}^{n} X_i \ \Omega_i X_i^T / df > 1$$

with

$$X_i = \left[ (x_i - \hat{x}_i) \quad (y_i - \hat{y}_i) \right],$$

where  $\hat{x}_i$  and  $\hat{y}_i$  are the fitted values, and

$$\Omega_i \equiv \left[ \begin{array}{cc} s[x_i]^2 & cov(x_i, y_i) \\ cov(x_i, y_i) & s[y_i]^2 \end{array} \right]^{-1}$$

IsoplotR provides three alternative strategies ('models') to deal with overdispersed data. A first way ('model-1') to account for overdispersion is to inflate all analytical uncertainties by a common  $factor\ f$ , which is chosen so as to reduce the MSWD to unity:

$$\sum_{i=1}^{n} X_i \ \Omega_{i,f} X_i^T / df = 1$$

with

$$\Omega_{i,f} \equiv \left[ \begin{array}{cc} f^2 s[x_i]^2 & f^2 cov(x_i,y_i) \\ f^2 cov(x_i,y_i) & f^2 s[y_i]^2 \end{array} \right]^{-1} = \Omega_i/f^2$$

from which it is easy to show that  $f = \sqrt{\text{MSWD}}$ 

A second option ('model-2') for dealing with overdispersion is to simply ignore the analytical uncertainties and perform an ordinary least squares regression. This option is offered for the sake of completeness but is inherently flawed, because (1) its results may differ depending on which ratio is chosen as the dependent variable; (2) it assumes that only the dependent variable is subject to scatter; which results in (3) unreliable error calculations (Ludwig, 2003, p. 648).

Finally, a third option ('model-3') is to attribute the overdispersion to geological scatter in the ages or in the non-radiogenic isotope composition. The latter hypothesis can be formalised as follows:

$$\sum_{i=1}^{n} X_i \ \Omega_{i,\omega} X_i^T / df = 1$$

with

$$\Omega_{i,\omega} \equiv \left[ \begin{array}{cc} s[x_i]^2 & cov(x_i,y_i) \\ cov(x_i,y_i) & s[y_i]^2 + \omega^2 \end{array} \right]^{-1}$$

where  $\omega$  is the overdispersion term, which can be found iteratively. This term has geological significance and is reported separately by IsoplotR. The third strategy is arguably the most sensible one, because geological scatter is a very common phenomenon. For example, not all aliquots in a multi-mineral Rb-Sr isochron may have formed with exactly the same initial  $^{87}\text{Sr}/^{86}\text{Sr}$  ratio (McIntyre et al., 1966). As the analytical precision of mass spectrometers has increased over the years, geochronologists' ability to detect even the smallest amount of geological dispersion has steadily grown as well. It is reasonable to assume that this trend will continue into the future, and that overdispersed datasets will become ever more common.

It is important to note that the overdispersion of geochronological datasets contains meaningful geological information. For example, the dispersion of igneous zircon U-Pb dates bears information on the magmatic residence time of these crystals (Matzel, Bowring, and Miller, 2006; Rioux et al., 2012). Thus, the increasing prevalence of overdispersed datasets should be seen as a positive trend rather than a nuisance.

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# Part III IsoplotR manual

# Chapter 14

# Introduction to IsoplotR

For many years, a Microsoft Excel add-in called Isoplot has been the main data processing software of choice in geochronology. Developed by Kenneth R. Ludwig over a period of two decades, Isoplot is a user-friendly toolbox that allows geologists to calculate and visualise geochronological data within a familiar spreadsheet environment (Ludwig, 1988; Ludwig, 1999; Ludwig, 2003; Ludwig, 2012). Few computer programs have been as widely used in the Earth Sciences as Isoplot. Written in Visual Basic for Applications (VBA), Isoplot takes isotopic data as input and produces publication-ready figures as output.

Unfortunately, recent versions of Excel are incompatible with Isoplot, whose creator has retired and no longer maintains the code. These software issues are a major problem for the field of radiometric geochronology, to the point where some laboratories kept an old Windows XP computer with Excel 2003 around for the sole purpose of running Isoplot.

IsoplotR is a free, open and more future proof alternative for Isoplot (Vermeesch, 2018). IsoplotR's software architecture uses a modular design with future proofness and extendability in mind.

#### 14.1 Software architecture

There are three ways to use IsoplotR: online, offline and from the command line.

The online version<sup>1</sup> is convenient in several ways. First, it requires no software installation. Second, the IsoplotR website is perfectly platform-independent. It renders on any modern HTML-5 compatible web browser, including those installed on smartphones and tablet computers. Third, by using the online version, the user is guaranteed to have accessed the most up-to-date version of the software.

An offline version of the GUI is provided for use on computers that are not (permanently) connected to the internet. This is often the case for machines that are connected to mass spectrometers, as a safety precaution. The offline version of the GUI works by emulating a web server within the default browser on the user's system. Installation instructions are provided on the IsoplotR website and on GitHub<sup>2</sup>.

The third way to access the full functionality of IsoplotR is through the command line within the R programming environment. The command line offers the greatest flexibility to automate, modify and extend IsoplotR's functionality.

## 14.2 The Graphical User Interface (GUI)

The code base for the GUI and the core data processing algorithms are surgically separated. The command-line functionality is grouped in a lightweight package called IsoplotR that may be installed

<sup>1</sup>http://isoplotr.london-geochron.com/

<sup>&</sup>lt;sup>2</sup>https://github.com/pvermees/IsoplotRgui/

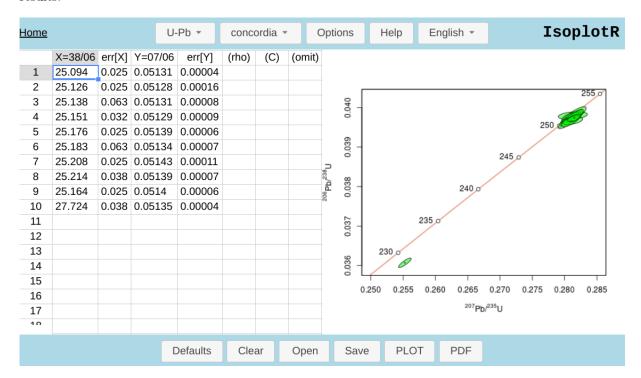
from CRAN as instructed in Section 11.1.11. The IsoplotR package has minimal dependencies and should work on a basic R installation. In contrast, the GUI is written in HTML and Javascript and interacts with IsoplotR via an interface package. It is provided in a second R package called IsoplotRgui that is available from CRAN as well:

#### install.packages('IsoplotRgui')

Once installed, IsoplotRgui can be started from the R console as follows:

#### library(IsoplotRgui)

This opens the GUI in a new tab of the user's default internet browser. The GUI has four components: a top bar with selection menus for the various chronometers and plot devices, optional settings and documentation; an input table into which data can be pasted from spreadsheet applications; an output window displaying the graphical or numerical results; and a lower bar to import and export data and results:



U-Pb ▼

IsoplotR currently implements 12 different geochronometers: U–Pb, Pb–Pb, Th–Pb, Ar–Ar, K–Ca, Rb–Sr, Sm–Nd, Re–Os, Lu–Hf, U–Th–He, fission tracks, and Th–U. Additionally, it also includes functionality for detrital geochronology and general purpose data processing.

concordia \*

For each chronometer there are several possible plot devices. These may vary from method to method. For example, concordia plots are only available for U–Pb data, and release spectra for Ar–Ar data.

Options

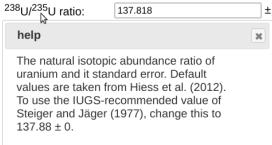
The Options menu allows the user to choose between different input formats and change parameters (e.g. decay constants, plot colours) that affect the numerical or graphical output.

Help

The Help button provides further information about the input table, about the graphical and numerical numerical results, and some references. The Help function dynamically responds to the settings that are listed under the Options menu.

The data and settings can be stored in a .json format for further processing in the future. Open Save The PLOT button (or RUN button if ages or 'get  $\zeta$ ' is chosen as output) saves all the **PLOT** current settings and produces the graphical and/or numerical output. RUN Clicking the PDF button repeats the calculations but saves the output as a .pdf file, **PDF** which can be further processed by vector editing software such as Adobe Illustrator. CorelDraw or Inkscape. If ages is chosen as output saves the results to a comma **CSV** separated value (.csv) file. The (C) column of the input table can be used to store numerical values that can be (C) used as a fill colour for the plot symbols. Specific samples can be omitted from the numerical calculations (e.g. isochron, weighted (omit) mean, or concordia age) by marking them with a lowercase 'x' in the optional (omit) Х column. To hide samples from the graphical (as well as the numerical) output, mark them with an uppercase 'X'. Χ The Options menu provides access to a range of different input formats. Input format: 1. Normal 1. Normal Selecting any item from this menu replaces the input table with a different set Inverse of default data. 3. Three ratios The Options menu also allows the user to specify the ratio uncertainties as Input errors: 1se (abs) ∨ being absolute or relative errors at 1 or 2 standard errors. Selecting any item 2se (abs) from this menu automatically changes the input data accordingly. 1se (%) 2se (%) Input errors: 1se (abs) ✓

Contextual help can be obtained by clicking any text string within the Options menu.



2se (abs) 1se (%) 2se (%)

> $\pm$  Contextual help can be obtained by clicking any text string within the Options menu.

#### The Command Line Interface (CLI) 14.3

CLI offers all the functionality of the GUI, and more. A complete list of public functions can be viewed by typing

help(package='IsoplotR')

at the command prompt. Data are read from comma-separated variable (.csv) files using the read.data() function. For example:

UPb\_dat <- read.data('file.csv',method='U-Pb',format=1,ierr=3)</pre>

where

'file.csv' is the name of the data file method='U-Pb' specifies the chronometer

format=1 tells IsoplotR that file.csv contains the following columns: 207Pb/235U,

 $\mathrm{err}[^{207}\mathrm{Pb}/^{235}\mathrm{U}],\,^{206}\mathrm{Pb}/^{238}\mathrm{U},\,\,\mathrm{err}[^{206}\mathrm{Pb}/^{238}\mathrm{U}],\,\,\mathrm{and}\,\,r,\,\,\mathrm{where}\,\,\,\mathrm{'err}[^*]'$  marks the uncertainties of '\*', and r is the correlation coefficient between  $\mathrm{err}[^{207}\mathrm{Pb}/^{235}\mathrm{U}]$ 

and  $err[^{206}Pb/^{238}U]$  (see Chapter 13).

ierr=3 indicates that these uncertainties ('err[\*]') are specified as relative values at two

standard errors.

Further details about these arguments can be viewed by typing ?read.data at the console. The various plot devices are implemented in a collection of designated functions such as concordia(), isochron(), weightedmean(), isochron(), agespectrum(), KDE(), CAD() and radialplot() that will be discussed in further details in later chapters. A table of age estimates can be obtained using the ages() function. Many of IsoplotR's functions are overloaded, which means that the same function can be applied to multiple data types with potentially different results. For example, using the output of read.data('data.csv',method='Rb-Sr') as input to the isochron() function automatically produces a Rb-Sr isochron, with the correct decay constants and axis labels.

A colour scale can be added to most plots using the options levels argument. For example:

assigns a vector of random numbers to some U-Pb data and uses these numbers to colour the error ellipses on a concordia diagram from yellow to red. Omitting or hiding samples from calculations and/or plots is achieved by specifying the indices of the relevant aliquots to the functions of interest. For example:

```
weightedmean(UPb_dat,omit=10)
```

omits the 10<sup>th</sup> from the weighted mean calculation, whereas

```
weightedmean(UPb_dat,hide=10)
```

removes the aliquot from the weighted mean diagram altogether.

The GUI may be the easiest way to interact with IsoplotR and explore various data processing strategies with individual samples. But the CLI is more useful than the GUI when processing large datasets comprising multiple samples, and provides a powerful mechanism to produce reproducible science. The .csv data files and .R data processing scripts can easily be archived and shared with others. They provide a parsimonious way to produce FAIR science (Wilkinson et al., 2016).

## 14.4 General purpose functions

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