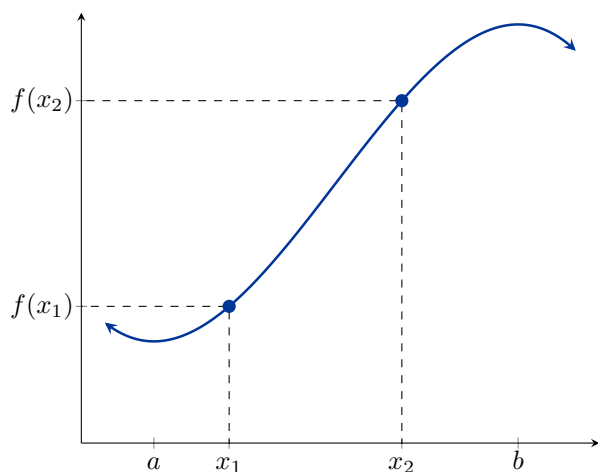


4.1: Applications of the First Derivative

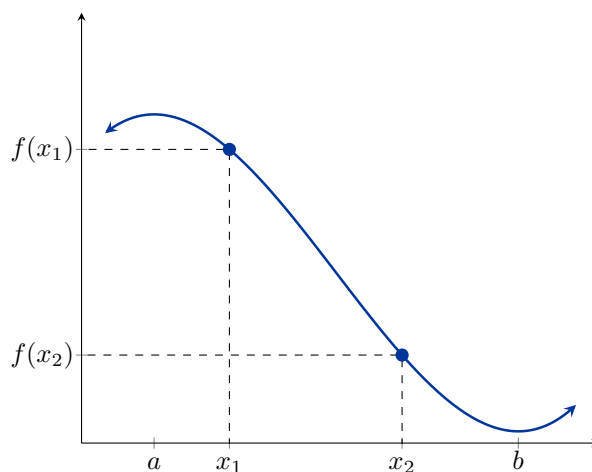
Definition.

Consider the function $f(x)$ on the interval (a, b) . Given *any* two numbers x_1 and x_2 in (a, b) where $x_1 < x_2$, we say f is

increasing if $f(x_1) < f(x_2)$



decreasing if $f(x_1) > f(x_2)$



Thus, for every value of x on the interval (a, b) , if

- $f'(x) > 0$, then f is increasing on (a, b) .
- $f'(x) < 0$, then f is decreasing on (a, b) .
- $f'(x) = 0$, then f is constant on (a, b) .

Example. Find the intervals where $f(x) = x^2$ is increasing and decreasing.

$$f'(x) = 2x$$

Solve $f'(x) = 0$

$$2x = 0$$

$$x = 0$$

$2x$

0
 \mid
 \hline
 $-$ $+$

Inc: $(0, \infty)$

Dec: $(-\infty, 0)$

Determining intervals where a function is increasing or decreasing.

1. Find all values of x such that $f'(x) = 0$ or $f'(x)$ is undefined.
2. Determine the sign of $f'(x)$ on each open interval.

Example. Suppose that f is continuous everywhere and

$$f'(x) = \frac{(x-1)(x+2)}{(x-4)^2(x+5)}.$$

We see that $f'(-2) = f'(1) = 0$ and $f'(-5)$ and $f'(4)$ are undefined. Complete a sign chart to show where $f(x)$ is increasing and decreasing.

| | | | | | |
|-----------|------------|------------|------------|------------|------------|
| | -5 | -2 | 1 | 4 | |
| | -10 | -3 | 0 | 2 | 10 |
| $x-1$ | $-$ | $-$ | $-$ | $+$ | $+$ |
| $x+2$ | $-$ | $-$ | $+$ | $+$ | $+$ |
| $(x-4)^2$ | $+$ | $+$ | $+$ | $+$ | $+$ |
| $x+5$ | $-$ | $+$ | $+$ | $+$ | $+$ |
| | $-$ | $+$ | $-$ | $+$ | $+$ |
| | \searrow | \nearrow | \searrow | \nearrow | \nearrow |

$$\begin{aligned} \text{Inc: } & (-5, -2) \cup (1, 4) \cup (4, \infty) \\ \text{Dec: } & (-\infty, -5) \cup (-2, 1) \end{aligned}$$

Example. Find the intervals where the following functions are increasing and decreasing:

$$f(x) = x^3 - 3x^2 - 24x + 32$$

Solve $f'(x) = 0$

Graph

& $f'(x)$ DNE

$$f'(x) = 3x^2 - 6x - 24 = 3(x+2)(x-4)$$

Quadratic formula: $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$

$$x = \frac{6 \pm \sqrt{(-6)^2 - 4(3)(-24)}}{2(3)} = \frac{6 \pm 18}{6} \rightarrow \begin{matrix} x = 4 \\ x = -2 \end{matrix}$$

| | | | |
|-------|-----|---|----|
| | -2 | 4 | |
| | -10 | 0 | 10 |
| 3 | + | + | + |
| $x+2$ | - | + | + |
| $x-4$ | - | - | + |
| | + | - | + |
| | ↗ | ↘ | ↗ |

Inc: $(-\infty, -2) \cup (4, \infty)$

Dec: $(-2, 4)$

$$g(x) = (x+1)^{2/3}$$

$$g'(x) = \frac{2}{3} (x+1)^{-1/3} = \frac{2}{3(x+1)^{1/3}}$$

Solve $g'(x) = 0$ x

& $g'(x)$ DNE $\rightarrow 3(x+1)^{1/3} \neq 0$

$$\Rightarrow x \neq -1$$

| | | |
|---------------|-----|---|
| | -1 | |
| | -10 | 0 |
| 2 | + | + |
| 3 | + | + |
| $(x+1)^{1/3}$ | - | + |
| | - | + |
| | ↘ | ↗ |

Inc: $(-1, \infty)$

Dec: $(-\infty, -1)$

$$h(x) = x + \frac{1}{x} = x + x^{-1}$$

$$h'(x) = 1 - x^{-2} = 1 - \frac{1}{x^2}$$

Solve $h'(x) = 0$ & $h'(x)$ DNE

$$\downarrow$$

$$1 - \frac{1}{x^2} = 0$$

$$1 = \frac{1}{x^2}$$

$$x^2 = 1$$

$$x = \pm 1$$

$$\downarrow x \neq 0$$

| | | | | |
|---------------------|------|------|-----|-----|
| | -1 | 0 | 1 | |
| | -1.0 | -0.5 | 0.5 | 1.0 |
| $1 - \frac{1}{x^2}$ | + | - | - | + |
| | ↗ | ↘ | ↘ | ↗ |

Inc: $(-\infty, -1) \cup (1, \infty)$

Dec: $(-1, 0) \cup (0, 1)$

$$j(x) = \frac{x^2}{1 - x^2}$$

$$j'(x) = \frac{(1-x^2)2x - x^2(-2x)}{(1-x^2)^2} = \frac{2x}{(1-x^2)^2}$$

Solve $j'(x) = 0$ & $j'(x)$ DNE

$$\downarrow$$

$$\frac{2x}{(1-x^2)^2} = 0$$

$$2x = 0$$

$$x = 0$$

$$(1-x^2)^2 \neq 0$$

$$1-x^2 \neq 0$$

$$1 \neq x^2$$

$$\pm 1 \neq x$$

| | | | | |
|-------------|------|------|-----|-----|
| | -1 | 0 | 1 | |
| | -1.0 | -0.5 | 0.5 | 1.0 |
| $2x$ | - | - | + | + |
| $(1-x^2)^2$ | + | + | + | + |
| | - | - | + | + |
| | ↘ | ↘ | ↗ | ↗ |

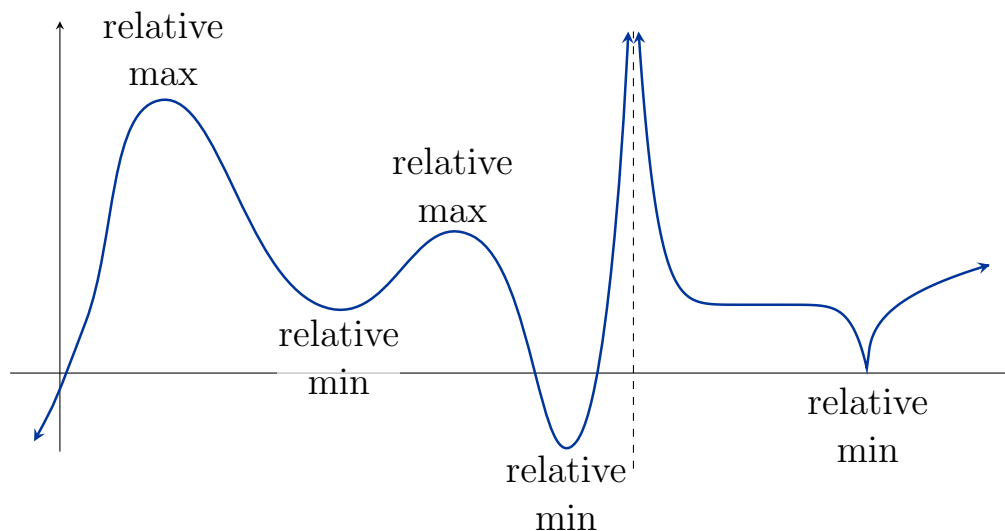
Inc: $(0, 1) \cup (1, \infty)$

Dec: $(-\infty, -1) \cup (-1, 0)$

Definition. (Relative Extrema)



A function f has a

- **relative maximum** at $x = c$ if $f(c) \geq f(x)$ for every x in (a, b)
- **relative minimum** at $x = c$ if $f(c) \leq f(x)$ for every x in (a, b)

**Definition.**

A **critical point** of a function f is any number x in the domain of f such that $f'(x) = 0$ or $f'(x)$ does not exist.

Procedure for Finding the Relative Extrema of a Continuous Function f The First Derivative Test:

1. Determine the critical points of f .
 2. Determine the sign change of $f'(x)$ to the left and right of each critical point:
If, at $x = c$, $f'(x) \dots$
 - a) changes sign from *positive* to *negative*, then f has a *relative maximum* 
 - b) changes sign from *negative* to *positive*, then f has a *relative minimum* 
 - c) does not change sign, then f does *not* have a relative extremum
- at $x = c$.

Example. Consider the function $f(x) = 6x - x^3$.

[Graph](#)

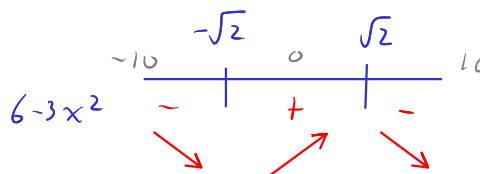
Use $f'(x)$ to find the intervals on which the function is increasing and decreasing.

$$f'(x) = 6 - 3x^2$$

$$f'(x) = 0 \quad f'(x) \text{ DNE}$$

$$6 - 3x^2 = 0 \quad \text{---}$$

$$x = \pm\sqrt{2}$$



$$\text{Inc: } (-\sqrt{2}, \sqrt{2})$$

$$\text{Dec: } (-\infty, -\sqrt{2}) \cup (\sqrt{2}, \infty)$$

Identify the function's local extreme values (e.g. "local max of ___ at $x = __$ ")

$$\text{Local max of } f(\sqrt{2}) = 4\sqrt{2} \text{ at } x = \sqrt{2}$$

$$\text{Local min of } f(-\sqrt{2}) = -4\sqrt{2} \text{ at } x = -\sqrt{2}$$

Example. Find the relative maximums/relative minimums of the following:

$$f(x) = x^3 - 3x^2 - 24x + 32$$

[Graph](#)

$$f'(x) = 3x^2 - 6x - 24 = 3(x+2)(x-4)$$

Quadratic formula: $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$

$$x = \frac{6 \pm \sqrt{(-6)^2 - 4(3)(-24)}}{2(3)} = \frac{6 \pm 18}{6} \rightarrow \begin{matrix} x = 4 \\ x = -2 \end{matrix}$$

| | | | | | |
|-------|-----|----|---|---|----|
| | -10 | -2 | 0 | 4 | 10 |
| 3 | + | | + | | + |
| $x+2$ | - | | + | | + |
| $x-4$ | - | | - | | + |
| | + | | - | | + |
| | ↗ | | ↘ | | ↗ |

max of $f(-2) = 60$ at $x = -2$
 min of $f(4) = -48$ at $x = 4$

$$g(x) = (x+1)^{2/3}$$

$$g'(x) = \frac{2}{3} (x+1)^{-1/3} = \frac{2}{3(x+1)^{1/3}}$$

Solve $g'(x) = 0$ x

& $g'(x)$ DNE $\rightarrow 3(x+1)^{1/3} \neq 0$

$$\Rightarrow x \neq -1$$

| | | | |
|---------------|-----|----|---|
| | -10 | -1 | 0 |
| 2 | + | | + |
| 3 | + | | + |
| $(x+1)^{1/3}$ | - | | + |
| | - | | + |
| | ↘ | | ↗ |

min of $g(-1) = 0$ at $x = -1$

$$h(x) = x + \frac{1}{x} = x + x^{-1}$$

$$h'(x) = 1 - x^{-2} = 1 - \frac{1}{x^2}$$

Solve $h'(x) = 0$ & $h'(x)$ DNE



$$1 - \frac{1}{x^2} = 0$$

$$1 = \frac{1}{x^2}$$

$$x^2 = 1$$

$$x = \pm 1$$

↓ $x \neq 0$

$1 - \frac{1}{x^2}$

| | | | | |
|--|------|------|-----|-----|
| | -1 | 0 | 1 | |
| | -1.0 | -0.5 | 0.5 | 1.0 |
| | + | - | - | + |
| | ↗ | ↘ | ↘ | ↗ |

Max of $h(-1) = -2$ at $x = -1$
 Min of $h(1) = 2$ at $x = 1$

$$j(x) = \frac{x^2}{1 - x^2}$$

$$j'(x) = \frac{(1-x^2)2x - x^2(-2x)}{(1-x^2)^2} = \frac{2x}{(1-x^2)^2}$$

Solve $j'(x) = 0$ & $j'(x)$ DNE



$$\frac{2x}{(1-x^2)^2} = 0$$

$$2x = 0$$

$$x = 0$$

$$(1-x^2)^2 \neq 0$$

$$1-x^2 \neq 0$$

$$1 \neq x^2$$

$$\pm 1 \neq x$$

$2x$
 $(1-x^2)^2$

| | | | | |
|-------------|------|------|-----|-----|
| | -1 | 0 | 1 | |
| | -1.0 | -0.5 | 0.5 | 1.0 |
| $2x$ | - | - | + | + |
| $(1-x^2)^2$ | + | + | + | + |
| | - | - | + | + |
| | ↘ | ↘ | ↗ | ↗ |

Max of $j(0) = 0$ at $x = 0$

