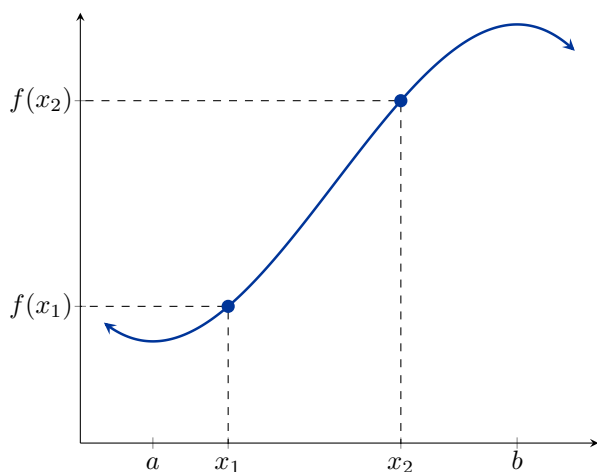


## 4.1: Applications of the First Derivative

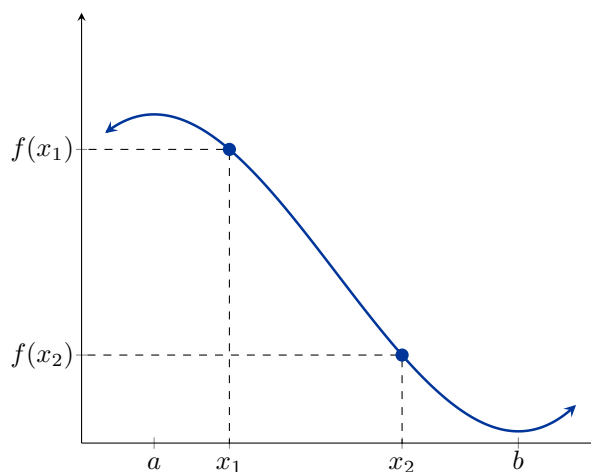
### Definition.

Consider the function  $f(x)$  on the interval  $(a, b)$ . Given *any* two numbers  $x_1$  and  $x_2$  in  $(a, b)$  where  $x_1 < x_2$ , we say  $f$  is

increasing if  $f(x_1) < f(x_2)$



decreasing if  $f(x_1) > f(x_2)$



Thus, for every value of  $x$  on the interval  $(a, b)$ , if

- $f'(x) > 0$ , then  $f$  is increasing on  $(a, b)$ .
- $f'(x) < 0$ , then  $f$  is decreasing on  $(a, b)$ .
- $f'(x) = 0$ , then  $f$  is constant on  $(a, b)$ .

**Example.** Find the intervals where  $f(x) = x^2$  is increasing and decreasing.

$$f'(x) = 2x$$

Solve  $f'(x) = 0$

$$2x = 0$$

$$x = 0$$

$2x$

$0$   
 $\mid$   
 $\text{---}$   
 $-$        $+$

Inc:  $(0, \infty)$

Dec:  $(-\infty, 0)$

### Determining intervals where a function is increasing or decreasing.

1. Find all values of  $x$  such that  $f'(x) = 0$  or  $f'(x)$  is undefined.
2. Determine the sign of  $f'(x)$  on each open interval.

**Example.** Suppose that  $f$  is continuous everywhere and

$$f'(x) = \frac{(x-1)(x+2)}{(x-4)^2(x+5)}.$$

We see that  $f'(-2) = f'(1) = 0$  and  $f'(-5)$  and  $f'(4)$  are undefined. Complete a sign chart to show where  $f(x)$  is increasing and decreasing.

	$-5$	$-2$	$1$	$4$	
	$-10$	$-3$	$0$	$2$	$10$
$x-1$	$-$	$-$	$-$	$+$	$+$
$x+2$	$-$	$-$	$+$	$+$	$+$
$(x-4)^2$	$+$	$+$	$+$	$+$	$+$
$x+5$	$-$	$+$	$+$	$+$	$+$
	$-$	$+$	$-$	$+$	$+$
	$\searrow$	$\nearrow$	$\searrow$	$\nearrow$	$\nearrow$

$$\begin{aligned} \text{Inc: } & (-5, -2) \cup (1, 4) \cup (4, \infty) \\ \text{Dec: } & (-\infty, -5) \cup (-2, 1) \end{aligned}$$

**Example.** Find the intervals where the following functions are increasing and decreasing:

$$f(x) = x^3 - 3x^2 - 24x + 32$$

Solve  $f'(x) = 0$

Graph

&  $f'(x)$  DNE

$$f'(x) = 3x^2 - 6x - 24 = 3(x+2)(x-4)$$

Quadratic formula:  $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$

$$x = \frac{6 \pm \sqrt{(-6)^2 - 4(3)(-24)}}{2(3)} = \frac{6 \pm 18}{6} \rightarrow \begin{matrix} x = 4 \\ x = -2 \end{matrix}$$

	-2	4	
	-10	0	10
3	+	+	+
$x+2$	-	+	+
$x-4$	-	-	+
	+	-	+
	↗	↘	↗

Inc:  $(-\infty, -2) \cup (4, \infty)$

Dec:  $(-2, 4)$

$$g(x) = (x+1)^{2/3}$$

$$g'(x) = \frac{2}{3} (x+1)^{-1/3} = \frac{2}{3(x+1)^{1/3}}$$

Solve  $g'(x) = 0$  x

&  $g'(x)$  DNE  $\rightarrow 3(x+1)^{1/3} \neq 0$

$$\Rightarrow x \neq -1$$

	-1	
	-10	0
2	+	+
3	+	+
$(x+1)^{1/3}$	-	+
	-	+
	↘	↗

Inc:  $(-1, \infty)$

Dec:  $(-\infty, -1)$

$$h(x) = x + \frac{1}{x} = x + x^{-1}$$

$$h'(x) = 1 - x^{-2} = 1 - \frac{1}{x^2}$$

Solve  $h'(x) = 0$  &  $h'(x)$  DNE

$$\downarrow$$

$$1 - \frac{1}{x^2} = 0$$

$$1 = \frac{1}{x^2}$$

$$x^2 = 1$$

$$x = \pm 1$$

$$\downarrow x \neq 0$$

	-1	0	1	
	-1.0	-0.5	0.5	1.0
$1 - \frac{1}{x^2}$	+	-	-	+
	↗	↘	↘	↗

Inc:  $(-\infty, -1) \cup (1, \infty)$

Dec:  $(-1, 0) \cup (0, 1)$

$$j(x) = \frac{x^2}{1 - x^2}$$

$$j'(x) = \frac{(1-x^2)2x - x^2(-2x)}{(1-x^2)^2} = \frac{2x}{(1-x^2)^2}$$

Solve  $j'(x) = 0$  &  $j'(x)$  DNE

$$\downarrow$$

$$\frac{2x}{(1-x^2)^2} = 0$$

$$2x = 0$$

$$x = 0$$

$$(1-x^2)^2 \neq 0$$

$$1-x^2 \neq 0$$

$$1 \neq x^2$$

$$\pm 1 \neq x$$

	-1	0	1	
	-1.0	-0.5	0.5	1.0
$2x$	-	-	+	+
$(1-x^2)^2$	+	+	+	+
	-	-	+	+
	↘	↘	↗	↗

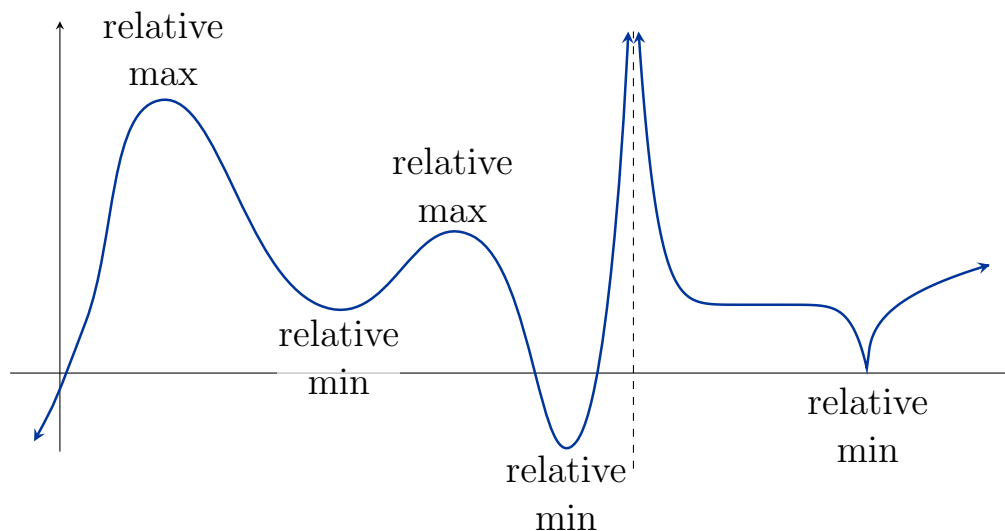
Inc:  $(0, 1) \cup (1, \infty)$

Dec:  $(-\infty, -1) \cup (-1, 0)$

**Definition. (Relative Extrema)**



A function  $f$  has a

- **relative maximum** at  $x = c$  if  $f(c) \geq f(x)$  for every  $x$  in  $(a, b)$
- **relative minimum** at  $x = c$  if  $f(c) \leq f(x)$  for every  $x$  in  $(a, b)$

**Definition.**

A **critical point** of a function  $f$  is any number  $x$  in the domain of  $f$  such that  $f'(x) = 0$  or  $f'(x)$  does not exist.

## Procedure for Finding the Relative Extrema of a Continuous Function $f$ The First Derivative Test:

- Determine the critical points of  $f$ .
  - Determine the sign change of  $f'(x)$  to the left and right of each critical point:  
If, at  $x = c$ ,  $f'(x) \dots$ 
    - changes sign from *positive* to *negative*, then  $f$  has a *relative maximum* 
    - changes sign from *negative* to *positive*, then  $f$  has a *relative minimum* 
    - does not change sign, then  $f$  does *not* have a relative extremum
- at  $x = c$ .

**Example.** Consider the function  $f(x) = 6x - x^3$ .

[Graph](#)

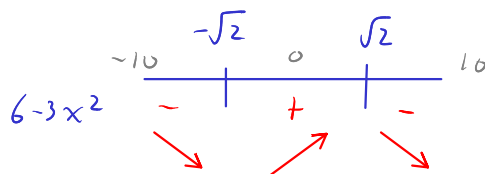
Use  $f'(x)$  to find the intervals on which the function is increasing and decreasing.

$$f'(x) = 6 - 3x^2$$

$$f'(x) = 0 \quad f'(x) \text{ DNE}$$

$$6 - 3x^2 = 0 \quad \text{---}$$

$$x = \pm\sqrt{2}$$



$$\text{Inc: } (-\sqrt{2}, \sqrt{2})$$

$$\text{Dec: } (-\infty, -\sqrt{2}) \cup (\sqrt{2}, \infty)$$

Identify the function's local extreme values (e.g. "local max of \_\_\_ at  $x = \_\_$ ")

$$\text{Local max of } f(\sqrt{2}) = 4\sqrt{2} \text{ at } x = \sqrt{2}$$

$$\text{Local min of } f(-\sqrt{2}) = -4\sqrt{2} \text{ at } x = -\sqrt{2}$$

**Example.** Find the relative maximums/relative minimums of the following:

$$f(x) = x^3 - 3x^2 - 24x + 32$$

[Graph](#)

$$f'(x) = 3x^2 - 6x - 24 = 3(x+2)(x-4)$$

Quadratic formula:  $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$

$$x = \frac{6 \pm \sqrt{(-6)^2 - 4(3)(-24)}}{2(3)} = \frac{6 \pm 18}{6} \rightarrow \begin{matrix} x = 4 \\ x = -2 \end{matrix}$$

	-10	-2	0	4	10
3	+		+		+
$x+2$	-		+		+
$x-4$	-		-		+
	+		-		+
	↗		↘		↗

max of  $f(-2) = 60$  at  $x = -2$   
 min of  $f(4) = -48$  at  $x = 4$

$$g(x) = (x+1)^{2/3}$$

$$g'(x) = \frac{2}{3} (x+1)^{-1/3} = \frac{2}{3(x+1)^{1/3}}$$

Solve  $g'(x) = 0$   $x$   
 &  $g'(x)$  DNE  $\rightarrow 3(x+1)^{1/3} \neq 0$   
 $\Rightarrow x \neq -1$

	-10	-1	0
2	+		+
3	+		+
$(x+1)^{1/3}$	-		+
	-		+
	↘		↗

min of  $g(-1) = 0$  at  $x = -1$

$$h(x) = x + \frac{1}{x} = x + x^{-1}$$

$$h'(x) = 1 - x^{-2} = 1 - \frac{1}{x^2}$$

Solve  $h'(x) = 0$  &  $h'(x)$  DNE



$$1 - \frac{1}{x^2} = 0$$

$$1 = \frac{1}{x^2}$$

$$x^2 = 1$$

$$x = \pm 1$$

↓  $x \neq 0$

$1 - \frac{1}{x^2}$

	-1	0	1	
	-1.0	-0.5	0.5	1.0
	+	-	-	+
	↗	↘	↘	↗

Max of  $h(-1) = -2$  at  $x = -1$   
 Min of  $h(1) = 2$  at  $x = 1$

$$j(x) = \frac{x^2}{1 - x^2}$$

$$j'(x) = \frac{(1-x^2)2x - x^2(-2x)}{(1-x^2)^2} = \frac{2x}{(1-x^2)^2}$$

Solve  $j'(x) = 0$  &  $j'(x)$  DNE



$$\frac{2x}{(1-x^2)^2} = 0$$

$$2x = 0$$

$$x = 0$$

$$(1-x^2)^2 \neq 0$$

$$1-x^2 \neq 0$$

$$1 \neq x^2$$

$$\pm 1 \neq x$$

$2x$   
 $(1-x^2)^2$

	-1	0	1	
	-1.0	-0.5	0.5	1.0
$2x$	-	-	+	+
$(1-x^2)^2$	+	+	+	+
	-	-	+	+
	↘	↘	↗	↗

Max of  $j(0) = 0$  at  $x = 0$