

# The Dimension of a Monomial Ideal

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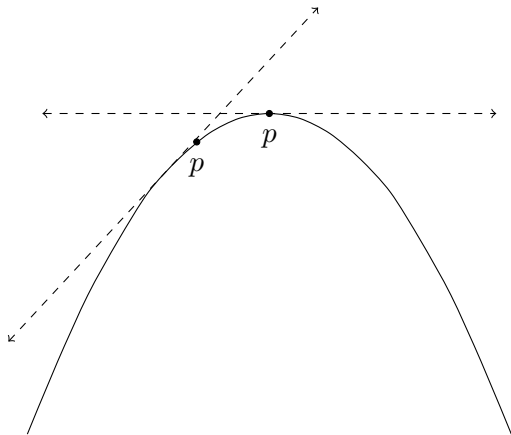
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The dimension of an ideal at a point from its variety is equivalent to the vector dimension of the tangent space there.

This is straightforward to calculate as a tangent space is **usually** a collection of hyperplanes (the exception being singular points where this collection is a tangent **cone** instead).

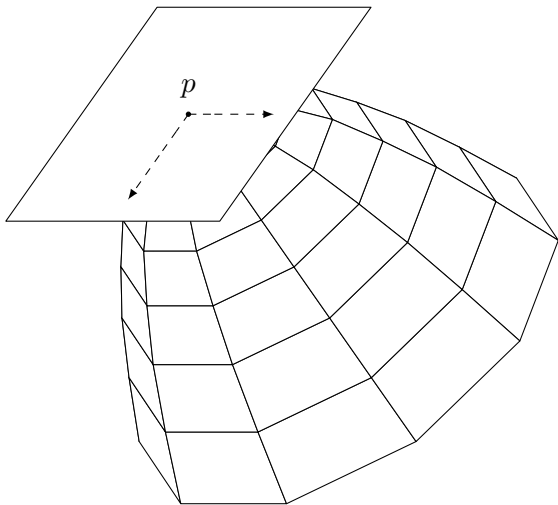
The ideal  $\langle y - x^2 \rangle$  has dimension one at **every** point

$$p \in \mathbf{V}(y - x^2) = \{ (p, p^2) : p \in \mathbb{R} \}.$$



The paraboloid  $\langle z - y^2 - x^2 \rangle$  has dimension **two** at every point

$$p \in \mathbf{V}(z - x^2 - y^2)$$

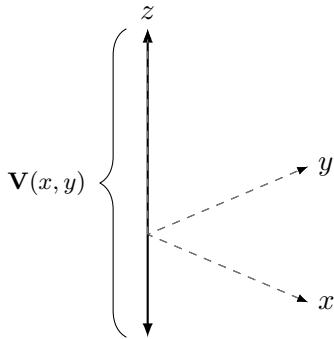


Recall from linear algebra that the dimension of a hyperplane is the number of **basis vectors** required to **span** (i.e. capture all points of) the surface.

For our purposes we only need hyperplanes generated by **co-ordinate axis**, or what are more typically called the  $x$ -axis,  $y$ -axis, ....

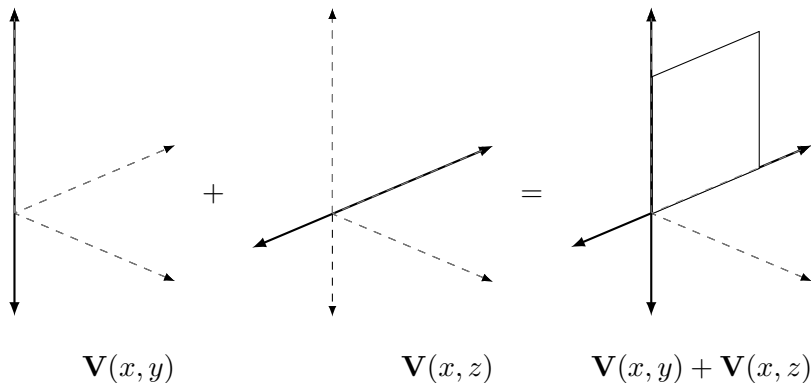
## Example

In  $\mathbb{A}^3(\mathbb{R}) = \mathbb{R} \times \mathbb{R} \times \mathbb{R}$  the  $z$ -axis is



Note  $\mathbf{V}(x, y) = \mathbf{V}(\{z\}^c)$ .

Using a process analogous to ‘spanning’ a hyperplane with unit vectors these axis are extensible to planes



$$\begin{aligned}\mathbf{V}(xy) + \mathbf{V}(xz) &= \{(s, 0, 0) : s \in \mathbb{R}\} + \{(0, t, 0) : t \in \mathbb{R}\} \\ &= \{(s, t, 0) : s, t \in \mathbb{R}\}.\end{aligned}$$

## Definition (Coordinate Axis)

$$\mathbb{1}_{x_0} = (1, 0, \dots, 0) \qquad \text{“the } x_0\text{-axis”,}$$

$$\mathbb{1}_{x_1} = (0, 1, \dots, 0) \qquad \text{“the } x_1\text{-axis”,}$$

$$\vdots \qquad \qquad \qquad \vdots$$

$$\mathbb{1}_{x_\ell} = (0, 0, \dots, 1) \qquad \text{“the } x_\ell\text{-axis”}.$$

As the position of the unit (i.e. ‘1’) in  $\mathbb{1}_{x_i}$  is arbitrary. We write  $\mathbb{1}_x, \mathbb{1}_y, \mathbb{1}_z$  and let the implicit variable ordering assign the ones.



The dimension of a line is one and the dimension of the hyperplane created by removing that line is one less than the ambient space.

## Definition

Let  $\mathbf{x}$  be a set of variables.

$$\forall x \in \mathbf{x}; \dim(\mathbf{V}(\{x\}^c)) := 1,$$

$$\forall x \in \mathbf{x}; \dim(\mathbf{V}(x)) := \ell.$$

$(\mathbb{A}^{\ell+1}(\mathbb{R})$  has dimension  $\ell + 1$ .)

## Definition (Span)

Let  $\langle\langle \mathbf{1}_{x_0}, \dots, \mathbf{1}_{x_s} \rangle\rangle_{\mathbb{R}}$  denote the **span** of those coordinate axis.

$$\langle\langle \mathbf{1}_{x_0}, \dots, \mathbf{1}_{x_s} \rangle\rangle_{\mathbb{R}} = \{c_0 \mathbf{1}_{x_0} + \dots + c_s \mathbf{1}_{x_s} : c_0, \dots, c_s \in \mathbb{R}\}.$$

## Proposition

Let  $x \in \mathbf{x}$ .

1.  $\mathbf{V}(\{x\}^c) = \langle \mathbf{1}_x \rangle$ , and
2.  $\mathbf{V}(x) = \langle \mathbf{1}_y : y \in \{x\}^c \rangle$ .

For principally generated ideals the variety over  $m$  (a monomial) decomposes into a **union** of hyperplanes, each of dimension  $\ell$ :

$$\begin{aligned}\mathbf{V}(m) &= \mathbf{V}\left(x_0^{d_0} \cdots x_s^{d_s}\right) \\ &= \mathbf{V}(x_0 \cdots x_s) \\ &= \mathbf{V}(x_0) \cup \cdots \cup \mathbf{V}(x_s).\end{aligned}$$

Definition

$$\dim\left(\mathbf{V}\left(x_0^{d_0} \cdots x_s^{d_s}\right)\right) := \ell,$$

and

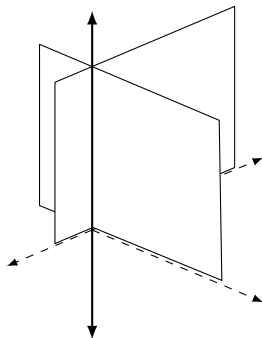
$$\dim(\mathbf{V}(m_0) \cup \cdots \cup \mathbf{V}(m_s)) = \max_{\dim}(\mathbf{V}(m_0), \dots, \mathbf{V}(m_s)).$$

Seemingly, the dimension of a monomial ideal just requires enumerating a set of names. However, this is only due to the dimension behaving well over unions (in this setting).

In particular, the dimension can **never decrease** by “unioning” another hyperplane whereas for intersections this is typical.

## Example

Consider  $\mathbf{V}(x, y)$ , the intersection of the planes  $\langle\langle \mathbf{1}_y, \mathbf{1}_z \rangle\rangle_{\mathbb{R}}$  and  $\langle\langle \mathbf{1}_x, \mathbf{1}_z \rangle\rangle_{\mathbb{R}}$ .



Although both  $\mathbf{V}(x)$ ,  $\mathbf{V}(y)$  have dimension two the dimension of the intersection,  $\mathbf{V}(x, y) = \mathbf{1}_z$ , is one.

Intersections of hyperplanes are called **coordinate subspaces** for they inhabit spaces spanned by coordinate axis.

### Definition (Coordinate Subspace)

When  $\tilde{\mathbf{x}} \subseteq \mathbf{x}$ ,

$$\mathbf{V}(\tilde{\mathbf{x}}) = \bigcap_{y \in \tilde{\mathbf{x}}} \mathbf{V}(y)$$

is a **coordinate subspace**.

Our goal is to write these coordinate subspaces using unions rather than intersections so as to pick out the hyperplane of largest dimension.

## Proposition

Coordinate subspaces are spanned by coordinate axis. That is,  
when  $\tilde{\mathbf{x}} \subseteq \mathbf{x}$

$$\mathbf{V}(\tilde{\mathbf{x}}) = \langle\langle \mathbf{1}_v : v \in \tilde{\mathbf{x}}^c \rangle\rangle_{\mathbb{R}}$$

Proof.

$$\begin{aligned}\mathbf{V}(\tilde{\mathbf{x}}) &= \bigcap_{y \in \tilde{\mathbf{x}}} \mathbf{V}(y) = \bigcap_{y \in \tilde{\mathbf{x}}} \langle\langle \mathbf{1}_v : v \in \{y\}^c \rangle\rangle_{\mathbb{R}} = \langle\langle \mathbf{1}_v : v \in \bigcap_{y \in \tilde{\mathbf{x}}} \{y\}^c \rangle\rangle_{\mathbb{R}} \\ &= \langle\langle \mathbf{1}_v : v \in \left(\bigcup_{y \in \tilde{\mathbf{x}}} \{y\}\right)^c \rangle\rangle_{\mathbb{R}} = \langle\langle \mathbf{1}_v : v \in \tilde{\mathbf{x}}^c \rangle\rangle_{\mathbb{R}}.\end{aligned}$$



We demonstrated  $\mathbf{V}(\tilde{\mathbf{x}})$  is spanned by  $|\tilde{\mathbf{x}}^c|$  many coordinate axis; thus

$$\dim(\mathbf{V}(\tilde{\mathbf{x}})) := (\ell + 1) - |\tilde{\mathbf{x}}|.$$

(Note:  $|\tilde{\mathbf{x}}^c| = |\mathbf{x}| - |\tilde{\mathbf{x}}| = \ell + 1 - |\tilde{\mathbf{x}}|$ .)



## Dimension of a Monomial Ideal

Intuitively, the dimension of an arbitrary monomial ideal  $\langle \mathbf{m} \rangle$  is the largest subspace (i.e.  $\langle \mathbf{1}_v : v \in \tilde{\mathbf{x}} \rangle$  with largest  $\tilde{\mathbf{x}}$ ) embedded in  $\langle \mathbf{m} \rangle$ . Extracting this information from unions of the form

$$\mathbf{V}(\tilde{\mathbf{x}}_0) \cup \cdots \cup \mathbf{V}(\tilde{\mathbf{x}}_s)$$

is merely a matter of calculating the dimension of the individual hyperplanes among the union.

Unfortunately then, is that the “natural” expansion of  $\mathbf{V}(\mathbf{m})$  is into intersections of coordinate subspaces:

$$\mathbf{V}(\mathbf{m}) = \bigcap_{m \in \mathbf{m}} \mathbf{V}(m) = \bigcap_{m \in \mathbf{m}} \bigcup_{x \in \text{indets}(m)} \mathbf{V}(x).$$

However, we can convert between **Conjunctive normal forms** into **Disjunctive normal forms** to take a disjunction of coordinate subspaces, or

*Intersections over unions of hyperplanes,*

to a conjunction of coordinate subspaces, or

*Unions over intersections of hyperplanes.*

## Example (CNF to DNF conversion)

Let  $\mathbf{V}_t := \mathbf{V}(t)$  for any variable  $t \in [x, y, z]$

$$\begin{aligned}\mathbf{V}(xz, yz) &= \mathbf{V}_{xz} \cap \mathbf{V}_{yz} \\ &= (\mathbf{V}_x \cup \mathbf{V}_z) \cap (\mathbf{V}_y \cup \mathbf{V}_z) \\ &= (\mathbf{V}_x \cap \mathbf{V}_y) \cup (\mathbf{V}_x \cap \mathbf{V}_z) \cup (\mathbf{V}_z \cap \mathbf{V}_y) \cup (\mathbf{V}_z \cap \mathbf{V}_z) \\ &= \mathbf{V}(x, y) \cup \mathbf{V}(x, z) \cup \mathbf{V}(y, z) \cup \mathbf{V}(z).\end{aligned}$$

The dimensions of  $\mathbf{V}(x, y)$ ,  $\mathbf{V}(x, z)$ ,  $\mathbf{V}(y, z)$ , and  $\mathbf{V}(z)$  are 1, 1, 1, and 2 (resp.); thus  $\dim(\mathbf{V}(xz, yz)) = 2$ .

## Proposition

Let  $\mathbb{Y} = \{\tilde{\mathbf{y}}_0, \dots, \tilde{\mathbf{y}}_s\} \in \mathcal{P}(\mathcal{P}(x_0, \dots, x_\ell))$  then

$$\exists \mathbb{X} : \bigcup_{\tilde{\mathbf{x}} \in \mathbb{X}} \mathbf{V}(\tilde{\mathbf{x}}) = \bigcap_{\tilde{\mathbf{y}} \in \mathbb{Y}} \mathbf{V}(\tilde{\mathbf{y}}).$$

And amazingly, there is an explicit writing for this conversion.

$$\mathbb{X} = \left\{ \{\tilde{y}_0, \dots, \tilde{y}_s\} : (y_0, \dots, y_s) \in \tilde{\mathbf{y}}_0 \times \dots \times \tilde{\mathbf{y}}_s \right\} \quad (1)$$

Proof.

$$p \in \bigcup_{\tilde{\mathbf{x}} \in \mathbb{X}} \mathbf{V}(\tilde{\mathbf{x}})$$

$$\iff p \in \bigcup_{\tilde{\mathbf{x}} \in \mathbb{X}} \bigcap_{x \in \tilde{\mathbf{x}}} \mathbf{V}(x)$$

$$\iff \exists \tilde{\mathbf{x}} \in \mathbb{X} : \forall x \in \tilde{\mathbf{x}}; p \in \mathbf{V}(x)$$

$$\iff \exists \tilde{\mathbf{x}} \in \{ \{y_0, \dots, y_s\} : (y_0, \dots, y_s) \in \tilde{\mathbf{y}}_0 \times \dots \times \tilde{\mathbf{y}}_s \} : \forall x \in \tilde{\mathbf{x}}; p \in \mathbf{V}(x)$$

$$\iff \exists (y_0, \dots, y_s) \in \tilde{\mathbf{y}}_0 \times \dots \times \tilde{\mathbf{y}}_s : \forall x \in \tilde{\mathbf{x}}; p \in \mathbf{V}(x)$$

$$\iff \exists (y_0, \dots, y_s) \in \tilde{\mathbf{y}}_0 \times \dots \times \tilde{\mathbf{y}}_s : p \in \mathbf{V}(y_0) \cap \dots \cap \mathbf{V}(y_s)$$

$$\iff \forall \tilde{\mathbf{y}} \in \mathbb{Y}; \exists y \in \tilde{\mathbf{y}} : p \in \mathbf{V}(y)$$

$$\iff p \in \bigcap_{\tilde{\mathbf{y}} \in \mathbb{Y}} \bigcup_{y \in \tilde{\mathbf{y}}} \mathbf{V}(y)$$

$$\iff p \in \bigcap_{\tilde{\mathbf{y}} \in \mathbb{Y}} \mathbf{V}(\tilde{\mathbf{y}}).$$

## Example

Let  $\mathbb{Y} = \{\text{indets}(xz), \text{indets}(yz)\} = \{\{x, z\}, \{y, z\}\}$  so that

$$\mathbf{V}(xz, yz) = \bigcap_{\tilde{\mathbf{y}} \in \mathbb{Y}} \mathbf{V}(\tilde{\mathbf{y}}).$$

$$\begin{aligned}\exists \mathbb{X} &= \{(\tilde{y}_0, \tilde{y}_1) : (y_0, y_1) \in \{x, z\} \times \{y, z\}\} \\ &= \{\{x, y\}, \{x, z\}, \{z, y\}, \{z, z\}\} \\ &= \{\{x, y\}, \{x, z\}, \{y, z\}, \{z\}\}\end{aligned}$$

so that  $\mathbf{V}(xz, yz) = \bigcup_{\tilde{\mathbf{x}} \in \mathbb{X}} \mathbf{V}(\tilde{\mathbf{x}})$  and thus

$$\mathbf{V}(xy, yz) = \mathbf{V}(x, y) \cup \mathbf{V}(x, z) \cup \mathbf{V}(y, z) \cup \mathbf{V}(z).$$

## Theorem

*Any monomial variety can be decomposed into a union of coordinate subspaces.*

$$\forall m_0, \dots, m_s \in [\mathbf{x}]; \exists n_0, \dots, n_t \in [\mathbf{x}] :$$

$$\mathbf{V}(m_0, \dots, m_s) = \mathbf{V}(n_0) \cup \dots \cup \mathbf{V}(n_t).$$

## Proof.

Let  $\tilde{\mathbf{y}}_i = \text{indets}(m_i)$  and  $\{n_0, \dots, n_t\} = \left\{ \prod_{x \in \tilde{\mathbf{x}}_i} x : \tilde{\mathbf{x}} \in \mathbb{X} \right\}$  in last Proposition. □

