## CSDS 440: Machine Learning

Soumya Ray (he/him, sray@case.edu)
Olin 516
Office hours T, Th 11:15-11:45 or by appointment
Zoom Link

#### **Announcements**

- Quiz 2 Thursday
  - Same format as Q1
  - Topics: Everything up to and including Lecture 8 (Calculus)
  - Bring a scientific calculator (or phone app)

# Recap

•	having very few layers is problematic because they will need many n and not have s
•	A further motivation is from C c This creates a deep architecture by successively learning p, each modeling the r of the previous.
•	One way to interpret hidden units is as f c for an h d space where classification can be done with a p
•	To generate good features, we should allow I c p
•	We should also a i across different parts of the input.
•	Neural Networks can be viewed as c g Each layer of a network performs a m operation on the input v
•	Fully connected layers can be problematic because the number of weights gq
•	We should let each hidden unit only look at a l part of the input.
•	If the weights connecting a region to hidden units are the same across hidden units, this is called i
•	In a c neural network, we introduce a k This is a set of weights that are r across multiple l regions.

## Today

- Artificial Neural Networks (Ch 4, Mitchell)
- Probabilistic Machine Learning

### How to scale an ANN?

Suppose we create an ANN with LOTS of layers.

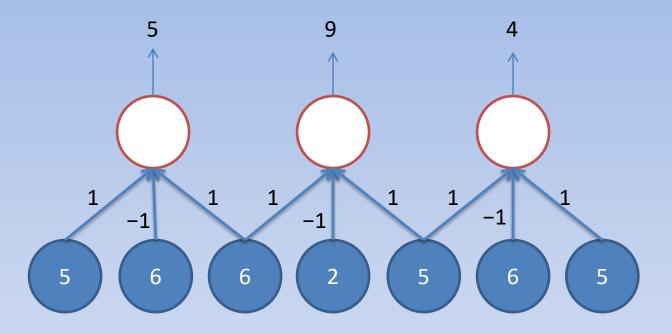
- 1. Why might we want to do that?
- 2. What will these layers do?
- 3. How can learning scale?
- 4. How to deal with vanishing gradients?
- 5. How to deal with overfitting?

### Convolutional Neural Networks

- Introduce a **kernel** k: a set of weights replicated across multiple local regions
  - Generally multiple such kernels will be used
  - Each kernel computes one local feature

The operation of applying the kernel to the input is called convolution

### Convolution



k=[1,-1,1], size l=3, stride s=2

### Convolution

$$\mathbf{z} = \mathbf{x} * \mathbf{k}$$

$$z_i = \sum_{i=1}^{l} k_i x_{i+j-(l+1)/2}$$

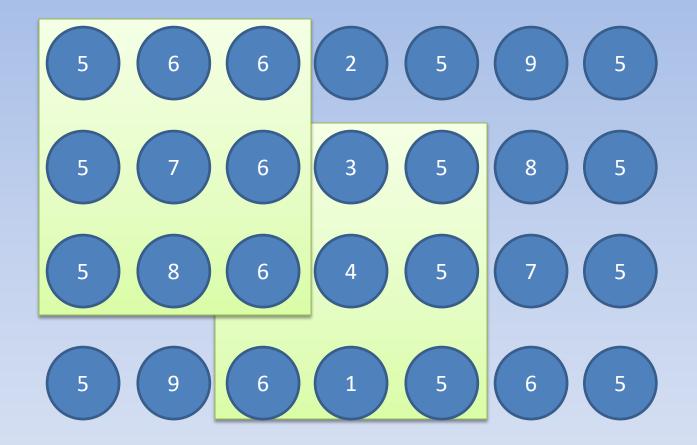
- Convolution is a linear operation
  - Can also be represented as a matrix operation
- The output **z** will have roughly n/s entries

### Convolutional NNs

 A kernel detects a specific feature, but what kernels to use?

- In a CNN, the kernels (detectors/filters)
   themselves can be learned
  - Parameterize as a set of weights, and learn via backpropagation

### 2D Convolution

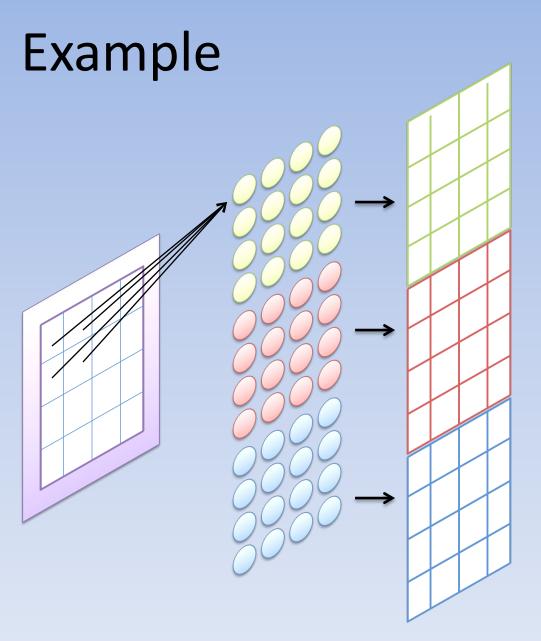


$$k=[w_1 w_2 w_3; w_4 w_5 w_6; w_7 w_8 w_9]; \text{ stride=(2,1)}$$

#### **Tensors**

 Each convolution kernel creates one "feature detector" that is looking for a specific property in a local patch

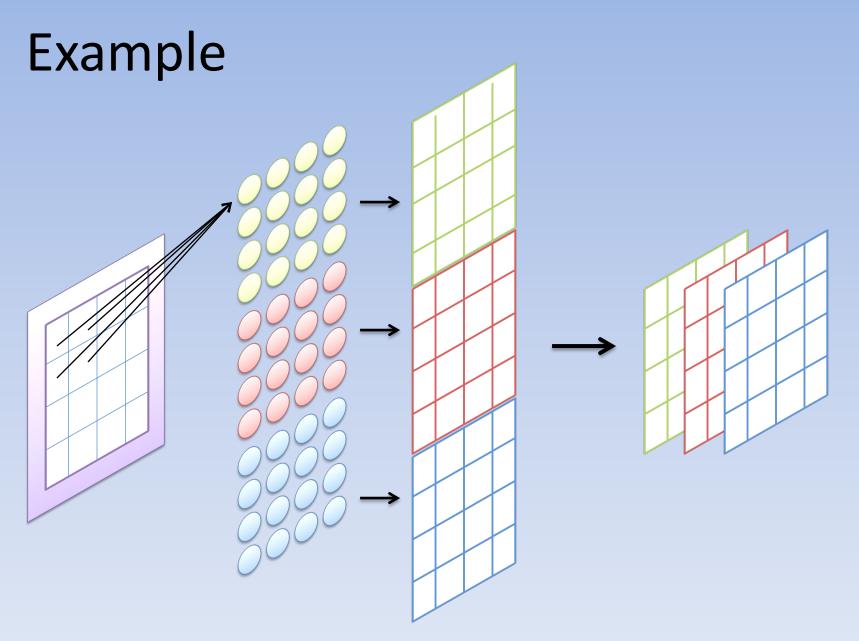
 Typically, will have many such kernels, each looking for a different feature



#### **Tensors**

 In order to maintain locality and invariance, instead of concatenating these kernels, we stack them along a new dimension

- If the input has two dimensions or more (e.g. images, video) then this results in a multidimensional matrix at each layer
  - These create "tensors"



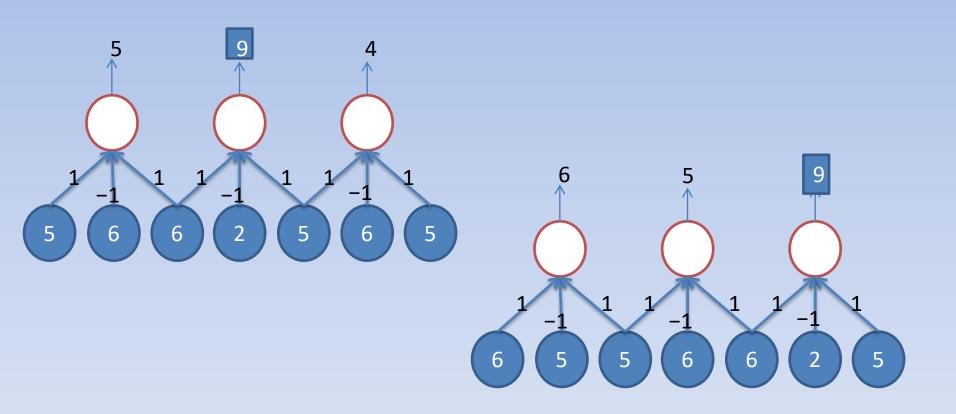
## Example

- Suppose we have a batch of 32x32 images
  - Each input has dimensions (32, 32)
- Suppose we apply 10 4x4 kernels to each image with stride 1x1
- The output will be a tensor with dimensions (10, 32, 32)

## **Pooling Layers**

- A pooling layer aggregates information from an adjacent layer
- Average pooling: k=(1/l, 1/l, ... 1/l)
- Max pooling: computes the maximum value of  $\it l$  inputs
  - For each feature detector, identifies whether that feature was found somewhere in the previous layer
- Downsamples input by factor of l

# **Max Pooling**



## Vanishing Gradients 1

- A key problem in ANNs is vanishing gradients
- To prevent vanishing gradients, we can use the "Rectified Linear Unit" (ReLU) activation function:

$$h(x) = \max(0, x)$$

## Vanishing Gradients 2

- Each layer in an ANN learns a completely new representation from the previous layer
  - Can cause catastrophic failure due to one "bad" layer

- Instead, each layer can add on to the learned representation of the previous layer
  - Allows building much deeper structures robustly

### Residual Networks

- Perturbing the representation is done through adding a "residual" function to each layer
- Replace

$$\mathbf{z}^{l+1} = h(\mathbf{W}^l \mathbf{z}^l)$$

With

$$\mathbf{z}^{l+1} = h(\mathbf{z}^l + f(\mathbf{z}^l))$$

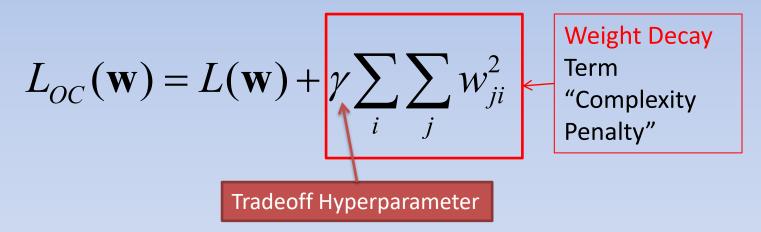
Residual function (learned from data; could be identity)

## How do we prevent overfitting?

- ANNs are very prone to overfitting
  - Structure can be very complex, lots of parameters
  - Decision surface can be very nonlinear

## **Controlling Overfitting**

One strategy: add a "weight decay" term



This will prevent weights from growing too large